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## 2 **XACML profile for Web-services**

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13 Abstract:

14       This working draft specifies a profile of XACML for expressing policy associated with  
15       Web-service end-points.

16 Status:

17       This version of the specification is a working draft of the committee. As such, it is  
18       expected to change prior to adoption as an OASIS standard.

19       If you are on the [xacml@lists.oasis-open.org](mailto:xacml@lists.oasis-open.org) list for committee members, send  
20       comments there. If you are not on that list, subscribe to the [xacml-comment@lists.oasis-](mailto:xacml-comment@lists.oasis-open.org)  
21       [open.org](mailto:xacml-comment@lists.oasis-open.org) list and send comments there. To subscribe, send an email message to [comment-request@lists.oasis-open.org](mailto:xacml-</a><br/>22       <a href=) with the word "subscribe" as the body of the  
23       message.

24

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## 79 1. Introduction (non-normative)

### 80 1.1 Glossary

81 **Aspect** – An independent set of technical features and parameters associated with use of a Web-  
82 service. In most cases, an **aspect** is identified with a single member of the suite of Web-service  
83 specifications for which policy provisions must be described, such as WS-Reliable Messaging or  
84 WS-Security. In the former case, policy provisions may include such items as: maximum time to  
85 live, maximum number of retries and minimum interval between retries.

86 **Authorized attribute** – An attribute whose value must be assigned by an authority, not a policy-  
87 user.

88 **Coincidence** – The property of pairs of **predicates**, **strategies**, **objectives** and **end-point**  
89 **policies** that enables them to be combined.

90 **Combiner** – An entity that combines two or more **end-point policies**.

91 **Constrained attribute** - An attribute whose value cannot be assigned by the policy-user.

92 **End-point policy** – 1. The set of provisions governing all **aspects** of a Web-service end-point.  
93 2. A conjunctive set of **objectives**. 3. An XACML <PolicySet> element.

94 **Objective** – 1. The set of provisions governing a single **aspect** of a Web-service end-point. 2. A  
95 disjunctive list of **strategies**, in order of preference. 3. An XACML <Policy> element.

96 **Solution** – The set of features and parameter values that satisfy an end-point's requirements for  
97 successful invocation.

98 **Strategy** – 1. One **solution** to a single **aspect** of a Web-service end-point. 2. A conjunctive set  
99 of **predicates**. 3. An XACML <Rule> element.

100 **Unconstrained attribute** - An attribute whose value can be assigned by the policy-user within a  
101 certain range

### 102 1.2 Notation

103 This specification contains schema conforming to W3C XML Schema and normative text to  
104 describe the syntax and semantics of XML-encoded policy statements.

105 The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD",  
106 "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this specification are to be  
107 interpreted as described in IETF RFC 2119 [\[RFC2119\]](#)

108 "they MUST only be used where it is actually required for interoperation or to limit behavior which  
109 has potential for causing harm (e.g., limiting retransmissions)"

110 These keywords are thus capitalized when used to unambiguously specify requirements over  
111 protocol and application features and behavior that affect the interoperability and security of  
112 implementations. When these words are not capitalized, they are meant in their natural-language  
113 sense.

114 Listings of schemas appear like this.

115

116 Example code listings appear like this.

117 Conventional XML namespace prefixes are used throughout the listings in this specification to  
118 stand for their respective namespaces as follows, whether or not a namespace declaration is  
119 present in the example:

120 The prefix `xacml` : stands for the XACML policy namespace.

121 The prefix `xs` : stands for the W3C XML Schema namespace [XS].

122 The prefix `xf` : stands for the XQuery 1.0 and XPath 2.0 Function and Operators specification  
123 namespace [XF].

124 This specification uses the following typographical conventions in text: `<XACMLElement>`,  
125 `<ns:ForeignElement>`, `Attribute`, **Datatype**, `OtherCode`. Terms in **italic bold-face** are  
126 intended to have the meaning defined in the Glossary of this document or [XACML v1.0].

### 127 1.3 Schema organization and namespaces

128 The XACML policy syntax is defined in a schema associated with the following XML namespace:

129 `urn:oasis:names:tc:xacml:1.0:policy`

### 130 1.4 Background

131 Access to a standard-conformant Web-service end-point involves a number of **aspects**, such as:  
132 reliable messaging, privacy, authorization, trust, authentication and cryptographic security. Each  
133 **aspect** addresses a number of optional features and parameters, which must be coordinated  
134 between communicating end-points if the service invocation is to be successful. The provider  
135 and consumer of the service likely have different preferences amongst the available choices of  
136 features and parameters. Therefore, a mechanism is required by which end-points may describe  
137 the mandatory features of service invocation, optional features that they support and the order of  
138 their preference amongst such features. Additionally, a procedure is required for combining and  
139 reducing these feature descriptions into a service invocation instance that respects both end-  
140 points' requirements. These requirements are explained in [WSPL Req].

141 This specification defines a profile of XACML that enables it to be used for describing policy  
142 associated with Web-service end-points and using them in a successful invocation.

---

## 143 2. Model (Normative)

144 In this profile, an XACML `<PolicySet>` element is associated with a concrete Web-service end-  
145 point definition. To that end, its `<Target>` element MUST identify the WSDL 1.1 port whose  
146 features and parameters it describes. In the case that a policy must be targeted more finely than  
147 a port, a second level of `<PolicySet>` whose `<Target>` element identifies the port's operations  
148 and messages MUST be inserted. The `<PolicySet>` elements MUST contain `<Policy>`  
149 elements that define the **objective** of each **aspect** of policy associated with the port.

150 An XACML <Policy> element is associated with a single **aspect** of an **end-point policy**. The  
151 <Target> element of a <Policy> MUST identify the one **objective** of the **end-point policy** to  
152 which it applies. Developers of Web-service specifications that make use of XACML MUST  
153 define a name and type for its **objective**. In order for an end-point to be successfully invoked, all  
154 of its **objectives** MUST be achieved by the service invocation. The <Policy> element MUST  
155 contain <Rule> elements that define acceptable alternative **strategies** for achieving the  
156 **objective**.

157 An XACML <Rule> element MUST describe one alternative **strategy** for achieving an **objective**.  
158 At least one **strategy** MUST be successful if its **objective** is to be achieved. The lexical order of  
159 the **strategies** in the **objective** SHOULD reflect the policy-writer's preferences. For example, the  
160 policy writer's preferred **strategy** should appear first. The <Rule> element MUST contain a set  
161 of <Apply> elements that define **predicates**.

162 An XACML <Apply> element MUST contain exactly one **predicate**. All **predicates** MUST be  
163 satisfied by a service invocation if the associated **strategy** is to be successful.

164 An <Apply> element SHALL NOT contain another <Apply> element. It is RECOMMENDED  
165 that <Apply> elements be structured as follows:

```
166 <Apply functionId="...">  
167   <AttributeSelector RequestContextPath="..." DataType="..." />  
168   <AttributeValue DataType="..."> ... </AttributeValue>  
169 </Apply>
```

170 In cases where the policy constrains the *relationship between attribute* values, as opposed to the  
171 *literal value of an attribute*, it will be necessary to substitute a second <AttributeSelector>  
172 element for the <AttributeValue> element in the above fragment. The order of the  
173 <AttributeSelector> element and the <AttributeValue> element in the above fragment  
174 MAY be reversed to achieve the required constraint if the applied function has no inverse (e.g.  
175 subset). Any of the following elements MAY be used in place of the <AttributeSelector>  
176 element in either position: <SubjectAttributeDesignator>,  
177 <ResourceAttributeDesignator>, <ActionAttributeDesignator> or  
178 <EnvironmentAttributeDesignator>.

