

Web Services Security Core Specification

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Abstract:

This specification describes enhancements to the SOAP messaging to provide *quality of protection* through message integrity, message confidentiality, and single message authentication. These mechanisms can be used to accommodate a wide variety of security models and encryption technologies.

This specification also provides a general-purpose mechanism for associating security tokens with messages. No specific type of security token is required; it is designed to be extensible (e.g. support multiple security token formats). For example, a client might provide one format for proof of identity and provide another format for proof that they have a particular business certification.

Additionally, this specification describes how to encode binary security tokens, a framework for XML-based tokens, and describes how to include opaque encrypted keys. It also includes extensibility mechanisms that can be used to further describe the characteristics of the tokens that are included with a message.

30

31 **Status:**

32 This is an interim draft. Please send comments to the editors.

33

34 Committee members should send comments on this specification to the [wss@lists.oasis-](mailto:wss@lists.oasis-open.org)
35 [open.org](mailto:wss@lists.oasis-open.org) list. Others should subscribe to and send comments to the [wss-](mailto:wss-comment@lists.oasis-open.org)
36 [comment@lists.oasis-open.org](mailto:wss-comment@lists.oasis-open.org) list. To subscribe, visit [http://lists.oasis-](http://lists.oasis-open.org/ob/adm.pl)
37 [open.org/ob/adm.pl](http://lists.oasis-open.org/ob/adm.pl).

38 For information on whether any patents have been disclosed that may be essential to
39 implementing this specification, and any offers of patent licensing terms, please refer to
40 the Intellectual Property Rights section of the Security Services TC web page
41 (<http://www.oasis-open.org/who/intellectualproperty.shtml>).

Table of Contents

| | | | |
|----|-------|---|----|
| 43 | 1 | Introduction | 5 |
| 44 | 1.1 | Goals and Requirements | 5 |
| 45 | 1.1.1 | Requirements..... | 5 |
| 46 | 1.1.2 | Non-Goals | 5 |
| 47 | 2 | Notations and Terminology | 7 |
| 48 | 2.1 | Notational Conventions..... | 7 |
| 49 | 2.2 | Namespaces | 7 |
| 50 | 2.3 | Terminology..... | 7 |
| 51 | 3 | Message Protection Mechanisms | 9 |
| 52 | 3.1 | Message Security Model..... | 9 |
| 53 | 3.2 | Message Protection | 9 |
| 54 | 3.3 | Invalid or Missing Claims | 10 |
| 55 | 3.4 | Example | 10 |
| 56 | 4 | ID References | 12 |
| 57 | 4.1 | Id Attribute | 12 |
| 58 | 4.2 | Id Schema | 12 |
| 59 | 5 | Security Header..... | 14 |
| 60 | 6 | Security Tokens | 16 |
| 61 | 6.1 | User Name Tokens | 16 |
| 62 | 6.1.1 | Usernames and Passwords..... | 16 |
| 63 | 6.2 | Binary Security Tokens | 18 |
| 64 | 6.2.1 | Attaching Security Tokens..... | 18 |
| 65 | 6.2.2 | Processing Rules | 18 |
| 66 | 6.2.3 | Encoding Binary Security Tokens | 18 |
| 67 | 6.3 | XML Tokens | 19 |
| 68 | 6.3.1 | Attaching Security Tokens..... | 20 |
| 69 | 6.3.2 | Identifying and Referencing Security Tokens | 20 |
| 70 | 6.3.3 | Subject Confirmation | 20 |
| 71 | 6.3.4 | Processing Rules | 20 |
| 72 | 7 | Token References | 21 |
| 73 | 7.1 | SecurityTokenReference Element | 21 |
| 74 | 7.2 | Direct References | 21 |
| 75 | 7.3 | Key Identifiers..... | 22 |
| 76 | 7.4 | ds:KeyInfo | 23 |
| 77 | 7.5 | Key Names | 23 |
| 78 | 7.6 | Token Reference Lookup Processing Order..... | 23 |
| 79 | 8 | Signatures | 25 |
| 80 | 8.1 | Algorithms | 25 |
| 81 | 8.2 | Signing Messages..... | 26 |
| 82 | 8.3 | Signature Validation..... | 26 |
| 83 | 8.4 | Example | 26 |

| | | | |
|-----|--------|-----------------------------------|----|
| 84 | 9 | Encryption..... | 28 |
| 85 | 9.1 | xenc:ReferenceList..... | 28 |
| 86 | 9.2 | xenc:EncryptedKey..... | 29 |
| 87 | 9.3 | xenc:EncryptedData..... | 30 |
| 88 | 9.4 | Processing Rules..... | 30 |
| 89 | 9.4.1 | Encryption..... | 31 |
| 90 | 9.4.2 | Decryption..... | 31 |
| 91 | 9.5 | Decryption Transformation..... | 31 |
| 92 | 10 | Message Timestamps..... | 33 |
| 93 | 10.1 | Model..... | 33 |
| 94 | 10.2 | Timestamp Elements..... | 33 |
| 95 | 10.2.1 | Expiration..... | 33 |
| 96 | 10.2.2 | Creation..... | 34 |
| 97 | 10.3 | Timestamp Header..... | 35 |
| 98 | 10.4 | TimestampTrace Header..... | 36 |
| 99 | 11 | Extended Example..... | 38 |
| 100 | 12 | Error Handling..... | 41 |
| 101 | 13 | Security Considerations..... | 42 |
| 102 | 14 | Privacy Considerations..... | 44 |
| 103 | 15 | Acknowledgements..... | 45 |
| 104 | 16 | References..... | 46 |
| 105 | | Appendix A: Revision History..... | 48 |
| 106 | | Appendix B: Notices..... | 49 |
| 107 | | | |

108 **1 Introduction**

109 This specification proposes a standard set of **SOAP** extensions that can be used when building
110 secure Web services to implement message level integrity and confidentiality. This specification
111 refers to this set of extensions as the "Web Services Security Core Language" or "WSS-Core".

112 This specification is flexible and is designed to be used as the basis for securing Web services
113 within a wide variety of security models including PKI, Kerberos, and SSL. Specifically, this
114 specification provides support for multiple security token formats, multiple trust domains, multiple
115 signature formats, and multiple encryption technologies. The token formats and semantics for
116 using these are defined in the associated binding documents.

117 This specification provides three main mechanisms: ability to send security token as part of a
118 message, message integrity, and message confidentiality. These mechanisms by themselves do
119 not provide a complete security solution for Web services. Instead, this specification is a building
120 block that can be used in conjunction with other Web service extensions and higher-level
121 application-specific protocols to accommodate a wide variety of security models and security
122 technologies.

123 These mechanisms can be used independently (e.g., to pass a security token) or in a tightly
124 coupled manner (e.g., signing and encrypting a message and providing a security token hierarchy
125 associated with the keys used for signing and encryption).

126 **1.1 Goals and Requirements**

127 The goal of this specification is to enable applications to construct secure **SOAP** message
128 exchanges.

129 This specification is intended to provide a flexible set of mechanisms that can be used to
130 construct a range of security protocols; in other words this specification intentionally does not
131 describe explicit fixed security protocols.

132 As with every security protocol, significant efforts must be applied to ensure that security
133 protocols constructed using this specification are not vulnerable to a wide range of attacks.

134 The focus of this specification is to describe a single-message security language that provides for
135 message security that may assume an established session, security context and/or policy
136 agreement.

137 The requirements to support secure message exchange are listed below.

138 **1.1.1 Requirements**

139 The Web services security language must support a wide variety of security models. The
140 following list identifies the key driving requirements for this specification:

- 141 • Multiple security token formats
- 142 • Multiple trust domains
- 143 • Multiple signature formats
- 144 • Multiple encryption technologies
- 145 • End-to-end message-level security and not just transport-level security

146 **1.1.2 Non-Goals**

147 The following topics are outside the scope of this document:

- 148 • Establishing a security context or authentication mechanisms .

- 149 • Key derivation.
- 150 • Advertisement and exchange of security policy.
- 151 • How trust is established or determined.
- 152

2 Notations and Terminology

153

This section specifies the notations, namespaces, and terminology used in this specification.

154

2.1 Notational Conventions

155

The keywords "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC2119.

156

157

158

Namespace URIs (of the general form "some-URI") represent some application-dependent or context-dependent URI as defined in RFC2396.

159

160

This specification is designed to work with the general SOAP message structure and message processing model, and should be applicable to any version of SOAP. The current SOAP 1.2 namespace URI is used herein to provide detailed examples, but there is no intention to limit the applicability of this specification to a single version of SOAP.

161

162

163

164

Readers are presumed to be familiar with the terms in the Internet Security Glossary.

165

2.2 Namespaces

166

The XML namespace URIs that MUST be used by implementations of this specification are as follows (note that elements used in this specification are from various namespaces):

167

168

169

170

```
http://schemas.xmlsoap.org/ws/2002/xx/secext
http://schemas.xmlsoap.org/ws/2002/xx/utility
```

The following namespaces are used in this document:

171

172

| Prefix | Namespace |
|--------|---|
| S | http://www.w3.org/2001/12/soap-envelope |
| ds | http://www.w3.org/2000/09/xmldsig# |
| xenc | http://www.w3.org/2001/04/xmlenc# |
| wsse | http://schemas.xmlsoap.org/ws/2002/xx/secext |
| wsu | http://schemas.xmlsoap.org/ws/2002/xx/utility |

2.3 Terminology

173

Defined below are the basic definitions for the security terminology used in this specification.

174

Claim – A *claim* is a declaration made by a client (e.g. name, identity, key, group, privilege, capability, etc).

175

176

Security Token – A *security token* represents a collection of claims.

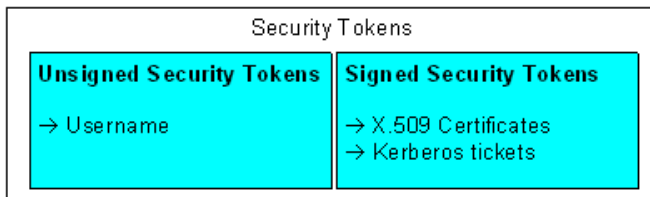
177

Signed Security Token – A *signed security token* is a security token that is asserted and cryptographically signed by a specific authority (e.g. an X.509 certificate or a Kerberos ticket).

178

179

180



181

182 **Proof-of-Possession** – *Proof-of-possession* information authentication data that is provided
183 with a message to prove that the message was sent and or created by a claimed identity based
184 on knowledge of information that should only be known to the claimed identity .

185 **Integrity** – *Integrity* is the property that data has not been modified.

186 **Message Integrity** - *Message Integrity* is a property of the message and digital signature is
187 the service or mechanism by with this property of the message is provided.

188 **Confidentiality** – *Confidentiality* is the property that data is not made available to
189 unauthorized individuals, entities, or processes.

190 **Message Confidentiality** - *Message Confidentiality* is a property of the message and
191 encryption is the service or mechanism by with this property of the message is provided.

192 **Digest** – A *digest* is a cryptographic checksum of an octet stream.

193 **Signature** - A *signature* is a cryptographic binding of a proof-of-possession and a digest. This
194 covers both symmetric key-based and public key-based signatures. Consequently, non-
195 repudiation is not always achieved.

196 **Attachment** – An *attachment* is a generic term referring to additional data that travels with a
197 SOAP message, but is not part of the SOAP Envelope.

198 **Trust** - *Trust* is the characteristic that one entity is willing to rely upon a second entity to execute
199 a set of actions and/or to make set of assertions about a set of subjects and/or scopes.

