



Web Services Reliable Messaging TC WS-Reliability 1.1

Committee Draft 1.086, 24 August 2004

Document identifier:

oasis-wsrm-ws_reliability-1.1-spec-cd-1.086

Location:

[http://www.oasis-open.org/committees/wsrm/documents/specs/\(TBD\)](http://www.oasis-open.org/committees/wsrm/documents/specs/(TBD))

Editors:

Principal editor:

Kazunori Iwasa, Fujitsu Limited <kiwasa@jp.fujitsu.com>

Assisting editors:

Jacques Durand, Fujitsu Software Corporation <jdurand@us.fujitsu.com>

Tom Rutt, Fujitsu Software Corporation <trutt@us.fujitsu.com>

Mark Peel, Novell, Inc. <mpeel@novell.com>

Sunil Kunisetty, Oracle Corporation <Sunil.Kunisetty@oracle.com>

Doug Bunting, Sun Microsystems <Doug.Bunting@sun.com>

Abstract:

Web Services Reliability (WS-Reliability) is a SOAP-based protocol for exchanging SOAP messages with guaranteed delivery, no duplicates, and guaranteed message ordering. WS-Reliability is defined as SOAP header extensions and is independent of the underlying protocol. This specification contains a binding to HTTP.

Status:

This document is a Committee Draft

Committee members should send comments on this specification to the wsrm@lists.oasis-open.org list. Others should use the comment form at http://www.oasis-open.org/committees/comments/form.php?wg_abbrev=wsrm.

For information on whether any patents that may be essential to implementing this specification have been disclosed and any offers of patent licensing terms, please refer to the Intellectual Property Rights section of the Web Services Reliable Messaging TC web page (<http://www.oasis-open.org/committees/wsrm/>).

If necessary, the errata page for this version of the specification will be located at <http://www.oasis-open.org/committees/wsrm/documents/errata/1.1/index.html>.

34 Table of Contents

35	1	Introduction.....	6
36	1.1	Purpose of WS-Reliability.....	6
37	1.2	Definition and Scope of Reliable Messaging.....	6
38	1.3	Notational Conventions.....	7
39	1.4	Relation to Other Specifications.....	8
40	1.5	Terminology.....	9
41	2	Messaging Model.....	11
42	2.1	Messaging Context.....	11
43	2.2	RMP Operations and Their Invocation.....	11
44	2.2.1	Binding between WSDL Operation Types and RMP Invocations.....	12
45	2.3	Assumed SOAP Message Exchange Patterns.....	12
46	2.4	Message Reply Patterns.....	13
47	2.4.1	Response RM-Reply Pattern.....	13
48	2.4.2	Callback RM-Reply Pattern.....	14
49	2.4.3	Poll RM-Reply Pattern.....	14
50	2.5	Message Identification and Grouping.....	15
51	3	Reliability Agreement and Features.....	16
52	3.1	RM Agreement.....	16
53	3.1.1	Definition.....	16
54	3.1.2	RM Agreement Items.....	16
55	3.1.3	Scope of an Agreement Item.....	17
56	3.1.4	Rules.....	18
57	3.1.5	Creation, Representation and Deployment of RM Agreements.....	18
58	3.1.6	RM Capability.....	18
59	3.2	Main Reliability Features.....	18
60	3.2.1	Guaranteed Delivery.....	19
61	3.2.2	Duplicate Elimination.....	19
62	3.2.3	Guaranteed Message Ordering.....	20
63	4	Message Format.....	21
64	4.1	Structure.....	21
65	4.2	Request Element.....	23
66	4.2.1	Element: Request/MessageId.....	24
67	4.2.2	Element: Request/ExpiryTime.....	26
68	4.2.3	Element: Request/ReplyPattern.....	27

69	4.2.4 Element: Request/AckRequested.....	28
70	4.2.5 Element: Request/DuplicateElimination.....	29
71	4.2.6 Element: Request/MessageOrder.....	29
72	4.2.7 Example.....	29
73	4.3 PollRequest Element.....	30
74	4.3.1 Element: PollRequest/ReplyTo.....	31
75	4.3.2 Element: PollRequest/RefToMessagelds.....	32
76	4.3.3 Example.....	33
77	4.4 Response Element.....	34
78	4.4.1 Element: Response/NonSequenceReply.....	35
79	4.4.2 Element: Response/SequenceReplies.....	36
80	4.4.3 Example.....	37
81	4.5 Fault Codes For Reliable Messaging Failures.....	37
82	4.5.1 Message Format Faults.....	38
83	4.5.2 Message Processing Faults.....	40
84	4.5.3 RM Fault Examples.....	41
85	4.6 Extensibility Features of Schema.....	41
86	5 Operational Aspects and Semantics.....	43
87	5.1 Message Group Life Cycle.....	43
88	5.1.1 Group Termination.....	43
89	5.1.2 Group Termination Parameters.....	44
90	5.1.3 Termination Rules.....	45
91	5.2 Attachments.....	48
92	6 HTTP Binding.....	49
93	6.1 Reliable Messaging with Response RM-Reply Pattern.....	50
94	6.2 Reliable Messaging with Callback RM-Reply Pattern.....	52
95	6.3 Reliable Messaging with Poll RM-Reply Pattern.....	54
96	6.3.1 Synchronous Poll RM-Reply Pattern.....	54
97	6.3.2 Asynchronous Poll RM-Reply Pattern.....	56
98	7 Conformance.....	59
99	8 References.....	60
100	Appendix A. Schema (Normative).....	62
101	Appendix B. WS-Reliability Features, Properties and Compositors (Normative and Optional)....	63
102	B.1. Introduction.....	63
103	B.2. Conformance.....	63
104	B.3. WSDL Extensibility Elements.....	64
105	B.3.1. Compositor.....	64

106	B.3.2. Feature	65
107	B.3.3. Property	66
108	B.4. WS-Reliability Feature	66
109	B.5. WS-Reliability Properties	66
110	B.5.1. Guaranteed Delivery Property	66
111	B.5.2. Duplicate Elimination Property	66
112	B.5.3. Message Ordering Property	66
113	B.5.4. Reply Pattern Property	67
114	B.6. Compositor Examples	67
115	B.6.1. Example for the "all" compositor	67
116	B.6.2. Example for the "choice" compositor	68
117	B.6.3. Example for the "one-or-more" compositor	69
118	B.6.4. Example for the "zero-or-more" compositor	69
119	Appendix C. Acknowledgments	70
120	Appendix D. Notices	72

121 List of Tables

122	Table 1 Labels.....	8
123	Table 2 Prefixes.....	8
124	Table 3 RM Agreement Items.....	17
125	Table 4 Request Element.....	23
126	Table 5 MessageId Element.....	24
127	Table 6 SequenceNum Element.....	25
128	Table 7 ExpiryTime Element.....	26
129	Table 8 ReplyPattern Element.....	27
130	Table 9 Value Element.....	27
131	Table 10 ReplyTo Element.....	28
132	Table 11 BareURI Element.....	28
133	Table 12 AckRequested Element.....	29
134	Table 13 DuplicateElimination Element.....	29
135	Table 14 MessageOrder Element.....	29
136	Table 15 PollRequest Element.....	31
137	Table 16 ReplyTo Element.....	31
138	Table 17 BareURI Element.....	32
139	Table 18 RefToMessageIds Element.....	32
140	Table 19 SequenceNumRange Element.....	33
141	Table 20 Response Element.....	35
142	Table 21 NonSequenceReply Element.....	35
143	Table 22 SequenceReplies Element.....	36
144	Table 23 ReplyRange Element.....	36
145	Table 24 Invalid Message Format Fault Code Values.....	39
146	Table 25 Messaging Processing Failure Fault Code Values.....	40
147	Table 26 Conditions for terminating a group – Receiving RMP.....	48
148	Table 27 Conditions for terminating a group – Sending RMP.....	48
149	Table 28 WS-Reliability Schema Prefixes.....	62

1 Introduction

1.1 Purpose of WS-Reliability

WS-Reliability is a SOAP-based ([SOAP 1.1] and [SOAP 1.2 Part 1]) specification that fulfills reliable messaging requirements critical to some applications of Web Services. SOAP over HTTP [RFC2616] is not sufficient when an application-level messaging protocol must also guarantee some level of reliability and security. This specification defines reliability in the context of current Web Services standards. This specification has been designed for use in combination with other complementary protocols (see **Section 1.4**) and builds on previous experiences (e.g., ebXML Message Service [ebMS].)

1.2 Definition and Scope of Reliable Messaging

Reliable Messaging (RM) is the execution of a transport-agnostic, SOAP-based protocol providing quality of service in the reliable delivery of messages. There are two aspects to Reliable Messaging; both must be equally addressed when specifying RM features:

- (1) **The “wire” protocol** aspect. RM is a protocol, including both specific message headers and specific message choreographies, between a sending party and a receiving party.
- (2) **The quality of service (QoS)** aspect. RM defines a quality of messaging service to the communicating parties, viz., the users of the messaging service. This assumes a protocol between these users and the provider of this service (i.e., the reliable messaging middleware). This protocol is defined by a set of abstract operations: Submit, Deliver, Notify, Respond (defined in **Section 1.5**).

Reliable messaging requires the definition and enforcement of contracts between:

- The Sending and Receiving message processors (contracts about the wire protocol)
- The messaging service provider and the users of the messaging service (contracts about quality of service).

Each major RM feature will be defined as a composition of these two types of contract.

Example: Guaranteed message delivery is defined as both (1) a messaging protocol involving Acknowledgment Indications and specific message headers and (2) as a rule guaranteeing if “Submit” completes successfully for a payload on the sending side, “Deliver” completes successfully for this payload on the receiving side or “Notify” (of failure) will be invoked on the sending side.

Figure 1 shows all of the reliability contracts (both QoS and protocol) binding the Reliable Messaging entities (a producer of reliable messages, a consumer of reliable messages, and the two Reliable Messaging Processors or RMPs). The direction of the arrows for the QoS contract abstract operations, shown in **Figure 1**, represents the direction of information flow associated with the operation.

Note:

This specification does not make any assumption about the implementation of a messaging service user component (Producer or Consumer components in **Figure 1**): such a component could be an application, a queuing or logging system, a database, a SOAP node, or the next handler in the message processing chain. The QoS contracts concern only the conditions of invocation of the “Deliver”, “Submit”, “Respond” and “Notify” operations. The interpretation of these operations is a matter of implementation.

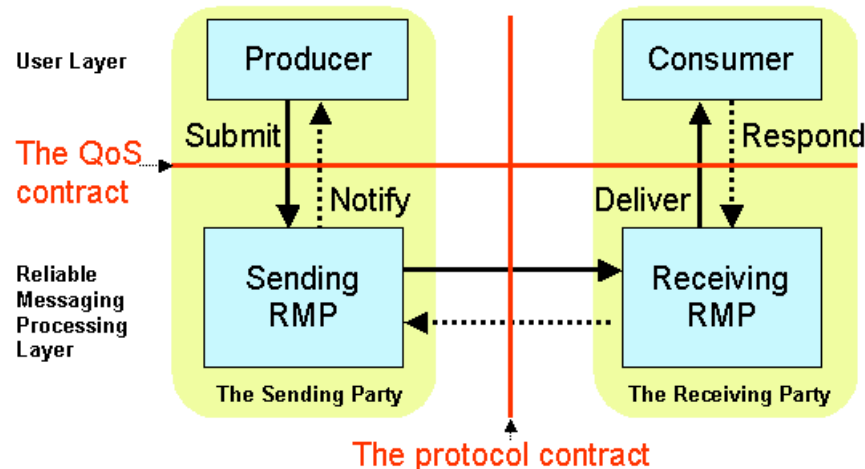


Figure 1 Reliable Messaging Contracts

The current specification defines the following reliability features:

- Guaranteed message delivery, or At-Least-Once delivery semantics.
- Guaranteed message duplicate elimination, or At-Most-Once delivery semantics.
- Guaranteed message delivery and duplicate elimination, or Exactly-Once delivery semantics.
- Guaranteed message ordering for delivery within a group of messages.

Some messaging features are out of scope for this specification. They are:

- Routing features. This specification addresses end-to-end reliability and is not concerned with intermediaries. The mechanisms described are orthogonal to routing techniques and can be used in combination with them.
- Transactions. Transactional messaging ensures the integrity of exchange patterns that involve possibly several messages. Failure conditions may involve application-level decisions based on message payload interpretation. This specification is concerned with the reliability of individual messages from submission to delivery; it ignores any interpretation of these messages.

Reliability is often associated with quantitative measures in QoS areas other than Web services (e.g., networking). Thresholds such as rate of failures, minimal size of persistent store, average latency, and quantitative measures that may appear in service level agreements (SLAs) are out of scope for this version.

1.3 Notational Conventions

This document occasionally uses terms that appear in capital letters. When the terms "MUST", "REQUIRED", "SHALL", "SHOULD", "RECOMMENDED", "MAY", "OPTIONAL", "MUST NOT", "NOT REQUIRED", "SHALL NOT" and "SHOULD NOT" appear capitalized, they are being used to indicate particular requirements of this specification. An interpretation of the meanings of these terms appears in [RFC2119].

All text in this specification is normative, except the following:

- examples
- notes (identified with a preceding "Note" header)

220 · appendices not explicitly identified as normative

221 **Section 4** includes tables to explain each message header element. The meaning of the labels in
222 these tables is as follows:

<i>Label</i>	<i>Meaning</i>
Cardinality	A constraint on the number of instances of the element, as allowed in its enclosing element (e.g., “0 or 1” means means the element may be either absent or present only once in its enclosing element).
Value	A type or format for a value of the element.
Attributes	Attribute names for the element. The type or format for the attribute value is included in parentheses.
Child elements	Elements allowed as direct descendants of the element.

Table 1 Labels

223 This specification uses the following namespace prefixes:

<i>Prefix</i>	<i>Namespace</i>
soap	http://schemas.xmlsoap.org/soap/envelope/
soap12	http://www.w3.org/2003/05/soap-envelope
wsm	http://docs.oasis-open.org/wsm/2004/06/ws-reliability-1.1.xsd
xs	http://www.w3.org/2001/XMLSchema/
wsdl11	http://schemas.xmlsoap.org/wsdl/
fnp	http://docs.oasis-open.org/wsm/2004/06/fnp-1.1.xsd
wsmfp	http://docs.oasis-open.org/wsm/2004/06/wsmfp-1.1.xsd
ref	http://docs.oasis-open.org/wsm/2004/06/reference-1.1.xsd

Table 2 Prefixes

224 The choice of any namespace prefix is arbitrary and not semantically significant.

225 XPath [XPath 1.0] is used to refer to header elements, in particular in **Section 4**.

226 **1.4 Relation to Other Specifications**

227 · **W3C SOAP 1.1/1.2**: SOAP 1.1 [SOAP 1.1] and SOAP 1.2 [SOAP 1.2 Part 1] are the
228 base protocols for this specification. This specification defines reliable messaging
229 protocol features expressed as extension header blocks embedded in the SOAP
230 Header.

231 · **OASIS ebXML Message Service Specification 2.0**: The reliable messaging
232 mechanism defined in the ebXML Message Service Specification 2.0 [ebMS] is
233 implemented in a number of products and open source efforts, many of which have
234 undergone interoperability testing. WS-Reliability borrows from this technology.

- 235 · **OASIS Web Services Security: SOAP Message Security 1.0:** This specification
236 defines reliability independently from security, each of these features mapping to
237 different SOAP header extensions. Although both features can be used in combination,
238 the specification does not attempt to compose them in a more intricate way, nor does it
239 attempt to profile their combination. This specification can be used with OASIS Web
240 Services Security: SOAP Message Security 1.0 [WSS].
- 241 · **WS-I Basic Profile 1.1:** This specification defines how to use reliability in compliance
242 with WS-I Basic Profile 1.1 [WS-I BP 1.1].

243 1.5 Terminology

244 Some of these definitions may reference other definitions, either within or outside of the
245 terminology section.

246 **Reliable Messaging (RM):**

247 The act of processing the set of transport-agnostic SOAP Features defined by WS-Reliability,
248 which results in a protocol supporting quality of service features such as guaranteed delivery,
249 duplicate message elimination, and message ordering.

250 **Reliable Messaging Processor (RMP):**

251 A SOAP processor and other infrastructure capable of performing Reliable Messaging as
252 described by this specification. With regard to the transmission of a Reliable Message from one
253 RMP to another, the former is referred to as the Sending RMP and the latter as the Receiving
254 RMP. An RMP may act in both roles.

255 **Reliable Message:**

256 A SOAP message containing a <wsrm:Request> header block.

257 **Payload:**

258 A subset of the message data intended for the Consumer or Producer of the Reliable Message
259 and provided by the Producer or Consumer respectively.

260 **Producer (or Payload Producer)**

261 An abstract component that produces the payload of a message to be sent. An example of a
262 Producer is an application component able to invoke an RMP to send a payload.

263 **Consumer (or Payload Consumer)**

264 An abstract component that consumes the payload of a received message after it has been
265 processed by the Receiving RMP. Examples of Consumers are: an application component called
266 back when a message is received, a queuing device storing received payloads.

267 **Deliver:**

268 An abstract operation that transfers a payload from Receiving RMP to Consumer.

269 **Submit:**

270 An abstract operation that transfers a payload from Producer to Sending RMP – for example, a
271 request to the Sending RMP to handle the payload subject to a reliability agreement.

272 **Respond:**

273 An abstract operation that transfers a payload from Consumer to Receiving RMP as a response
274 to a previously received Reliable Message.

275 **Notify:**

276 An abstract operation that makes available to the Producer a failure status of a previously sent
277 message (e.g., a notification the Sending RMP failed to send a Reliable Message) or transfers a
278 payload received as a response from Sending RMP to Producer.

279 **RMP Operations:**

280 Deliver, Submit, Respond and Notify are also called “RMP operations”. These abstract operations
281 control the transfer of payload data (and, in one case, failure information) between the RMP and
282 a user component (Producer or Consumer). An RMP operation is not necessarily implemented by
283 an RMP, but it must be either supported in some way by an RMP or invoked by the RMP.