179 The relevant portion of the WSDL 1.1 data model is hierarchical, as shown in Figure 1.

180

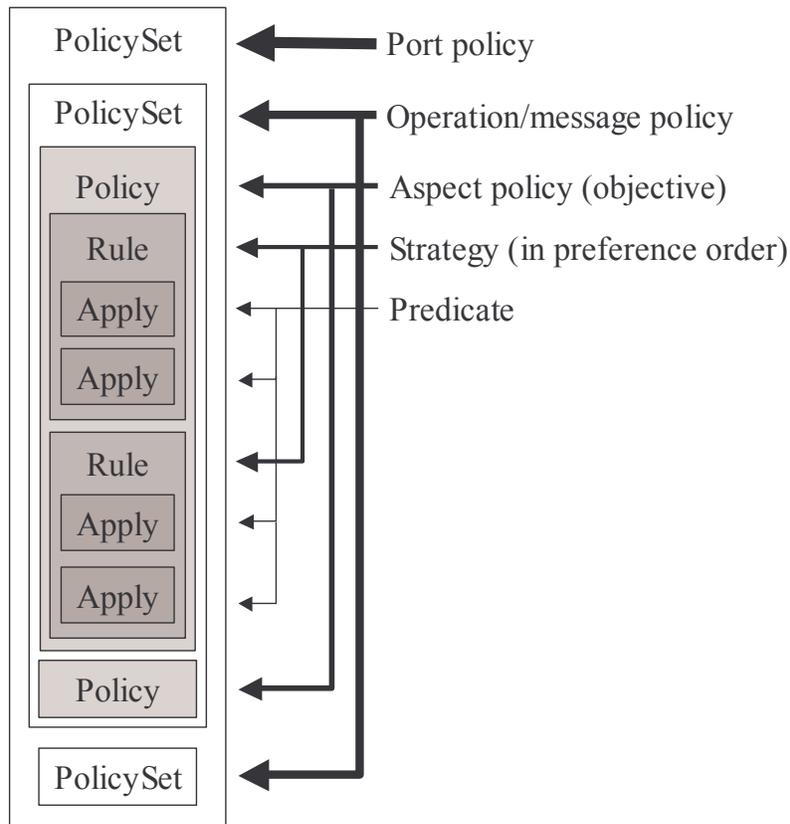


181

182

**Figure 1 - WSDL 1.1 hierarchical data model**

183 This structure is reflected in the **end-point policy** model, as shown in Figure 2.  
 184 The `name` attribute values of objects in the WSDL 1.1 model SHALL be used in `<Target>`  
 185 elements of the **end-point policy** to associate policy statements with those objects. The `names`  
 186 SHALL be matched using string equality. Nevertheless, a `<Target>` element used to associate  
 187 a policy statement with a non-root object in the WSDL 1.1 model is intended to identify the object  
 188 within the context established by the `<Target>` elements of its enclosing `<PolicySet>`  
 189 element(s). So, target matching SHALL be performed on the set of objects that has been  
 190 successively refined by the enclosing layers of the **end-point policy**.



191

192

**Figure 2 – End-point policy model**

193 This model has been chosen to facilitate combining of **end-point policies**.

194 The following consequences flow from the model:

195 The policy-combining algorithm for `<PolicySet>` elements SHALL be

196 "urn:oasis:names:tc:xacml:1.0:policy-combining-algorithm:deny-overrides".

197 The contents of all `<PolicySet/Target/Subjects>` elements SHALL be `<AnySubject/>`.

198 The contents of the top-level `<PolicySet/Target/Resources>` element SHALL be the name

199 attribute of the end-point's port definition.

200 The `MatchId` for the `<PolicySet/Target/Resources>` element SHALL be

201 "urn:oasis:names:tc:xacml:1.0:function:string-equal".

202 The contents of the top-level <PolicySet/Target/Actions> element SHALL be  
203 <AnyAction/>.

204 If present, the contents of the second-level <PolicySet/Target/Resources> element  
205 SHALL either be the name attribute of the end-point's message definition or the element  
206 <AnyResource/>.

207 In the case that the <PolicySet/Target/Resources> element is the name attribute, the  
208 MatchId SHALL be "urn:oasis:names:tc:xacml:1.0:function:string-equal".

209 If present, the contents of the second-level <PolicySet/Target/Actions> element SHALL  
210 be the name attribute of the end-point's operation definition or the element <AnyAction/>.

211 In the case that the <PolicySet/Target/Actions> element is the name attribute, the  
212 MatchId SHALL be "urn:oasis:names:tc:xacml:1.0:function:string-equal".

213 If the contents of the second-level <PolicySet/Target/Resources> element is the element  
214 <AnyResource/>, then the contents of the <PolicySet/Target/Actions> element SHALL  
215 NOT be the element <AnyAction/>, and vice-versa. Otherwise, its <Policy> elements should  
216 be placed immediately subordinate to the top-level <PolicySet> element.

217 The rule-combining algorithm for a <Policy> element SHALL be  
218 "urn:oasis:names:tc:xacml:1.0:rule-combining-algorithm:permit-overrides".

219 The MatchId for the <Policy/Target/Resources> element SHALL be  
220 "urn:oasis:names:tc:xacml:1.0:function:anyURI-equal".

221 The Effect attribute of all <Rule> elements SHALL be "Permit".

222 The contents of the <Policy/Target/Subjects> element SHALL be <AnySubject/>.

223 The contents of the <Policy/Target/Resources> element SHALL be <AnyResource/>.

224 The contents of the <Policy/Target/Actions> element SHALL identify the **objective** (see  
225 Section [a01]).

226 The <Rule/Target> element SHALL be omitted.

227 The FunctionId attribute of a <Condition> element SHALL be  
228 "urn:oasis:names:tc:xacml:1.0:function:and".

229 The FunctionId attribute of an <Apply> element SHALL identify one of the matching functions  
230 specified in XACML.

231 In order to be considered conformant with this profile, a <PolicySet> element MUST satisfy all  
232 of these conditions.

233 **Predicates** express constraints on **attributes**. **Attributes** fall into three classes:

234 Unconstrained attributes,  
235 Constrained attributes and  
236 Authorized attributes.

237 An **unconstrained attribute** is one whose value can be assigned by the policy-user. For  
238 instance, the minimum time between re-transmissions of an unacknowledged message is an  
239 **attribute** that should be under the control of the sender (within certain limits). This is, therefore,  
240 in the class of **unconstrained attributes**.

241 A **constrained attribute**, on the other hand, is one whose value is outside the control of the  
242 policy-user. This may be because it is an environmental **attribute** or a subject **attribute** whose  
243 value is assigned by someone other than the policy-user. The emergency condition code is an  
244 example of an environmental **attribute** over which a policy-user has no control; if this **attribute** is  
245 used in a **predicate**, then the **predicate** either evaluates “True” or “False”, regardless of any  
246 action that the policy-user might take. An example of a subject **attribute** over which the policy-  
247 user has no control is his or her status in a customer loyalty program. If this **attribute** is used in a  
248 **predicate**, then the **predicate** either evaluates “True” or “False”, regardless of any action that the  
249 policy-user might take. Some **constrained attributes** vary with time either in a predictable or  
250 unpredictable manner. In the case of the environmental **attribute** “time”, it will never again adopt  
251 values in the past, whereas, values in the future will arise in a predictable manner. In this case,  
252 the policy-user may choose to wait until the **predicate** involving time evaluates “True”.

253 An **authorized attribute** is one whose value has to be asserted by an authority, for instance the  
254 policy-user’s role. While the other party will not accept the policy-user’s own assertion that he or  
255 she occupies a particular role, the policy-user may be able to take action to obtain the necessary  
256 assertion about the **attribute** from a suitable authority.

---

### 257 3. Example (Non-normative)

258 This section contains an example of a service-provider policy on the **aspect** of data-rate  
259 allocation.

260 Here is a plain-language description of the policy.

261 Clients paying €150/minute are allocated a guaranteed minimum data-rate of 64kb/s.

262 Clients paying €45/minute are allocated a guaranteed minimum data-rate between 6pm  
263 and midnight of 40kb/s.

264 In order to make the example somewhat easier to read, several abbreviations have been  
265 introduced. For instance:

266 The <Subjects> element has been omitted from all the <Target> elements.

267 Only <\*Match> elements have been retained in <Target> elements.

268 URIs have been abbreviated.

269 “\*one-and-only” bag functions have been omitted around <AttributeDesignator>  
270 elements in <Condition> elements.

271 Data**Type** and Function**Id** prefixes have been omitted. A reader familiar with XACML  
272 should be able to reconstruct a syntactically correct policy from the information provided.

```
[a01] <PolicySet PolicySetId="A1UdAQQ8MDqAEEVs" PolicyCombiningAlgId="deny-  
overrides">  
[a02] <Target>  
[a03] <Resources>  
[a04] <ResourceMatch MatchId="equal"  
[a05] <AttributeValue DataType="anyURI">  
[a06] serviceX:portX  
[a07] </AttributeValue>
```

```

[a08]     <ResourceAttributeDesignator AttributeId=
"urn:oasis:names:tc:xacml:1.0:attribute:portId" DataType="anyURI"/>
[a09]     </ResourceMatch>
[a10]     </Resources>
[a11]     <Actions>
[a12]     <AnyAction/>
[a13]     </Actions>
[a14]     </Target>
[a15]     <Policy PolicyId="A1UdAQQ8MDqAEEVt" RuleCombiningAlgId="permit-
overrides">
[a16]     <Target>
[a17]     <Actions>
[a18]     <ActionMatch MatchId="equal">
[a19]     <AttributeValue DataType="anyURI">
[a20]     data-rate-allocation
[a21]     </AttributeValue>
[a22]     <ActionAttributeDesignator AttributeId=
"urn:oasis:names:tc:xacml:1.0:attribute:objectiveId" DataType="anyURI"/>
[a23]     </ActionMatch>
[a24]     </Actions>
[a25]     </Target>
[a26]     <Rule RuleId="A1UdAQQ8MDqAEEVu" Effect="Permit">
[a27]     <Condition FunctionId="and">
[a28]     <Apply FunctionId="equal">
[a29]     <SubjectAttributeDesignator DataType="integer" AttributeId="fee"/>
[a30]     <AttributeValue DataType="integer">
[a31]     150
[a32]     </AttributeValue>
[a33]     </Apply>
[a34]     <Apply FunctionId="greater-than-or-equal">
[a35]     <ResourceAttributeDesignator DataType="integer" AttributeId="data-
rate"/>
[a36]     <AttributeValue DataType="integer">
[a37]     64000
[a38]     </AttributeValue>
[a39]     </Apply>
[a40]     </Condition>
[a41]     </Rule>
[a42]     <Rule RuleId="A1UdAQQ8MDqAEEVv" Effect="Permit">
[a43]     <Condition FunctionId="and">
[a44]     <Apply FunctionId="equal">
[a45]     <SubjectAttributeDesignator DataType="integer" AttributeId="fee"/>
[a46]     <AttributeValue DataType="integer">
[a47]     45
[a48]     </AttributeValue>
[a49]     </Apply>
[a50]     <Apply FunctionId="equal">
[a51]     <ResourceAttributeDesignator DataType="integer" AttributeId="data-
rate"/>
[a52]     <AttributeValue DataType="integer">