200 **Trust Domain** - A *Trust Domain* is a security space in which the target of a request can
201 determine whether particular sets of credentials from a source satisfy the relevant security
202 policies of the target. The target may defer trust to a third party thus including the trusted third
203 party in the Trust Domain.

204 **End-To_End Messgae Level Security** – *End-to-end message level security* is
205 established when a message that traverses multiple applications within and between business
206 entities, i.e. companies, divisions, business units, is secure over its full route through and
207 between those business entities. This includes not only messages that are initiated within the
208 entity but also those messages that originate outside the entity, whether they are Web Services
209 or the more traditional messages.

210

211 **3 Message Protection Mechanisms**

212 When securing SOAP messages, various types of threats should be considered. This includes,
213 but is not limited to: 1) the message could be modified or read by antagonists or 2) an antagonist
214 could send messages to a service that, while well-formed, lack appropriate security claims to
215 warrant processing.

216 To understand these threats this specification defines a message security model.

217 **3.1 Message Security Model**

218 This document specifies an abstract message security model in terms of security tokens
219 combined with digital signatures to protect and authenticate SOAP messages. Security tokens
220 assert claims and can be used to assert the binding between authentication secrets or keys and
221 security identities. An authority can vouch for or endorse the claims in a security token by using
222 its key to sign or encrypt the security token and thus authenticate the claims in the security token.
223 An X.509 certificate, claiming the binding between one's identity and public key, is an example of
224 a signed security token, and thus endorsed by the certificate authority, security token. In the
225 absence of endorsement by a third party, the recipient of a security token may chose to accept
226 the claims made in the token based on its trust of the sender of the containing message.

227 Signatures are also used by message senders to demonstrate knowledge of the key claimed in a
228 security token and thus to authenticate or bind their identity (and any other claims occurring in the
229 security token) to the messages they create. A signature created by a message sender to
230 demonstrate knowledge of an authentication key is referred to as a Proof-of-Possession and may
231 serve as a message authenticator if the signature is performed over the message.

232 A claim can be either signed or unsigned by a trusted authority. A set of signed claims is usually
233 represented as a signed security token that is digitally signed or encrypted by the authority. An
234 X.509 certificate, claiming the binding between one's identity and public key, is an example of a
235 signed security token. A signed claim can also be represented as a reference to an authority so
236 that the recipient can "pull" the claim from the referenced authority.

237 An unsigned claim can be trusted if there is a trust relationship between the sender and the
238 recipient. For example, the unsigned claim that the sender is Bob is sufficient for a certain
239 recipient to believe that the sender is in fact Bob, if the sender and the recipient use a trusted
240 connection and there is an out-of-band trust relationship between them.

241 One special type of unsigned claim is Proof-of-Possession. Such a claim proves that the sender
242 has a particular piece of knowledge that is verifiable by appropriate SOAP roles. For example, a
243 username/password is a security token with this type of claim. A Proof-of-Possession claim is
244 sometimes combined with other security tokens to prove the claims of the sender. Note that a
245 digital signature used for message integrity can also be used as a Proof-of-Possession claim,
246 although this specification does not consider such a digital signature as a type of security token.

247 It should be noted that this security model, by itself, is subject to multiple security attacks. Refer
248 to the Security Considerations section for additional details.

249 **3.2 Message Protection**

250 Protecting the message content from being disclosed (confidentiality) or modified without
251 detection (integrity) are primary security concerns. This specification provides a means to protect
252 a message by encrypting and/or digitally signing a body, a header, an attachment, or any
253 combination of them (or parts of them).

254 Message integrity is provided by leveraging XML Signature in conjunction with security tokens to
255 ensure that messages are transmitted without modifications. The integrity mechanisms are

256 designed to support multiple [signatures](#), potentially by multiple [SOAP](#) roles, and to be extensible
257 to support additional [signature](#) formats.

258 Message [confidentiality](#) leverages [XML Encryption](#) in conjunction with [security tokens](#) to keep
259 portions of a [SOAP](#) message [confidential](#). The encryption mechanisms are designed to support
260 additional encryption processes and operations by multiple [SOAP](#) roles.

261 WS-Security defines syntax and semantics of signatures within <wsse:Security> header block.
262 WS-Security does not specify any signature appearing outside of <wsse:Security>, if any.

263 3.3 Invalid or Missing Claims

264 The message recipient SHOULD reject a message with a signature determined to be invalid,
265 missing or unacceptable [claims](#) as it is an unauthorized (or malformed) message. This
266 specification provides a flexible way for the message sender to make a [claim](#) about the security
267 properties by associating zero or more [security tokens](#) with the message. An example of a
268 security [claim](#) is the identity of the sender; the sender can [claim](#) that he is Bob, known as an
269 employee of some company, and therefore he has the right to send the message.

270 3.4 Example

271 The following example illustrates the use of a username security token containing a claimed
272 security identity to establish a password derived signing key. The password is not provided in the
273 security token. The message sender combines the password with the nonce and timestamp
274 appearing in the security token to define an HMAC signing key that it then uses to sign the
275 message. The message receiver uses its knowledge of the shared secret to repeat the HMAC
276 key calculation which it uses to validate the signature and in the process confirm that the
277 message was authored by the claimed user identity. The nonce and timestamp are used in the
278 key calculation to introduce variability in the keys derived from a given password value.

```
279 (001) <?xml version="1.0" encoding="utf-8"?>
280 (002) <S:Envelope xmlns:S="http://www.w3.org/2001/12/soap-envelope"
281      xmlns:ds="http://www.w3.org/2000/09/xmldsig#">
282 (003)   <S:Header>
283 (004)     <wsse:Security
284          xmlns:wsse="http://schemas.xmlsoap.org/ws/2002/xx/secext">
285 (005)       <\wsse:UsernameToken wsu:Id="MyID">
286 (006)         <wsse:Username>Zoe</wsse:Username>
287 (007)         <wsse:Nonce>FKJh...</wsse:Nonce>
288 (008)         <wsu:Created>2001-10-13T09:00:00Z</wsu:Created>
289 (009)       </wsse:UsernameToken>
290 (010)     <ds:Signature>
291 (011)       <ds:SignedInfo>
292 (012)         <ds:CanonicalizationMethod
293             Algorithm=
294               "http://www.w3.org/2001/10/xml-exc-c14n#" />
295 (013)         <ds:SignatureMethod
296             Algorithm=
297               "http://www.w3.org/2000/09/xmldsig#hmac-sha1" />
298 (014)         <ds:Reference URI="#MsgBody">
299 (015)           <ds:DigestMethod
300               Algorithm=
301                 "http://www.w3.org/2000/09/xmldsig#sha1" />
302 (016)           <ds:DigestValue>LyLsF0Pi4wPU...</ds:DigestValue>
303 (017)         </ds:Reference>
304 (018)       </ds:SignedInfo>
305 (019)       <ds:SignatureValue>DJbchm5gK...</ds:SignatureValue>
306 (020)     <ds:KeyInfo>
307 (021)       <wsse:SecurityTokenReference>
308 (022)         <wsse:Reference URI="#MyID" />
309 (023)       </wsse:SecurityTokenReference>
310 (024)     </ds:KeyInfo>
```

```

311 (025)      </ds:Signature>
312 (026)      </wsse:Security>
313 (027)      </S:Header>
314 (028)      <S:Body wsu:Id="MsgBody">
315 (029)      <tru:StockSymbol xmlns:tru="http://fabrikam123.com/payloads">
316           QQQ
317           </tru:StockSymbol>
318 (030)      </S:Body>
319 (031) </S:Envelope>

```

320 The first two lines start the [SOAP envelope](#). Line (003) begins the headers that are associated
321 with this [SOAP message](#).

322 Line (004) starts the [Security](#) header defined in this specification. This header contains
323 security information for an intended recipient. This element continues until line (026)

324 Lines (005) to (009) specify a [security token](#) that is associated with the message. In this case, it
325 defines *username* of the client using the [UsernameToken](#). Note that here the assumption is
326 that the service knows the password – in other words, it is a shared secret and the [Nonce](#) and
327 [Created](#) are used to generate the key

328 Lines (010) to (025) specify a digital signature. This signature ensures the [integrity](#) of the signed
329 elements. The signature uses the [XML Signature](#) specification identified by the ds namespace
330 declaration in Line (002). In this example, the signature is based on a key generated from the
331 users' password; typically stronger signing mechanisms would be used (see the [Extended](#)
332 [Example](#) later in this document).

333 Lines (011) to (018) describe what is being signed and the type of canonicalization being used.
334 Line (012) specifies how to canonicalize (normalize) the data that is being signed. Lines (014) to
335 (017) select the elements that are signed and how to digest them. Specifically, line (014)
336 indicates that the [S:Body](#) element is signed. In this example only the message body is
337 signed; typically all critical elements of the message are included in the signature (see the
338 [Extended Example](#) below).

339 Line (019) specifies the signature value of the canonicalized form of the data that is being signed
340 as defined in the [XML Signature](#) specification.

341 Lines (020) to (024) provide a *hint* as to where to find the [security token](#) associated with this
342 signature. Specifically, lines (021) to (023) indicate that the [security token](#) can be found at (pulled
343 from) the specified URL.

344 Lines (028) to (030) contain the *body* (payload) of the [SOAP](#) message.

345

346 4 ID References

347 There are many motivations for referencing other message elements such as signature
348 references or correlating signatures to security tokens. However, because arbitrary ID attributes
349 require the schemas to be available and processed, ID attributes which can be referenced in a
350 signature are restricted to the following list:

- 351 • ID attributes from XML Signature
- 352 • ID attributes from XML Encryption
- 353 • wsu:Id global attribute described below

354 In addition, when signing a part of an envelope such as the body, it is RECOMMENDED that an
355 ID reference is used instead of a more general transformation, especially [XPath](#). This is to
356 simplify processing.

357 4.1 Id Attribute

358 There are many situations where elements within [SOAP](#) messages need to be referenced. For
359 example, when signing a SOAP message, selected elements are included in the signature. [XML](#)
360 [Schema Part 2](#) provides several built-in data types that may be used for identifying and
361 referencing elements, but their use requires that consumers of the SOAP message either to have
362 or be able to obtain the schemas where the identity or reference mechanisms are defined. In
363 some circumstances, for example, intermediaries, this can be problematic and not desirable.

364 Consequently a mechanism is required for identifying and referencing elements, based on the
365 SOAP foundation, which does not rely upon complete schema knowledge of the context in which
366 an element is used. This functionality can be integrated into SOAP processors so that elements
367 can be identified and referred to without dynamic schema discovery and processing.

368 This section specifies a namespace-qualified global attribute for identifying an element which can
369 be applied to any element that either allows arbitrary attributes or specifically allows a particular
370 attribute.

371 4.2 Id Schema

372 To simplify the processing for intermediaries and recipients, a common attribute is defined for
373 identifying an element. This attribute utilizes the XML Schema ID type and specifies a common
374 attribute for indicating this information for elements.

375 The syntax for this attribute is as follows:

```
376 <anyElement wsu:Id="...">...</anyElement>
```

377 The following describes the attribute illustrated above:

378 *.../@wsu:Id*

379 This attribute, defined as type `xsd:ID`, provides a well-known attribute for specifying the
380 local ID of an element.

381 Two `wsu:Id` attributes within an XML document MUST NOT have the same value.
382 Implementations MAY rely on XML Schema validation to provide rudimentary enforcement for
383 intra-document uniqueness. However, applications SHOULD NOT rely on schema validation
384 alone to enforce uniqueness.

385 This specification does not specify how this attribute will be used and it is expected that other
386 specifications MAY add additional semantics (or restrictions) for their usage of this attribute.