284 **Message Identifier:**

285 A message header value or a combination of message header values that uniquely identifies a
286 Reliable Message. This identifier is meaningful only to the reliability features described here.

287 **Duplicate Message:**

288 A message is a duplicate of another message if it has same Message Identifier.

289 **Message Delivery:**

290 Completion of the Deliver operation for a Reliable Message.

291 **Acknowledgment Indication:**

292 An indication that refers to a previous message delivered by the Receiving RMP. An
293 Acknowledgment Indication signals that the acknowledged message has been successfully
294 delivered (that is, the message has satisfied all of the reliability requirements placed on it for
295 delivery).

296 **Reliable Messaging Fault Indication (RM Fault):**

297 An indication referring to a previous message that encountered a Reliable Messaging fault
298 condition at the Receiving RMP: it signals to the Sending RMP of the referred message that there
299 was a failure to invoke the Deliver operation for the message.

300 **Reliable Messaging Reply (RM-Reply):**

301 An indication – either an Acknowledgment Indication or a Reliable Messaging Fault Indication –
302 referring to a previous Reliable Message.

303 **Response, Callback and Poll RM-Reply Patterns:**

304 See [Section 2.5](#).

305 **PollRequest Message:**

306 A message from the Sending RMP to the Receiving RMP that requests RM-Replies for its
307 identified set of previously sent Reliable Messages.

308 **Intermediary:**

309 A SOAP node between a Sending RMP and a Receiving RMP.

310 **Publish (an RM-Reply):**

311 The set of mechanisms that make an RM-Reply available to the Sending RMP. The particular
312 mechanism used for a given Publish operation depends on the RM-Reply Pattern ([Section 2.5](#))
313 requested within the Reliable Message that elicited the Publish.

2 Messaging Model

2.1 Messaging Context

The Reliable Messaging Model described in this document makes the following assumptions about SOAP messaging and its relation to the RMP behavior:

- **Intermediary transparency.** SOAP Intermediaries do not play any active role in the reliability mechanisms. They can be abstracted from the communication between Sending RMP and Receiving RMP: the RMPs are the only parties involved in implementing the RM protocol (e.g., for handling RM-Replies). There is no role for an RMP other than Receiving RMP or Sending RMP. **Figure 2** illustrates this model.
- **Message integrity.** For the reliability mechanisms described here to fulfill the reliability contract, this specification strongly RECOMMENDS that message header integrity be guaranteed end-to-end by using adequate security options such as those described in Web Services Security: SOAP Message Security 1.0 [WSS].

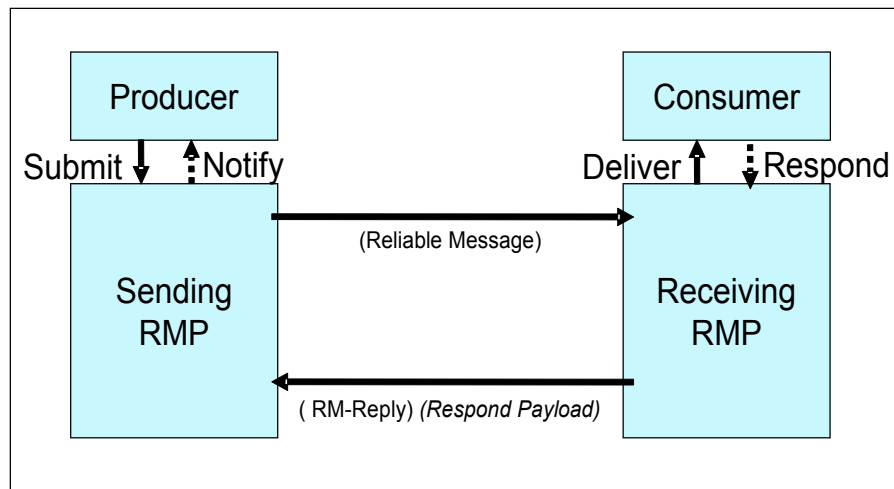


Figure 2 Messaging Model

2.2 RMP Operations and Their Invocation

Four operations (Submit, Deliver, Respond and Notify) are used to model the reliability contracts between an RMP and its users (Producer and Consumer components).

These operations and executable components are defined abstractly to simplify discussion of the WS-Reliability protocol, not to imply a particular API or component separation. No requirement is made herein about how these operations should be implemented, which component should implement them, or whether an implementation should explicitly represent them. The operations themselves describe a transfer of information (payload or failure notice) between an RMP and associated external components (Producer, Consumer).

The separations assumed here between the RMPs and their external components indicate the expected value of placing WS-Reliability support within an infrastructure component. However, any implementation choice leading to the externally observable properties describe in this specification is equally valid.

For example, a Receiving RMP could put a received payload in a queue; later, an application component gets the payload from that queue. This situation could be modeled in two different ways: (1) the queuing middleware is the Consumer, in which case the delivery is over when the payload is placed in the queue, (2) the application component is the Consumer, in which case the delivery is over when the payload is read by the application. Note that the reliability contracts will differ in each case and that it is an implementation choice to decide the precise point at which the reliability contract is considered fulfilled.

The following requirements are associated with the use of RMP operations:

- For every valid and non-expired message it receives, a Receiving RMP MUST invoke the Deliver operation after the associated reliability requirements (ordering, duplicate elimination) have been satisfied.
- The Sending RMP is NOT REQUIRED to invoke the Notify operation for communicating the status of every Reliable Message to a Producer. Only the failure status and available Consumer payload cases need be reported.
- An invocation of Deliver is not always matched by an invocation of Respond; the Consumer is NOT REQUIRED to invoke Respond for every Reliable Message delivered. A Receiving RMP MUST be capable of mapping a pair of Deliver and Respond invocations to an instance of SOAP Request-response MEP (See 2.3)

The basic exchange patterns described in the following section derive from the above messaging assumptions. Reliability features defined in this specification will in turn rely on these patterns.

2.2.1 Binding between WSDL Operation Types and RMP Invocations

This specification supports Reliable Messaging capabilities for WSDL 1.1 [WSDL 1.1] One-way and Request-response operation types only. That is, a WSDL instance describing the Consumer interface would use one of these two operations. Assuming a Sending RMP (or S-RMP) and a Receiving RMP (or R-RMP), the operations in such a WSDL instance MUST bind with the RMP operations in the following way:

- A successful WSDL One-way operation maps to a sequence of RMP invocations of the form: S-RMP.Submit(p) + R-RMP.Deliver(p), where (p) is the payload sent in the request (input message) of the operation described in WSDL.
- A successful WSDL Request-response operation maps to a sequence of RMP invocations of the form: S-RMP.Submit(p) + R-RMP.Deliver(p) + R-RMP.Respond(p2) + S-RMP.Notify(p2), where (p) is the payload sent in the request and (p2) is the payload returned in the response (output message) of the operation described in WSDL.

2.3 Assumed SOAP Message Exchange Patterns

Although SOAP [SOAP 1.1] was initially defined as a one-way messaging protocol, support for other exchange patterns [SOAP 1.1], message exchange patterns (MEPs) [SOAP 1.2 Part 2], and operations [WSDL 1.1] has been described. For example, SOAP over HTTP was principally described in terms of a request-response exchange pattern in [SOAP 1.1], bound to either One-way or Request-response operations in [WSDL 1.1] and restricted (especially with regard to the meaning of a One-way operation) in [WS-I BP 1.1]. Described below are two MEPs – called here SOAP MEPs – of interest for the RM features specified herein and derived from the terminology in those specifications. We use these terms to describe how the RMPs send and receive SOAP messages over the underlying transfer protocol.

An RMP MUST know which SOAP MEP is in use when sending or receiving a Reliable Message. A WSDL instance is just one way among many to specify to an RMP a message's binding to a SOAP MEP.

SOAP One-way MEP:

From an RMP perspective, support for this MEP assumes the following:

- The Sending RMP (as a SOAP node) is able to initiate the sending of a SOAP envelope over the underlying protocol (i.e., not as a result of a previous protocol action such as an HTTP GET or POST).
- No response containing a SOAP envelope is sent back – although a non-SOAP response (e.g., an HTTP error code) may be returned.

SOAP Request-response MEP:

From an RMP perspective, support for this MEP assumes the following:

- The Sending RMP is able to initiate the sending of a SOAP envelope over the underlying protocol.
- The Receiving RMP can send back a message with a SOAP envelope (called a response) after somehow associating the response with the request.

2.4 Message Reply Patterns

There are three ways to publish an RM-Reply (Acknowledgment Indication or Fault Indication):

2.4.1 Response RM-Reply Pattern

When the Response RM-Reply Pattern is in use, the following sequence of exchanges MUST occur:

Step 1: The Sending RMP sends the Reliable Message in a request of a SOAP Request-response MEP instance.

Step 2: The Receiving RMP sends the RM-Reply in the response message of the same SOAP MEP instance.

Figure 3 shows this reply pattern.

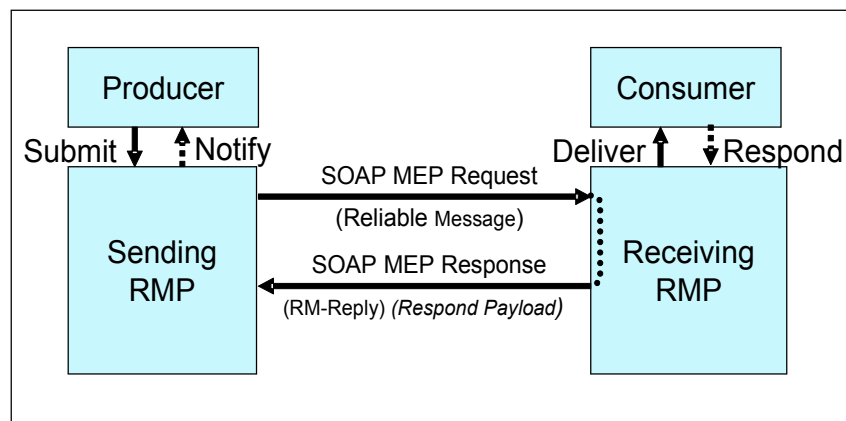


Figure 3 Response RM-Reply Pattern

The Response RM-Reply Pattern MUST NOT be used for WSDL One-way operations to the Consumer.

2.4.2 Callback RM-Reply Pattern

When the Callback RM-Reply Pattern is in use, the following sequence of exchanges MUST occur:

Step 1: The Sending RMP sends the Reliable Message in the SOAP MEP instance required by this Producer-Consumer exchange. This MEP instance may be either Request-response or One-way.

Step 2: The Receiving RMP sends the RM-Reply. Except when the RM Reply is bundled with a Reliable Message (as described in [Section 4.4](#)), the RMP MUST send this RM-Reply using a SOAP One-way MEP.

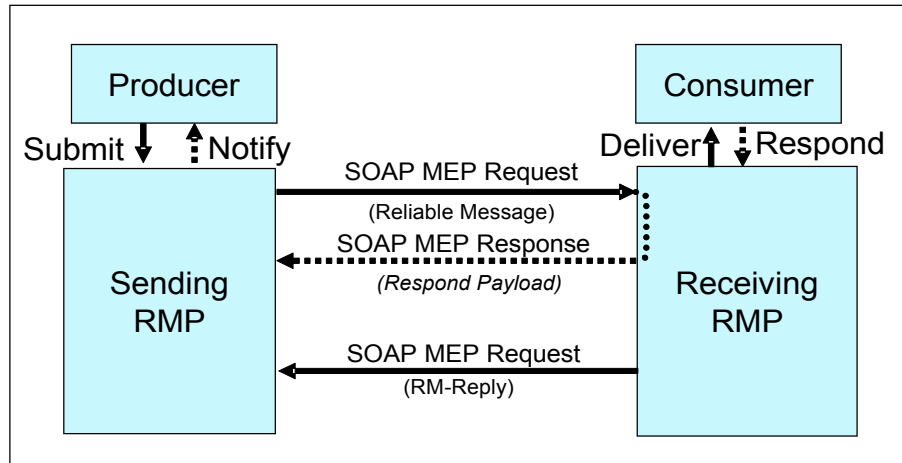


Figure 4 Callback RM-Reply Pattern

Figure 4 shows this reply pattern. The dashed arrows indicate the SOAP message returned when a SOAP Request-response MEP is used to send the Reliable Message.

2.4.3 Poll RM-Reply Pattern

When the Poll RM-Reply Pattern is in use, the following sequence of exchanges MUST occur:

Step 1: The Sending RMP sends the Reliable Message in the SOAP MEP instance required by this Producer-Consumer exchange. This MEP instance may be either Request-response or One-way.

Step 2: The Sending RMP issues a message with a **PollRequest** element in a new SOAP MEP instance; this acts as a request for Acknowledgment. This message MUST NOT contain a payload (as defined in [Section 1.5](#)). The Sending RMP MUST use the request of a SOAP Request-response MEP instance for a synchronous **PollRequest** and MUST use a SOAP One-way MEP for an asynchronous **PollRequest**.

Step 3: The Receiving RMP sends the RM-Reply either (if synchronous polling) in the response message of the same SOAP instance that carried the **PollRequest** or (if asynchronous polling) in a message from a SOAP One-way MEP instance. This message MUST NOT contain a payload.

When the Sending RMP of Reliable Messages cannot receive underlying protocol requests (e.g., due to security restrictions), it may use the synchronous version of this reply pattern. The Sending RMP MAY also use this reply pattern (steps 2 and 3 above) to extend other RM-Reply Patterns. **Figure 5** illustrates the synchronous variant, **Figure 6** the asynchronous.

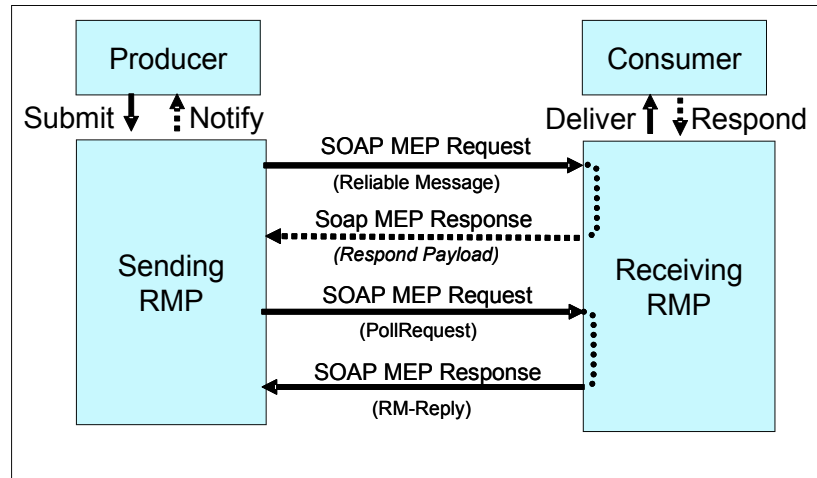


Figure 5 Synchronous Poll RM-Reply Pattern

Figure 6 Asynchronous Poll RM-Reply Pattern

2.5 Message Identification and Grouping

A Reliable Message contains an Identifier that is globally unique and relies on the notion of a group. A Reliable Message always belongs to a group. The Sending RMP sends a group of messages to the Receiving RMP as a sequence of individual messages. The Reliable Message Identifier is a combination of a group ID and an optional sequence number; a sequence number, if present, is an integer that is unique within a group. More precisely, a message is uniquely identified as follows:

- 1) When there is only one message in the group: the group ID, which is a globally unique group identifier, may be used alone as Message Identifier. No sequence number is required, although one is allowed.
- 2) When the message belongs to a group of several messages: the message is identified by the group ID and a unique sequence number.

3 Reliability Agreement and Features

3.1 RM Agreement

3.1.1 Definition

An agreement for messaging reliability, or RM Agreement, describes which reliability features a sending party and a receiving party have agreed to use when exchanging a set of messages. The RM Agreement can be seen as a contract at two levels: (1) quality of service (QoS), about the conditions and quality of message delivery to the Consumer and (2) protocol features, including timing parameters and details about choreography between the Sending and Receiving RMPs.

3.1.2 RM Agreement Items

An RM Agreement is a list of Agreement Items.

A Sending RMP MUST be capable of (1) taking knowledge (whether by configuration, an API call, a message, the result of an algorithm or any other means) of a set of values that represent the RM Agreement Items described in this specification and (2) processing them according to the semantics described in this specification.

A Receiving RMP MUST be capable of (1) taking knowledge of the Agreement items as they are communicated via the header elements of Reliable Messages and (2) processing them according to the semantics described in this specification.

Table 3 shows the Agreement Items this specification uses. Each item is listed with its possible values:

Name	Value	Definition
GuaranteedDelivery	enabled/disabled	For setting Guaranteed Delivery (see Section 3.2.1 for details).
NoDuplicateDelivery	enabled/disabled	For setting message delivery without duplicates or Duplicate Elimination (see Section 3.2.2 for details).
OrderedDelivery	enabled/disabled	For setting Guaranteed Message Ordering (see Section 3.2.3 for details).
GroupMaxIdleDuration	number of seconds	For setting the elapsed time limit from the last message sent or received in a group, after which the group can be terminated. The value MUST NOT be zero or smaller.
GroupExpiryTime	date/time	For setting the date and time after which the group can be terminated.
ExpiryTime	date/time	For setting the date and time after which a message must not be delivered to the Consumer.
ReplyPattern	"Response", "Callback", "Poll"	For setting the mode of response for Acknowledgments or Faults.

Table 3 RM Agreement Items

3.1.3 Scope of an Agreement Item

There are two scopes to consider:

- Group scope: All messages sent within a group.
- Message Scope: A single message.

Agreement Items relate to a particular scope: for example, ExpiryTime affects each message separately, while GroupExpiryTime is an Agreement Item about groups.

Agreement items applying to the Message Scope MAY be applied to the Group Scope. For example, an RMP implementation may decide to specify the same ExpiryTime value for all messages of a group and not support setting different values for messages in a group. The default scope of applicability for each RM Agreement item is:

Message scope:

- ExpiryTime
- ReplyPattern

Group scope:

- OrderedDelivery
- GuaranteedDelivery
- NoDuplicateDelivery
- GroupExpiryTime
- GroupMaxIdleDuration

An RMP MUST NOT allow most Agreement items applicable at Group scope to vary between messages of a group. For example, a Sending RMP MUST NOT use different guaranteed delivery modes for different messages of a group. However, it is allowed to dynamically change the value of GroupExpiryTime or GroupMaxIdleDuration pertaining to a group (See **Section 5.1.2**).