```

```

[a53]      40000
[a54]      </AttributeValue>
[a55]      </Apply>
[a56]      <Apply FunctionId="greater-than-or-equal">
[a57]      <EnvironmentAttributeDesignator DataType="time"
AttributeId="timeOfDay"/>
[a58]      <AttributeValue DataType="time">
[a59]      18:00
[a60]      </AttributeValue>
[a61]      </Apply>
[a62]      </Condition>
[a63]      </Rule>
[a64]      </Policy>
[a65]      </PolicySet>

```

273 Line [a01] indicates that all <Policy> elements within the <PolicySet> element must evaluate  
274 "True". I.e. all **objectives** must be satisfied.

275 Lines [a03] – [a10] match the <PolicySet> element to the port whose portId is  
276 "serviceX:portX".

277 There is no second-level <PolicySet> element. So, the <PolicySet> element applies to all  
278 operations and messages of that port.

279 Line [a15] indicates that at least one of the <Rule> elements within the <Policy> element must  
280 evaluate "True". I.e. at least one **strategy** must be successful.

281 Lines [a18] – [a24] match the <Policy> element to the **objective** whose objectiveId is "data-  
282 rate-allocation".

283 Lines [a26] – [a27] contain the first **strategy**, which contains two **predicates**, each one of which  
284 must evaluate "True".

285 Lines [a29] – [a33] contain the first of the two **predicates**. It evaluates "True" if the fee paid is  
286 €150/minute.

287 Lines [a42] – [a63] contain the second **strategy**, which contains three **predicates**.

## 288 4. Instructions to standards developers

289 Developers of Web-services standards that are intended to conform with this profile MUST define  
290 standard-specific policy parameters.

### 291 4.1 Procedure (Normative)

292 Developers of Web-services standards MUST complete the following steps.

293 Assign a URI for at least one objectiveId attribute. In the event that the specification  
294 document-identifier is a URI, it MAY be used as the objectiveId URI.

295 Define a set of **attribute** names, types and semantics. Classify the **attributes** as unconstrained,  
296 constrained or authorized.

297 Select one or more matching functions on the **attributes** from the matching functions defined in  
298 **[XACML]**. The functions MUST be type-consistent with the **attributes**. For every individual  
299 **attribute**, its associated matching functions MUST be combinable, as defined in Table 1. It is  
300 STRONGLY RECOMMENDED to use type-greater-than-or-equal or type-less-than-or-equal  
301 matching functions in preference to type-greater-than or type-less-than matching functions,  
302 respectively. If it is, nonetheless, necessary to use type-greater-than or type-less-than matching  
303 functions, then ceiling and floor operations (respectively) MUST be defined for the corresponding  
304 **attribute**. This merely involves defining a resolution for the **attribute** value. For instance, the  
305 **attribute** “minimum time between re-transmissions of an unacknowledged message” may be  
306 assigned a resolution of 1 minute. Then, if this **attribute** were to be used as the second operand  
307 in a duration-greater-than function, the ceiling operation on this **attribute** would return the  
308 shortest value greater than the specified value with a resolution of 1 minute.  
309 These attributes and functions MAY be used in **predicates**.

## 310 4.2 Example (Non-normative)

311 A committee defining the reliable messaging **aspect** of Web-service invocation might assign the  
312 URI:

313

314 urn:oasis:names:tc:wsm:1.0:objectiveId

315

316 as the `objectiveId`.

317 It might identify the maximum-time-to-live **attribute** as a parameter of policy. It might assign the  
318 identifier:

319

320 urn:oasis:names:tc:wsm:1.0:maximum-time-to-live

321

322 to this **attribute**. Then it might identify the **attribute** type to be

323

324 <http://www.w3.org/TR/2002/WD-xquery-operators-20020816#DayTimeDuration>.

325

326 It might define its meaning to be the maximum value permitted to be assigned by the requestor to  
327 the “time-to-live” parameter associated with a service request. Because the **attribute** value can  
328 be assigned by the requestor, this is an **unconstrained attribute**.

329 Then it might identify

330

331 urn:oasis:names:tc:xacml:1.0:function:dateTime-less-than-or-equal

332

333 as the matching function associated with the **attribute**. Because this function is neither a type-  
334 greater-than nor a type-less-than matching function, there is no need to define a ceiling or floor  
335 operation.

336 The committee MUST specify all relevant parameters in a similar way.

---

## 337 5. Definitions (Normative)

338 This profile defines the following **attributes**.

### 339 5.1 Attribute **objectiveId**

340 Name: urn:oasis:names:tc:xacml:1.0:attribute:objectiveId.

341 Type: xs:anyURI.

342 Meaning: the value of this **attribute** indicates the **aspect** of policy addressed by a <Policy>  
343 element. The  
344 Policy/Target/Actions/ActionMatch/ActionAttributeDesignator/@AttributeI  
345 d attribute MUST be assigned this value.

### 346 5.2 Attribute **portId**

347 Name: urn:oasis:names:tc:xacml:1.0:attribute:portId.

348 Type: xs:anyURI.

349 Meaning: the value of this **attribute** identifies the WSDL port addressed by a <PolicySet>  
350 element. The  
351 PolicySet/Target/Resources/ResourceMatch/ResourceAttributeDesignator/@A  
352 ttributeId attribute MUST be assigned this value.

### 353 5.3 Attribute **operationId**

354 Name: urn:oasis:names:tc:xacml:1.0:attribute:operationId.

355 Type: xs:anyURI.

356 Meaning: the value of this **attribute** identifies the WSDL operation addressed by a second-  
357 level <PolicySet> element. The  
358 PolicySet/Target/Actions/ActionMatch/ResourceAttributeDesignator/@Attri  
359 buteId attribute MUST be assigned this value.

### 360 5.4 Attribute **messageId**

361 Name: urn:oasis:names:tc:xacml:1.0:attribute:messageId.

362 Type: xs:anyURI.

363 Meaning: the value of this **attribute** identifies the WSDL message addressed by a second level  
364 <PolicySet> element. The  
365 PolicySet/Target/Resources/ResourceMatch/ResourceAttributeDesignator/@A  
366 ttributeId attribute MUST be assigned this value.

---

## 367 6. End-point policy combination (Normative)

368 The need to combine two or more policies is described in [WSPL Req].  
369 The procedure for combining two top-level <PolicySet> elements is described here. More than  
370 two <PolicySet> elements MAY be combined by repeating this procedure. Alternative  
371 procedures that achieve the same result under all circumstances SHALL be considered  
372 conformant.  
373 The combining procedure involves combining **coincident** top-level <PolicySet> elements, then  
374 combining **coincident** second-level <PolicySet> elements within the combined top-level  
375 <PolicySet> elements, then combining **coincident** <Policy> elements within the combined  
376 <PolicySet> elements, then combining **coincident** <Rule> elements within the combined  
377 <Policy> elements and finally combining **coincident** <Apply> elements within the combined  
378 <Rule> elements. Finally, elimination and substitution steps are applied.  
379 The detailed steps are described below.  
380 The effect of this procedure is to identify a single <Rule> element for each **objective** that  
381 represents the contract between the parties. The contract is compatible with both of the original  
382 **end-point policies**, while reflecting the preferences of the **combiner**.

### 383 6.1 Combine top-level <PolicySet> elements

384 Combine **coincident** top-level <PolicySet> elements. <PolicySet> elements are  
385 **coincident** if and only if their <Target> elements are identical.  
386 In order to combine two top-level <PolicySet> elements, append the foreign <Policy> and  
387 second-level <PolicySet> elements to the **combiner's** <Policy> and second-level  
388 <PolicySet> elements and assign a new unique PolicySetId attribute.