387 The following example illustrates use of this attribute to identify an element:

388 `<x:myElement wsu:Id="ID1" xmlns:x="..."`
389 `xmlns:wsu="http://schemas.xmlsoap.org/ws/2002/xx/utility"/>`

390 Conformant processors that do support XML Schema MUST treat this attribute as if it was
391 defined using a global attribute declaration.

392 Conformant processors that do not support XML Schema or DTDs are strongly encouraged to
393 treat this attribute information item as if its PSVI has a [type definition] which {target namespace}
394 is "http://www.w3.org/2001/XMLSchema" and which {name} is "Id." Specifically,
395 implementations MAY support the value of the `wsu:Id` as the valid identifier for use as an
396 [XPointer](#) shorthand pointer.

5 Security Header

398 The `<wsse:Security>` header block provides a mechanism for attaching security-related
 399 information targeted at a specific recipient ([SOAP role](#)). This MAY be either the ultimate recipient
 400 of the message or an intermediary. Consequently, this header block MAY be present multiple
 401 times in a [SOAP](#) message. An intermediary on the message path MAY add one or more new
 402 sub-elements to an existing `<wsse:Security>` header block if they are targeted for the same
 403 [SOAP](#) node or it MAY add one or more new headers for additional targets.

404 As stated, a message MAY have multiple `<wsse:Security>` header blocks if they are targeted
 405 for separate recipients. However, only one `<wsse:Security>` header block can omit the
 406 `S:role` attribute and no two `<wsse:Security>` header blocks can have the same value for
 407 `S:role`. Message security information targeted for different recipients MUST appear in different
 408 `<wsse:Security>` header blocks. The `<wsse:Security>` header block without a specified
 409 `S:role` can be consumed by anyone, but MUST NOT be removed prior to the final destination or
 410 endpoint.

411 As elements are added to the `<wsse:Security>` header block, they SHOULD be prepended to
 412 the existing elements. As such, the `<wsse:Security>` header block represents the signing and
 413 encryption steps the message sender took to create the message. This prepending rule ensures
 414 that the receiving application MAY process sub-elements in the order they appear in the
 415 `<wsse:Security>` header block, because there will be no forward dependency among the sub-
 416 elements. Note that this specification does not impose any specific order of processing the sub-
 417 elements. The receiving application can use whatever policy is needed.

418 When a sub-element refers to a key carried in another sub-element (for example, a signature
 419 sub-element that refers to a binary security token sub-element that contains the [X.509](#) certificate
 420 used for the signature), the key-bearing security token SHOULD be prepended to the key-using
 421 sub-element being added, so that the key material appears before the key-using sub-element.

422 The following illustrates the syntax of this header:

```
423 <S:Envelope>
424   <S:Header>
425     ...
426     <wsse:Security S:role="..." S:mustUnderstand="...">
427       ...
428     </wsse:Security>
429     ...
430   </S:Header>
431   ...
432 </S:Envelope>
```

433 The following describes the attributes and elements listed in the example above:

434 `/wsse:Security`

435 This is the header block for passing security-related message information to a recipient.

436 `/wsse:Security/@S:role`

437 This attribute allows a specific [SOAP](#) role to be identified. This attribute is optional,
 438 however, no two instances of the header block may omit a role or specify the same role.

439 `/wsse:Security/{any}`

440 This is an extensibility mechanism to allow different (extensible) types of security
 441 information, based on a schema, to be passed.

442 `/wsse:Security/@{any}`

443 This is an extensibility mechanism to allow additional attributes, based on schemas, to be
444 added to the header.

445 All compliant implementations MUST be able to process a `<wsse:Security>` element.

446 All compliant implementations must declare which profiles they support and MUST be able to
447 process a `<wsse:Security>` element including any sub-elements which may be defined by
448 profile.

449 The next few sections outline elements that are expected to be used within the
450 `<wsse:Security>` header.

451 6 Security Tokens

452 This chapter discusses different types of security tokens and how they are attached to messages.

453 6.1 User Name Tokens

454 6.1.1 Usernames and Passwords

455 The `<wsse:UsernameToken>` element is introduced as a way of providing a username and
456 optional password information. This element is optionally included in the `<wsse:Security>`
457 header.

458 Within this element, a `<wsse:Password>` element MAY be specified. The password has an
459 associated type – either `wsse:PasswordText` or `wsse:PasswordDigest`. The
460 `wsse:PasswordText` is not limited to only the actual password. Any password equivalent such
461 as a derived password or S/KEY (one time password) can be used.

462 The `wsse:PasswordDigest` is defined as a base64-encoded SHA1 hash value of the UTF8-
463 encoded password. However, unless this digested password is sent on a secured channel, the
464 digest offers no real additional security than `wsse:PasswordText`.

465 To address this issue, two optional elements are introduced in the `<wsse:UsernameToken>`
466 element: `<wsse:Nonce>` and `<wsu:Created>`. If either of these is present, they MUST be
467 included in the digest value as follows:

```
468 Password_digest = SHA1 ( nonce + created + password )
```

469 That is, concatenate the nonce, creation timestamp, and the password (or shared secret or
470 password equivalent) and include the digest of the combination. This helps obscure the
471 password and offers a basis for preventing replay attacks. It is RECOMMENDED that timestamps
472 and nonces be cached for a given period of time, as a guideline a value of five minutes can be
473 used as a minimum to detect replays, and that timestamps older than that given period of time set
474 be rejected.

475 Note that the nonce is hashed using the octet sequence of its decoded value while the timestamp
476 is hashed using the octet sequence of its UTF8 encoding as specified in the contents of the
477 element.

478 Note that password digests SHOULD NOT be used unless the plain text password, secret, or
479 password-equivalent is available to both the requestor and the recipient.

480 The following illustrates the syntax of this element:

```
481 <wsse:UsernameToken wsu:Id="...">  
482   <wsse:Username>...</wsse:Username>  
483   <wsse:Password Type="...">...</wsse:Password>  
484   <wsse:Nonce EncodingType="...">...</wsse:Nonce>  
485   <wsu:Created>...</wsu:Created>  
486 </wsse:UsernameToken>
```

487 The following describes the attributes and elements listed in the example above:

488 */wsse:UsernameToken*

489 This element is used for sending basic authentication information.

490 */wsse:UsernameToken/@wsu:Id*

491 A string label for this [security token](#).

492 */wsse:UsernameToken/Username*

493 This required element specifies the username of the authenticated or the party to be
 494 authenticated.

495 */wsse:UsernameToken/Username/@{any}*

496 This is an extensibility mechanism to allow additional attributes, based on schemas, to be
 497 added to the header.

498 */wsse:UsernameToken/Password*

499 This optional element provides password information. It is RECOMMENDED that this
 500 element only be passed when a secure transport is being used.

501 */wsse:UsernameToken/Password/@Type*

502 This optional attribute specifies the type of password being provided. The following table
 503 identifies the pre-defined types:

| Value | Description |
|-----------------------------|--|
| wsse:PasswordText (default) | The actual password for the username or derived password or S/KEY . |
| wsse:PasswordDigest | The digest of the password for the username using the algorithm described above. |

504 */wsse:UsernameToken/Password/@{any}*

505 This is an extensibility mechanism to allow additional attributes, based on schemas, to be
 506 added to the header.

507 */wsse:UsernameToken//wsse:Nonce*

508 This optional element specifies a cryptographically random nonce.

509 */wsse:UsernameToken//wsse:Nonce/@EncodingType*

510 This optional attribute specifies the encoding type of the nonce (see definition of
 511 `<wsse:BinarySecurityToken>` for valid values). If this attribute isn't specified then
 512 the default of Base64 encoding is used.

513 */wsse:UsernameToken//wsu:Created*

514 This optional element which specifies a timestamp.

515 */wsse:UsernameToken/{any}*

516 This is an extensibility mechanism to allow different (extensible) types of security
 517 information, based on a schema, to be passed.

518 */wsse:UsernameToken/@{any}*

519 This is an extensibility mechanism to allow additional attributes, based on schemas, to be
 520 added to the header.

521 All compliant implementations MUST be able to process a `<wsse:UsernameToken>` element.
 522 The following illustrates the use of this element (note that in this example the password is sent in
 523 clear text and the message should therefore be sent over a confidential channel:

```

524 <S:Envelope xmlns:S="http://www.w3.org/2001/12/soap-envelope"
525           xmlns:wsse="http://schemas.xmlsoap.org/ws/2002/xx/secext">
526   <S:Header>
527     ...
528     <wsse:Security>
529       <wsse:UsernameToken >
530         <wsse:Username>Zoe</wsse:Username>
531         <wsse:Password>ILoveDogs</wsse:Password>
532       </wsse:UsernameToken>
533     </wsse:Security>
534     ...
  
```

```
535     </S:Header>
536     ...
537 </S:Envelope>
```

538 The following example illustrates a hashed password using both a nonce and a timestamp with
539 the password hashed:

```
540 <S:Envelope xmlns:S="http://www.w3.org/2001/12/soap-envelope"
541           xmlns:wsse="http://schemas.xmlsoap.org/ws/2002/xx/secext">
542   <S:Header>
543     ...
544     <wsse:Security>
545       <wsse:UsernameToken>
546         xmlns:wsse="http://schemas.xmlsoap.org/ws/2002/xx/secext"
547         xmlns:wsu="http://schemas.xmlsoap.org/ws/2002/xx/utility">
548         <wsse:Username>NNK</wsse:Username>
549         <wsse:Password Type="wsse:PasswordDigest">
550           FEdR...</wsse:Password>
551         <wsse:Nonce>FKJh...</wsse:Nonce>
552         <wsu:Created>2001-10-13T09:00:00Z </wsu:Created>
553       </wsse:UsernameToken>
554     </wsse:Security>
555     ...
556   </S:Header>
557   ...
558 </S:Envelope>
```

559 6.2 Binary Security Tokens

560 6.2.1 Attaching Security Tokens

561 For binary-formatted security tokens, this specification provides a
562 <wsse:BinarySecurityToken> element that can be included in the <wsse:Security>
563 header block..

564 6.2.2 Processing Rules

565 This specification describes the processing rules for using and processing [XML Signature](#) and
566 [XML Encryption](#). These rules MUST be followed when using any type of security token including
567 XML-based tokens. Note that this does NOT mean that binary security tokens MUST be signed
568 or encrypted – only that if signature or encryption is used in conjunction with binary security
569 tokens, they MUST be used in a way that conforms to the processing rules defined by this
570 specification.

571 6.2.3 Encoding Binary Security Tokens

572 Binary security tokens (e.g., [X.509](#) certificates and [Kerberos](#) tickets) or other non-XML formats
573 require a special encoding format for inclusion. This section describes a basic framework for
574 using binary security tokens. Subsequent specifications describe rules and processes for specific
575 binary security token formats.

576 The <wsse:BinarySecurityToken> element defines two attributes that are used to interpret
577 it. The `ValueType` attribute indicates what the security token is, for example, a [Kerberos](#) ticket.
578 The `EncodingType` tells how the security token is encoded, for example Base64Binary.