3.1.4 Rules

When defining an RM Agreement instance, there are some dependencies between the items of the agreement that must be respected:

- If OrderedDelivery is enabled for a group, GuaranteedDelivery and NoDuplicateDelivery MUST also be enabled for that group.
- If GroupExpiryTime is used for a group, the item GroupMaxIdleDuration MUST NOT be used for this group and vice versa.

3.1.5 Creation, Representation and Deployment of RM Agreements

The concrete representation of an RM Agreement is beyond the scope of this specification, as this may be part of a more general agreement that covers other matters as well as the reliability aspect. However, the RM Agreement determines the use of the reliability protocol and the behavior of RMPs. For these reasons, this specification references the RM Agreement in an abstract way, showing it as a simple list of (name, value) pairs called Agreement Items. This allows a description of the concrete effect of each Agreement Item on the message content and flow. Once there is a broad enough consensus for using a particular representation for agreements, a future version of this specification will define a corresponding binding for RM Agreements.

The way RM Agreements are established or communicated to each party is out of scope. However, one of the principles of this specification is that it should not be necessary to deploy an RM Agreement on both RMPs prior to executing business transactions. Only the Sending RMP needs to have knowledge of the RM Agreement initially. No prior communication of the agreement to the receiving party (an RMP and its user) is required. The only input the Receiving RMP will need in order to enforce the reliability requirements will be obtained from the header elements of received messages.

3.1.6 RM Capability

As a way to support the creation of RM Agreements, it may be useful for Web services providers to advertise somehow the reliability features (or RM Agreement Item values) supported by a deployed Web service. In contrast with agreements involving both parties, such reliability features – called RM Capabilities – may conveniently be associated with WSDL definitions. In support of this option, this specification proposes a concrete representation for these capabilities (see **Appendix B**).

3.2 Main Reliability Features

The main reliability features mentioned in **Section 1** are formally described here in terms of requirements. This specification provides the means to enforce these requirements. A detailed description of the protocol features implementing these means is given in **Section 4** and beyond.

3.2.1 Guaranteed Delivery

Quality of Service requirements:

When the GuaranteedDelivery Agreement Item is enabled, one of the two following outcomes SHALL occur for each Submit invocation: either (1) the Receiving RMP successfully delivers (Deliver invocation) the submitted payload to its associated Consumer or (2) the Sending RMP notifies (Notify invocation) the Producer associated with that payload of a delivery failure.

Notes:

- This QoS feature guarantees only that the sender will always be notified of a delivery failure when a message is not delivered. It is, however, impossible to guarantee this while at the same time guaranteeing that (1) and (2) will never occur together for the same message. A proper usage by an implementation of the protocol options described in this specification will, however, greatly reduce situations where both (1) and (2) occur.
- The GuaranteedDelivery agreement is defined for messages resulting from invocations of the Submit operation. An extension of this agreement to messages resulting from invocations of the Respond operation is out of scope for this specification.

Protocol requirements:

For all messages sent with the GuaranteedDelivery agreement, a Receiving RMP MUST publish the RM-Reply of each such message that has been either delivered or faulted. The Sending RMP MUST poll for all of its sent messages that requested the Poll RM-Reply Pattern.

A message resending technique combined with the acknowledgment and fault mechanism described here MUST be used in case of a delivery failure. Parameters that control the resending policy (number of retries, frequency, etc.) are out of the scope of this specification. These parameters may be added to an RM Agreement, although the resending policy may need to be dynamically adjusted depending on network conditions. When resending a message, the message contents must not change.

A Receiving RMP MUST NOT publish a Reliable Messaging Fault for a delivered Message. The RMP MUST NOT deliver a message for which a Reliable Messaging Fault has been published.

A Sending RMP MUST NOT resend a message for which an RM-Reply with a Fault type other than MessageProcessingFailure has been received and MUST instead notify its Producer of a delivery failure.

3.2.2 Duplicate Elimination

Quality of Service requirements:

When the NoDuplicateDelivery Agreement Item is enabled, a message resulting from a Submit invocation SHALL NOT be delivered twice or more to the Consumer.

Note:

In the current specification, the NoDuplicateDelivery agreement is defined for messages resulting from invocations to the Submit operation. An extension of this agreement to messages resulting from invocations to the Respond operation is out of scope for this specification.

Protocol requirements:

An implementation of this specification must ensure the following invariants:

- Message instances resulting from separate invocations of Submit MUST NOT share the same Message Identifier.

573 · When resending a message, the message contents must not change.

574 As a corollary to the above requirements, a Receiving RMP MUST ensure that once a message
575 under this agreement has been delivered to a Consumer, no message with the same identifier
576 received afterward will be delivered to this Consumer.

577 When the Response RM-Reply Pattern is requested with Duplicate Elimination for a Reliable
578 Message, the Receiving RMP cannot deliver that message to the Consumer again (because it is
579 a duplicate of a previously delivered message), and a Consumer response payload is expected,
580 the response of the SOAP MEP instance MUST contain one (but not both) of the following:

581 · a copy of the original response payload returned for that Message (in the SOAP Body)
582 in addition to the Acknowledgment Indication (in the SOAP Header) or

583 · a SOAP server Fault (in the SOAP Body) in addition to the Acknowledgment Indication
584 (in the SOAP Header).

585 The Sending RMP and Producer expect either a complete response or a SOAP Fault when using
586 the Response RM-Reply Pattern; these two allowed behaviors satisfy that expectation.

587 **3.2.3 Guaranteed Message Ordering**

588 Quality of Service requirements:

589 When the OrderedDelivery Agreement Item is enabled, messages resulting from a sequence of
590 Submit invocations SHALL be delivered in the same order to the Consumer. In addition, when the
591 Receiving RMP delivers one of these messages, all previous messages submitted in the
592 sequence MUST already have been delivered (no missing message allowed).

593 **Note:**

594 In the current specification, the OrderedDelivery agreement is defined for messages resulting
595 from invocations of the Submit operation on the Sending RMP. An extension of this agreement to
596 messages resulting from invocations of the Respond operation is out of scope for this
597 specification.

598 Protocol requirements:

599 Ordering is supported only over messages of the same group.

600 An implementation of this specification must ensure the following invariants, regarding the usage
601 of sequence numbers (SequenceNum element):

- 602 · The Sending RMP MUST reflect the order of the Submit invocations on this RMP in the
603 sequence numbers of the corresponding messages sent.
- 604 · The Receiving RMP MUST deliver the messages received according to the order
605 expressed by their sequence numbers, which is the same as the submission order.

606 An RMP will terminate the group as specified in **Section 5.1.3.5** (T5) when those conditions
607 arise.

4 Message Format

4.1 Structure

Figure 7 shows the structure of reliability SOAP header blocks in the SOAP Envelope, as specified by the WS-Reliability protocol. On the left side of the figure, a Reliable Message is characterized by the presence of the `wsm:Request` element. On the right side a response to a Reliable Message contains a `wsm:Response` element. Both `wsm:Request` and `wsm:Response` elements may be found in the same message.

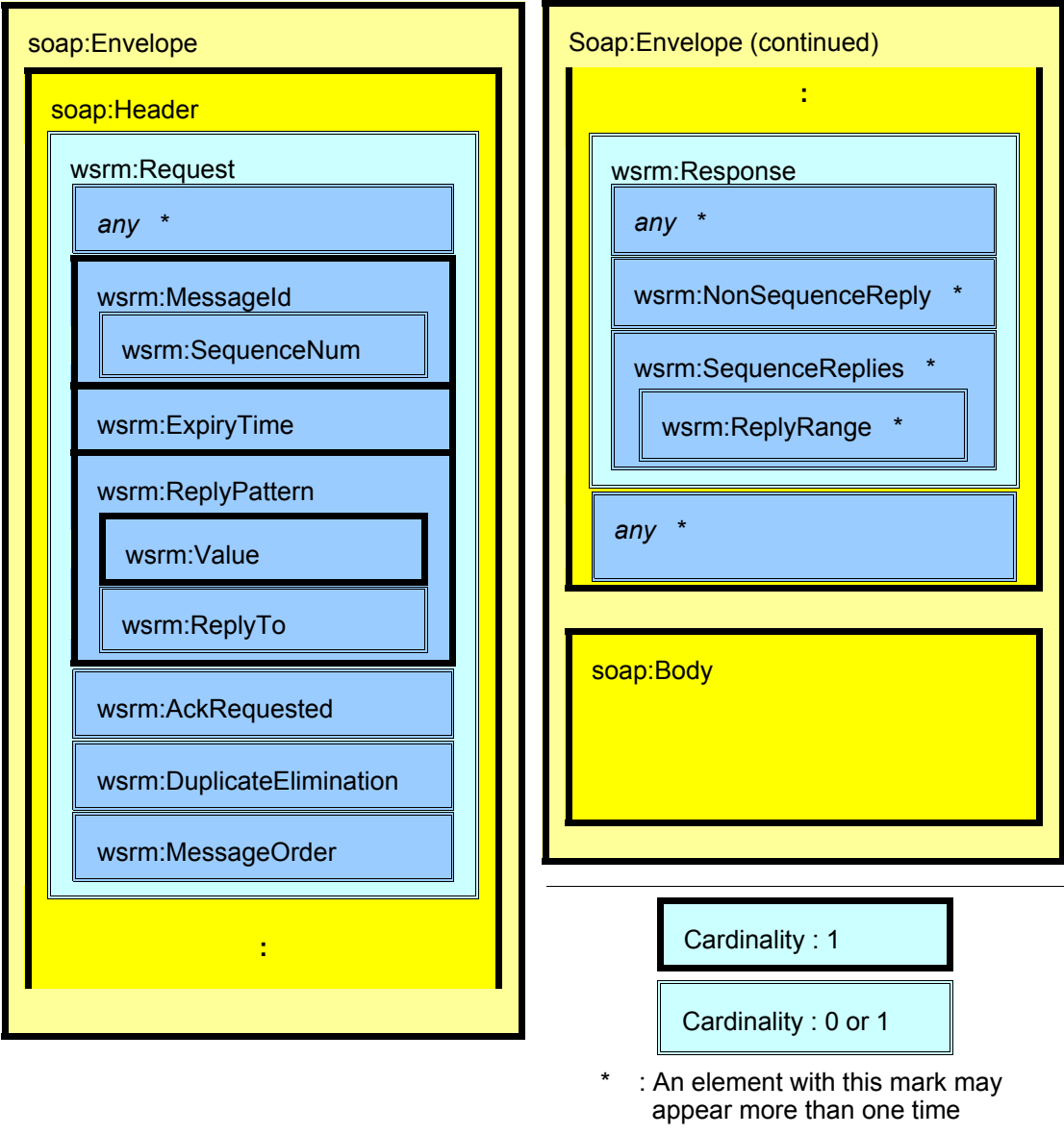


Figure 7 Structure of WS-Reliability elements

615 **Figure 8** shows the structure of PollRequest message embedded in the SOAP Envelope.

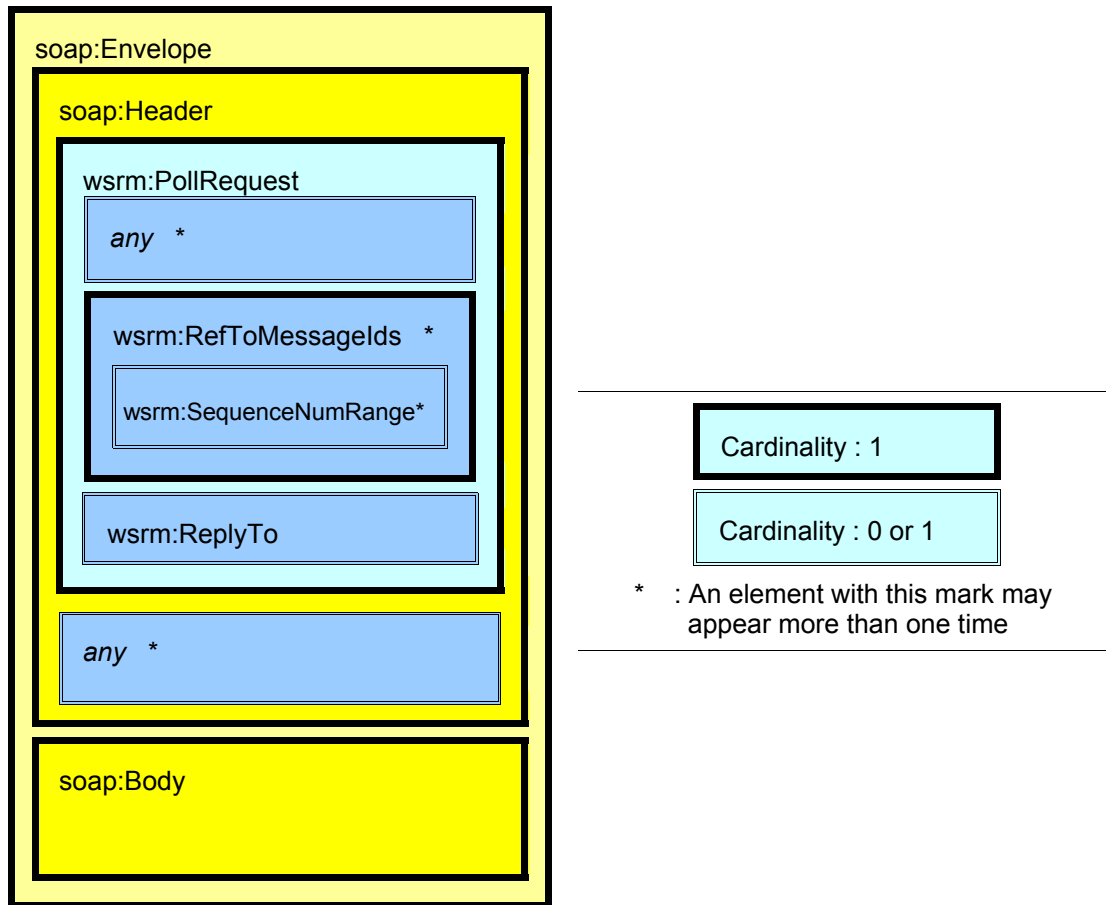


Figure 8 Structure of PollRequest message elements

616

617 <http://docs.oasis-open.org/wsrn/2004/06/ws-reliability-1.1.xsd>

618 When the text of the specification is shown to be in conflict with schema statements, the schema
619 statements prevail in the absence of an errata addressing the conflict.

620 The schema for some of the elements specified in this section includes the specification of
621 extensibility elements and attributes. The extensibility features expressed formally in the schema
622 are specified in **Section 4.6**.

623 If a message contains additional elements or attributes not described in this specification, the
624 Reliable Messaging Processor MAY ignore them.

625 Any of the following three elements can be a direct child element of the SOAP Header:

- 626 • **Request** element
- 627 • **PollRequest** element
- 628 • **Response** element

629 **4.2 Request Element**

630 The Request element conveys information about the agreement items that apply to the containing
631 Reliable Message. This element includes the following attribute and child elements (see the
632 description of each child element for cardinality requirements):

- 633 · SOAP **mustUnderstand** attribute (see **Appendix A** for details)
- 634 · **MessageId** element
- 635 · **ExpiryTime** element
- 636 · **ReplyPattern** element
- 637 · **AckRequested** element
- 638 · **DuplicateElimination** element
- 639 · **MessageOrder** element

Cardinality	0 or 1
Value	None
Attributes	soap:mustUnderstand (Boolean)
Child elements	MessageId ExpiryTime ReplyPattern AckRequested DuplicateElimination MessageOrder

Table 4 Request Element

640 **Example 1** shows an instance of a Request element.

Example 1 Request Element

```
<Request
  xmlns="http://docs.oasis-open.org/wsrn/2004/06/ws-reliability-1.1.xsd"
  xmlns:soap12="http://www.w3.org/2003/05/soap-envelope"
  soap12:mustUnderstand="1">
  <MessageId groupId="mid://20040202.103832@wsr-sender.org">
    <SequenceNum number="0"
      groupExpiryTime="2005-02-02T03:00:33-31:00" />
  </MessageId>
  <ExpiryTime>2004-09-07T03:01:03-03:50</ExpiryTime>
  <ReplyPattern>
    <Value>Response</Value>
  </ReplyPattern>
  <AckRequested/>
  <DuplicateElimination/>
  <MessageOrder/>
</Request>
```

4.2.1 Element: Request/MessageId

This element includes the following attribute:

- a **groupId** attribute

Cardinality	1
Value	None
Attributes	groupId (xs:anyURI)
Child elements	SequenceNum

Table 5 MessageId Element

4.2.1.1 Attribute: Request/MessageId@groupId

This attribute identifies a message group. The Sending RMP MUST use a distinct globally unique @groupId value for each distinct group of messages. Within any such group, all messages will have the same value for @groupId. This identification (the value) is of type URI as defined in [RFC2396]. It is RECOMMENDED that implementations use the Message-ID schema defined in [RFC2392].

4.2.1.2 Element: Request/MessageId/SequenceNum

The Sending RMP MUST include the SequenceNum element in all Reliable Messages of a group with more than one message.

The SequenceNum element carries the sequence number as well as other attributes that may alter the Receiving RMP's processing of the group. When a message includes a MessageOrder element, the sequence number is used in support of message ordering (**Section 3.2.3**).

This element includes the following attributes:

- 673 · a **groupExpiryTime** attribute
- 674 · a **groupMaxIdleDuration** attribute
- 675 · a **number** attribute
- 676 · a **last** attribute

677 In a request message, the sender MAY include either (but not both) @groupExpiryTime or
 678 @groupMaxIdleDuration (see [Section 5.1.2](#)).