### 389 6.2 Combine second-level <PolicySet> elements

390 If second-level <PolicySet> elements are present, then all **coincident** pairs of these MUST be  
391 combined in the same way. If a second-level <PolicySet/Target/Resources> element  
392 contains the <AnyResource/> element, then it is **coincident** with another second-level  
393 <PolicySet> element if and only if their <Target/Actions> elements are identical. The  
394 converse is the case if the <AnyAction> element is present.  
395 If one top-level <PolicySet> element contains a second level <PolicySet> element and the  
396 other one does not, then the one that does not SHALL be treated as if it were to contain a  
397 second-level <PolicySet> element whose <PolicySet/Target/Resources> element  
398 contains the <AnyResource/> element and whose <PolicySet/Target/Actions> element  
399 contains the <AnyAction/> element.

### 400 6.3 Combine <Policy> elements

401 Within the resulting <PolicySet> elements, combine all **coincident** <Policy> elements.  
402 <Policy> elements are **coincident** if and only if their <Target> elements are identical.

403 In order to combine two <Policy> elements, append the foreign <Rule> elements to the  
 404 **combiner's** <Rule> elements and assign a new unique PolicyId attribute.

## 6.4 Combine <Rule> elements

406 Within each resulting <Policy> element, combine <Rule> elements in all possible pairings,  
 407 taking one from the **combiner's** set and one from the foreign set. The **combiner's** first  
 408 <Policy> element SHOULD be paired with each of the foreign <Policy> elements, starting  
 409 with the first, then the **combiner's** second <Policy> element SHOULD be paired with each of  
 410 the foreign <Policy> elements, etc.. This procedure respects the preferences of each policy  
 411 writer, while giving priority to those of the **combiner**.

412 In order to combine two <Rule> elements, append the <Apply> elements from the foreign  
 413 <Rule> element to the **combiner's** <Apply> elements and assign a new unique RuleId  
 414 attribute.

## 6.5 Combine <Apply> elements

416 Within each resulting <Rule> element, combine all **coincident** <Apply> elements. <Apply>  
 417 elements are **coincident** if they constrain the same **attribute**. If there exists no **attribute** value  
 418 for which both <Apply> elements evaluate to "True", then their **strategies** are incompatible and  
 419 the <Rule> element MUST be discarded. The test for compatible strategies is shown in the third  
 420 column of Table 1. If no <Rule> elements remain, then the procedure SHALL terminate in  
 421 failure. Note that in the case where the same **attribute** is constrained by different **aspects**, this  
 422 procedure will not detect incompatible constraints.

423 **Coincident** <Apply> elements SHALL be combined as shown in the fourth column of Table 1.

424 Table 1 is to be interpreted according to the following key.

425 Columns one, two and four contain shorthand versions of an XACML <Apply> element. The  
 426 portion before the open parenthesis (e.g. "type-equal" in the first row) represents the <Apply>  
 427 element's FunctionId attribute value. The "type-" portion represents any of the type-specific  
 428 parts of the standard XACML function identifiers.

429 Alphabetic symbols (e.g. "a" in the first row) represent XACML <AttributeDesignator>,  
 430 <AttributeSelector> or <AttributeValue> elements.

431 Where N/A appears in the fourth column there is no single replacement <Apply> element: the  
 432 **predicates** are compatible, but not combinable. In these cases, the original <Apply> elements  
 433 MUST NOT be modified by this step in the procedure.

434  $\cap$  means set intersection.

435  $\subseteq$  means "is a proper subset of".

	First <Apply> element	Second <Apply> element	Compatible strategies	Replacement <Apply> element
1	type-equal(a,b)	type-equal(a,c)	b == c	type-equal(a,b)
2	type-equal(a,b)	type-greater-	b > c	type-equal(a,b)

		than(a,c)			
3	type-equal(a,b)	Type-greater-than-or-equal(a,c)	$b \geq c$	type-equal(a,b)	
4	type-equal(a,b)	type-less-than(a,c)	$b < c$	type-equal(a,b)	
5	type-equal(a,b)	type-less-than-or-equal(a,c)	$b \leq c$	type-equal(a,b)	
6	type-greater-than(a,b)	type-greater-than(a,c)		type-greater-than(a,max(b,c))	
7	type-greater-than(a,b)	type-greater-than-or-equal(a,c)		Where $b \geq c$	type-greater-than(a,b)
8				Where $b < c$	type-greater-than-or-equal(a,c)
9	type-greater-than-or-equal(a,b)	type-greater-than-or-equal(a,c)		type-greater-than-or-equal(a,max(b,c))	
10	type-less-than(a,b)	type-less-than(a,c)		type-less-than(a,min(b,c))	
11	type-less-than(a,b)	type-less-than-or-equal(a,c)		Where $b > c$	type-less-than-or-equal(a,c)
12				Where $b \leq c$	type-less-than(a,b)
13	type-less-than-or-equal(a,b)	type-less-than-or-equal(a,c)		type-less-than-or-equal(a,min(b,c))	
14	type-greater-than(a,b)	type-less-than(a,c)	$b < c$	N/A	
15	type-greater-than(a,b)	type-less-than-or-equal(a,c)	$b < c$	N/A	
16	type-greater-than-or-equal(a,b)	type-less-than(a,c)	$b < c$	N/A	
17	type-greater-than-or-equal(a,b)	type-less-than-or-equal(a,c)	$b < c$	N/A	
18	set-equals(a,b)	set-equals(a,c)	$b == c$	set-equals(a,b)	
19	set-equals(a,b)	subset(a,c)	$b \subseteq c$	set-equals(a,b)	
20	subset(a,b)	subset(a,c)	$\cap (b,c) \neq 0$	subset (a, $\cap$ (b,c))	

437

## 6.6 Eliminate <Rule> elements

438 Following combination, an elimination step MUST be applied. The <Rule> elements represent  
439 the available **strategies** in order of preference for each **aspect**. Ideally, the policy-user would  
440 adopt the first <Rule> element as its **strategy** for invoking the service. However, some  
441 **strategies** may place constraints on **attributes** that are not within the control of the policy-user.  
442 Such strategies MUST be eliminated.

443 Elimination proceeds by examining each <Apply> element, as described below.

- 444 1. If the <Apply> element places a literal constraint on a **constrained attribute**, then the  
445 policy-user SHALL test whether the constraint is satisfied by the **attribute**. If it is, then it  
446 SHALL proceed. If it is not, then the enclosing <Rule> element SHALL be eliminated.
- 447 2. If the <Apply> element places a literal constraint on an **unconstrained attribute**, then the  
448 policy-user SHALL assign a value to the **attribute** that satisfies the constraint. If the required  
449 value is not in the available range, then the enclosing <Rule> element SHALL be eliminated.
- 450 3. If the <Apply> element constrains the relationship between two **constrained attributes**,  
451 then the policy-user SHALL test whether the constraint is satisfied by the **attributes**. If it is,  
452 then it SHALL proceed. If it is not, then the enclosing <Rule> element SHALL be eliminated.
- 453 4. If the <Apply> element constrains the relationship between two **unconstrained attributes**,  
454 then the policy-user SHALL assign a value to one or both of the **attributes** that satisfies the  
455 constraint. If the required value is not in the available range, then the enclosing <Rule>  
456 element SHALL be eliminated.
- 457 5. If the <Apply> element constrains the relationship between a **constrained attribute** and an  
458 **unconstrained attribute**, then the policy-user SHALL assign a value to the **unconstrained**  
459 **attribute** that satisfies the constraint. If the required value is not in the available range, then  
460 the enclosing <Rule> element SHALL be eliminated.
- 461 6. If the <Apply> element constrains **authorized attributes**, then the policy-user SHALL obtain  
462 the required **attribute** from an acceptable authority. The **strategy** containing the **attribute**  
463 constraint should also indicate what constitutes an acceptable authority. If the required  
464 **attribute** cannot be obtained, then the enclosing <Rule> element SHALL be eliminated.

465 <Rule> elements MUST be examined in order until one survives the elimination procedure. This  
466 represents the highest preference **strategy** with which the policy-user is able to comply.  
467 Therefore, this (and only this) one SHALL be retained.

468 If, after completing the elimination step, no <Rule> elements remain, then the procedure SHALL  
469 terminate in failure.

470

## 6.7 Substitute <Apply> elements

471 Following elimination, a substitution step MAY be applied to the <Apply> elements of the  
472 remaining <Rule> element. Substitution proceeds by the following steps. **Predicates** that only  
473 express constraints between **constrained attributes** MAY be eliminated, as it has been  
474 determined by the previous step that these evaluate "True". The substitutions shown in Table 2  
475 SHALL be applied.

<Apply> element	Replacement <Apply> element
type-greater-than(a,b)	type-equal(a, $\lceil$ b)
type-greater-than-or-equal(a,b)	type-equal(a,b)
type-less-than(a,b)	type-equal(a, $\lfloor$ b)
type-less-than-or-equal(a,b)	type-equal(a,b)
type-subset(a,b)	set-equals(a,b)

476 **Table 2 – Substitution procedure**

477 Where  $\lceil$  represents the ceiling operation defined for the **attribute** and  $\lfloor$  represents the floor  
478 operation.