579 The following is an overview of the syntax:

```
580 <wsse:BinarySecurityToken wsu:Id=...
581                         EncodingType=...
582                         ValueType=.../>
```

583 The following describes the attributes and elements listed in the example above:

584 `/wsse:BinarySecurityToken`
 585 This element is used to include a binary-encoded security token.
 586 `/wsse:BinarySecurityToken/@wsu:Id`
 587 An optional string label for this [security token](#).
 588 `/wsse:BinarySecurityToken/@ValueType`
 589 The `ValueType` attribute is used to indicate the "value space" of the encoded binary
 590 data (e.g. an [X.509](#) certificate). The `ValueType` attribute allows a qualified name that
 591 defines the value type and space of the encoded binary data. This attribute is extensible
 592 using [XML namespaces](#).
 593 `/wsse:BinarySecurityToken/@EncodingType`
 594 The `EncodingType` attribute is used to indicate, using a QName, the encoding format of
 595 the binary data (e.g., `wsse:Base64Binary`). A new attribute is introduced, as there are
 596 currently issues that make derivations of mixed simple and complex types difficult within
 597 [XML Schema](#). The `EncodingType` attribute is interpreted to indicate the encoding
 598 format of the element. The following encoding formats are pre-defined:

| QName | Description |
|--------------------------------|---|
| <code>wsse:Base64Binary</code> | XML Schema base 64 encoding |

599 `/wsse:BinarySecurityToken/{any}`
 600 This is an extensibility mechanism to allow additional attributes, based on schemas, to be
 601 added.
 602 All compliant implementations MUST be able to support a `<wsse:BinarySecurityToken>`
 603 element.
 604 When a `<wsse:BinarySecurityToken>` is included in a signature—that is, it is referenced
 605 from a `<ds:Signature>` element—care should be taken so that the canonicalization algorithm
 606 (e.g., [Exclusive XML Canonicalization](#)) does not allow unauthorized replacement of namespace
 607 prefixes of the QNames used in the attribute or element values. In particular, it is
 608 RECOMMENDED that these namespace prefixes are declared within the
 609 `<wsse:BinarySecurityToken>` element if this token does not carry the validating key (and
 610 consequently it is not cryptographically bound to the [signature](#)). For example, if we wanted to
 611 sign the previous example, we need to include the consumed namespace definitions.
 612 In the following example, a custom `ValueType` is used. Consequently, the namespace definition
 613 for this `ValueType` is included in the `<wsse:BinarySecurityToken>` element. Note that the
 614 definition of `wsse` is also included as it is used for the encoding type and the element.

```

615 <wsse:BinarySecurityToken
616   xmlns:wsse="http://schemas.xmlsoap.org/ws/2002/xx/secext "
617   wsu:Id="myToken"
618   ValueType="x:MyType" xmlns:x="http://www.fabrikaml23.com/x"
619   EncodingType="wsse:Base64Binary">
620   MIEZzCCA9CgAwIBAgIQEmtJZc0...
621 </wsse:BinarySecurityToken>
  
```

622 **6.3 XML Tokens**

623 This section presents the basic principles and framework for using XML-based security tokens.
 624 Subsequent specifications describe rules and processes for specific XML-based security token
 625 formats.

626 **6.3.1 Attaching Security Tokens**

627 This specification defines the `<wsse:Security>` header as a mechanism for conveying security
628 information with and about a [SOAP](#) message. This header is, by design, extensible to support
629 many types of security information.

630 For security tokens based on XML, the extensibility of the `<wsse:Security>` header allows for
631 these security tokens to be directly inserted into the header.

632 **6.3.2 Identifying and Referencing Security Tokens**

633 This specification also defines multiple mechanisms for identifying and referencing security
634 tokens using the `wsu:id` attribute and the `<wsse:SecurityTokenReference>` element (as well
635 as some additional mechanisms). Please refer to the specific binding documents for the
636 appropriate reference mechanism. However, specific extensions MAY be made to the
637 `wsse:SecurityTokenReference` element.

638 **6.3.3 Subject Confirmation**

639 This specification does not dictate if and how subject confirmation must be done, however, it does
640 define how signatures can be used and associated with security tokens (by referencing them in
641 the signature) as a form of Proof-of-Possession.

642 **6.3.4 Processing Rules**

643 This specification describes the processing rules for using and processing [XML Signature](#) and
644 [XML Encryption](#). These rules MUST be followed when using any type of security token including
645 XML-based tokens. Note that this does NOT mean that XML-based tokens MUST be signed or
646 encrypted – only that if signature or encryption is used in conjunction with XML-based tokens,
647 they MUST be used in a way that conforms to the processing rules defined by this specification.

648 7 Token References

649 This chapter discusses and defines mechanisms for referencing security tokens.

650 7.1 SecurityTokenReference Element

651 A [security token](#) conveys a set of [claims](#). Sometimes these claims reside somewhere else and
652 need to be "pulled" by the receiving application. The `<wsse:SecurityTokenReference>`
653 element provides an extensible mechanism for referencing [security tokens](#).

654 This element provides an open content model for referencing security tokens because not all
655 tokens support a common reference pattern. Similarly, some token formats have closed
656 schemas and define their own reference mechanisms. The open content model allows
657 appropriate reference mechanisms to be used when referencing corresponding token types.

658 The following illustrates the syntax of this element:

```
659 <wsse:SecurityTokenReference wsu:Id="..." >  
660   ...  
661 </wsse:SecurityTokenReference>
```

662 The following describes the elements defined above:

663 / `wsse:SecurityTokenReference`

664 This element provides a reference to a security token.

665 / `wsse:SecurityTokenReference/@wsu:Id`

666 A string label for this [security token](#) reference.

667 / `wsse:SecurityTokenReference/{any}`

668 This is an extensibility mechanism to allow different (extensible) types of security
669 references, based on a schema, to be passed.

670 / `wsse:SecurityTokenReference/@{any}`

671 This is an extensibility mechanism to allow additional attributes, based on schemas, to be
672 added to the header.

673 The following illustrates the use of this element:

```
674 <wsse:SecurityTokenReference  
675   xmlns:wsse="http://schemas.xmlsoap.org/ws/2002/xx/secext">  
676   <wsse:Reference  
677     URI="http://www.fabrikaml23.com/tokens/Zoe#X509token"/>  
678 </wsse:SecurityTokenReference>
```

679 All compliant implementations MUST be able to process a

680 `<wsse:SecurityTokenReference>` element.

681 This element can also be used as a direct child element of `<ds:KeyInfo>` to indicate a hint to
682 retrieve the key information from a security token placed somewhere else. In particular, it is
683 RECOMMENDED, when using [XML Signature](#) and [XML Encryption](#), that a

684 `<wsse:SecurityTokenReference>` element be placed inside a `<ds:KeyInfo>` to reference
685 the [security token](#) used for the signature or encryption.

686 7.2 Direct References

687 The `<wsse:Reference>` element provides an extensible mechanism for directly referencing
688 [security tokens](#) using URIs.

689 The following illustrates the syntax of this element:

```
690 <wsse:SecurityTokenReference wsu:Id="..." >
```

```
691 <wsse:Reference URI="..." ValueType="..." />
692 </wsse:SecurityTokenReference>
```

693 The following describes the elements defined above:

694 / *wsse:SecurityTokenReference/Reference*

695 This element is used to identify a URI location for locating a security token.

696 / *wsse:SecurityTokenReference/Reference/@URI*

697 This optional attribute specifies a URI for where to find a security token.

698 / *wsse:SecurityTokenReference/Reference/@ValueType*

699 This optional attribute specifies a QName that is used to identify the *type* of token being
700 referenced (see *<wsse:BinarySecurityToken>*). This specification does not define
701 any processing rules around the usage of this attribute, however, specification for
702 individual token types MAY define specific processing rules and semantics around the
703 value of the URI and how it is interpreted. If this attribute is not present, the URI is
704 processed as a normal URI.

705 / *wsse:SecurityTokenReference/Reference/{any}*

706 This is an extensibility mechanism to allow different (extensible) types of security
707 references, based on a schema, to be passed.

708 / *wsse:SecurityTokenReference/Reference/@{any}*

709 This is an extensibility mechanism to allow additional attributes, based on schemas, to be
710 added to the header.

711 The following illustrates the use of this element:

```
712 <wsse:SecurityTokenReference
713     xmlns:wsse="http://schemas.xmlsoap.org/ws/2002/xx/secext">
714   <wsse:Reference
715     URI="http://www.fabrikaml23.com/tokens/Zoe#X509token" />
716 </wsse:SecurityTokenReference>
```

717 7.3 Key Identifiers

718 If a direct reference is not possible, then it is RECOMMENDED to use a key identifier to
719 specify/reference a security token instead of a key name. The *<wsse:KeyIdentifier>*
720 element is placed in the *<wsse:SecurityTokenReference>* element to reference a token
721 using an identifier. This element SHOULD be used for all key identifiers.

722 The processing model assumes that the key identifier for a security token is constant.
723 Consequently, processing a key identifier is simply looking for a security token whose key
724 identifier matches a given specified constant.

725 The following is an overview of the syntax:

```
726 <wsse:SecurityTokenReference>
727   <wsse:KeyIdentifier wsu:Id="..."
728     ValueType="..."
729     EncodingType="...">
730     ...
731   </wsse:KeyIdentifier>
732 </wsse:SecurityTokenReference>
```

733 The following describes the attributes and elements listed in the example above:

734 / *wsse:SecurityTokenReference/KeyIdentifier*

735 This element is used to include a binary-encoded key identifier.

736 / *wsse:SecurityTokenReference/KeyIdentifier/@wsu:Id*

737 An optional string label for this identifier.

738 / *wsse:SecurityTokenReference/KeyIdentifier/@ValueType*

739 The `ValueType` attribute is used to optionally indicate the type of token with the
740 specified identifier. If specified, this is a *hint* to the recipient. Any value specified for
741 binary security tokens, or any XML token element QName can be specified here. If this
742 attribute isn't specified, then the identifier applies to any type of token.

743 / `wsse:SecurityTokenReference/KeyIdentifier/@EncodingType`

744 The optional `EncodingType` attribute is used to indicate, using a QName, the encoding
745 format of the binary data (e.g., `wsse:Base64Binary`). The base values defined in this
746 specification are used:

| QName | Description |
|--------------------------------|---|
| <code>wsse:Base64Binary</code> | XML Schema base 64 encoding (default) |

747 / `wsse:SecurityTokenReference/KeyIdentifier/@{any}`

748 This is an extensibility mechanism to allow additional attributes, based on schemas, to be
749 added.

750 7.4 ds:KeyInfo

751 The `<ds:KeyInfo>` element (from [XML Signature](#)) can be used for carrying the key information
752 and is allowed for different key types and for future extensibility. However, in this specification,
753 the use of `<wsse:BinarySecurityToken>` is the RECOMMENDED way to carry key material
754 if the key type contains binary data. Please refer to the specific binding documents for the
755 appropriate way to carry key material.

756 The following example illustrates use of this element to fetch a named key:

```
757 <ds:KeyInfo Id="..." xmlns:ds="http://www.w3.org/2000/09/xmldsig#">  
758   <ds:KeyName>CN=Hiroshi Maruyama, C=JP</ds:KeyName>  
759 </ds:KeyInfo>
```

760 7.5 Key Names

761 It is strongly RECOMMEND to use key identifiers. However, if key names are used, then it is
762 strongly RECOMMENDED that `<ds:KeyName>` elements conform to the attribute names in
763 section 2.3 of RFC 2253 (this is recommended by XML Signature for `<X509SubjectName>`) for
764 interoperability.

765 Additionally, defined are the following convention for e-mail addresses, which SHOULD conform
766 to RFC 822:

```
767   EmailAddress=ckaler@microsoft.com
```

768 7.6 Token Reference Lookup Processing Order

769 There are a number of mechanisms described in [XML Signature](#) and this specification
770 for referencing security tokens. To resolve possible ambiguities when more than one
771 of these reference constructs is included in a single `KeyInfo` element, the following
772 processing order SHOULD be used:

- 773 1. Resolve any `<wsse:Reference>` elements (specified within
774 `<wsse:SecurityTokenReference>`).
- 775 2. Resolve any `<wsse:KeyIdentifier>` elements (specified within
776 `<wsse:SecurityTokenReference>`).
- 777 3. Resolve any `<ds:KeyName>` elements.