679 **Example 2** illustrates the SequenceNum element with some message fragments:

Example 2 SequenceNum Element

680 1) First message

```
681 <MessageId groupId="mid://20040202.103832@wsr-sender.org">
682   <SequenceNum number="0"
683     groupExpiryTime="2005-02-02T03:00:33-31:00" />
684 </MessageId>
```

685 2) Second message

```
686 <MessageId groupId="mid://20040202.103832@wsr-sender.org">
687   <SequenceNum number="1"
688     groupExpiryTime="2005-02-02T03:00:33-31:00" />
689 </MessageId>
```

690 3) The last message for the group

```
691 <MessageId groupId="mid://20040202.103832@wsr-sender.org">
692   <SequenceNum number="2"
693     groupExpiryTime="2005-02-02T03:00:33-31:00" last="true" />
694 </MessageId>
```

Cardinality	1
Value	None
Attributes	groupExpiryTime (dateTime) groupMaxIdleDuration (duration) number (unsignedLong) last (Boolean)
Child elements	None

Table 6 SequenceNum Element

695 **4.2.1.2.1 Attribute: Request/MessageId/SequenceNum@groupExpiryTime**

696 This attribute represents the GroupExpiryTime agreement item ([Section 3.1.2, Table 3](#)). It
 697 specifies the the date and time at which the sender wishes the group to terminate. The
 698 @groupExpiryTime value is expressed as UTC and conforms to [XML Schema Part 2] dateTime.

699 The Cardinality of this attribute is 0 or 1. Constraints on the use of this attribute are specified in
700 **Section 5**.

701 **4.2.1.2.2 Attribute: Request/MessageId/SequenceNum@groupMaxIdleDuration**

702

703 This attribute represents the GroupMaxIdleDuration agreement item (**Section 3.1.2, Table 3**). It
704 specifies the maximum idle time for a group. The @groupMaxIdleDuration value conforms to
705 [XML Schema Part 2] duration. The Cardinality of this attribute is 0 or 1. Constraints on the use of
706 this attribute are specified in **Section 5**.

707 **4.2.1.2.3 Attribute: Request/MessageId/SequenceNum@number**

708 This attribute contains the sequence number, which identifies the message within its group
709 (**Section 2.6**) and is used in support of message ordering (**Section 3.2.3**). @number conforms to
710 [XML Schema Part 2] unsignedLong.

711 The Sending RMP MUST set this value to 0 for the first message of a group. The Sending RMP
712 thereafter MUST increment this value by 1 for each message submitted in this group. Once the
713 value reaches the maximum (18446744073709551615, the maximum value for this data type),
714 the group is terminated (see **Section 5**).

715 **4.2.1.2.4 Attribute: Request/MessageId/SequenceNum@last**

716 This attribute indicates whether or not the containing message is the last in a group. The
717 Cardinality of this attribute is 0 or 1. When this attribute is present, its Boolean value has the
718 following meaning:

- 719 • **false**: Indicates the message is not the last message of the group or is not known to be
720 the last message of the group.
- 721 • **true**: Indicates the message is known to be the last message sent within a group of
722 messages.

723 When this attribute is not present, its value defaults to false.

724 **4.2.2 Element: Request/ExpiryTime**

725 The ExpiryTime element represents the ExpiryTime agreement item (**Section 3.1.2, Table 3**). It
726 indicates the ultimate date and time after which the Receiving RMP MUST NOT invoke the
727 Deliver operation for the received message. The message is considered expired if the current
728 time, expressed in UTC, is greater than the value of the ExpiryTime element. When a message
729 expires on the Sending RMP before being successfully sent, a Sending RMP MUST NOT send or
730 resend it and MUST communicate a delivery failure to the Producer. The time is expressed as
731 UTC and conforms to [XML Schema Part 2] dateTime.

Cardinality	1
Value	xs:dateTime
Attributes	None
Child elements	None

Table 7 ExpiryTime Element

4.2.3 Element: Request/ReplyPattern

A Sending RMP MUST include the ReplyPattern element in a Request element. The ReplyPattern element includes the following child elements:

- a **Value** element
- a **ReplyTo** element

Cardinality	1
Value	None
Attributes	None
Child elements	Value ReplyTo

Table 8 ReplyPattern Element

4.2.3.1 Element: Request/ReplyPattern/Value

The Value element indicates which reply pattern the Sending RMP requests. This element specifies whether the Receiving RMP should send the Acknowledgment Indication or RM Fault Indication back in the response to the reliable message, in a separate callback request, or in the response to a separate poll request. A Sending RMP MUST include the Value element in a ReplyPattern element. This element has one of the following three values:

- **Response**
- **Callback**
- **Poll**

These values respectively indicate which of the RM-Reply Patterns – Response, Callback or Poll – is in use, as described in [Section 2.5](#).

Cardinality	1
Value	xs:string: Response, Callback or Poll
Attributes	None
Child elements	None

Table 9 Value Element

4.2.3.2 Element: Request/ReplyPattern/ReplyTo

If the value of the Request/ReplyPattern/Value element is "Callback", the Sending RMP MUST include this element in the Reliable Message. For all other values ("Poll" and "Response") of Request/ReplyPattern/Value element, the Sending RMP MUST NOT include this element. This element specifies the endpoint where the Sending RMP expects to receive a callback containing RM-Reply information.

754 If present, the reference-scheme attribute specifies the format of the single child element of the
755 ReplyTo element. If the attribute is omitted, the default content of the ReplyTo element is
756 BareURI.

Cardinality	0 or 1
Value	None
Attributes	reference-scheme
Child elements	{ <i>xs:anyType</i> } (an element representing the reference)

Table 10 ReplyTo Element

757 **4.2.3.2.1 Attribute: Request/ReplyPattern/ReplyTo@reference-scheme**

758 This attribute specifies the format or schema of the child element of
759 Request/ReplyPattern/ReplyTo. The Sending RMP MUST omit this attribute when the child
760 element of Request/ReplyPattern/ReplyTo is BareURI. The type of this attribute is xs:anyURI.

761 **4.2.3.2.2 Element: Request/ReplyPattern/ReplyTo/BareURI**

762 This element provides one of the simplest referencing options, the URI of the callback recipient's
763 endpoint. It is the default content of the Request/ReplyPattern/ReplyTo and PollRequest/ReplyTo
764 (see **Section 4.3.1**) elements, though the Sending RMP MAY use any other element and scheme
765 supported by the Receiving RMP. This location (the value) is of type URI as defined in
766 [RFC2396].

767 **Section 6** provides additional information about the specific case for which the content of a
768 BareURI in a Request or PollRequest element uses the HTTP URI scheme.

Cardinality	0 or 1
Value	xs:anyURI
Attributes	None
Child elements	None

Table 11 BareURI Element

769 **4.2.4 Element: Request/AckRequested**

770 A Sending RMP MUST include the AckRequested element in a message if and only if that
771 message is subject to the GuaranteedDelivery Agreement Item (refer to **Section 3.2.1** for
772 details); as described in **Section 3.1.4**, this condition includes all messages subject to the
773 OrderedDelivery Agreement Item. The Sending RMP uses this element to request the Receiving
774 RMP to publish an Acknowledgment after the message is delivered to the consumer party or else
775 to publish an RM Fault Indication. The Receiving RMP MUST publish this information, even for
776 received messages that are duplicates of previously delivered messages. For example, if the
777 RM-Reply Pattern is Callback and no fault occurs, an Acknowledgment Indication SHALL be sent
778 back.

779 The Receiving RMP MAY publish an RM Fault Indication for a Reliable Message, even if the
780 AckRequested element is not present in the Request element for that message.

781 The pattern used to send the Acknowledgment or RM Fault Indication is determined by the value
782 of the ReplyPattern element.

Cardinality	0 or 1
Value	None
Attributes	None
Child elements	None

Table 12 AckRequested Element

783 **4.2.5 Element: Request/DuplicateElimination**

784 A Sending RMP MUST include the DuplicateElimination element in a message if and only if that
785 message is subject to the NoDuplicateDelivery Agreement Item (refer to **Section 3.2.2** for
786 details); as described in **Section 3.1.4**, this condition includes all messages subject to the
787 OrderedDelivery Agreement Item.

Cardinality	0 or 1
Value	None
Attributes	None
Child elements	None

Table 13 DuplicateElimination Element

788 **4.2.6 Element: Request/MessageOrder**

789 A Sending RMP MUST include the MessageOrder element if and only if that message is subject
790 to the OrderedDelivery Agreement Item (refer to **Section 3.2.3** for details).

791 If the MessageOrder element appears in the message received, the Receiving RMP MUST NOT
792 deliver the message until all messages with the same Request/MessageId@groupid value and a
793 lower Request/MessageId/SequenceNum@number value have been delivered.

Cardinality	0 or 1
Value	None
Attributes	None
Child elements	None

Table 14 MessageOrder Element

794 **4.2.7 Example**

795 The HTTP message below uses the Request element to specify (among other things) that all
796 three reliability features should be used: GuaranteedDelivery ("AckRequested" element),
797 NoDuplicateDelivery ("DuplicateElimination" element), and OrderedDelivery ("MessageOrder"
798 element). The reply pattern is "Poll", meaning that no Acknowledgment or Fault will be sent back
799 unless explicitly requested by another message containing a PollRequest header.

Example 3 Reliable Message with Request header

```
800 POST /abc/servlet/wsrEndpoint HTTP/1.0
801 Content-Type: text/xml; charset=utf-8
802 Host: 192.168.183.100
803 SOAPAction: ""
804 Content-Length: 736
805
806 <soap:Envelope xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/">
807   <soap:Header>
808     <Request
809       xmlns="http://docs.oasis-open.org/wsr/2004/06/ws-reliability-1.1.xsd"
810       soap:mustUnderstand="1">
811       <MessageId groupId="mid://20040202.103832@wsr-sender.org">
812         <SequenceNum number="0"
813           groupExpiryTime="2005-02-02T03:00:33-31:00" />
814       </MessageId>
815       <ExpiryTime>2004-09-07T03:01:03-03:50</ExpiryTime>
816       <ReplyPattern>
817         <Value>Poll</Value>
818       </ReplyPattern>
819       <AckRequested/>
820       <DuplicateElimination/>
821       <MessageOrder/>
822     </Request>
823   </soap:Header>
824   <soap:Body>
825     <Request xmlns="http://example.org/wsr">Request Message</Request>
826   </soap:Body>
827 </soap:Envelope>
```

4.3 PollRequest Element

A PollRequest Message requests an RM-Reply for a Reliable Message that had “Poll” as the value of the Request/ReplyPattern/Value element and included the Request/AckRequested element. However, PollRequest Messages can also solicit delivery status for messages that were originally sent with “Response” or “Callback” as the value of the Request/ReplyPattern/Value element and that included the Request/AckRequested element.

If a Receiving RMP does not support the use of PollRequest as a general status query mechanism, it MAY return a FeatureNotSupported fault in response to a PollRequest when the relevant ReplyPattern Agreement Item does not have the value “Poll”.

A Receiving RMP that receives a supported form of PollRequest MUST publish RM-Reply information relevant to non-expired messages identified in that request.

This element includes the following attribute and child elements:

- SOAP **mustUnderstand** attribute (see [Appendix A](#) for details)
- a **ReplyTo** element

842 • a **RefToMessageIds** element

Cardinality	0 or 1
Value	None
Attributes	soap:mustUnderstand (Boolean)
Child elements	ReplyTo RefToMessageIds

Table 15 PollRequest Element

Example 4 PollRequest Element

```
843 <PollRequest
844   xmlns="http://docs.oasis-open.org/wsrn/2004/06/ws-reliability-1.1.xsd"
845   xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/"
846   soap:mustUnderstand="1">
847   <RefToMessageIds groupId="mid://20040202.103832@wsr-sender.org">
848     <SequenceNumRange from="0" to="5"/>
849     <SequenceNumRange from="15" to="20"/>
850   </RefToMessageIds>
851   <RefToMessageIds groupId="mid://20040202.103811@wsr-sender.org" />
852   <RefToMessageIds groupId="mid://20040202.103807@wsr-sender.org">
853     <SequenceNumRange from="713" to="6150"/>
854   </RefToMessageIds>
855 </PollRequest>
```

856 **4.3.1 Element: PollRequest/ReplyTo**

857 The Receiving RMP MUST send the RM-Reply information in a new request to the endpoint
858 specified by PollRequest/ReplyTo whenever this element is present. If it is not present, the
859 Receiving RMP MUST send back the RM-Reply on the response to the PollRequest message.

860 **Section 4.2.3.2** provides additional information about the very similar
861 Request/ReplyPattern/ReplyTo element.

Cardinality	0 or 1
Value	None
Attributes	reference-scheme
Child elements	{ <i>xs:anyType</i> } (an element representing the reference)

Table 16 ReplyTo Element

862 **4.3.1.1 Attribute: PollRequest/ReplyTo@reference-scheme**

863 **Section 4.2.3.2.1** provides additional information about the similar
864 Request/ReplyPattern/ReplyTo@reference attribute.

865 **4.3.1.2 Element: PollRequest/ReplyTo/BareURI**

866 **Section 4.2.3.2.2** provides additional information about the similar
867 Request/ReplyPattern/ReplyTo/BareURI element.

Cardinality	0 or 1
Value	xs:anyURI
Attributes	None
Child elements	None

Table 17 BareURI Element

868 **4.3.2 Element: PollRequest/RefToMessagelds**

869 The RefToMessagelds element contains the identifiers of groups and messages whose status
870 the Sending RMP is requesting. This element includes @groupid and zero or more
871 SequenceNumRange elements as follows:

- 872 • a **groupid** attribute
- 873 • zero or more **SequenceNumRange** elements

Cardinality	1 or more
Value	None
Attributes	groupid (URI)
Child elements	SequenceNumRange

Table 18 RefToMessagelds Element

874 When this RefToMessagelds element does not include a SequenceNumRange element, the
875 Receiving RMP MUST return RM-Replies for non-expired messages that were delivered or
876 faulted in that group.

877 When the RefToMessagelds element includes one or more SequenceNumRange element(s), the
878 Receiving RMP MUST return RM-Replies for the non-expired messages that were delivered or
879 faulted in the identified subset of that group. The identified subset includes all Reliable Messages
880 whose MessageId/SequenceNum@number values fall in the range(s) specified in the
881 RefToMessagelds/SequenceNumRange element(s) of the PollRequest.

882 A Sending RMP MAY include multiple RefToMessagelds elements (one for each @groupid
883 value) in a single PollRequest Message to request RM-Replies for multiple groups.

884 **4.3.2.1 Attribute: PollRequest/RefToMessagelds@groupid**

885 The @groupid specifies the group of messages whose status the Sending RMP is requesting.
886 This identification (the value) is of type URI as defined in [RFC2396].

887 **4.3.2.2 Element: PollRequest/RefToMessagelds/SequenceNumRange**

888 The SequenceNumRange element specifies those messages in a group for which the Sending
889 RMP requests status. Attributes @from and @to of this element express an inclusive range for
890 SequenceNum values. This element contains the following two attributes:

- 891 · a **from** attribute
892 · a **to** attribute

893 When these attributes have the same value, the range is limited to a single message.

Cardinality	0 or more
Value	None
Attributes	from (unsignedLong) to (unsignedLong)
Child elements	None

Table 19 SequenceNumRange Element

894 **4.3.2.2.1 Attribute:**
895 **PollRequest/RefToMessagelds/SequenceNumRange@from**

896 This attribute specifies the lowest SequenceNum@number value of the message range. The
897 value of @from is of type unsignedLong and SHALL be less than or equal to the value of @to.

898 **4.3.2.2.2 Attribute: PollRequest/RefToMessagelds/SequenceNumRange@to**

899 This attribute specifies the highest SequenceNum@number value of the message range. The
900 value of @to is of type unsignedLong and SHALL be greater than or equal to the value of @from.

901 **4.3.3 Example**

902 The HTTP message below uses the PollRequest reliability element, polling the Receiving RMP
903 for the status of messages within the range of sequence numbers 0 to 20 of a particular group.
904 The response to this PollRequest will identify which of those messages have been delivered
905 (Acknowledged).

Example 5 PollRequest Message embedded in HTTP Request

```
906 POST /abc/servlet/wsrEndpoint HTTP/1.0
907 Content-Type: text/xml; charset=utf-8
908 Host: 192.168.183.100
909 SOAPAction: ""
910 Content-Length: 432
911
912 <soap:Envelope xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/">
913   <soap:Header>
914     <PollRequest
915       xmlns="http://docs.oasis-open.org/wsr/2004/06/ws-reliability-1.1.xsd"
916       soap:mustUnderstand="1">
917       <RefToMessageIds groupId="mid://20040202.103832@wsr-sender.org">
918         <SequenceNumRange from="0" to="20"/>
919       </RefToMessageIds>
920     </PollRequest>
921   </soap:Header>
922   <soap:Body />
923 </soap:Envelope>
```

4.4 Response Element

The Response element indicates Acknowledgments and Faults for Reliable Messages. This element includes the following attributes:

- SOAP **mustUnderstand** attribute (see [Appendix A](#) for details)

The Response element SHALL include a list one or more elements in length containing a choice or choices from the following:

- **NonSequenceReply** element(s)
- **SequenceReplies** element(s)

When the Response occurs under the Response RM-Reply Pattern, the first element in this list describes the status of the received Reliable Message. In this case, when the SequenceReplies element is used, the first contained ReplyRange element will include the received Reliable Message within its range.

The Receiving RMP MAY bundle a Response element with a Request element when responding to a message that used the Callback RM-Reply Pattern. In this case, the response and the new Reliable Message MUST share a common destination URI. This enables the combination of an Acknowledgment Indication and the business response to the original message. This also allows a Receiving RMP to bundle an Acknowledgment Indication with another unrelated message to the Sending RMP to reduce network traffic. When combined in a single message, the Request and Response elements are treated separately from the perspective of the abstract model ([Section 2](#)); a Receiving RMP component handles the Request element and payload while a Sending RMP handles the Response element.

Cardinality	0 or 1
Value	None
Attributes	soap:mustUnderstand (Boolean)
Child elements	NonSequenceReply SequenceReplies

Table 20 Response Element

945 **Example 6** shows an instance of the Response element.