479 In the case of a **strategy** that contains compatible, but non-combinable, **predicates** (see note to  
480 Table 1) the <Rule> element will contain more than one <Apply> element constraining the  
481 same **attribute**. In such cases, all but one of these <Apply> elements MUST be eliminated.  
482 The choice of element to retain is left to the implementer. However, it is RECOMMENDED to  
483 retain the final one, as this gives priority to the **combiner's** preference.

484 In the case that one <Apply> element expresses a relational constraint between two **attributes**,  
485 and another <Apply> element expresses a literal constraint on one of those **attributes**, then the  
486 value of this **attribute**, as dictated by the literal constraint, MAY be substituted for its designator  
487 in the other <Apply> element. This procedure MAY be applied recursively until as many of the  
488 relational constraints as possible have been replaced by literal constraints.

489 **6.8 Result**

490 The result of this procedure is a set of **strategies**, one for each **aspect** of policy, and each  
491 containing value assignments for **attributes** that are under the control of the policy-user. A  
492 service invocation using these **attribute** assignments conforms with the applicable policy of both  
493 the consumer and the provider.

---

494 **7. Security considerations (Non-normative)**

495 Policies must be integrity protected. The policy-user must confirm that the author of the policy is  
496 an entity that is authoritative for the target end-point. How this is achieved is outside the scope of  
497 this specification.

498

## 8. Bindings

499 <PolicySet> elements MAY be distributed in a [WSDL 1.1] or WSDL 1.2 service description or  
500 in a [SOAP 1.1] message. When they are distributed by one of these means, they MUST be  
501 distributed as defined in this section.

502

### 8.1 WSDL 1.1 (Normative)

503 This section defines how <PolicySet> elements SHALL be included in a WSDL 1.1 service  
504 description for a Web-service end-point.

505

#### 8.1.1. Introduction

506 As a precursor to invoking a WSDL 1.1 operation of a WSDL 1.1 port, certain consumer  
507 configuration steps are likely to be required, and these configuration steps are likely to be  
508 associated with the port, rather than with an individual operation. Locating, retrieving, validating  
509 and combining policy are appropriate functions to perform as one of these configuration steps.

510 Different **aspects** of policy may be most applicable to different objects within the WSDL 1.1 data  
511 model, see Figure 1. For instance, privacy policy may apply to a WSDL 1.1 message definition,  
512 regardless of which WSDL 1.1 operation uses the message. Crypto-security policy, on the other  
513 hand, may apply to a message definition, differently, according to which operation uses the  
514 message. And, trust policy may apply to the port, independent of which operation or message is  
515 used.

516

#### 8.1.2. Attachment

517 For the reasons stated in Section 8.1.1, a top-level <PolicySet> element SHALL be targeted  
518 only at a WSDL 1.1 port. However, it MUST be possible to associate a policy statement with any  
519 object (port, operation or message) either alone or in combination, see Figure 2. For this reason,  
520 policy statements MUST be capable of differentiating between the various WSDL 1.1 operation  
521 and message definitions of the WSDL 1.1 port at which they are targeted.

522 The WSDL 1.1 schema requires that <wsdl/port>, <wsdl/operation> and  
523 <wsdl/message> elements have a *name* attribute of type NCName. This attribute is used to  
524 associate policies with a particular port, operation or message or combinations thereof. URLs are  
525 a form of NCName.

526

#### 8.1.3. Structure

527 Conformant <PolicySet> elements SHALL be structured as follows:

528 The top-level element SHALL be a <PolicySet> element whose  
529 <PolicySet/Target/Resources> element identifies the WSDL 1.1 port to which it is  
530 applicable, by means of the *wsdl/port@name* attribute.

531 Policies that apply to the WSDL 1.1 port, regardless of the particular operation or message  
532 SHALL be contained in <Policy> elements immediately subordinate to the top-level  
533 <PolicySet> element.

534 Policies that apply to some combination of WSDL 1.1 port, operation and message SHALL be  
535 contained in <PolicySet> elements subordinate to the top-level <PolicySet> element.

536 These second-level <PolicySet> elements SHALL have <PolicySet/Target/Actions>  
537 elements that identify the WSDL 1.1 operation, and <PolicySet/Target/Resources>  
538 elements that identify the WSDL 1.1 message to which they are applicable, by means of the  
539 wsdl/operation@name and wsdl/message@name attributes, respectively. Only WSDL 1.1  
540 message definitions of the “input” type SHALL be identified.

541 The <Policy/Target/Resources> element SHALL identify the **aspect** of policy to which it  
542 applies.

#### 543 **8.1.4. Integrity/authenticity protection**

544 If the <wsdl/definitions> element is integrity-protected, then the <PolicySet> elements  
545 SHOULD be included within the integrity-protection of that element.

546 Where it is not possible to do this, either because the <wsdl/definitions> element is not  
547 integrity-protected, or for other reasons, <PolicySet> elements SHALL be enclosed in a  
548 <saml/Assertion> element wrapper [**SAML**]. This allows supporting information, such as the  
549 saml/Assertion@Issuer attribute to be attached. The <saml/Assertion> element SHALL  
550 be integrity-protected.

551 The policy-user SHALL ignore the PolicySet@PolicySetId attribute.

552 The WSDL 1.1 port to which a policy applies SHALL be identified in the top-level  
553 <PolicySet/Target/Resources> element, by means of the wsdl/port@name attribute.  
554 The policy-user SHALL confirm that it has located the correct policy by examining the policy’s top-  
555 level <PolicySet/Target/Resources> element Furthermore, if they are present, the policy-  
556 user SHALL confirm that the policy is current, by examining the  
557 saml/Assertion/Conditions@NotBefore and  
558 saml/Assertion/Conditions@NotOnOrAfter attributes.

559 The wsdl/port@name attribute SHALL contain a URL. In the case where a policy is wrapped in  
560 a <saml/Assertion>, the host and domain parts of the wsdl/port@name URL SHALL be  
561 identical to the saml/Assertion@Issuer attribute value. The saml/Assertion@Issuer  
562 attribute value SHALL be identical to the CN attribute value in the subject field of the certificate  
563 [**X509**] that validates the <saml/Assertion> element, whether integrity protection is provided  
564 by SSL or XML Digital Signature.

#### 565 **8.1.5. Schema**

566 A <PolicySet> element SHALL be included in a <wsdl/definitions> element in  
567 accordance with the following schema. Additions to the WSDL 1.1 SOAP binding are highlighted.

```
568  
569 <?xml version="1.0" encoding="UTF-8"?>  
570 <schema targetNamespace="http://schemas.xmlsoap.org/wsdl/policy-  
571 conformant-soap/" xmlns="http://www.w3.org/2001/XMLSchema"  
572 xmlns:policy-conformant-  
573 soap="http://schemas.xmlsoap.org/wsdl/policy-conformant-soap/"  
574 xmlns:xacml="urn:oasis:names:tc:xacml:1.0:policy">
```

```

575 <import namespace="urn:oasis:names:tc:xacml:1.0:policy"
576 schemaLocation="http://www.oasis-
577 open.org/committees/download.php/915/cs-xacml-schema-policy-
578 01.xsd"/>
579 <element name="EndPointPolicy" type="xacml:PolicySetType"/>
580 <element name="binding" type="policy-conformant-
581 soap:bindingType"/>
582 <complexType name="bindingType">
583 <attribute name="transport" type="anyURI" use="optional"/>
584 <attribute name="style" type="policy-conformant-
585 soap:styleChoice" use="optional"/>
586 </complexType>
587 <simpleType name="styleChoice">
588 <restriction base="string">
589 <enumeration value="rpc"/>
590 <enumeration value="document"/>
591 </restriction>
592 </simpleType>
593 <element name="operation" type="policy-conformant-
594 soap:operationType"/>
595 <complexType name="operationType">
596 <attribute name="soapAction" type="anyURI" use="optional"/>
597 <attribute name="style" type="policy-conformant-
598 soap:styleChoice" use="optional"/>
599 </complexType>
600 <element name="body" type="policy-conformant-soap:bodyType"/>
601 <complexType name="bodyType">
602 <attribute name="encodingStyle" type="anyURI" use="optional"/>
603 <attribute name="parts" type="NMTOKENS" use="optional"/>
604 <attribute name="use" type="policy-conformant-soap:useChoice"
605 use="optional"/>
606 <attribute name="namespace" type="anyURI" use="optional"/>
607 </complexType>
608 <simpleType name="useChoice">
609 <restriction base="string">
610 <enumeration value="literal"/>
611 <enumeration value="encoded"/>
612 </restriction>
613 </simpleType>
614 <element name="fault" type="policy-conformant-soap:faultType"/>
615 <complexType name="faultType">
616 <complexContent>
617 <restriction base="policy-conformant-soap:bodyType">
618 <attribute name="parts" type="NMTOKENS" use="prohibited"/>
619 </restriction>
620 </complexContent>
621 </complexType>
622 <element name="header" type="policy-conformant-
623 soap:headerType"/>
624 <complexType name="headerType">
625 <all>
626 <element ref="policy-conformant-soap:headerfault"/>
627 </all>
628 <attribute name="message" type="QName" use="required"/>
629 <attribute name="parts" type="NMTOKENS" use="required"/>