778 4. Resolve any other `<ds:KeyInfo>` elements.

779 8 Signatures

780 Message senders may want to enable message recipients to determine whether a message was
781 altered in transit and to verify that a message was sent by the possessor of a particular [security](#)
782 [token](#).

783 The validation of an [XML signature](#) that uses a SecurityTokenReference to identify the key used
784 to create the signature, supports the application (by the relying party/recipient) of any other claims
785 made within the referenced token (most notably the identity bound to the key) to the signature
786 author (that is, if the relying party trusts the authority responsible for the claims in the referenced
787 token).

788 Because of the mutability of some [SOAP](#) headers, senders SHOULD NOT use the *Enveloped*
789 *Signature Transform* defined in [XML Signature](#). Instead, messages SHOULD explicitly include
790 the desired elements to be signed. Similarly, senders SHOULD NOT use the *Enveloping*
791 *Signature* defined in [XML Signature](#).

792 This specification allows for multiple signatures and signature formats to be attached to a
793 message, each referencing different, even overlapping, parts of the message. This is important
794 for many distributed applications where messages flow through multiple processing stages. For
795 example, a sender may submit an order that contains an orderID header. The sender signs the
796 orderID header and the body of the request (the contents of the order). When this is received by
797 the order processing sub-system, it may insert a shippingID into the header. The order sub-
798 system would then sign, at a minimum, the orderID and the shippingID, and possibly the body as
799 well. Then when this order is processed and shipped by the shipping department, a shippedInfo
800 header might be appended. The shipping department would sign, at a minimum, the shippedInfo
801 and the shippingID and possibly the body and forward the message to the billing department for
802 processing. The billing department can verify the signatures and determine a valid chain of trust
803 for the order, as well as who did what.

804 All compliant implementations MUST be able to support the [XML Signature](#) standard.

805 8.1 Algorithms

806 This specification builds on [XML Signature](#) and therefore has the same algorithm requirements as
807 those specified in the [XML Signature](#) specification.

808 The following table outlines additional algorithms that are strongly RECOMMENDED by this
809 specification:

| Algorithm Type | Algorithm | Algorithm URI |
|------------------|--------------------------------|---|
| Canonicalization | Exclusive XML Canonicalization | http://www.w3.org/2001/10/xml-exc-c14n# |
| Transformations | XML Decryption Transformation | http://www.w3.org/2001/04/decrypt# |

810 The [Exclusive XML Canonicalization](#) algorithm addresses the pitfalls of general canonicalization
811 that can occur from *leaky* namespaces with pre-existing signatures.

812 Finally, if a sender wishes to sign a message before encryption, they should use the [Decryption](#)
813 [Transformation for XML Signature](#).

814 8.2 Signing Messages

815 The <wss:Security> header block is used to carry a signature compliant with the [XML](#)
816 [Signature](#) specification within a [SOAP](#) Envelope for the purpose of signing one or more elements
817 in the [SOAP](#) Envelope. Multiple signature entries MAY be added into a single [SOAP](#) Envelope
818 within the <wss:Security> header block. Senders should take care to sign all important
819 elements of the message, but care must be taken in creating a signing policy that will not to sign
820 parts of the message that might legitimately be altered in transit.

821 [SOAP](#) applications MUST satisfy the following conditions:

- 822 1. The application MUST be capable of processing the required elements defined in the
823 [XML Signature](#) specification.
- 824 2. To add a signature to a <wss:Security> header block, a <ds:Signature> element
825 conforming to the [XML Signature](#) specification SHOULD be prepended to the existing
826 content of the <wss:Security> header block. That is, the new information would be
827 before (prepended to) the old. All the <ds:Reference> elements contained in the
828 signature SHOULD refer to a resource within the enclosing [SOAP](#) envelope, or in an
829 attachment.

830 [XPath](#) filtering can be used to specify objects to be signed, as described in the [XML Signature](#)
831 specification. However, since the [SOAP](#) message exchange model allows intermediate
832 applications to modify the Envelope (add or delete a header block; for example), [XPath](#) filtering
833 does not always result in the same objects after message delivery. Care should be taken in using
834 [XPath](#) filtering so that there is no subsequent validation failure due to such modifications.

835 The problem of modification by intermediaries is applicable to more than just [XPath](#) processing.
836 Digital signatures, because of canonicalization and [digests](#), present particularly fragile examples
837 of such relationships. If overall message processing is to remain robust, intermediaries must
838 exercise care that their transformations do not occur within the scope of a digitally signed
839 component.

840 Due to security concerns with namespaces, this specification strongly RECOMMENDS the use of
841 the "[Exclusive XML Canonicalization](#)" algorithm or another canonicalization algorithm that
842 provides equivalent or greater protection.

843 For processing efficiency it is RECOMMENDED to have the signature added and then the
844 security token pre-pended so that a processor can read and cache the token before it is used.

845

846 8.3 Signature Validation

847 The validation of a <ds:Signature> element inside an <wss:Security> header block fails if

- 848 1. the syntax of the content of the entry does not conform to this specification, or
- 849 2. the validation of the [signature](#) contained in the entry fails according to the core validation
850 of the [XML Signature](#) specification, or
- 851 3. the application applying its own validation policy rejects the message for some reason
852 (e.g., the [signature](#) is created by an untrusted key – verifying the previous two steps only
853 performs cryptographic verification of the [signature](#)).

854 If the verification of the signature entry fails, applications MAY report the failure to the sender
855 using the fault codes defined in [Section 12](#) Error Handling.

856 8.4 Example

857 The following sample message illustrates the use of integrity and security tokens. For this
858 example, we sign only the message body.

859 `<?xml version="1.0" encoding="utf-8"??>`

```

860 <S:Envelope xmlns:S="http://www.w3.org/2001/12/soap-envelope"
861         xmlns:ds="http://www.w3.org/2000/09/xmldsig#"
862         xmlns:wsse="http://schemas.xmlsoap.org/ws/2002/xx/secext"
863         xmlns:xenc="http://www.w3.org/2001/04/xmlenc#">
864   <S:Header>
865     <wsse:Security>
866       <wsse:BinarySecurityToken
867         Value Type="wsse:X509v3"
868         EncodingType="wsse:Base64Binary"
869         wsu:Id="X509Token">
870         MIEZzCCA9CgAwIBAgIQEmtJZc0rqrKh5i...
871       </wsse:BinarySecurityToken>
872     <ds:Signature>
873       <ds:SignedInfo>
874         <ds:CanonicalizationMethod Algorithm=
875           "http://www.w3.org/2001/10/xml-exc-c14n#" />
876         <ds:SignatureMethod Algorithm=
877           "http://www.w3.org/2000/09/xmldsig#rsa-sha1" />
878         <ds:Reference URI="#myBody">
879           <ds:Transforms>
880             <ds:Transform Algorithm=
881               "http://www.w3.org/2001/10/xml-exc-c14n#" />
882             </ds:Transforms>
883             <ds:DigestMethod Algorithm=
884               "http://www.w3.org/2000/09/xmldsig#sha1" />
885             <ds:DigestValue>EULddytSol...</ds:DigestValue>
886           </ds:Reference>
887         </ds:SignedInfo>
888         <ds:SignatureValue>
889         BL8jdfToEbl1/vXcMZNNjPOV...
890       </ds:SignatureValue>
891       <ds:KeyInfo>
892         <wsse:SecurityTokenReference>
893           <wsse:Reference URI="#X509Token" />
894         </wsse:SecurityTokenReference>
895       </ds:KeyInfo>
896     </ds:Signature>
897   </wsse:Security>
898 </S:Header>
899 <S:Body wsu:Id="myBody" >
900   <tru:StockSymbol xmlns:tru="http://www.fabrikaml23.com/payloads">
901     QQQ
902   </tru:StockSymbol>
903 </S:Body>
904 </S:Envelope>

```

9 Encryption

905

906 This specification allows encryption of any combination of body blocks, header blocks, any of
907 these sub-structures, and attachments by either a common symmetric key shared by the sender
908 and the recipient or a key carried in the message in an encrypted form.

909 In order to allow this flexibility, this specification leverages the [XML Encryption](#) standard.
910 Specifically, described is how three elements (listed below and defined in [XML Encryption](#)) can
911 be used within the `<wsse:Security>` header block. When a sender or an intermediary
912 encrypts portion(s) of a [SOAP](#) message using [XML Encryption](#) they MUST add a sub-element to
913 the `<wsse:Security>` header block. Furthermore, the encrypting party MUST prepend the
914 sub-element into the `<wsse:Security>` header block for the targeted recipient that is expected
915 to decrypt these encrypted portions. The combined process of encrypting portion(s) of a
916 message and adding one of these sub-elements referring to the encrypted portion(s) is called an
917 *encryption step* hereafter. The sub-element should have enough information for the recipient to
918 identify which portions of the message are to be decrypted by the recipient.

919 All compliant implementations MUST be able to support the [XML Encryption](#) standard.

920

9.1 xenc:ReferenceList

922 When encrypting elements or element contents within a [SOAP](#) envelope, the
923 `<xenc:ReferenceList>` element from [XML Encryption](#) MAY be used to create a manifest of
924 encrypted portion(s), which are expressed as `<xenc:EncryptedData>` elements within the
925 envelope. An element or element content to be encrypted by this encryption step MUST be
926 replaced by a corresponding `<xenc:EncryptedData>` according to [XML Encryption](#). All the
927 `<xenc:EncryptedData>` elements created by this encryption step SHOULD be listed in
928 `<xenc:DataReference>` elements inside an `<xenc:ReferenceList>` element.

929 Although in [XML Encryption](#), `<xenc:ReferenceList>` is originally designed to be used within
930 an `<xenc:EncryptedKey>` element (which implies that all the referenced
931 `<xenc:EncryptedData>` elements are encrypted by the same key), this specification allows
932 that `<xenc:EncryptedData>` elements referenced by the same `<xenc:ReferenceList>`
933 MAY be encrypted by different keys. Each encryption key can be specified in `<ds:KeyInfo>`
934 within individual `<xenc:EncryptedData>`.

935 A typical situation where the `<xenc:ReferenceList>` sub-element is useful is that the sender
936 and the recipient use a shared secret key. The following illustrates the use of this sub-element:

937

```
<S:Envelope
938   xmlns:S="http://www.w3.org/2001/12/soap-envelope"
939   xmlns:ds="http://www.w3.org/2000/09/xmldsig#"
940   xmlns:wsse="http://schemas.xmlsoap.org/ws/2002/xx/secext"
941   xmlns:xenc="http://www.w3.org/2001/04/xmlenc#">
942   <S:Header>
943     <wsse:Security>
944       <xenc:ReferenceList>
945         <xenc:DataReference URI="#bodyID"/>
946       </xenc:ReferenceList>
947     </wsse:Security>
948   </S:Header>
949   <S:Body>
950     <xenc:EncryptedData Id="bodyID">
951       <ds:KeyInfo>
952         <ds:KeyName>CN=Hiroshi Maruyama, C=JP</ds:KeyName>
953       </ds:KeyInfo>
```

```

954     <xenc:CipherData>
955         <xenc:CipherValue>...</xenc:CipherValue>
956     </xenc:CipherData>
957 </xenc:EncryptedData>
958 </S:Body>
959 </S:Envelope>

```

960 9.2 xenc:EncryptedKey

961 When the encryption step involves encrypting elements or element contents within a SOAP
962 envelope with a key, which is in turn to be encrypted by the recipient's key and embedded in the
963 message, <xenc:EncryptedKey> MAY be used for carrying such an encrypted key. This sub-
964 element SHOULD have a manifest, that is, an <xenc:ReferenceList> element, in order for
965 the recipient to know the portions to be decrypted with this key (if any exist). An element or
966 element content to be encrypted by this encryption step MUST be replaced by a corresponding
967 <xenc:EncryptedData> according to XML Encryption. All the <xenc:EncryptedData>
968 elements created by this encryption step SHOULD be listed in the <xenc:ReferenceList>
969 element inside this sub-element.