Example 6 Response Element

```

946 <Response
947   xmlns="http://docs.oasis-open.org/wsrn/2004/06/ws-reliability-1.1.xsd"
948   xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/"
949   soap:mustUnderstand="1">
950   <NonSequenceReply groupId="mid://20040202.103832@wsr-sender.org" />
951   <SequenceReplies groupId="mid://20040202.103807@wsr-sender.org">
952     <ReplyRange from="1" to="4" />
953     <ReplyRange from="5" to="5" fault="wsrm:InvalidRequest" />
954     <ReplyRange from="6" to="42" />
955   </SequenceReplies>
956   <NonSequenceReply groupId="mid://20040202.103811@wsr-sender.org"
957     fault="wsrm:PermanentProcessingFailure" />
958 </Response>

```

959 **4.4.1 Element: Response/NonSequenceReply**

960 An RM-Reply for a message that does not have a sequence number SHALL include a
961 NonSequenceReply element. This element includes the following attributes:

- 962 • a **groupId** attribute
- 963 • a **fault** attribute

964 The **@fault** indicates a particular fault for the identified message. Without this attribute, the
965 NonSequenceReply element is an Acknowledgment Indication for the message.

Cardinality	0 or more
Value	None
Attributes	groupId (URI) fault (QName)
Child elements	None

Table 21 NonSequenceReply Element

966 **4.4.1.1 Attribute: Response/NonSequenceReply@groupId**

967 This attribute specifies the group identifier of a message that did not have a sequence number. A
968 NonSequenceReply element SHALL include the message's @groupId. This identification (the
969 value) is of type URI as defined in [RFC2396].

970 **4.4.1.2 Attribute: Response/NonSequenceReply@fault**

971 This attribute indicates the code of a Reliable Messaging Fault encountered while processing the
972 message. The Cardinality of this attribute is 0 or 1.

973 **4.4.2 Element: Response/SequenceReplies**

974 An RM-Reply for a group (or a subset thereof) whose messages had sequence numbers SHALL
975 include a SequenceReplies element. This element contains a @groupId and 1 or more
976 ReplyRange elements.

Cardinality	0 or more
Value	None
Attributes	groupId (URI)
Child elements	ReplyRange

Table 22 SequenceReplies Element

977 **4.4.2.1 Attribute: Response/SequenceReplies@groupId**

978 The @groupId specifies the message group for which its SequenceReplies element carries the
979 status. A SequenceReplies element SHALL include the group's @groupId. This identification (the
980 value) is of type URI as defined in [RFC2396].

981 **4.4.2.2 Element: Response/SequenceReplies/ReplyRange**

982 The ReplyRange element indicates a range of sequence numbers with a shared delivery status.
983 The @fault indicates a particular, common fault all messages in the range share. Without this
984 attribute, the ReplyRange element is an Acknowledgment Indication for all messages in the
985 range.

Cardinality	1 or more
Value	None
Attributes	from (unsigned Long) to (unsigned Long) fault (QName)
Child elements	None

Table 23 ReplyRange Element

4.4.2.2.1 Attribute: Response/SequenceReplies/ReplyRange@from

This attribute has same type and semantics as in the PollRequest element.

4.4.2.2.2 Attribute: Response/SequenceReplies/ReplyRange@to

This attribute has same type and semantics as in the PollRequest element.

4.4.2.2.3 Attribute: Response/SequenceReplies/ReplyRange@fault

This attribute indicates the code of a Reliable Messaging Fault encountered while processing all of the messages in the identified range. The Cardinality of this attribute is 0 or 1.

4.4.3 Example

The message below uses the Response reliability element, which in this case is carrying the response of a previous PollRequest element. The response acknowledges a message specified by the group identifier "mid://20040202.103811@wsr-sender.org" and messages for a group specified by the group identifier "mid://20040202.103832@wsr-sender.org" within the ranges of sequence numbers 0 to 14 and 16 to 20. The response also reports an RM Fault for a message with sequence number 15 for the group.

Example 7 RM-Reply message embedded in HTTP Response

```
HTTP/1.0 200 OK
Server: WS-ReliabilityServer
Date: Mon, 02 Feb 2004 10:38:32 GMT
Content-Language: en
Content-Type: text/xml; charset=utf-8
Content-Length: 593

<soap:Envelope xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/">
  <soap:Header>
    <Response soap:mustUnderstand="1"
      xmlns="http://docs.oasis-open.org/wsrn/2004/06/ws-reliability-1.1.xsd">
      <NonSequenceReply groupId="mid://20040202.103811@wsr-sender.org"/>
      <SequenceReplies groupId="mid://20040202.103832@wsr-sender.org">
        <ReplyRange from="0" to="14"/>
        <ReplyRange from="15" to="15" fault="InvalidRequest"/>
        <ReplyRange from="16" to="20"/>
      </SequenceReplies>
    </Response>
  </soap:Header>
  <soap:Body />
</soap:Envelope>
```

4.5 Fault Codes For Reliable Messaging Failures

The protocol defines two fault categories:

- 1023 · The Message Format fault set, which includes all faults generated because of a
1024 malformed Reliable Message header.
- 1025 · The Message Processing fault set, which includes all faults generated while processing
1026 the message.
- 1027 They are explained in detail in the following sections. The Receiving RMP returns these protocol-
1028 specific fault codes within the Response header element. Reliable Message Faults are carried in
1029 the SOAP Header and do not rely exclusively on the SOAP Fault model for the following reasons:
- 1030 · The SOAP Fault model does not allow batching of several faults in the same message.
- 1031 · RM Faults may be carried along with business messages that are unrelated to these
1032 faults; they should not affect the processing of the SOAP body in such messages.
- 1033 The rules for processing faults are:
- 1034 · The Receiving RMP MUST NOT deliver a message for which an RM Fault is published.
1035 Therefore, the Receiving RMP MUST NOT send an Acknowledgment Indication for such
1036 a message.
- 1037 · If a Reliable Message sent over a SOAP Request-response MEP cannot be delivered to
1038 the Consumer, the response of the SOAP MEP instance SHALL contain a SOAP Fault
1039 (in the SOAP Body) in addition to the appropriate RM Fault (in the SOAP Header). If the
1040 specific RM Fault encountered was due to a problem with the request header element,
1041 the Receiving RMP MUST set the value of the soap:Fault@faultcode attribute to
1042 "soap:Client" (for SOAP 1.1 messages) or the soap12:Fault/Code/Value element to
1043 "soap12:Sender" (for SOAP 1.2 messages). If the specific RM Fault encountered was
1044 due to a problem with processing by the Receiving RMP, the Receiving RMP MUST set
1045 the value of the soap:Fault@faultcode attribute to "soap:Server" (for SOAP 1.1
1046 messages) or the soap12:Fault/Code/Value element to "soap12:Receiver" (for SOAP
1047 1.2 messages). The Sending RMP and Producer expect either a complete response or
1048 a SOAP Fault when using the SOAP Request-response MEP; this requirement satisfies
1049 those expectations. More details are given in **Section 3.2** and in the HTTP Binding
1050 section (**Section 6**).
- 1051 · When a Reliable Message sent over a SOAP One-way MEP cannot be delivered to the
1052 Consumer due to a failure in processing the RM headers, a SOAP Fault SHALL NOT be
1053 returned. The HTTP binding section (**Section 6**) gives more details on the
1054 recommended behavior in such case.
- 1055 The Fault codes described in **Sections 4.5.1** and **4.5.2** are allowed values for @fault in a
1056 Response element.

1057 **4.5.1 Message Format Faults**

- 1058 The Receiving RMP publishes these faults when the message format of the Reliable Messaging
1059 Headers is either invalid or wrong.

Local part name	Description and Cause(s)
InvalidRequest	<p>The Request element is wrong or invalid. Examples are:</p> <ol style="list-style-type: none"> 1.Any of the mandatory elements such as MessageId, ExpiryTime or ReplyPattern are missing. 2.AckRequested, DuplicateElimination or MessageOrder elements appear twice. 3.The soap:mustUnderstand attribute is missing.
InvalidPollRequest	<p>The PollRequest element is wrong or invalid. Examples are:</p> <ol style="list-style-type: none"> 1.The soap:mustUnderstand attribute is missing. 2. The RefToMessageIds element is missing.
InvalidMessageId	<p>Used in any of the following cases:</p> <ol style="list-style-type: none"> 1. @groupId (for MessageId or RefToMessageIds) is not present or is present with an invalid value. 2. @number in SequenceNum element is not present or is present with an invalid value. 3. Attributes (from and to) of SequenceNumRange are not present or are present with invalid values.
InvalidMessageParameters	<p>Used in any of the following cases:</p> <ol style="list-style-type: none"> 1. The @groupExpiryTime is wrong or invalid. 2. The @groupMaxIdleDuration is wrong or invalid. 3. Both group parameters are present. 4. SequenceNum@last exists but is not one of the allowed {false true} values.
InvalidReplyPattern	<p>Used in either of the following cases:</p> <ol style="list-style-type: none"> 1. The ReplyPattern format is wrong or invalid. 2. The ReplyTo element is missing for the Callback pattern.
InvalidExpiryTime	The ExpiryTime format is wrong or invalid.

Table 24 Invalid Message Format Fault Code Values

1060 **Note:**

- 1061 Cases exist in which the Receiving RMP is unable to send RM Fault Indications for messages
 1062 with invalid message headers, such as:
- 1063 · The ReplyTo element is missing or invalid in the Callback and asynchronous Poll cases.
 - 1064 · The MessageId element is missing for the Request element.
 - 1065 · The RefToMessageIds is missing for the PollRequest element.

1066 4.5.2 Message Processing Faults

1067 The Receiving RMP publishes these faults when there is an error processing a valid Reliable
 1068 Messaging message.

Local part name	Description and Cause(s)
FeatureNotSupported	The Receiving RMP receives a message with an RM feature that it does not support. An example is an RM message with a MessageOrder element sent to a Receiving RMP that doesn't support Guaranteed Message Ordering.
PermanentProcessingFailure	Permanent and fatal processing failures such as: <ol style="list-style-type: none"> 1. Persistence Storage failures. 2. Message Delivery failures. A PermanentProcessingFailure fault indicates that the failure is fatal and subsequent retries of the same message will also fail.
MessageProcessingFailure	Used in transient failure cases such as: <ol style="list-style-type: none"> 1. The number of buffered requests exceeded the maximum limit. 2. The number of threads reached the maximum limit, etc. 3. The Deliver operation fails. A transient fault, unlike a permanent fault, is temporary; the message may succeed after a subsequent retry.
GroupAborted	All processing for the group associated with the reliable message request has been aborted by the Receiving RMP. The Receiving RMP MUST NOT deliver subsequent messages within that group.

Table 25 Messaging Processing Failure Fault Code Values

4.5.3 RM Fault Examples

Example 8 RM Fault Indication for Reliable Messaging

```
<soap:Envelope xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/">
  <soap:Header>
    <Response soap:mustUnderstand="1"
      xmlns="http://docs.oasis-open.org/wsrn/2004/06/ws-reliability-1.1.xsd">
      <SequenceReplies groupId="mid://20040202.103832@wsr-sender.org">
        <ReplyRange from="1" to="1" fault="InvalidRequest" />
      </SequenceReplies>
    </Response>
  </soap:Header>
  <soap:Body />
</soap:Envelope>
```

If the PollRequest element in **Example 4** was missing the soap:mustUnderstand attribute, the InvalidPollRequest fault may be sent as follows.

Example 9 RM Fault Indication for PollRequest message

```
<soap:Envelope xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/">
  <soap:Header>
    <Response soap:mustUnderstand="1"
      xmlns="http://docs.oasis-open.org/wsrn/2004/06/ws-reliability-1.1.xsd">
      <SequenceReplies groupId="mid://20040202.103832@wsr-sender.org">
        <ReplyRange from="0" to="5" fault="InvalidPollRequest"/>
        <ReplyRange from="15" to="20" fault="InvalidPollRequest"/>
      </SequenceReplies>
      <NonSequenceReply groupId="mid://20040202.103811@wsr-sender.org"
        fault="InvalidPollRequest"/>
      <SequenceReplies groupId="mid://20040202.103807@wsr-sender.org">
        <ReplyRange from="713" to="6150" fault="InvalidPollRequest"/>
      </SequenceReplies>
    </Response>
  </soap:Header>
  <soap:Body />
</soap:Envelope>
```

4.6 Extensibility Features of Schema

The core schema for this specification (associated in **Section 1.3, Table 2**, with the “wsrm” namespace prefix) specifies extension mechanisms for some schema elements.

The following elements (which have a complex sequence type) allow the presence of zero or more extension elements (of type xs:anyType; that is, any type not defined in this core namespace is allowed) at the beginning of the sequence, as well as zero or more extension attributes (with similar namespace restrictions):

- Request

- 1108 · Response
- 1109 · PollRequest
- 1110 · NonSequenceReply
- 1111 · SequenceReplies
- 1112 · ReplyRange
- 1113 The extensibility of the ReplyTo elements (**Sections** [4.2.3.2](#) and [4.3.1](#)) is somewhat different; it is
- 1114 described in the appropriate sections above.

5 Operational Aspects and Semantics

5.1 Message Group Life Cycle

5.1.1 Group Termination

Being able to know when a group may be terminated and its persistent resources reclaimed is essential for keeping the resource footprint of reliability low. However, this section is not just about efficient management of resources: it describes normative behavioral rules for RMPs when handling group termination.

Termination of a group in the Sending RMP and in the Receiving RMP are two distinct events, not synchronized by any special message but instead occurring as the result of rules applying separately to the Sending and Receiving RMPs. As a consequence, the termination of a group may occur at quite different times on the Sending RMP and the Receiving RMP. However, the lack of synchronization allowed by these termination rules is not consequential.

Groups undergoing termination on the Sending RMP and the Receiving RMP pass through the following states:

Group complete:

- The Sending RMP considers a group complete when all of its messages have been sent and the last sent message has an ending marker (SequenceNum@last="true" or it has a sequence number with the maximum value). Note that completeness occurs even if not all of the group's messages have been either acknowledged or faulted (in case GuaranteedDelivery is enabled).
- The Receiving RMP considers a group complete when a message with an ending marker has been received and all previous messages for this group also have been received (no number missing in the sequence) although not necessarily delivered yet.

Group closed:

- When a group is closed in the Sending RMP, the RMP expects to send no new message in this group. However, the RMP MAY resend messages as needed if GuaranteedDelivery is enabled. If a new message is submitted for a closed group, the Sending RMP MUST notify the Producer that the group is closed and MUST NOT send the message.
- When a group is closed in the Receiving RMP, the RMP expects to receive no new message for this group. After a group is closed and before it is "removed" (see definition below), a Receiving RMP MUST NOT deliver messages received with this group identifier, whether or not they are duplicates of previous messages and regardless of whether they result from a resend of previously failed messages initiated before closing on the Sending RMP (in case GuaranteedDelivery is enabled).

Note:

Due to time-out, a group may be closed without being complete. Once complete, a group will close (see termination rules).

Group Removed:

Group removal occurs at the time the group is closed or afterward. Intuitively, a group is removed when a Receiving RMP does not need to remember anything about this group, i.e., when there is no need to check for duplicates of its messages in the future (for example, when all of its messages have expired).

- When a group is removed in the Sending RMP, the RMP is NOT REQUIRED to verify that future submitted messages are improperly associated with the removed group and MAY treat them as part of a new group. However, the Sending RMP is responsible for generating group identifiers, and it SHOULD generate values unique enough to avoid later reuse of the group identifier of a removed group (for example, generation mechanisms including a timestamp will make reuse impossible).
- When a group is removed in the Receiving RMP, the RMP is no longer supposed to remember anything about this group. In particular, the group identifier is discarded from the RMP state. When receiving a message with same group identifier as a removed group, a Receiving RMP is NOT REQUIRED to confirm whether or not this group identifier value has already been used; the RMP MAY treat such a message as part of a new group.

5.1.2 Group Termination Parameters

Two RM Agreement Items, GroupExpiryTime and GroupMaxIdleDuration, determine when a group can be terminated. These two items are considered Group Termination parameters that control the persistence of the group data. The corresponding message header attributes are @groupExpiryTime and @groupMaxIdleDuration respectively. The following requirements pertain to these header attributes:

a) The first message in a group (the one with Request/MessageId/SequenceNum@number=0) indicates which Group Termination (time-out) parameter is in use for the group. However, the Receiving RMP MUST use the first message received for this group to indicate which termination parameter is associated with this group.

- If the first message in the sequence of a group has neither group time-out parameter present, the group will be terminated according to condition T3, T4 or T5.
- If the first message has one of the two time-out parameters present (either @groupExpiryTime or @groupMaxIdleDuration), the group will be subject to termination rules T1 or T2 described below.
- The Receiving RMP MUST return an InvalidMessageParameters fault if both group persistence parameters are present in any request message.
- If @groupExpiryTime is in use, the Sending RMP MUST NOT send a message in that group with an ExpiryTime value greater than @groupExpiryTime.

b) The group termination parameter sent on the first message in the group SHALL be used on all subsequent messages in that group and SHALL be assigned a value.

c) If the Receiving RMP receives a message with a group termination parameter that is not consistent with the termination parameter used in previous messages for this group, the Receiving RMP MUST return an InvalidMessageParameters fault.

When the group is ordered, the fault SHALL be returned for the message with lowest sequence number that was found inconsistent in the group. If the group is not required to be ordered, the fault SHALL be returned for the first message received that was found inconsistent in the group.

1199 d) The Sending RMP MAY modify either time-out parameter, sending a subsequent
1200 message with the new value. When applying termination rules, the Sending RMP MUST
1201 use the value in the message with the highest sequence number sent for the group. The
1202 Receiving RMP MUST use the value from the message with the highest sequence number
1203 received for the group.

1204 e) @groupMaxIdleDuration can be either increased or decreased without restriction. The
1205 Sending RMP may increase or decrease @groupExpiryTime as long as it is never less than
1206 the max(ExpiryTime) of the messages sent for the group so far.

1207 The Receiving RMP MUST publish an InvalidMessageParameters Fault for a message with
1208 a @groupExpiryTime value less than the max(ExpiryTime) of the messages previously
1209 received for the group.