```

```

630     <attribute name="use" type="policy-conformant-soap:useChoice"
631 use="required"/>
632     <attribute name="encodingStyle" type="anyURI" use="optional"/>
633     <attribute name="namespace" type="anyURI" use="optional"/>
634   </complexType>
635   <element name="headerfault" type="policy-conformant-
636 soap:headerfaultType"/>
637   <complexType name="headerfaultType">
638     <attribute name="message" type="QName" use="required"/>
639     <attribute name="parts" type="NMTOKENS" use="required"/>
640     <attribute name="use" type="policy-conformant-soap:useChoice"
641 use="required"/>
642     <attribute name="encodingStyle" type="anyURI" use="optional"/>
643     <attribute name="namespace" type="anyURI" use="optional"/>
644   </complexType>
645   <element name="address" type="policy-conformant-
646 soap:addressType"/>
647   <complexType name="addressType">
648     <attribute name="location" type="anyURI" use="required"/>
649   </complexType>
650 <element name="port" type="wsdl:portType"/>
651 <complexType name="portType">
652   <complexContent>
653     <extension base="wsdl:documented">
654       <sequence>
655         <any namespace="##other" minOccurs="0"/>
656         <element ref="xacml:PolicySetIdReference"/>
657       </sequence>
658       <attribute name="name" type="NCName" use="required"/>
659       <attribute name="binding" type="QName" use="required"/>
660     </extension>
661   </complexContent>
662 </complexType>
663 </schema>

```

## 8.2 WSDL 1.2 draft (Non-normative)

Version 1.2 of WSDL is currently in draft form. Therefore, this specification does not provide a normative binding for XACML to WSDL 1.2. However, in the current draft of WSDL 1.2, the counterpart of the WSDL 1.1 port component is the WSDL 1.2 binding component (see Figure 3). Therefore, it is anticipated that, with the exception of swapping the roles of port and binding, the standard method of attaching a `<PolicySet>` to a WSDL 1.2 definition will be identical to the standard method of attaching a `<PolicySet>` to a WSDL 1.1 definition (see Section 8.1).

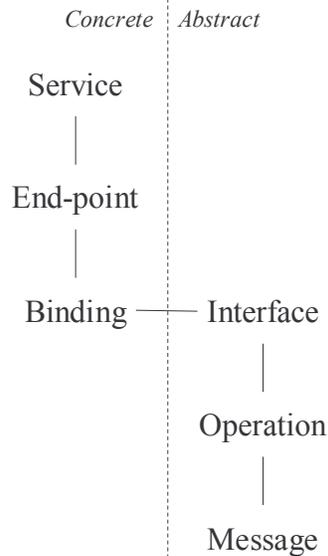


Figure 3 - WSDL 1.2 draft data model

## 8.3 SOAP 1.1 (Normative)

### 8.3.1. Introduction

In the case of a WSDL request-response-operation, consumer policies for the response message MAY be conveyed in a SOAP header of the corresponding request message. The names assigned to objects by the consumer are not guaranteed to match those assigned by the provider to the equivalent objects. Therefore, the consumer MUST use the names assigned by the provider to associate consumer policy with WSDL objects. This means that response policies MUST be tailored to the particular provider, and the consumer may require a different policy for each provider of the same service.

In the case of the WSDL solicit-response-operation and the notification-operation, the WSDL technique, described above, SHALL be used to disseminate consumer policy.

### 8.3.2. Structure

Conformant `<PolicySet>` elements SHALL be structured as described in Section 8.1.3, above. Only WSDL message definitions of the “output” or “fault” types SHALL be targeted by policies.

### 8.3.3. Integrity/authenticity protection

If the `<soap/header>` element is integrity-protected, then the `<PolicySet>` elements SHOULD be included within the integrity-protection of that element.

Where it is not possible to do this, either because the `<soap/header>` element is not integrity-protected, or for other reasons, `<PolicySet>` elements SHALL be enclosed in a `<saml/Assertion>` element wrapper [SAML]. The `<saml/Assertion>` element SHALL be integrity protected.

694 The policy-user SHALL ignore the `PolicySet@PolicySetId` attribute.  
695 The policy-user SHALL verify that the `<PolicySet/Target>` element identifies the  
696 `wsdl/port@name` attribute of the WSDL 1.1 port that originated the request.  
697 In the case where a policy is wrapped in a `<saml/Assertion>`, the host and domain parts of  
698 the authenticated name of the originating end-point SHALL be identical to the  
699 `saml/Assertion@Issuer` attribute value. The `saml/Assertion@Issuer` attribute value  
700 SHALL be identical to the CN attribute value in the subject field of the certificate [X509] that  
701 validates the `<saml/Assertion>` element, whether integrity protection is provided by SSL or  
702 XML Digital Signature.  
703 If they are present, the policy-user SHALL confirm that the policy is current, by examining the  
704 `saml/Assertion/Conditions@NotBefore` and  
705 `saml/Assertion/Conditions@NotOnOrAfter` attributes.

### 8.3.4. Schema

706  
707 An XACML `<PolicySet>` element SHALL be included in a SOAP header in accordance with the  
708 following schema.

```
709 <?xml version="1.0" encoding="UTF-8"?>
710 <xs:schema
711   targetNamespace="urn:oasis:names:tc:xacml:wspl:draft:02"
712   xmlns:EndPointPolicy="urn:oasis:names:tc:xacml:wspl:draft:02"
713   xmlns:xs="http://www.w3.org/2001/XMLSchema"
714   xmlns:xacml="urn:oasis:names:tc:xacml:1.0:policy"
715   xmlns:SOAP-ENV="http://schemas.xmlsoap.org/soap/envelope/"
716   elementFormDefault="qualified" attributeFormDefault="unqualified">
717   <xs:import namespace="http://schemas.xmlsoap.org/soap/envelope/"
718     schemaLocation="http://schemas.xmlsoap.org/soap/envelope/" />
719   <xs:import namespace="urn:oasis:names:tc:xacml:1.0:policy"
720     schemaLocation="http://www.oasis-
721     open.org/committees/download.php/915/cs-xacml-schema-policy-
722     01.xsd" />
723   <xs:element name="Policy" type="EndPointPolicy:PolicyType" />
724   <xs:complexType name="PolicyType">
725     <xs:complexContent>
726       <xs:extension base="SOAP-ENV:Header">
727         <xs:sequence>
728           <xs:element ref="xacml:PolicySet" />
729         </xs:sequence>
730       </xs:extension>
731     </xs:complexContent>
732   </xs:complexType>
733 </xs:schema>
```

---

## 9. References (Non-normative)

734  
735 [RFC2119] S. Bradner, Key words for use in RFCs to Indicate Requirement Levels, IETF  
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738 OASIS Standard, 5 November 2002. Located at: [http://www.oasis-  
open.org/committees/download.php/1371/oasis-sstc-saml-core-1.0.pdf](http://www.oasis-<br/>739 open.org/committees/download.php/1371/oasis-sstc-saml-core-1.0.pdf)

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741 at: [http://www.w3.org/TR/SOAP/#\\_Toc478383497](http://www.w3.org/TR/SOAP/#_Toc478383497)

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745 March 2003. Located at: [http://www.oasis-open.org/committees/download.php/1608/wd-xacml-  
wspl-use-cases-04.pdf](http://www.oasis-open.org/committees/download.php/1608/wd-xacml-<br/>746 wspl-use-cases-04.pdf)

747 **[X509]** ITU-T Recommendation X.509 version 3 (1997). “Information Technology – Open  
748 System Interconnection – The Directory Authentication Framework” ISO/IEC 9594-1:1997

749 **[XACML v1.0]** eXtensible Access Control Markup Language (XACML) Version 1.0 OASIS  
750 Standard, 18 February 2003. Located at: [http://www.oasis-  
open.org/committees/download.php/2406/oasis-xacml-1.0.pdf](http://www.oasis-<br/>751 open.org/committees/download.php/2406/oasis-xacml-1.0.pdf)

752 **[XF]** XQuery 1.0 and XPath 2.0 Functions and Operators, W3C Working Draft 16 August  
753 2002. Available at: <http://www.w3.org/TR/2002/WD-xquery-operators-20020816>

754 **[XS]** XML Schema, parts 1 and 2. Available at: <http://www.w3.org/TR/xmlschema-1/> and  
755 <http://www.w3.org/TR/xmlschema-2/>

756

---

## Appendix A. Worked example (Non-normative)

757

758

### A.1. Introduction

759

This appendix contains a worked example to illustrate the procedure for combining and reducing XACML policies that conform with this profile, using two simple policy instances. The example is drawn from the realm of data-rate allocation, and uses the service provider policy from Section 3.