970 This construct is useful when encryption is done by a randomly generated symmetric key that is
971 in turn encrypted by the recipient's public key. The following illustrates the use of this element:

```

972 <S:Envelope
973   xmlns:S="http://www.w3.org/2001/12/soap-envelope"
974   xmlns:ds="http://www.w3.org/2000/09/xmldsig#"
975   xmlns:wsse="http://schemas.xmlsoap.org/ws/2002/xx/secext"
976   xmlns:xenc="http://www.w3.org/2001/04/xmlenc#">
977   <S:Header>
978     <wsse:Security>
979       <xenc:EncryptedKey>
980         <xenc:EncryptionMethod Algorithm="..."/>
981         <ds:KeyInfo>
982           <wsse:SecurityTokenReference>
983             <wsse:KeyIdentifier EncodingType="wsse:Base64Binary"
984               ValueType="wsse:X509v3">MIGfMa0GCSq...
985             </wsse:KeyIdentifier>
986           </wsse:SecurityTokenReference>
987         </ds:KeyInfo>
988         <xenc:CipherData>
989           <xenc:CipherValue>...</xenc:CipherValue>
990         </xenc:CipherData>
991         <xenc:ReferenceList>
992           <xenc:DataReference URI="#bodyID"/>
993         </xenc:ReferenceList>
994       </xenc:EncryptedKey>
995     </wsse:Security>
996   </S:Header>
997   <S:Body>
998     <xenc:EncryptedData Id="bodyID">
999       <xenc:CipherData>
1000         <xenc:CipherValue>...</xenc:CipherValue>
1001       </xenc:CipherData>
1002     </xenc:EncryptedData>
1003   </S:Body>
1004 </S:Envelope>

```

Comment: A naked
wsse:KeyIdentifier would be illegal.

1005 While XML Encryption specifies that <xenc:EncryptedKey> elements MAY be specified in
1006 <xenc:EncryptedData> elements, this specification strongly RECOMMENDS that
1007 <xenc:EncryptedKey> elements be placed in the <wsse:Security> header.

1008 9.3 xenc:EncryptedData

1009 In some cases security-related information is provided in a purely encrypted form or non-XML
1010 attachments MAY be encrypted. The <xenc:EncryptedData> element from [XML Encryption](#)
1011 can be used for these scenarios. For each part of the encrypted attachment, one encryption step
1012 is needed; that is, for each attachment to be encrypted, one <xenc:EncryptedData> sub-
1013 element MUST be added with the following rules (note that steps 2-4 applies only if MIME types
1014 are being used for attachments).

- 1015 1. The contents of the attachment MUST be replaced by the encrypted octet string.
- 1016 2. The replaced MIME part MUST have the media type `application/octet-stream`.
- 1017 3. The original media type of the attachment MUST be declared in the `MimeType` attribute
1018 of the <xenc:EncryptedData> element.
- 1019 4. The encrypted MIME part MUST be referenced by an <xenc:CipherReference>
1020 element with a URI that points to the MIME part with `cid:` as the scheme component of
1021 the URI.

1022 The following illustrates the use of this element to indicate an encrypted attachment:

```
1023 <S:Envelope  
1024   xmlns:S="http://www.w3.org/2001/12/soap-envelope"  
1025   xmlns:ds="http://www.w3.org/2000/09/xmldsig#"  
1026   xmlns:wsse="http://schemas.xmlsoap.org/ws/2002/xx/secext"  
1027   xmlns:xenc="http://www.w3.org/2001/04/xmenc#">  
1028   <S:Header>  
1029     <wsse:Security>  
1030       <xenc:EncryptedData MimeType="image/png">  
1031         <ds:KeyInfo>  
1032           <wsse:SecurityTokenReference>  
1033             <xenc:EncryptionMethod Algorithm="..."/>  
1034             <wsse:KeyIdentifier EncodingType="wsse:Base64Binary"  
1035               ValueType="wsse:X509v3">MIGfMa0GCSq...  
1036             </wsse:KeyIdentifier>  
1037             </wsse:SecurityTokenReference>  
1038           </ds:KeyInfo>  
1039           <xenc:CipherData>  
1040             <xenc:CipherReference URI="cid:image"/>  
1041             </xenc:CipherData>  
1042           </xenc:EncryptedData>  
1043         </wsse:Security>  
1044       </S:Header>  
1045     <S:Body> </S:Body>  
1046   </S:Envelope>
```

1047 9.4 Processing Rules

1048 Encrypted parts or attachments to the [SOAP](#) message using one of the sub-elements defined
1049 above MUST be in compliance with the [XML Encryption](#) specification. An encrypted [SOAP](#)
1050 envelope MUST still be a valid [SOAP](#) envelope. The message creator MUST NOT encrypt the
1051 <S:Envelope>, <S:Header>, or <S:Body> elements but MAY encrypt child elements of
1052 either the <S:Header> and <S:Body> elements. Multiple steps of encryption MAY be added
1053 into a single <Security> header block if they are targeted for the same recipient.

1054 When an element or element content inside a [SOAP](#) envelope (e.g. of the contents of <S:Body>)
1055 is to be encrypted, it MUST be replaced by an <xenc:EncryptedData>, according to [XML](#)
1056 [Encryption](#) and it SHOULD be referenced from the <xenc:ReferenceList> element created
1057 by this encryption step. This specification allows placing the encrypted octet stream in an
1058 attachment. For example, if an <xenc:EncryptedData> appearing inside the <S:Body>
1059 element has <xenc:CipherReference> that refers to an attachment, then the decrypted octet

1060 stream SHALL replace the <xenc:EncryptedData>. However, if the <enc:EncryptedData>
1061 element is located in the <Security> header block and it refers to an attachment, then the
1062 decrypted octet stream MUST replace the encrypted octet stream in the attachment.

1063 9.4.1 Encryption

1064 The general steps (non-normative) for creating an encrypted SOAP message in compliance with
1065 this specification are listed below (note that use of <xenc:ReferenceList> is
1066 RECOMMENDED).

- 1067 1. Create a new SOAP envelope.
- 1068 2. Create an <xenc:ReferenceList> sub-element, an <xenc:EncryptedKey> sub-
1069 element, or an <xenc:EncryptedData> sub-element in the <Security> header
1070 block (note that if the SOAP "role" and "mustUnderstand" attributes are different, then a
1071 new header block may be necessary), depending on the type of encryption.
- 1072 3. Locate data items to be encrypted, i.e., XML elements, element contents within the target
1073 SOAP envelope, and attachments.
- 1074 4. Encrypt the data items as follows: For each XML element or element content within the
1075 target SOAP envelope, encrypt it according to the processing rules of the XML
1076 Encryption specification. Each selected original element or element content MUST be
1077 removed and replaced by the resulting <xenc:EncryptedData> element. For an
1078 attachment, the contents MUST be replaced by encrypted cipher data as described in
1079 section 8.3 Signature Validation.
- 1080 5. The optional <ds:KeyInfo> element in the <xenc:EncryptedData> element MAY
1081 reference another <ds:KeyInfo> element. Note that if the encryption is based on an
1082 attached security token, then a <SecurityTokenReference> element SHOULD be
1083 added to the <ds:KeyInfo> element to facilitate locating it.
- 1084 6. Create an <xenc:DataReference> element referencing the generated
1085 <xenc:EncryptedData> elements. Add the created <xenc:DataReference>
1086 element to the <xenc:ReferenceList>.

1087 9.4.2 Decryption

1088 On receiving a SOAP envelope with encryption header entries, for each encryption header entry
1089 the following general steps should be processed (non-normative):

- 1090 1. Locate the <xenc:EncryptedData> items to be decrypted (possibly using the
1091 <xenc:ReferenceList>).
- 1092 2. Decrypt them as follows: For each element in the target SOAP envelope, decrypt it
1093 according to the processing rules of the XML Encryption specification and the processing
1094 rules listed above.
- 1095 3. If the decrypted data is part of an attachment and MIME types were used, then revise the
1096 MIME type of the attachment to the original MIME type (if one exists).

1097 If the decryption fails for some reason, applications MAY report the failure to the sender using the
1098 fault code defined in Section 12 Error Handling.

1099 9.5 Decryption Transformation

1100 The ordering semantics of the <wsse:Security> header are sufficient to determine if
1101 signatures are over encrypted or unencrypted data. However, when a signature is included in
1102 one <wsse:Security> header and the encryption takes place in another <wsse:Security>
1103 header, the order may not be explicitly understood.

1104 If the sender wishes to sign a message that is subsequently encrypted by an intermediary along
1105 the transmission path, the sender MAY use the Decryption Transform for XML Signature to
1106 explicitly specify the order of decryption.
1107

1108 **10 Message Timestamps**

1109 When requestors and services are exchanging messages, it is often important to be able to
1110 understand the *freshness* of a message. In some cases, a message may be so *stale* that the
1111 recipient may decide to ignore it.

1112 This specification does not provide a mechanism for synchronizing time. The assumption is
1113 either that the recipient is using a mechanism to synchronize time (e.g. NTP) or, more likely for
1114 federated applications, that they are making assessments about time based on three factors:
1115 creation time of the message, transmission checkpoints, and transmission delays.

1116 To assist a recipient in making an assessment of staleness, a requestor may wish to indicate a
1117 suggested expiration time, beyond which the requestor recommends ignoring the message. The
1118 specification provides XML elements by which the requestor may express the expiration time of a
1119 message, the requestor's clock time at the moment the message was created, checkpoint
1120 timestamps (when an **SOAP** role received the message) along the communication path, and the
1121 delays introduced by transmission and other factors subsequent to creation. The quality of the
1122 delays is a function of how well they reflect the actual delays (e.g., how well they reflect
1123 transmission delays).

1124 It should be noted that this is not a protocol for making assertions or determining when, or how
1125 fast, a service produced or processed a message.

1126 This specification defines and illustrates time references in terms of the *dateTime* type defined in
1127 XML Schema. It is RECOMMENDED that all time references use this type. It is further
1128 RECOMMENDED that all references be in UTC time. If, however, other time types are used,
1129 then the *ValueType* attribute (described below) MUST be specified to indicate the data type of the
1130 time format.

1131 **10.1 Model**

1132 This specification provides several tools for recipients to use to assess the expiration time
1133 presented by the requestor. The first is the **creation time**. Recipients can use this value to
1134 assess possible clock synchronization issues. However, to make some assessments, the time
1135 required to go from the requestor to the recipient may also be useful in making this assessment.
1136 Two mechanisms are provided for this. The first is that **intermediaries** may add timestamp
1137 elements indicating when they received the message. This knowledge can be useful to get a
1138 holistic view of clocks along the message path. The second is that intermediaries can specify any
1139 delays they imposed on message delivery. It should be noted that not all **delays** can be
1140 accounted for, such as wire time and parties that don't report. Recipients need to take this into
1141 account when evaluating clock trust.