1210 5.1.3 Termination Rules

1211 Termination is the process by which an RMP discontinues the use of a group, allowing the RMP
1212 to reclaim resources used by the group. Termination typically involves two steps that may occur
1213 at different times: closing and removal. Removal of a group may happen some time after it is
1214 closed, allowing an RMP to filter out potential duplicate messages. The general rule is that a
1215 group is removed once all of its messages have expired. If we define max(ExpiryTime) as the
1216 maximum date and time of all ExpiryTime values of the messages sent for a group (on the
1217 Sender side) or received for a group (on the Receiver side), a group will not be removed before
1218 max(ExpiryTime) occurs.

1219 There are two general indicators an RMP will use to terminate a group:

- 1220 a) Message Marker: Information within a message (either
1221 Request/MessageId/SequenceNum@last="true" or the maximum sequence number)
1222 indicates the last message for the group. This is used by termination rules T3, T4.
- 1223 b) Timing: Either the group's lifespan expired or its idle time exceeded a time-out. This is
1224 used by termination rules T1, T2. Or due to message expiration, a group with the ordering
1225 requirement cannot be delivered. This is used by termination rule T5.

1226 These termination rules apply to both ordered and unordered groups. However, these rules do
1227 not apply to groups that contain a single message with no sequence number.

1228 5.1.3.1 Termination by expiration (T1):

1229 Context:

1230 The group specified @groupExpiryTime.

1231 Receiver side:

1232 Triggering event: @groupExpiryTime is in the past.

1233 The RMP MUST close and remove the group.

1234 Sender side:

1235 Triggering event: @groupExpiryTime is in the past (note: in this case, max(ExpiryTime) also is
1236 past).

1237 The RMP MUST close and remove the group.

1238 **5.1.3.2 Termination by idle time-out (T2):**

1239 Context:

1240 The group specified @groupMaxIdleDuration.

1241 Receiver side:

1242 Triggering event: The time since the last received message for the group is over
1243 @groupMaxIdleDuration.

1244 The RMP MUST close the group. But unlike T1, some of its past messages may not have expired
1245 yet. In case Duplicate Elimination is required, the RMP MUST NOT remove the group until max
1246 (ExpiryTime) is reached in order to make sure all potential duplicates for the group will not be
1247 delivered.

1248 Sender side:

1249 Triggering event: The time since the last sent message for the group is over
1250 @groupMaxIdleDuration.

1251 The RMP MUST close the group. If GuaranteedDelivery was required, the RMP MUST remove
1252 the group once it has received either acknowledgment or notification of delivery failure for all sent
1253 messages. If no GuaranteedDelivery was required, the RMP MUST remove the group
1254 immediately.

1255 **5.1.3.3 Termination by completeness (T3):**

1256 Context:

1257 No specific context.

1258 Receiver side:

1259 Triggering event: The RMP receives a message marked last
1260 (Request/MessageId/SequenceNum@last="true"). If all previous messages for the group have
1261 been received, the group is closed immediately. Alternately, the group is closed when the RMP
1262 receives the last missing message in the group.

1263 The RMP MUST close the group. However, its removal is done according to T1 or T2 depending
1264 on which time-out parameter was specified for the group. If no time-out parameter was specified,
1265 the group is removed once all of its messages have expired, i.e., the date and time max
1266 (ExpiryTime) has passed.

1267 **Note:**

1268 In the case in which a message is received with an ending marker before all previous messages
1269 have been received, the group remains active. No termination process is initiated yet.

1270 Sender side:

1271 Triggering event: The RMP sends a message marked last.

1272 All messages of the group have been sent. The RMP MUST close the group. If
1273 GuaranteedDelivery was required, the RMP MUST remove the group once it has received either
1274 acknowledgment or notification of delivery failure for all sent messages. If GuaranteedDelivery
1275 was not required, the RMP MUST remove the group immediately.

1276 **5.1.3.4 Termination by sequence exhaustion (T4):**

1277 Context:

1278 No specific context.

1279 Receiver side:

1280 Triggering event: The RMP receives a message with a sequence number of the maximum value.
1281 If all previous messages for the group have been received, the group is closed immediately.
1282 Alternately, the group is closed when the RMP receives the last missing message in the group.

1283 The group closing and removal follow the rules in T3, the message with the maximum sequence
1284 number acting as a message with the ending mark.

1285 **Note:**

1286 In case a message is received with the maximum sequence number before all previous
1287 messages have been received, the group remains active. No termination process is initiated yet.

1288 Sender side:

1289 Triggering event: The RMP sends a message with a sequence number with the maximum value.

1290 The group closing and removal follow the rules in T3, the message with the maximum sequence
1291 number acting as a message with the ending mark.

1292 **5.1.3.5 Termination by ordering failure (T5):**

1293 Context:

1294 The group requires the Guaranteed Message Ordering reliability feature.

1295 Receiving side:

1296 Triggering event: In an ordered group, a received message expires before delivery or faults with
1297 a fault code other than MessageProcessingFailure. If all previous messages for the group have
1298 been received, the group is closed immediately. Alternately, the group is closed when the RMP
1299 receives the last missing message in the group.

1300 The RMP MUST close the group. The group is removed according to rule T3.

1301 Sender Side:

1302 Triggering event: In an ordered group, an unacknowledged message expires or the RMP
1303 receives an RM Fault for this Reliable Message with a fault code other than
1304 MessageProcessingFailure.

1305 The RMP MUST close the group. The group is removed according to rule T3.

1306 **5.1.3.6 Summary of Group Termination Rules**

1307 Conditions for terminating a group in a Receiving RMP:

Group Closing	Group Removal
When @groupExpiryTime has passed.	(after closing) When @groupExpiryTime has passed.
When the @groupMaxIdleDuration time-out has expired.	(after closing) When Max(ExpiryTime) has passed.
When a group is complete.	(after closing) When Max(ExpiryTime) has passed.
When a group is ordered AND an undelivered message expires or faults.	(after closing) When Max(ExpiryTime) has passed.

Table 26 Conditions for terminating a group – Receiving RMP

1308 Conditions for terminating a group in a Sending RMP:

Group Closing	Group Removal
When @groupExpiryTime has passed.	(after closing) When @groupExpiryTime has passed.
When the @groupMaxIdleDuration time-out has expired.	(after closing) In case GuaranteedDelivery is not required, remove the group immediately. Otherwise, remove it if all messages have been either acknowledged or faulted.
When a group is complete.	(after closing) In case GuaranteedDelivery is not required, remove the group immediately. Otherwise, remove it if all messages have been either acknowledged or faulted.
When a group is ordered AND an unacknowledged message expires or faults.	(after closing) Remove the group after all messages have been either acknowledged or faulted.

Table 27 Conditions for terminating a group – Sending RMP

1309 5.2 Attachments

- 1310 When an RMP implementing this specification uses the W3C Note “SOAP Messages with
1311 Attachments” specification [SOAP with Attachments], it MUST follow the following rules:
- 1312 1) The Sending RMP MUST include the whole SOAP envelope containing the WS-
1313 Reliability header elements in the first MIME part.
 - 1314 2) It MUST set the charset parameter of the Content-Type header of the first MIME part to
1315 either UTF-8 or UTF-16.
 - 1316 3) It MAY include zero or more additional MIME parts in a Reliable Message.
 - 1317 4) The Receiving RMP MUST deliver all MIME parts in a Reliable Message to the
1318 Consumer.

6 HTTP Binding

This section specifies two normative bindings of WS-Reliability header elements to SOAP header blocks carried in messages using HTTP as a transport protocol:

- SOAP 1.1 over HTTP POST binding: An implementation of WS-Reliability MAY support mapping the WS-Reliability header elements as SOAP header blocks in accordance with the SOAP 1.1 HTTP Binding specified in Section 6 of [SOAP 1.1]. In that case, the SOAP Request-response MEP defined in this specification will map to an HTTP request-response. The SOAP One-way MEP, as defined in **Section 2.3**, maps to the request of an HTTP request-response.
- SOAP 1.2 over HTTP POST binding: An implementation of WS-Reliability MAY support mapping the WS-Reliability header elements as SOAP header blocks in accordance with the SOAP 1.2 HTTP binding for the Request-Response MEP specified in Section 7, "SOAP HTTP Binding", of [SOAP 1.2 Part 2].

If a Reliable Message request is invoked using SOAP 1.1, all subsequent message exchanges pertaining to that Message Identifier MUST use the SOAP 1.1 protocol. In addition, when an HTTP binding is used, it is RECOMMENDED the RMP comply with WS-I BP 1.1 [WS-I BP 1.1]. When no WSDL describes the messages being exchanged, the previous WS-I conformance requirements should be understood as conformance to the subset of the profile requirements pertaining to the message artifact only.

In case a message encounters a failure in processing the RM headers, the requirements for Fault handling in **Section 4.5** apply. When using SOAP 1.1, conformance to the WS-I Basic Profile 1.1 requires the following:

- For SOAP One-way HTTP binding: the HTTP response entity-body SHALL be empty. If the RM Fault is a Message Format fault, the HTTP status code SHOULD be "400 Bad Request" (see R1113 in [WS-I BP 1.1]); otherwise, the RM fault is a Message Processing fault and the status code SHOULD be "500 Internal Server Error".
- For SOAP Request-response HTTP binding: the HTTP response contains a SOAP Fault element and has the "500 Internal Server Error" HTTP status code (see R1126 in [WS-I BP 1.1]).

These two requirements for Fault handling apply to all message exchanges described in this section and its sub-sections.

If a ReplyTo element present in a Request element or Poll Request header element sent using the SOAP 1.1 protocol uses the wsrn:BareURI (the default, described in **Sections 4.2.3.2.2** and **4.3.1.2**) reference scheme and uses the 'http:' URL scheme, the Receiving RMP MUST send the WS-Reliability response using the HTTP binding specified in Section 6 of SOAP 1.1.

If a Reliable Message request is invoked using SOAP 1.2, all subsequent message exchanges pertaining to its Message Identifier MUST use the SOAP 1.2 protocol.

If a ReplyTo element present in a Request element or Poll Request header element sent using the SOAP 1.2 protocol uses the wsrn:BareURI reference scheme and uses the 'http:' URL scheme, the the Receiving RMP MUST send the WS-Reliability response using the HTTP binding for Request-Response MEP specified in SOAP 1.2.

The following subsections specify the mapping of WS-Reliability header elements to HTTP request and response messages for the three RM-Reply Patterns. The Poll RM-Reply Pattern has two variations: synchronous and asynchronous.

The value of the ReplyPattern/Value element identifies the specific RM-Reply Pattern in use (see **Section 4.2.3.1** for details).

This specification requires the transport layer to deliver messages to the reliability layer without corruption. When a request message contains the AckRequested element, the Receiving RMP **MUST** send an RM-Reply (an Acknowledgment Indication or an RM Fault Indication) for that request. For the Callback and Poll RM-Reply Patterns, a Response element can contain multiple Acknowledgment and/or RM Fault Indications.

For simplicity, the detailed examples show only the use of SOAP 1.1. However, the figures that show the mapping of WS-Reliability elements to HTTP POST request messages and HTTP response messages apply to both the SOAP 1.1 over HTTP POST binding and the SOAP 1.2 over HTTP POST binding.

6.1 Reliable Messaging with Response RM-Reply Pattern

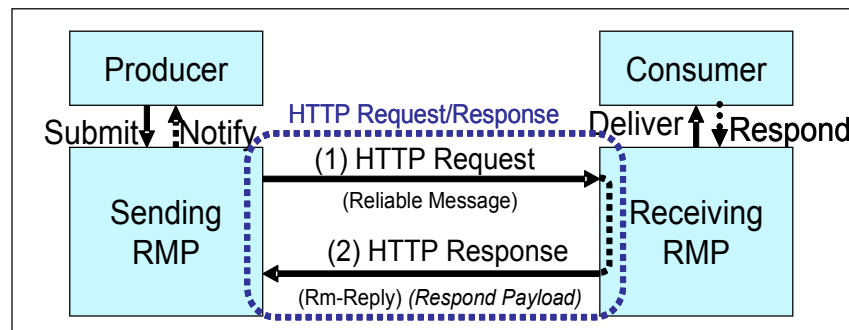


Figure 9 Response RM-Reply Pattern

As described in general for this RM-Reply Pattern (**Section 2.4.1**), the Receiving RMP **MUST** return the RM-Reply with the HTTP response on the same HTTP connection used by the Sending RMP to send the request. This is illustrated in **Figure 9**.

- In (1), the Sending RMP initiates an HTTP connection and sends a Message using the HTTP POST method, as in **Example 10**.
- In (2), using the same connection, the Receiving RMP sends back to the Sending RMP an HTTP response containing an RM-Reply; in **Example 11**, the RM-Reply is an Acknowledgment Indication.

Example 10 Request Message with Response RM-Reply Pattern

```
1383 POST /abc/servlet/wsrEndpoint HTTP/1.0
1384 Content-Type: text/xml; charset=utf-8
1385 Host: 192.168.183.100
1386 SOAPAction: ""
1387 Content-Length: 755
1388
1389 <soap:Envelope xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/" >
1390   <soap:Header>
1391     <Request
1392       xmlns="http://docs.oasis-open.org/wsr/2004/06/ws-reliability-1.1.xsd"
1393       soap:mustUnderstand="1">
1394       <MessageId groupId="mid://20040202.103832@wsr-sender.org">
1395         <SequenceNum number="0"
1396           groupExpiryTime="2005-02-02T03:00:33-31:00" />
1397       </MessageId>
1398       <ExpiryTime>2004-09-07T03:01:03-03:50</ExpiryTime>
1399       <ReplyPattern>
1400         <Value>Response</Value>
1401       </ReplyPattern>
1402       <AckRequested/>
1403       <DuplicateElimination/>
1404       <MessageOrder/>
1405     </Request>
1406   </soap:Header>
1407   <soap:Body>
1408     <Request xmlns="http://example.org/wsr">Request Message</Request>
1409   </soap:Body>
1410 </soap:Envelope>
```

Example 11 Acknowledgment Indication with Response RM-Reply Pattern

```
1411 HTTP/1.0 200 OK
1412 Server: WS-ReliabilityServer
1413 Date: Mon, 02 Feb 2004 10:38:32 GMT
1414 Content-Language: en
1415 Content-Type: text/xml; charset=utf-8
1416 Content-Length: 414
1417
1418 <soap:Envelope xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/" >
1419   <soap:Header>
1420     <Response soap:mustUnderstand="1"
1421       xmlns="http://docs.oasis-open.org/wsrn/2004/06/ws-reliability-1.1.xsd">
1422       <SequenceReplies groupId="mid://20040202.103832@wsr-sender.org">
1423         <ReplyRange from="0" to="0"/>
1424       </SequenceReplies>
1425     </Response>
1426   </soap:Header>
1427   <soap:Body />
1428 </soap:Envelope>
```

6.2 Reliable Messaging with Callback RM-Reply Pattern

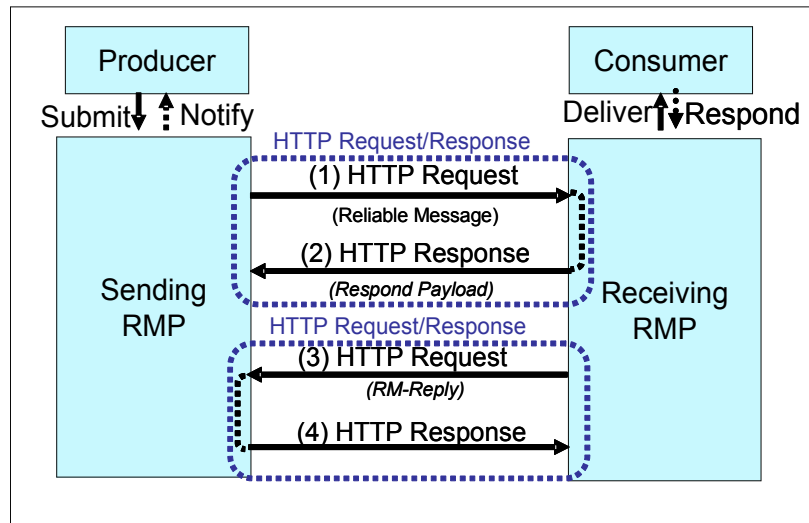


Figure 10 Callback RM-Reply Pattern

As described in general for this RM-Reply Pattern ([Section 2.4.2](#)) and as illustrated in **Figure 10**, two distinct HTTP request/response exchanges are involved.

- In (1), the Sending RMP initiates a new HTTP request and sends a Reliable Message with the Callback RM Reply Pattern. **Example 12** shows such an HTTP message.
- In (2), the HTTP response may have an empty entity-body (in case of a SOAP One-way MEP instance).
- In (3), the Receiving RMP MUST return the RM-Reply on an HTTP connection different from the one the Sending RMP used to send the message. The direction of the HTTP

1438 connection used by the Receiving RMP is from the Receiving RMP to the Sending RMP.
1439 **Example 14** shows an Acknowledgment Indication as the RM-Reply.
1440
1441 · In (4), there is no HTTP entity-body unless the RM-Reply was bundled with a new
Reliable Message on a SOAP Request-response MEP instance.