760

761

762

### A.2. Consumer policy

763

This section describes the service consumer's policy for the data-rate allocation **aspect** of service invocation.

764

765

The plain language description of the policy is as follows.

766

The service-consumer's preference is to pay a maximum of €100/minute for a minimum guaranteed data-rate of 64kb/s.

767

768

The second choice is to pay a maximum of €50/minute for a minimum guaranteed data-rate between 9pm and midnight of 32kb/s.

769

770

Expressed in XACML, the consumer policy becomes:

```
[b01] <PolicySet PolicySetId="Q8MDqA1UdEEVsAQA" PolicyCombiningAlgId="deny-
[b02] <Target>
[b03] <Resources>
[b04] <ResourceMatch MatchId="equal"
[b05] <AttributeValue DataType="anyURI">
[b06] serviceX:portX
[b07] </AttributeValue>
[b08] <ResourceAttributeDesignator AttributeId=
"urn:oasis:names:tc:xacml:1.0:attribute:portId" DataType="anyURI"/>
[b09] </ResourceMatch>
[b10] </Resources>
[b11] <Actions>
[b12] <AnyAction/>
[b13] </Actions>
[b14] </Target>
[b15] <Policy PolicyId="Q8MDqA1UdEEVsAQB" RuleCombiningAlgId="permit-overrides">
[b16] <Target>
[b17] <Actions>
[b18] <ActionMatch MatchId="equal">
[b19] <AttributeValue DataType="anyURI">
[b20] data-rate-allocation
[b21] </AttributeValue>
```

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```

[b22]     <ActionAttributeDesignator AttributeId=
"urn:oasis:names:tc:xacml:1.0:attribute:objectiveld" DataType="anyURI"/>
[b23]     </ActionMatch>
[b24]     </Actions>
[b25]     </Target>
[b26]     <Rule RuleId="Q8MDqA1UdEEVsAQC" Effect="Permit">
[b27]       <Condition FunctionId="and">
[b28]         <Apply FunctionId="less-than-or-equal">
[b29]           <SubjectAttributeDesignator DataType="integer" AttributeId="fee"/>
[b30]           <AttributeValue DataType="integer">
[b31]             100
[b32]           </AttributeValue>
[b33]         </Apply>
[b34]         <Apply FunctionId="greater-than-or-equal">
[b35]           <ResourceAttributeDesignator DataType="integer" AttributeId="data-rate"/>
[b36]           <AttributeValue DataType="integer">
[b37]             64000
[b38]           </AttributeValue>
[b39]         </Apply>
[b40]       </Condition>
[b41]     </Rule>
[b42]     <Rule RuleId="Q8MDqA1UdEEVsAQD" Effect="Permit">
[b43]       <Condition FunctionId="and">
[b44]         <Apply FunctionId="less-than-or-equal">
[b45]           <SubjectAttributeDesignator DataType="integer" AttributeId="fee"/>
[b46]           <AttributeValue DataType="integer">
[b47]             50
[b48]           </AttributeValue>
[b49]         </Apply>
[b50]         <Apply FunctionId="greater-than-or-equal">
[b51]           <ResourceAttributeDesignator DataType="integer" AttributeId="data-rate"/>
[b52]           <AttributeValue DataType="integer">
[b53]             32000
[b54]           </AttributeValue>
[b55]         </Apply>
[b56]         <Apply FunctionId="greater-than-or-equal">
[b57]           <EnvironmentAttributeDesignator DataType="time" AttributeId="timeOfDay"/>
[b58]           <AttributeValue DataType="time">
[b59]             21:00
[b60]           </AttributeValue>
[b61]         </Apply>
[b62]       </Condition>
[b63]     </Rule>
[b64]   </Policy>
[b65] </PolicySet>

```

771 The first preference is expressed in lines [b26] – [b41]. The second choice is expressed in lines  
772 [b42] – [b63].

## 773 A.3. Combining procedure

### 774 A.3.1. Combine <PolicySet> elements

775 The <Target> elements of the two <PolicySet> elements are identical; [a02] – [a14] == [b02]  
776 – [b14]. Therefore, they may be combined by appending the provider <Policy> elements to the  
777 consumer <Policy> elements; [c15] - [c64] <- [b15] - [b64] and [c65] - [c114] <- [a15] - [a64],  
778 and then assigning a new PolicySetId value; as in line [c01].

779

```
[c01] <PolicySet PolicySetId="1UdAQEVsQ8MDAqAE" PolicyCombiningAlgId="deny-  
overrides">  
[c02]   <Target>  
[c03]     <Resources>  
[c04]       ResourceMatch MatchId="equal"  
[c05]         <AttributeValue DataType="anyURI">  
[c06]           serviceX:portX  
[c07]         </AttributeValue>  
[c08]         <ResourceAttributeDesignator AttributeId=  
"urn:oasis:names:tc:xacml:1.0:attribute:portId" DataType="anyURI"/>  
[c09]       </ResourceMatch>  
[c10]     </Resources>  
[c11]     <Actions>  
[c12]       <AnyAction/>  
[c13]     </Actions>  
[c14]   </Target>  
[c15]   <Policy PolicyId="Q8MDqA1UdEEVsAQB" RuleCombiningAlgId="permit-overrides">  
[c16]     <Target>  
[c17]       <Actions>  
[c18]         <ActionMatch MatchId="equal">  
[c19]           <AttributeValue DataType="anyURI">  
[c20]             data-rate-allocation  
[c21]           </AttributeValue>  
[c22]           <ActionAttributeDesignator AttributeId=  
"urn:oasis:names:tc:xacml:1.0:attribute:objectiveId" DataType="anyURI"/>  
[c23]         </ActionMatch>  
[c24]       </Actions>  
[c25]     </Target>  
[c26]   <Rule RuleId="Q8MDqA1UdEEVsAQC" Effect="Permit">  
[c27]     <Condition FunctionId="and">  
[c28]       <Apply FunctionId="less-than-or-equal">  
[c29]         <SubjectAttributeDesignator DataType="integer" AttributeId="fee"/>  
[c30]         <AttributeValue DataType="integer">  
[c31]           100  
[c32]         </AttributeValue>  
[c33]       </Apply>  
[c34]       <Apply FunctionId="greater-than-or-equal">  
[c35]         <ResourceAttributeDesignator DataType="integer" AttributeId="data-rate"/>  
[c36]         <AttributeValue DataType="integer">
```

```

[c37]         64000
[c38]         </AttributeValue>
[c39]         </Apply>
[c40]         </Condition>
[c41]     </Rule>
[c42]     <Rule RuleId="Q8MDqA1UdEEVsAQD" Effect="Permit">
[c43]         <Condition FunctionId="and">
[c44]             <Apply FunctionId="less-than-or-equal">
[c45]                 <SubjectAttributeDesignator DataType="integer" AttributeId="fee"/>
[c46]                 <AttributeValue DataType="integer">
[c47]                     50
[c48]                 </AttributeValue>
[c49]             </Apply>
[c50]             <Apply FunctionId="greater-than-or-equal">
[c51]                 <ResourceAttributeDesignator DataType="integer" AttributeId="data-rate"/>
[c52]                 <AttributeValue DataType="integer">
[c53]                     32000
[c54]                 </AttributeValue>
[c55]             </Apply>
[c56]             <Apply FunctionId="greater-than-or-equal">
[c57]                 <EnvironmentAttributeDesignator DataType="time" AttributeId="timeOfDay"/>
[c58]                 <AttributeValue DataType="time">
[c59]                     21:00
[c60]                 </AttributeValue>
[c61]             </Apply>
[c62]         </Condition>
[c63]     </Rule>
[c64] </Policy>
[c65] <Policy PolicyId="A1UdAQQ8MDqAEEVt" RuleCombiningAlgId="permit-overrides">
[c66]     <Target>
[c67]         <Actions>
[c68]             <ActionMatch MatchId="equal">
[c69]                 <AttributeValue DataType="anyURI">
[c70]                     data-rate-allocation
[c71]                 </AttributeValue>
[c72]             <ActionAttributeDesignator AttributeId=
"urn:oasis:names:tc:xacml:1.0:attribute-objectiveId" DataType="anyURI"/>
[c73]             </ActionMatch>
[c74]         </Actions>
[c75]     </Target>
[c76]     <Rule RuleId="A1UdAQQ8MDqAEEVu" Effect="Permit">
[c77]         <Condition FunctionId="and">
[c78]             <Apply FunctionId="equal">
[c79]                 <SubjectAttributeDesignator DataType="integer" AttributeId="fee"/>
[c80]                 <AttributeValue DataType="integer">
[c81]                     150
[c82]                 </AttributeValue>
[c83]             </Apply>
[c84]             <Apply FunctionId="greater-than-or-equal">
[c85]                 <ResourceAttributeDesignator DataType="integer" AttributeId="data-rate"/>

```