1142 **10.2 Timestamp Elements**

1143 This specification defines the following message timestamp elements. These elements are
1144 defined for use with the `<wsu:Timestamp>` header for SOAP messages, but they can be used
1145 anywhere within the header or body that creation, expiration, and intermediary markers are
1146 needed.

1147 **10.2.1 Expiration**

1148 The `<wsu:Expires>` element specifies the expiration timestamp. The exact meaning and
1149 processing rules for expiration depend on the context in which the element is used. The syntax
1150 for this element is as follows:

```
1151 <wsu:Expires ValueType="..." wsu:Id="...">...</wsu:Expires>
```

1152 The following describes the attributes and elements listed in the schema above:

1153 */wsu:Expires*

1154 This element's value represents an expiration time. The time specified SHOULD be a
 1155 UTC format as specified by the ValueType attribute (default is XML Schema type
 1156 dateTime).

1157 */wsu:Expires/@ValueType*

1158 This optional attribute specifies the type of the time data. This is specified as the XML
 1159 Schema type. If this attribute isn't specified, the default value is `xsd:dateTime`.

1160 */wsu:Expires/@wsu:Id*

1161 This optional attribute specifies an XML Schema ID that can be used to reference this
 1162 element.

1163 The expiration is relative to the requestor's clock. In order to evaluate the expiration time,
 1164 recipient's need to recognize that the requestor's clock may not be synchronized to the recipient's
 1165 clock. The recipient, therefore, will need to make a assessment of the level of trust to be placed
 1166 in the requestor's clock, since the recipient is called upon to evaluate whether the expiration time
 1167 is in the past relative to the requestor's, not the recipient's, clock. The recipient may make a
 1168 judgment of the requestor's likely current clock time by means not described in this specification,
 1169 for example an out-of-band clock synchronization protocol. The recipient may also use the
 1170 creation time and the delays introduced by intermediate SOAP roles to estimate the degree of
 1171 clock synchronization.

1172 One suggested formula for estimating synchronization is

1173 `skew = recipient's arrival time - creation time - transmission time`

1174 Transmission time may be estimated by summing the values of delay elements, if present. It
 1175 should be noted that wire-time is only part of this if delays include it in estimates. Otherwise the
 1176 transmission time will not reflect the on-wire time. If no delays are present, there are no special
 1177 assumptions that need to be made about processing time.

1178 10.2.2 Creation

1179 The `<wsu:Created>` element specifies a creation timestamp. The exact meaning and
 1180 semantics are dependent on the context in which the element is used. The syntax for this
 1181 element is as follows:

1182 `<wsu:Created ValueType="..." wsu:Id="...">...</wsu:Created>`

1183 The following describes the attributes and elements listed in the schema above:

1184 */wsu:Created*

1185 This element's value is a creation timestamp. The time specified SHOULD be a UTC
 1186 format as specified by the ValueType attribute (default is XML Schema type dateTime). A
 1187 conformant implementation MUST understand the UTC format.

1188 */wsu:Created/@ValueType*

1189 This optional attribute specifies the type of the time data. This is specified as the XML
 1190 Schema type. If this attribute isn't specified, the default value is `xsd:dateTime`.

1191 */wsu:Created/@wsu:Id*

1192 This optional attribute specifies an XML Schema ID that can be used to reference this
 1193 element.

1194

1195 10.3 Timestamp Header

1196 A `<wsu:Timestamp>` header provides a mechanism for expressing the creation and expiration
1197 times of a message introduced throughout the message path. Specifically, it uses the previously
1198 defined elements in the context of message creation, receipt, and processing.

1199 All times SHOULD be in UTC format as specified by the [XML Schema](#) type (`dateTime`). It should
1200 be noted that times support time precision as defined in the [XML Schema](#) specification.

1201 Multiple `<wsu:Timestamp>` headers can be specified if they are targeted at different [SOAP](#)
1202 roles. The ordering within the header is as illustrated below.

1203 The ordering of elements in this header is fixed and MUST be preserved by intermediaries.

1204 To preserve overall integrity of each `<wsu:Timestamp>` header, it is strongly RECOMMENDED
1205 that each [SOAP](#) role create or update the appropriate `<wsu:Timestamp>` header destined to
1206 itself.

1207 The schema outline for the `<wsu:Timestamp>` header is as follows:

```
1208 <wsu:Timestamp wsu:Id="...">  
1209   <wsu:Created>...</wsu:Created>  
1210   <wsu:Expires>...</wsu:Expires>  
1211   ...  
1212 </wsu:Timestamp>
```

1213 The following describes the attributes and elements listed in the schema above:

1214 / `wsu:Timestamp`

1215 This is the header for indicating message timestamps.

1216 / `wsu:Timestamp/Created`

1217 This represents the [creation time](#) of the message. This element is optional, but can only
1218 be specified once in a `Timestamp` header. Within the `SOAP` processing model, creation
1219 is the instant that the infonet is serialized for transmission. The creation time of the
1220 message SHOULD NOT differ substantially from its transmission time. The difference in
1221 time should be minimized.

1222 / `wsu:Timestamp/Expires`

1223 This represents the [expiration](#) of the message. This is optional, but can appear at most
1224 once in a `Timestamp` header. Upon expiration, the requestor asserts that the message
1225 is no longer valid. It is strongly RECOMMENDED that recipients (anyone who processes
1226 this message) discard (ignore) any message that has passed its expiration. A Fault code
1227 (`wsu:MessageExpired`) is provided if the recipient wants to inform the requestor that its
1228 message was expired. A service MAY issue a Fault indicating the message has expired.

1229

1230 / `wsu:Timestamp/{any}`

1231 This is an extensibility mechanism to allow additional elements to be added to the
1232 header.

1233 / `wsu:Timestamp/@wsu:Id`

1234 This optional attribute specifies an XML Schema ID that can be used to reference this
1235 element.

1236 / `wsu:Timestamp/@{any}`

1237 This is an extensibility mechanism to allow additional attributes to be added to the
1238 header.

1239 The following example illustrates the use of the `<wsu:Timestamp>` element and its content.

```
1240 <S:Envelope xmlns:S="http://www.w3.org/2001/12/soap-envelope"  
1241   xmlns:wsu="http://schemas.xmlsoap.org/ws/2002/xx/utility">  
1242   <S:Header>
```

```

1243 <wsu:Timestamp>
1244   <wsu:Created>2001-09-13T08:42:00Z</wsu:Created>
1245   <wsu:Expires>2001-10-13T09:00:00Z</wsu:Expires>
1246 </wsu:Timestamp>
1247 ...
1248 </S:Header>
1249 <S:Body>
1250 ...
1251 </S:Body>
1252 </S:Envelope>

```

1253 10.4 TimestampTrace Header

1254 A `<wsu:TimestampTrace>` header provides a mechanism for expressing the delays introduced
1255 throughout the message path. Specifically, it uses the previously defined elements in the context
1256 of message creation, receipt, and processing.

1257 All times SHOULD be in UTC format as specified by the [XML Schema](#) type (`dateTime`). It should
1258 be noted that times support time precision as defined in the [XML Schema](#) specification.

1259 Multiple `<wsu:TimestampTrace>` headers can be specified if they reference a different [SOAP](#)
1260 role.

1261 The `<wsu:Received>` element specifies a receipt timestamp with an optional processing delay.
1262 The exact meaning and semantics are dependent on the context in which the element is used.

1263 It is also strongly RECOMMENDED that each [SOAP](#) role sign its elements by referencing their
1264 ID, NOT by signing the `TimestampTrace` header as the header is mutable.

1265 The syntax for this element is as follows:

```

1266 <wsu:TimestampTrace>
1267   <wsu:Received Role="..." Delay="..." ValueType="..."
1268     wsu:Id="..." >...</wsu:Received>
1269 </wsu:TimestampTrace>

```

1270 The following describes the attributes and elements listed in the schema above:

1271 / *wsu:Received*

1272 This element's value is a receipt timestamp. The time specified SHOULD be a UTC
1273 format as specified by the `ValueType` attribute (default is [XML Schema](#) type `dateTime`).

1274 / *wsu:Received/@Role*

1275 A required attribute, `Role`, indicates which [SOAP](#) role is indicating receipt. Roles MUST
1276 include this attribute, with a value matching the role value as specified as a [SOAP](#)
1277 intermediary.

1278 / *wsu:Received/@Delay*

1279 The value of this optional attribute is the delay associated with the [SOAP](#) role expressed
1280 in milliseconds. The delay represents processing time by the `Role` after it received the
1281 message, but before it forwarded to the next recipient.

1282 / *wsu:Received/@ValueType*

1283 This optional attribute specifies the type of the time data (the element value). This is
1284 specified as the [XML Schema](#) type. If this attribute isn't specified, the default value is
1285 `xsd:dateTime`.

1286 / *wsu:Received/@wsu:Id*

1287 This optional attribute specifies an [XML Schema](#) ID that can be used to reference this
1288 element.

1289 The delay attribute indicates the time delay attributable to an [SOAP](#) role (intermediate
1290 processor). In some cases this isn't known; for others it can be computed as *role's send time –
1291 role's receipt time*.

1292 Each delay amount is indicated in units of milliseconds, without fractions. If a delay amount
1293 would exceed the maximum value expressible in the datatype, the value should be set to the
1294 maximum value of the datatype.

1295 The following example illustrates the use of the <wsu:Timestamp> header and a
1296 <wsu:TimestampTrace> header indicating a processing delay of one minute subsequent to the
1297 receipt which was two minutes after creation.

```
1298 <S:Envelope xmlns:S=" http://www.w3.org/2001/12/soap-envelope"  
1299           xmlns:wsu="http://schemas.xmlsoap.org/ws/2002/xx/utility">  
1300   <S:Header>  
1301     <wsu:Timestamp>  
1302       <wsu:Created>2001-09-13T08:42:00Z</wsu:Created>  
1303       <wsu:Expires>2001-10-13T09:00:00Z</wsu:Expires>  
1304     </wsu:Timestamp>  
1305     <wsu:TimestampTrace>  
1306       <wsu:Received Role="http://x.com/" Delay="60000">  
1307         2001-09-13T08:44:00Z</wsu:Received>  
1308     </wsu:TimestampTrace>  
1309     ...  
1310   </S:Header>  
1311   <S:Body>  
1312     ...  
1313   </S:Body>  
1314 </S:Envelope>  
1315
```

1316

11 Extended Example

1317

The following sample message illustrates the use of security tokens, signatures, and encryption.

1318

For this example, the timestamp and the message body are signed prior to encryption. The

1319

decryption transformation is not needed as the signing/encryption order is specified within the

1320

<wsse:Security> header.