Example 12 Request Message with Callback RM-Reply Pattern

```
1442 POST /abc/servlet/wsrEndpoint HTTP/1.0
1443 Content-Type: text/xml; charset=utf-8
1444 Host: 192.168.183.100
1445 SOAPAction: ""
1446 Content-Length: 863
1447
1448 <soap:Envelope xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/" >
1449   <soap:Header>
1450     <Request
1451       xmlns="http://docs.oasis-open.org/wsr/2004/06/ws-reliability-1.1.xsd"
1452       soap:mustUnderstand="1">
1453       <MessageId groupId="mid://20040202.103832@wsr-sender.org">
1454         <SequenceNum number="0"
1455           groupExpiryTime="2005-02-02T03:00:33-31:00" />
1456       </MessageId>
1457       <ExpiryTime>2004-09-07T03:01:03-03:50</ExpiryTime>
1458       <ReplyPattern>
1459         <Value>Callback</Value>
1460         <ReplyTo>
1461           <BareURI>http://wsr-sender.org/abc/wsrListener</BareURI>
1462         </ReplyTo>
1463       </ReplyPattern>
1464       <AckRequested/>
1465       <DuplicateElimination/>
1466       <MessageOrder/>
1467     </Request>
1468   </soap:Header>
1469   <soap:Body>
1470     <Request xmlns="http://example.org/wsr">Request Message</Request>
1471   </soap:Body>
1472 </soap:Envelope>
```

Example 13 HTTP response with no content

```
1473 HTTP/1.0 200 OK
1474 Server: WS-ReliabilityServer
1475 Date: Mon, 02 Feb 2004 10:38:32 GMT
1476 Content-Language: en
1477 Content-Type: text/xml; charset=utf-8
1478 Content-Length: 0
```

Example 14 Acknowledgment Indication with Callback RM-Reply Pattern

```
1479 POST /abc/wsrmlistener HTTP/1.0
1480 Content-Type: text/xml; charset=utf-8
1481 Host: 192.168.183.200
1482 SOAPAction: ""
1483 Content-Length: 414
1484
1485 <soap:Envelope xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/">
1486   <soap:Header>
1487     <Response soap:mustUnderstand="1"
1488       xmlns="http://docs.oasis-open.org/wsr/2004/06/ws-reliability-1.1.xsd">
1489       <SequenceReplies groupId="mid://20040202.103832@wsr-sender.org">
1490         <ReplyRange from="0" to="0"/>
1491       </SequenceReplies >
1492     </Response>
1493   </soap:Header>
1494   <soap:Body />
1495 </soap:Envelope>
```

6.3 Reliable Messaging with Poll RM-Reply Pattern

The general rules for this RM-Reply Pattern are described in **Section 2.4.3**. When the Sending RMP issues a PollRequest, the Receiving RMP MAY return the RM-Reply on the HTTP connection used to send the PollRequest message (synchronous), or it MAY return the RM-Reply on a different HTTP connection (asynchronous). Whether the RM-Reply corresponding to the PollRequest is synchronous or asynchronous depends on the presence of a ReplyTo element in the PollRequest element.

6.3.1 Synchronous Poll RM-Reply Pattern

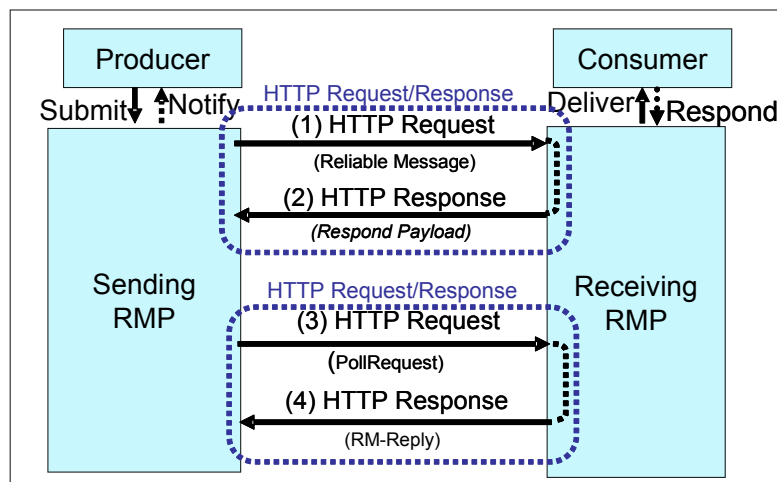


Figure 11 Synchronous Poll RM-Reply Pattern

Figure 11 illustrates the synchronous variant of the Poll RM Reply Pattern.

- In (1), the Sending RMP initiates a new HTTP Request and sends a Reliable Message with the Poll RM-Reply Pattern.

- 1507 · In (2), the HTTP response may have an empty entity-body (in case of a SOAP One-way
1508 MEP instance).
- 1509 · In (3), at a later time the Sending RMP initiates a different HTTP Request to send a
1510 PollRequest message. The PollRequest does not include the ReplyTo element (see
1511 **Example 15**).
- 1512 · In (4), the Receiving RMP returns the RM-Reply in an HTTP response on the same
1513 HTTP connection used to send the PollRequest, as illustrated in **Figure 11**. The HTTP
1514 response (4) includes an RM-Reply (e.g., an Acknowledgment Indication as in **Example**
1515 **16**).

Example 15 PollRequest message with Synchronous Poll RM-Reply Pattern

```
1516 POST /abc/servlet/wsrmlistener HTTP/1.0
1517 Content-Type: text/xml; charset=utf-8
1518 Host: 192.168.183.100
1519 SOAPAction: ""
1520 Content-Length: 433
1521
1522 <soap:Envelope xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/" >
1523   <soap:Header>
1524     <PollRequest
1525       xmlns="http://docs.oasis-open.org/wsrml/2004/06/ws-reliability-1.1.xsd"
1526       soap:mustUnderstand="1">
1527       <RefToMessageIds groupId="mid://20040202.103832@wsr-sender.org">
1528         <SequenceNumRange from="0" to="20"/>
1529       </RefToMessageIds>
1530     </PollRequest>
1531   </soap:Header>
1532   <soap:Body />
1533 </soap:Envelope>
```

Example 16 Synchronous Acknowledgment Indication

```

1534 HTTP/1.0 200 OK
1535 Server: WS-ReliabilityServer
1536 Date: Mon, 02 Feb 2004 10:38:32 GMT
1537 Content-Language: en
1538 Content-Type: text/xml; charset=utf-8
1539 Content-Length: 456
1540
1541 <soap:Envelope xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/" >
1542   <soap:Header>
1543     <Response soap:mustUnderstand="1"
1544       xmlns="http://docs.oasis-open.org/wsrn/2004/06/ws-reliability-1.1.xsd">
1545       <SequenceReplies groupId="mid://20040202.103832@wsr-sender.org">
1546         <ReplyRange from="0" to="14"/>
1547         <ReplyRange from="16" to="20"/>
1548       </SequenceReplies>
1549     </Response>
1550   </soap:Header>
1551   <soap:Body />
1552 </soap:Envelope>

```

6.3.2 Asynchronous Poll RM-Reply Pattern

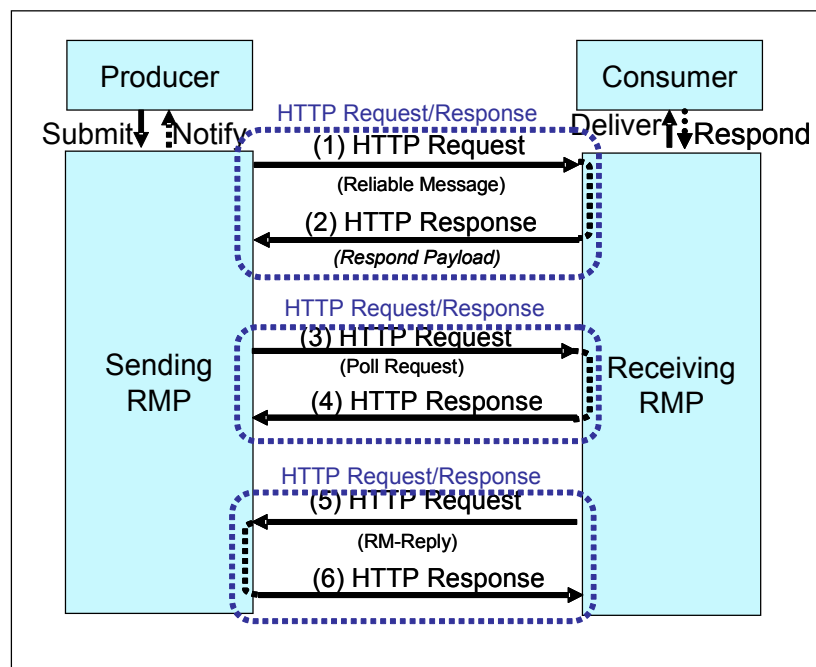


Figure 12 Asynchronous Poll RM-Reply Pattern

Figure 12 illustrates the asynchronous variant of the Poll RM Reply Pattern.

- In (1), the Sending RMP initiates a new HTTP Request and sends a Reliable Message with the Poll RM-Reply Pattern.

- 1557 · In (2), the HTTP response may have an empty entity-body (in the case of a SOAP One-
1558 way MEP instance).
- 1559 · In (3), the Sending RMP initiates a new HTTP request and sends a PollRequest
1560 message. Note that in **Example 17**, the PollRequest element has a ReplyTo element.
- 1561 · In (4), the HTTP response (4) has no HTTP entity-body (see **Example 13**).
- 1562 · In (5), the Receiving RMP sends the RM-Reply in a different HTTP request to the
1563 listener identified by the ReplyTo element (see **Example 18**).
- 1564 · In (6), the HTTP response has no HTTP entity-body (see **Example 13**).

Example 17 PollRequest message with Asynchronous Poll RM-Reply Pattern

```

1565 POST /abc/servlet/wsrmlistener HTTP/1.0
1566 Content-Type: text/xml; charset=utf-8
1567 Host: 192.168.183.100
1568 SOAPAction: ""
1569 Content-Length: 553
1570
1571 <soap:Envelope xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/" >
1572   <soap:Header>
1573     <PollRequest
1574       xmlns="http://docs.oasis-open.org/wsr/2004/06/ws-reliability-1.1.xsd"
1575       soap:mustUnderstand="1">
1576       <RefToMessageIds groupId="mid://20040202.103832@wsr-sender.org">
1577         <SequenceNumRange from="0" to="20"/>
1578       </RefToMessageIds>
1579       <ReplyTo>
1580         <BareURI>http://wsr-sender.org/xyz/servlet/wsrmlistener
1581         </BareURI>
1582       </ReplyTo>
1583     </PollRequest>
1584   </soap:Header>
1585   <soap:Body />
1586 </soap:Envelope>

```

Example 18 Asynchronous Acknowledgment Indication

```
1587 POST /xyz/servlet/wsrmlistener HTTP/1.0
1588 Content-Type: text/xml; charset=utf-8
1589 Host: 192.168.183.200
1590 SOAPAction: ""
1591 Content-Length: 456
1592
1593 <soap:Envelope xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/" >
1594   <soap:Header>
1595     <Response soap:mustUnderstand="1"
1596       xmlns="http://docs.oasis-open.org/wsrml/2004/06/ws-reliability-1.1.xsd">
1597       <SequenceReplies groupId="mid://20040202.103832@wsr-sender.org">
1598         <ReplyRange from="0" to="14"/>
1599         <ReplyRange from="16" to="20"/>
1600       </SequenceReplies>
1601     </Response>
1602   </soap:Header>
1603   <soap:Body />
1604 </soap:Envelope>
```

7 Conformance

In order to conform to this specification, an implementation must satisfy all of the following conditions:

- It has implemented all required syntax, features and behaviors.
- It complies with the following interpretation of the keywords OPTIONAL and MAY: as stated in [RFC2119], when these keywords apply to the behavior of the implementation, the implementation is free to support these behaviors or not.
- It MUST be capable of processing the prescribed failure mechanism for those optional features it has chosen to implement. If an RMP conforming to this requirement has implemented an optional feature, syntax or behavior defined in this specification, it can interoperate with another implementation that has not.
- It MUST be capable of generating the prescribed failure mechanism for those optional features it has not chosen to implement. If an RMP conforming to this requirement has not implemented an optional feature, syntax or behavior defined in this specification, it can interoperate with another implementation that has.

8 References

- [ebMS] "Message Service Specification Version 2.0", OASIS ebXML Messaging Services Technical Committee, OASIS Standard, 1 April 2002. Available at <http://www.ebxml.org/specs/ebMS2.pdf>
- [RFC1738] "Uniform Resource Locators (URL)", T. Berners-Lee et al, RFC 1738, IESG and IETF, December 1994. Available at <http://www.ietf.org/rfc/rfc1738.txt>
- [RFC2119] "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, Bradner, S., IESG and IETF, March 1997. Available at <http://www.ietf.org/rfc/rfc2119.txt>
- [RFC2392] "Content-ID and Message-ID Uniform Resource Locators", RFC2392, E. Levinson, IESG and IETF, August 1998. Available at <http://www.ietf.org/rfc/rfc2392.txt>
- [RFC2396] "Uniform Resource Identifiers (URI): Generic Syntax", RFC 2396, Tim Berners-Lee et al, IESG and IETF, August 1998. Available at <http://www.ietf.org/rfc/rfc2396.txt>
- [RFC2616] "Hypertext Transfer Protocol – HTTP/1.1", RFC 2616, R. Fielding et al, IESG and IETF, June 1999. Available at <http://www.ietf.org/rfc/rfc2616.txt>
- [RFC2822] "Internet Message Format", RFC 2822, P. Resnick, Editor, IESG and IETF, April 2001. Available at <http://www.ietf.org/rfc/rfc2822.txt>
- [SOAP 1.1] "Simple Object Access Protocol (SOAP) 1.1", Don Box et al, W3C Note, 8 May, 2000. Available at <http://www.w3.org/TR/2000/NOTE-SOAP-20000508/>
- [SOAP 1.2 Part 1] "SOAP 1.2 Part 1: Messaging Framework", Martin Gudgin, Marc Hadley, Noah Mendelsohn, Jean-Jacques Moreau, Henrik Frystyk Nielsen, eds., W3C Recommendation, 24 June 2003. Available at <http://www.w3.org/TR/2003/REC-soap12-part1-20030624/>

1649 [SOAP 1.2 Part 2] "SOAP 1.2 Part 1: Adjuncts", Martin Gudgin, Marc Hadley, Noah Mendelsohn,
 1650 Jean-Jacques Moreau, Henrik Frystyk Nielsen, eds., W3C Recommendation, 24 June 2003.
 1651 Available at
 1652 <http://www.w3.org/TR/2003/REC-soap12-part2-20030624/>

1653 [SOAP with Attachments] "SOAP Messages with Attachments", John J. Barton, Satish Thatte,
 1654 Henrik Frystyk Nielsen, W3C Note, 11 December 2000, Available at
 1655 <http://www.w3.org/TR/SOAP-attachments>

1656 [XML] "Extensible Markup Language (XML) 1.0 (Third Edition)", Tim Bray et al, eds., W3C
 1657 Recommendation, first published 10 February 1998, revised 4 February 2004. Available at
 1658 <http://www.w3.org/TR/2004/REC-xml-20040204>

1659 [XML Namespaces] "Namespaces in XML", Tim Bray et al., eds., W3C Recommendation, 14
 1660 January 1999. Available at
 1661 <http://www.w3.org/TR/1999/REC-xml-names-19990114/>

1662 [XML Schema Part 1] "XML Schema Part 1: Structures", Henry S. Thompson, David Beech,
 1663 Murray Maloney, Noah Mendelsohn, eds., W3C Recommendation, 2 May 2001. Available at
 1664 <http://www.w3.org/TR/2001/REC-xmlschema-1-20010502/>

1665 [XML Schema Part 2] "XML Schema Part 2: Datatypes", Paul V. Biron and Ashok Malhotra, eds.
 1666 W3C Recommendation, 2 May 2001. Available at
 1667 <http://www.w3.org/TR/2001/REC-xmlschema-2-20010502/>

1668 [XPath 1.0] "XML Path Language (XPath) Version 1.0", James Clark, Steve DeRose, eds., W3C
 1669 Recommendation, 16 November 1999. Available at
 1670 <http://www.w3.org/TR/1999/REC-xpath-19991116>

1671 [WSDL 1.1] "Web Services Description Language (WSDL) 1.1", Erik Christensen, Francisco
 1672 Curbera, Greg Meredith, Sanjiva Weerawarana, eds., W3C Note, 15 March 2001. Available at
 1673 <http://www.w3.org/TR/2001/NOTE-wsdl-20010315>

1674 [WS-I BP 1.1] "Basic Profile Version 1.1", Keith Ballinger, David Ehnebuske, Christopher Ferris,
 1675 Martin Gudgin, Mark Nottingham, Prasad Yendluri, eds., WS-I specification, 8 August 2004.
 1676 Available at
 1677 <http://www.ws-i.org/Profiles/BasicProfile-1.1-2004-07-21.html>

1678 [WSS] "OASIS Web Services Security: SOAP Message Security 1.0 (WS-Security 2004)", Chris
 1679 Kaler, Phillip Hallam-Baker, Ronald Monzillo, eds, OASIS Standard 200401, March 2004.
 1680 Available at
 1681 <http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-soap-message-security-1.0.pdf>

Appendix A. Schema (Normative)

The schemas for this specification have the following URLs and are located using the filenames shown in the table:

Schema Namespace URL	File name	Prefix
http://docs.oasis-open.org/wsrn/2004/06/ws-reliability-1.1.xsd	ws-reliability-1.1.xsd	wsrm
http://docs.oasis-open.org/wsrn/2004/06/reference-1.1.xsd	reference-1.1.xsd	ref
http://docs.oasis-open.org/wsrn/2004/06/fnp-1.1.xsd	fnp-1.1.xsd	fnp
http://docs.oasis-open.org/wsrn/2004/06/wsrmp-1.1.xsd	wsrmp-1.1.xsd	wsrmp

Table 28 WS-Reliability Schema Prefixes

RMPs MUST include the SOAP mustUnderstand attribute (defined in the same namespace used for the soap:Envelope element) in all Reliable Messaging specified header blocks and MUST observe the following restrictions:

- For SOAP 1.1, the mustUnderstand attribute value is restricted to "1".
- For SOAP 1.2, the mustUnderstand attribute value is restricted to "1" or "true".

Appendix B.WS-Reliability Features, Properties and Compositors (Normative and Optional)

B.1. Introduction

Users of a Web Service need to be aware of the reliability capabilities (RM capabilities) the service supports or requires. One practical location to advertise these capabilities is in the service description (WSDL document), which allows publishing both abstract service definitions and concrete protocol details (bindings). This allows clients (including other Web services) to easily obtain information about specific capabilities (such as guaranteed delivery, duplicate elimination, message ordering, and the supported reply patterns) of a specific Web service before calling the service. While bundling RM capabilities with the service description may not be desirable in all cases, this convenient approach often should be appropriate. The WSDL annotation mechanism described here adds such capability assertions in a flexible way.

WS-Reliability uses the WSDL 1.1 extensibility points to define an extensible framework consisting of features, properties and compositors. This framework addresses the needs of a reliable Web service to advertise its capabilities and the composability of those capabilities.

The following extensibility elements are relevant to RM capabilities:

- **feature** – see [Appendix B.3.2](#).
- **property** – see [Appendix B.3.3](#).
- **compositor** – see [Appendix B.3.1](#).

An annotation composed with the above extensibility elements will specify the reliability features and properties associated with specific WSDL constructs. Features and properties represent RM capabilities; compositors specify how these capabilities are composed.

This would, for example, allow a Web service description to advertise that clients invoking the service must use duplicate elimination or message ordering.

B.2. Conformance

Implementations of WS-Reliability are expected (though not required) to understand the WSDL extensibility points defined in this section.

Understanding these extensibility points promotes interoperability: a service advertises its supported and required features when its WSDL document contains these extensibility points. Therefore it is RECOMMENDED that implementations recognize, understand and support these extensibility points.

It is also possible for services to advertise features through other channels (such as UDDI) in addition to these extensibility points.

B.3. WSDL Extensibility Elements

B.3.1. Compositor

The compositor semantics describe how features and properties are composed for the enclosing component (or WSDL 1.1 element). The compositor's semantics determine whether the usage of composed elements by a client to the service is required or optional. All of the RM capabilities represented by these elements must be supported by the service. A compositor element can occur as a child element of `wsdl11:portType`, `wsdl11:operation` (which itself may be a child of `wsdl11:portType` or `wsdl11:binding`), `wsdl11:binding`, `wsdl11:service` and `wsdl11:port`. The compositor element uses the extensibility defined by WSDL 1.1. A compositor element specifies the semantics for combining its children elements. These children elements can be additional compositors, features, properties or extensibility elements.

A compositor element is expressed by the following pseudo-syntax:

```
<fnp:compositor uri="..." name="NCName"?>
  [fnp:feature/> | <fnp:property/> | <fnp:compositor/> |
    <extensibility-element/>]+
</fnp:compositor>
```

The uri attribute of the compositor specifies its semantics. Four different compositors (URIs) and their capability-related semantics are described below. It is possible to provide additional compositors by using other URIs. The possibility of additional compositors and the existence of extensibility points (represented by "<extensibility-element>") make the framework extensible. The optional @name identifies the compositor. An element built with such compositors represents an RM capability.

- **all:** this compositor specifies that a service invocation MUST comply with all of the children elements representing RM capability assertions. This compositor is identified by the URI:

<http://docs.oasis-open.org/wsrn/2004/06/fnp-1.1.xsd/compositors/all>

- **choice:** this compositor specifies that a service invocation MUST comply with exactly one of the possibly many children elements representing RM capability assertions. This compositor is identified by the URI:

<http://docs.oasis-open.org/wsrn/2004/06/fnp-1.1.xsd/compositors/choice>

- **one-or-more:** this compositor specifies that a service invocation MUST comply with at least one of the possibly many children elements representing RM capability assertions. This compositor is identified by the URI:

<http://docs.oasis-open.org/wsrn/2004/06/fnp-1.1.xsd/compositors/one-or-more>

- **zero-or-more:** this compositor specifies that a service invocation MAY comply with one or more of the children elements representing RM capability assertions. This compositor is identified by the URI:

<http://docs.oasis-open.org/wsrn/2004/06/fnp-1.1.xsd/compositors/zero-or-more>

Examples for each compositor are provided in **Appendix B.7** below.

Compositors specified at different WSDL components are implicitly aggregated using the 'all' compositor at the dependent WSDL component. Consider the example below:


```

1764 <wsdl11:definitions>
1765   ...
1766   <wsdl11:portType name="myPortType">
1767     <fnp:compositor uri="..." name="A">
1768       ...
1769     </fnp:compositor>
1770     ...
1771   </wsdl11:portType>
1772   <wsdl11:binding name="myBinding" type="myPortType">
1773     <fnp:compositor uri="..." name="B">
1774       ...
1775     </fnp:compositor>
1776     ...
1777   </wsdl11:binding>
1778   <wsdl11:service name="myService">
1779     <wsdl11:port name="myPort" binding="myBinding">
1780       ...
1781     </wsdl11:port>
1782   </wsdl11:service>
1783 </wsdl11:definitions>

```

1784 The compositor specified at the wsdl11:portType "myPortType" and the compositor specified at
1785 wsdl11:binding "myBinding" are aggregated at the dependent wsdl11:port "myPort" using the 'all'
1786 compositor. The equivalent compositor at "myPort" is

```

1787 <fnp:compositor
1788   uri="http://docs.oasis-open.org/wsrn/2004/06/fnp-1.1.xsd/compositor/all">
1789   <fnp:compositor uri="..." name="A">
1790   </fnp:compositor>
1791   <fnp:compositor uri="..." name="B">
1792     ...
1793   </fnp:compositor>
1794 </fnp:compositor>

```

1795 **B.3.2. Feature**

1796 A feature describes an abstract RM capability or assertion associated with a WSDL element. A
1797 feature can occur only as a child of a compositor.

1798 The enclosing compositor(s) define(s) whether or not the usage of a feature is required. A feature
1799 is identified by a URI. Recognizing the URI of a feature implies understanding the feature
1800 identified by that URI.

1801 A feature element is expressed by the following pseudo-syntax:

```

1802 <fnp:feature uri="...">
1803   [<fnp:compositor/> | <extensibility-element/>]*
1804 </fnp:feature>

```

B.3.3. Property

A property is identified by a QName. A property is an assertion or constraint on a specific RM capability and its value(s). A property can occur only as a child of a compositor.

Typically, properties are (but are not required to be) associated with a feature and are described in a feature specification. The QName identifier of a property uniquely identifies the property. Recognizing the property QName identifier implies understanding the semantics associated with that property. The property QName identifier typically points to a global XML Schema element declaration. A property specification typically specifies the schema containing this global element declaration. There may be a constraint on the set of values a property can have; such a constraint is specified by a QName identifying an XML Schema type.

```
<fnp:property name="xs:QName">
  [<fnp:value>xs:anyType</fnp:value> |
   <fnp:constraint>xs:QName</fnp:constraint>]
  [<extensibility-element/>]*
</fnp:property>
```

B.4. WS-Reliability Feature

The WS-Reliability feature is identified by the URI

<http://docs.oasis-open.org/wsrn/2004/06/wsrmp-1.1.xsd>

This feature URI identifies the WS-Reliability specification. Understanding this URI implies understanding the WS-Reliability specification.

B.5. WS-Reliability Properties

This section identifies properties for the WS-Reliability specification. Typically these properties are scoped within the feature identified by the URI

<http://docs.oasis-open.org/wsrn/2004/06/wsrmp-1.1.xsd>

B.5.1. Guaranteed Delivery Property

This property is identified by the QName "wsrmp:GuaranteedDelivery" and corresponds to the semantics specified by the WS-Reliability guaranteed delivery semantics. The type of this property is "xs:boolean".

B.5.2. Duplicate Elimination Property

This property is identified by the QName "wsrmp:NoDuplicateDelivery" and corresponds to the semantics specified by the WS-Reliability duplicate elimination semantics. The type of this property is "xs:boolean".

B.5.3. Message Ordering Property

This property is identified by the QName "wsrmp:OrderedDelivery" and corresponds to the semantics specified by the WS-Reliability message ordering semantics. The type of this property is "xs:boolean".

B.5.4. Reply Pattern Property

This property is identified by the QName "wsrmfp:ReplyPattern" and corresponds to the semantics specified by the WS-Reliability reply pattern options. The type of this property is "xs:string". (values: Response, Poll, Callback)

B.6. Compositor Examples

B.6.1. Example for the "all" compositor

```
<wsdl11:portType name="Example-1">
  <fnp:compositor
    uri="http://docs.oasis-open.org/wsrn/2004/06/fnp-1.1.xsd/compositor/all">
    <fnp:feature
      uri="http://docs.oasis-open.org/wsrn/2004/06/wsrnfp-1.1.xsd"
      <fnp:compositor uri=
        "http://docs.oasis-open.org/wsrn/2004/06/fnp-1.1.xsd/compositor/all">
        <fnp:property name="wsrmfp:NoDuplicateDelivery">
          <fnp:value>true</fnp:value>
        </fnp:property>
        <fnp:property name="wsrmfp:OrderedDelivery">
          <fnp:value>true</fnp:value>
        </fnp:property>
        <fnp:property name="wsrmfp:GuaranteedDelivery">
          <fnp:value>true</fnp:value>
        </fnp:property>
      </fnp:compositor>
    </fnp:feature>
  </fnp:compositor>
  ...
</wsdl11:portType>
```

In the example above, the reliability feature identified by URI "<http://docs.oasis-open.org/wsrn/2004/06/wsrnfp-1.1.xsd>" is required by the portType. This feature consists of three properties, all of which are required because of the semantics of the 'all' compositor that composes the three properties.

B.6.2. Example for the "choice" compositor:

```
1873 <wsdl11:binding name="Example-2">
1874   <fnp:compositor
1875     uri="http://docs.oasis-open.org/wsrn/2004/06/fnp-1.1.xsd/compositor/all">
1876     <fnp:feature
1877       uri="http://docs.oasis-open.org/wsrn/2004/06/wsrmp-1.1.xsd"
1878       <fnp:compositor uri=
1879         "http://docs.oasis-open.org/wsrn/2004/06/fnp-1.1.xsd/compositors/choice">
1880         <fnp:property name="wsrmp:ReplyPattern">
1881           <value>Response</value>
1882         </fnp:property>
1883         <fnp:property name="wsrmp:ReplyPattern">
1884           <value>Callback</value>
1885         </fnp:property>
1886         <fnp:property name="wsrmp:ReplyPattern">
1887           <value>Poll</value>
1888         </fnp:property>
1889       </fnp:compositor>
1890     </fnp:feature>
1891   </fnp:compositor>
1892   ...
1893 </wsdl11:binding>
```

1894 In the example above, the reliability feature identified by URI "[http://docs.oasis-](http://docs.oasis-open.org/wsrn/2004/06/wsrmp-1.1.xsd)
1895 [open.org/wsrn/2004/06/wsrmp-1.1.xsd](http://docs.oasis-open.org/wsrn/2004/06/wsrmp-1.1.xsd)" is required by the portType. This feature consists of
1896 three properties composed by the 'choice' compositor; the client must choose one.

B.6.3.Example for the "one-or-more" compositor:

```
1898 <wsdl11:portType name="Example-3">
1899   <fnp:compositor
1900     uri="http://docs.oasis-open.org/wsrn/2004/06/fnp-1.1.xsd/compositor/all">
1901     <fnp:feature
1902       uri="http://docs.oasis-open.org/wsrn/2004/06/wsrnfp-1.1.xsd" >
1903       <fnp:compositor uri=
1904         "http://docs.oasis-open.org/wsrn/2004/06/fnp-1.1.xsd/compositor/one-or-more">
1905         <fnp:property name="wsrmfp:NoDuplicateDelivery">
1906           <fnp:value>true</fnp:value>
1907         </fnp:property>
1908         <fnp:property name="wsrmfp:OrderedDelivery">
1909           <fnp:value>true</fnp:value>
1910         </fnp:property>
1911         <fnp:property name="wsrmfp:GuaranteedDelivery">
1912           <fnp:value>true</fnp:value>
1913         </fnp:property>
1914       </fnp:compositor>
1915     </fnp:feature>
1916   </fnp:compositor>
1917   ...
1918 </wsdl11:portType>
```

B.6.4.Example for the "zero-or-more" compositor:

```
1920 <wsdl11:portType name="Example-4">
1921   <fnp:compositor
1922     uri="http://docs.oasis-open.org/wsrn/2004/06/fnp-1.1.xsd/compositor/all">
1923     <fnp:feature
1924       uri="http://docs.oasis-open.org/wsrn/2004/06/wsrnfp-1.1.xsd"
1925       <fnp:compositor uri=
1926         "http://docs.oasis-open.org/wsrn/2004/06/fnp-1.1.xsd/compositor/zero-or-more">
1927         <fnp:property name="wsrmfp:NoDuplicateDelivery">
1928           <fnp:value>true</fnp:value>
1929         </fnp:property>
1930         <fnp:property name="wsrmfp:OrderedDelivery">
1931           <fnp:value>true</fnp:value>
1932         </fnp:property>
1933         <fnp:property name="wsrmfp:GuaranteedDelivery">
1934           <fnp:value>true</fnp:value>
1935         </fnp:property>
1936       </fnp:compositor>
1937     </fnp:feature>
1938   </fnp:compositor>
1939   ...
1940 </wsdl11:portType>
```

Appendix C.Acknowledgments

1941

1942 The following individuals were members of the committee during the development of this
1943 specification:

- 1944 □ David Ingham, Arjuna Technologies Limited
- 1945 □ Joseph Chiusano, Booz Allen Hamilton
- 1946 □ Peter Furniss, Choreology Ltd
- 1947 □ Jeff Turpin, Cyclone Commerce
- 1948 □ Pramila Mullan, France Telecom
- 1949 □ Jacques Durand, Fujitsu
- 1950 □ Kazunori Iwasa (Secretary), Fujitsu
- 1951 □ Tom Rutt (Chair), Fujitsu
- 1952 □ Jishnu Mukerji, Hewlett-Packard
- 1953 □ Robert Freund, Hitachi
- 1954 □ Eisaku Nishiyama, Hitachi
- 1955 □ Nobuyuki Yamamoto, Hitachi
- 1956 □ Ben Bloch, Individual
- 1957 □ Mark Hansen, Individual
- 1958 □ Paolo Romano, Individual
- 1959 □ Dock Allen, Mitre Corporation
- 1960 □ Junichi Tatemura, NEC Corporation
- 1961 □ Alan Weissberger, NEC Corporation
- 1962 □ Magdolna Gerendai, Nokia
- 1963 □ Szabolcs Payrits, Nokia
- 1964 □ Mark Peel, Novell
- 1965 □ Sunil Kunisetty (Secretary), Oracle
- 1966 □ Anish Karmarkar, Oracle
- 1967 □ Jeff Mischkinsky, Oracle
- 1968 □ Marc Goodner (Secretary), SAP
- 1969 □ Pete Wenzel, SeeBeyond Technology Corporation
- 1970 □ Doug Bunting (Secretary), Sun Microsystems

- 1971 □ Tony Graham, Sun Microsystems
- 1972 □ Chi-Yuen Ng, University of Hong Kong
- 1973 □ Patrick Yee, University of Hong Kong
- 1974 □ Prasad Yendluri, webMethods, Inc.
- 1975 □ Scott Werden, WRQ, Inc.

1976 And the following people made contributions to produce Ver 1.0 of this specification:

- 1977 Colleen Evans, Sonic Software Corporation / Dave Chappell, Sonic Software Corporation / Doug
- 1978 Bunting, Sun Microsystems, Inc. / George Tharakan, Sun Microsystems, Inc. / Hisashi
- 1979 Shimamura, NEC Corporation / Jacques Durand, Fujitsu Software Corporation / Jeff Mischkinsky,
- 1980 Oracle Corporation / Katsutoshi Nihei, NEC Corporation / Kazunori Iwasa, Fujitsu Limited / Martin
- 1981 Chapman, Oracle Corporation / Masayoshi Shimamura, Fujitsu Limited / Nicholas Kassem, Sun
- 1982 Microsystems, Inc. / Nobuyuki Yamamoto, Hitachi Limited / Sunil Kunisetty, Oracle Corporation /
- 1983 Tetsuya Hashimoto, Hitachi Limited / Tom Rutt, Fujitsu Software Corporation / Yoshihide
- 1984 Nomura, Fujitsu Limited / Akira Ochi, Fujitsu Limited / Hirotaka Hara, Fujitsu Limited / Hiroyuki
- 1985 Tomisawa, Hitachi Limited / Katsuhisa Nakazato, Fujitsu Limited / Masahiko Narita, Fujitsu
- 1986 Limited / Nobuyuki Saji, NEC Corporation / Shuichi Imabayashi, Fujitsu Limited

Appendix D. Notices

1987

1988 OASIS takes no position regarding the validity or scope of any intellectual property or other rights
1989 that might be claimed to pertain to the implementation or use of the technology described in this
1990 document or the extent to which any license under such rights might or might not be available;
1991 neither does it represent that it has made any effort to identify any such rights. Information on
1992 OASIS's procedures with respect to rights in OASIS specifications can be found at the OASIS
1993 website. Copies of claims of rights made available for publication and any assurances of licenses
1994 to be made available, or the result of an attempt made to obtain a general license or permission
1995 for the use of such proprietary rights by implementors or users of this specification, can be
1996 obtained from the OASIS Executive Director.

1997 OASIS invites any interested party to bring to its attention any copyrights, patents or patent
1998 applications, or other proprietary rights which may cover technology that may be required to
1999 implement this specification. Please address the information to the OASIS Executive Director.

2000 **Copyright © OASIS Open 2003-2004. All Rights Reserved.**

2001 This document and translations of it may be copied and furnished to others, and derivative works
2002 that comment on or otherwise explain it or assist in its implementation may be prepared, copied,
2003 published and distributed, in whole or in part, without restriction of any kind, provided that the
2004 above copyright notice and this paragraph are included on all such copies and derivative works.
2005 However, this document itself does not be modified in any way, such as by removing the
2006 copyright notice or references to OASIS, except as needed for the purpose of developing OASIS
2007 specifications, in which case the procedures for copyrights defined in the OASIS Intellectual
2008 Property Rights document must be followed, or as required to translate it into languages other
2009 than English.

2010 The limited permissions granted above are perpetual and will not be revoked by OASIS or its
2011 successors or assigns.

2012 This document and the information contained herein is provided on an "AS IS" basis and OASIS
2013 DISCLAIMS ALL WARRANTIES, EXPRESS OR IMPLIED, INCLUDING BUT NOT LIMITED TO
2014 ANY WARRANTY THAT THE USE OF THE INFORMATION HEREIN WILL NOT INFRINGE
2015 ANY RIGHTS OR ANY IMPLIED WARRANTIES OF MERCHANTABILITY OR FITNESS FOR A
2016 PARTICULAR PURPOSE.