1321

```
(001) <?xml version="1.0" encoding="utf-8"?>
(002) <S:Envelope xmlns:S="http://www.w3.org/2001/12/soap-envelope"
(003)     xmlns:ds="http://www.w3.org/2000/09/xmldsig#"
(004)     xmlns:wsse="http://schemas.xmlsoap.org/ws/2002/xx/secext"
(005)     xmlns:wsu="http://schemas.xmlsoap.org/ws/2002/xx/utility"
(006)     xmlns:xenc="http://www.w3.org/2001/04/xmlenc#">
(007)   <S:Header>
(008)     <wsu:Timestamp>
(009)       <wsu:Created wsu:Id="T0">
(010)         2001-09-13T08:42:00Z
(011)       </wsu:Created>
(012)     </wsu:Timestamp>
(013)     <wsse:Security>
(014)       <wsse:BinarySecurityToken
(015)         ValueType="wsse:X509v3"
(016)         wsu:Id="X509Token"
(017)         EncodingType="wsse:Base64Binary">
(018)         MIIIEZzCCA9CgAwIBAgIQEmtJZc0rqrKh5i...
(019)       </wsse:BinarySecurityToken>
(020)       <xenc:EncryptedKey>
(021)         <xenc:EncryptionMethod Algorithm=
(022)           "http://www.w3.org/2001/04/xmlenc#rsa-1_5"/>
(023)         <wsse:KeyIdentifier EncodingType="wsse:Base64Binary"
(024)           ValueType="wsse:X509v3">MIGfMa0GCSq...
(025)         </wsse:KeyIdentifier>
(026)         <xenc:CipherData>
(027)           <xenc:CipherValue>d2FpbmdvbGRfE0lm4byV0...
(028)         </xenc:CipherValue>
(029)         </xenc:CipherData>
(030)         <xenc:ReferenceList>
(031)           <xenc:DataReference URI="#enc1"/>
(032)         </xenc:ReferenceList>
(033)       </xenc:EncryptedKey>
(034)       <ds:Signature>
(035)         <ds:SignedInfo>
(036)           <ds:CanonicalizationMethod
(037)             Algorithm="http://www.w3.org/2001/10/xml-exc-c14n#"/>
(038)           <ds:SignatureMethod
(039)             Algorithm="http://www.w3.org/2000/09/xmldsig#rsa-sha1"/>
(040)           <ds:Reference URI="#T0">
(041)             <ds:Transforms>
(042)               <ds:Transform
(043)                 Algorithm="http://www.w3.org/2001/10/xml-exc-c14n#"/>
(044)             </ds:Transforms>
(045)           <ds:DigestMethod
(046)             Algorithm="http://www.w3.org/2000/09/xmldsig#sha1"/>
(047)           <ds:DigestValue>LyLsF094hPi4wPU...
(048)         </ds:SignedInfo>
(049)       </ds:Signature>
(050)     </wsse:Security>
(051)   </S:Header>
(052)   <S:Body>
```

```

1373           Algorithm="http://www.w3.org/2001/10/xml-exc-c14n#" />
1374 (041)         </ds:Transforms>
1375 (042)         <ds:DigestMethod
1376           Algorithm="http://www.w3.org/2000/09/xmldsig#sha1" />
1377 (043)         <ds:DigestValue>LyLsF094hPi4wPU...
1378 (044)         </ds:DigestValue>
1379 (045)         </ds:Reference>
1380 (046)       </ds:SignedInfo>
1381 (047)       <ds:SignatureValue>
1382           Hp1ZkmFZ/2kQLXDJbchm5gK...
1383 (049)       </ds:SignatureValue>
1384 (050)       <ds:KeyInfo>
1385 (051)         <wsse:SecurityTokenReference>
1386 (052)           <wsse:Reference URI="#X509Token" />
1387 (053)         </wsse:SecurityTokenReference>
1388 (054)       </ds:KeyInfo>
1389 (055)     </ds:Signature>
1390 (056)   </wsse:Security>
1391 (057) </S:Header>
1392 (058) <S:Body wsu:Id="body">
1393 (059)   <xenc:EncryptedData
1394           Type="http://www.w3.org/2001/04/xmlenc#Element"
1395           wsu:Id="enc1">
1396 (060)     <xenc:EncryptionMethod
1397           Algorithm="http://www.w3.org/2001/04/xmlenc#3des-cbc" />
1398 (061)     <xenc:CipherData>
1399 (062)       <xenc:CipherValue>d2FpbmdvbGRfE0lm4byV0...
1400 (063)     </xenc:CipherValue>
1401 (064)     </xenc:CipherData>
1402 (065)   </xenc:EncryptedData>
1403 (066) </S:Body>
1404 (067) </S:Envelope>

```

1405 Let's review some of the key sections of this example:

1406 Lines (003)-(057) contain the SOAP message headers.

1407 Lines (004)-(008) specify the timestamp information. In this case it indicates the creation time of
1408 the message.

1409 Lines (009)-(056) represent the `<wsse:Security>` header block. This contains the security-
1410 related information for the message.

1411 Lines (010)-(012) specify a [security token](#) that is associated with the message. In this case, it
1412 specifies an [X.509](#) certificate that is encoded as Base64. Line (011) specifies the actual Base64
1413 encoding of the certificate.

1414 Lines (013)-(025) specify the key that is used to encrypt the body of the message. Since this is a
1415 symmetric key, it is passed in an encrypted form. Line (014) defines the algorithm used to
1416 encrypt the key. Lines (015)-(017) specify the name of the key that was used to encrypt the
1417 symmetric key. Lines (018)-(021) specify the actual encrypted form of the symmetric key. Lines
1418 (022)-(024) identify the encryption block in the message that uses this symmetric key. In this
1419 case it is only used to encrypt the body (Id="enc1").

1420 Lines (026)-(055) specify the digital signature. In this example, the signature is based on the
1421 [X.509](#) certificate. Lines (027)-(046) indicate what is being signed. Specifically, Line (039)
1422 references the creation timestamp and line (038) references the message body.

1423 Lines (047)-(049) indicate the actual signature value – specified in Line (042).

1424 Lines (051)-(053) indicate the key that was used for the signature. In this case, it is the [X.509](#)
1425 certificate included in the message. Line (052) provides a URI link to the Lines (010)-(012).

1426 The body of the message is represented by Lines (056)-(066).

1427 Lines (059)-(065) represent the encrypted metadata and form of the body using [XML Encryption](#).
1428 Line (059) indicates that the "element value" is being replaced and identifies this encryption. Line

1429 (060) specifies the encryption algorithm – Triple-DES in this case. Lines (062)-(063) contain the
1430 actual cipher text (i.e., the result of the encryption). Note that we don't include a reference to the
1431 key as the key references this encryption – Line (023).

1432 **12Error Handling**

1433 There are many circumstances where an *error* can occur while processing security information.

1434 For example:

- 1435 • Invalid or unsupported type of security token, signing, or encryption
- 1436 • Invalid or unauthenticated or unauthenticatable security token
- 1437 • Invalid signature
- 1438 • Decryption failure
- 1439 • Referenced security token is unavailable

1440 These can be grouped into two *classes* of errors: unsupported and failure. For the case of
1441 unsupported errors, the recipient *MAY* provide a response that informs the sender of supported
1442 formats, etc. For failure errors, the recipient *MAY* choose not to respond, as this may be a form
1443 of Denial of Service (DOS) or cryptographic attack. We combine signature and encryption
1444 failures to mitigate certain types of attacks.

1445 If a failure is returned to a sender then the failure *MUST* be reported using [SOAPs](#) Fault
1446 mechanism. The following tables outline the predefined security fault codes. The "unsupported"
1447 class of errors are:

| Error that occurred | faultcode |
|---|-------------------------------|
| An unsupported token was provided | wsse:UnsupportedSecurityToken |
| An unsupported signature or encryption algorithm was used | wsse:UnsupportedAlgorithm |

1448 The "failure" class of errors are:

| Error that occurred | faultcode |
|--|-------------------------------|
| An error was discovered processing the <wsse:Security> header. | wsse:InvalidSecurity |
| An invalid security token was provided | wsse:InvalidSecurityToken |
| The security token could not be authenticated or authorized | wsse:FailedAuthentication |
| The signature or decryption was invalid | wsse:FailedCheck |
| Referenced security token could not be retrieved | wsse:SecurityTokenUnavailable |

13 Security Considerations

1449

1450 It is strongly RECOMMENDED that messages include digitally signed elements to allow message
1451 recipient s to detect replays of the message when the messages are exchanged via an open
1452 network. These can be part of the message or of the headers defined from other SOAP
1453 extensions. Four typical approaches are:

- 1454 • Timestamp
- 1455 • Sequence Number
- 1456 • Expirations
- 1457 • Message Correlation

1458 This specification defines the use of XML Signature and XML Encryption in SOAP headers. As
1459 one of the building blocks for securing SOAP messages, it is intended to be used in conjunction
1460 with other security techniques. Digital signatures need to be understood in the context of other
1461 security mechanisms and possible threats to an entity.

1462 Digital signatures alone do not provide message authentication. One can record a signed
1463 message and resend it (a replay attack). To prevent this type of attack, digital signatures must be
1464 combined with an appropriate means to ensure the uniqueness of the message, such as
1465 timestamps or sequence numbers (see earlier section for additional details).

1466 When digital signatures are used for verifying the identity of the sending party, the sender must
1467 prove the possession of the private key. One way to achieve this is to use a challenge-response
1468 type of protocol. Such a protocol is outside the scope of this document.

1469 To this end, the developers can attach timestamps, expirations, and sequences to messages.

1470 Implementers should also be aware of all the security implications resulting from the use of digital
1471 signatures in general and XML Signature in particular. When building trust into an application
1472 based on a digital signature there are other technologies, such as certificate evaluation, that must
1473 be incorporated, but these are outside the scope of this document.

1474 Requestors should use digital signatures to sign security tokens that do not include signatures (or
1475 other protection mechanisms) to ensure that they have not been altered in transit.

1476 Also, as described in XML Encryption, we note that the combination of signing and encryption
1477 over a common data item may introduce some cryptographic vulnerability. For example,
1478 encrypting digitally signed data, while leaving the digital signature in the clear, may allow plain
1479 text guessing attacks. The proper useage of nonce guards against replay attacks.

1480 In order to trust IDs and timestamps, they SHOULD be signed using the mechanisms outlined in
1481 this specification. This allows readers of the IDs and timestamps information to be certain that
1482 the IDs and timestamps haven't been forged or altered in any way. It is strongly
1483 RECOMMENDED that IDs and timestamp elements be signed.

1484 Timestamps can also be used to mitigate replay attacks. Signed timestamps MAY be used to
1485 keep track of messages (possibly by caching the most recent timestamp from a specific service)
1486 and detect replays of previous messages. It is RECOMMENDED that timestamps and nonces be
1487 cached for a given period of time, as a guideline a value of five minutes can be used as a
1488 minimum to detect replays, and that timestamps older than that given period of time set be
1489 rejected. in interactive scenarios.

1490 When a password in a <UsernameToken> is used for authentication, the password needs to be
1491 properly protected. If the underlying transport does not provide enough protection against
1492 eavesdropping, the password SHOULD be digested as described in Section 6.1.1. Even so, the
1493 password must be strong enough so that simple password guessing attacks will not reveal the
1494 secret from a captured message.

1495 In one-way message authentication, it is RECOMMENDED that the sender and the recipient re-
1496 use the elements and structure defined in this specification for proving and validating freshness of
1497 a message. It is RECOMMEND that the nonce value be unique per message (never been used
1498 as a nonce before by the sender and recipient) and use the <wsse:Nonce> element within the
1499 <wsse:Security> header. Further, the <wsu:Timestamp> header SHOULD be used with a
1500 <wsu:Created> element. It is strongly RECOMMENDED that the <wsu:Created> ,
1501 <wsse:Nonce> elements be included in the signature..

1502 **14 Privacy Considerations**

1503 TBD

1504 **15 Acknowledgements**

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1556

Appendix A: Revision History

| Rev | Date | What |
|-----|-----------|---|
| 01 | 20-Sep-02 | Initial draft based on input documents and editorial review |
| 02 | 24-Oct-02 | Update with initial comments (technical and grammatical) |
| 03 | 03-Nov-02 | Feedback updates |
| 04 | 17-Nov-02 | Feedback updates |

1557

1558

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