```

[c86]      <AttributeValue DataType="integer">
[c87]      64000
[c88]      </AttributeValue>
[c89]      </Apply>
[c90]      </Condition>
[c91]      </Rule>
[c92]      <Rule RuleId="A1UdAQQ8MDqAEEVv" Effect="Permit">
[c93]      <Condition FunctionId="and">
[c94]      <Apply FunctionId="equal">
[c95]      <SubjectAttributeDesignator DataType="integer" AttributeId="fee"/>
[c96]      <AttributeValue DataType="integer">
[c97]      45
[c98]      </AttributeValue>
[c99]      </Apply>
[c100]     <Apply FunctionId="equal">
[c101]     <ResourceAttributeDesignator DataType="integer" AttributeId="data-rate"/>
[c102]     <AttributeValue DataType="integer">
[c103]     40000
[c104]     </AttributeValue>
[c105]     </Apply>
[c106]     <Apply FunctionId="greater-than-or-equal">
[c107]     <EnvironmentAttributeDesignator DataType="time" AttributeId="timeOfDay"/>
[c108]     <AttributeValue DataType="time">
[c109]     18:00
[c110]     </AttributeValue>
[c111]     </Apply>
[c112]     </Condition>
[c113]     </Rule>
[c114]     </Policy>
[c115]     </PolicySet>

```

### 780 **A.3.2. Combine <Policy> elements**

781 The <Target> elements of the two <Policy> elements are identical; [c16] - [c25] == [c66] -  
782 [c75]. Therefore, they may be combined by appending the provider <Rule> elements to the  
783 consumer <Rule> elements [d26] - [d63] <- [c26] - [c63] and [d64] - [d101] <- [c76] - [c113], and  
784 assigning a new PolicyId value; line [d15].

785

```

[d01]     <PolicySet PolicySetId="1UdAQEVsQ8MDAqAE" PolicyCombiningAlgId="deny-
[d02]     <Target>
[d03]     <Resources>
[d04]     <ResourceMatch MatchId="equal"
[d05]     <AttributeValue DataType="anyURI">
[d06]     serviceX:portX
[d07]     </AttributeValue>
[d08]     <ResourceAttributeDesignator AttributeId=

```

```

"urn:oasis:names:tc:xacml:1.0:attribute:portId" DataType="anyURI"/>
[d09]     </ResourceMatch>
[d10]     </Resources>
[d11]     <Actions>
[d12]     <AnyAction/>
[d13]     </Actions>
[d14]     </Target>
[d15]     <Policy PolicyId="1UdAQEVsQ8MDAqAF" RuleCombiningAlgId="permit-
overrides">
[d16]     <Target>
[d17]     <Actions>
[d18]     <ActionMatch MatchId="equal">
[d19]     <AttributeValue DataType="anyURI">
[d20]     data-rate-allocation
[d21]     </AttributeValue>
[d22]     <ActionAttributeDesignator AttributeId=
"urn:oasis:names:tc:xacml:1.0:attribute:objectiveld" DataType="anyURI"/>
[d23]     </ActionMatch>
[d24]     </Actions>
[d25]     </Target>
[d26]     <Rule RuleId="Q8MDqA1UdEEVsAQC" Effect="Permit">
[d27]     <Condition FunctionId="and">
[d28]     <Apply FunctionId="less-than-or-equal">
[d29]     <SubjectAttributeDesignator DataType="integer" AttributeId="fee"/>
[d30]     <AttributeValue DataType="integer">
[d31]     100
[d32]     </AttributeValue>
[d33]     </Apply>
[d34]     <Apply FunctionId="greater-than-or-equal">
[d35]     <ResourceAttributeDesignator DataType="integer" AttributeId="data-
rate"/>
[d36]     <AttributeValue DataType="integer">
[d37]     64000
[d38]     </AttributeValue>
[d39]     </Apply>
[d40]     </Condition>
[d41]     </Rule>
[d42]     <Rule RuleId="Q8MDqA1UdEEVsAQD" Effect="Permit">
[d43]     <Condition FunctionId="and">
[d44]     <Apply FunctionId="less-than-or-equal">
[d45]     <SubjectAttributeDesignator DataType="integer" AttributeId="fee"/>
[d46]     <AttributeValue DataType="integer">
[d47]     50
[d48]     </AttributeValue>
[d49]     </Apply>
[d50]     <Apply FunctionId="greater-than-or-equal">
[d51]     <ResourceAttributeDesignator DataType="integer" AttributeId="data-
rate"/>
[d52]     <AttributeValue DataType="integer">
[d53]     32000

```

```

[d54]         </AttributeValue>
[d55]         </Apply>
[d56]         <Apply FunctionId="greater-than-or-equal">
[d57]         <EnvironmentAttributeDesignator DataType="time"
AttributeId="timeOfDay"/>
[d58]         <AttributeValue DataType="time">
[d59]         21:00
[d60]         </AttributeValue>
[d61]         </Apply>
[d62]         </Condition>
[d63]         </Rule>
[d64]         <Rule RuleId="A1UdAQQ8MDqAEEVv" Effect="Permit">
[d65]         <Condition FunctionId="and">
[d66]         <Apply FunctionId="equal">
[d67]         <SubjectAttributeDesignator DataType="integer" AttributeId="fee"/>
[d68]         <AttributeValue DataType="integer">
[d69]         150
[d70]         </AttributeValue>
[d71]         </Apply>
[d72]         <Apply FunctionId="greater-than-or-equal">
[d73]         <ResourceAttributeDesignator DataType="integer" AttributeId="data-
rate"/>
[d74]         <AttributeValue DataType="integer">
[d75]         64000
[d76]         </AttributeValue>
[d77]         </Apply>
[d78]         </Condition>
[d79]         </Rule>
[d80]         <Rule RuleId="A1UdAQQ8MDqAEEVv" Effect="Permit">
[d81]         <Condition FunctionId="and">
[d82]         <Apply FunctionId="equal">
[d83]         <SubjectAttributeDesignator DataType="integer" AttributeId="fee"/>
[d84]         <AttributeValue DataType="integer">
[d85]         45
[d86]         </AttributeValue>
[d87]         </Apply>
[d88]         <Apply FunctionId="equal">
[d89]         <ResourceAttributeDesignator DataType="integer" AttributeId="data-
rate"/>
[d90]         <AttributeValue DataType="integer">
[d91]         40000
[d92]         </AttributeValue>
[d93]         </Apply>
[d94]         <Apply FunctionId="greater-than-or-equal">
[d95]         <EnvironmentAttributeDesignator DataType="time"
AttributeId="timeOfDay"/>
[d96]         <AttributeValue DataType="time">
[d97]         18:00
[d98]         </AttributeValue>
[d99]         </Apply>

```

```

[d100]     </Condition>
[d101]     </Rule>
[d102]     </Policy>
[d103]     </PolicySet>

```

### 786 A.3.3. Combine <Rule> elements

787 <Rule> elements are combined by forming four new <Rule> elements from every possible  
788 pairing of one <Rule> element from the consumer's policy with one <Rule> element from the  
789 provider's policy. Each new <Rule> element is formed by appending the provider's <Apply>  
790 elements to the consumer's <Apply> elements and assigning a new RuleId value, as in lines  
791 [e26], [e54], [e88] and [e122]. For instance, lines [e26] - [e53] are formed from lines [d26] - [d41]  
792 and lines [d64] - [d79].

793

```

[e01]     <PolicySet PolicySetId="1UdAQEVsQ8MDAqAE" PolicyCombiningAlgId="deny-
           overrides">
[e02]     <Target>
[e03]     <Resources>
[e04]     <ResourceMatch MatchId="equal"
[e05]     <AttributeValue DataType="anyURI">
[e06]     serviceX:portX
[e07]     </AttributeValue>
[e08]     <ResourceAttributeDesignator AttributeId=
           "urn:oasis:names:tc:xacml:1.0:attribute:portId" DataType="anyURI"/>
[e09]     </ResourceMatch>
[e10]     </Resources>
[e11]     <Actions>
[e12]     <AnyAction/>
[e13]     </Actions>
[e14]     </Target>
[e15]     <Policy PolicyId="1UdAQEVsQ8MDAqAF" RuleCombiningAlgId="permit-overrides">
[e16]     <Target>
[e17]     <Actions>
[e18]     <ActionMatch MatchId="equal">
[e19]     <AttributeValue DataType="anyURI">
[e20]     data-rate-allocation
[e21]     </AttributeValue>
[e22]     <ActionAttributeDesignator AttributeId=
           "urn:oasis:names:tc:xacml:1.0:attribute:objectiveId" DataType="anyURI"/>
[e23]     </ActionMatch>
[e24]     </Actions>
[e25]     </Target>
[e26]     <Rule RuleId="1UdAQEVsQ8MDAqAG" Effect="Permit">
[e27]     <Condition FunctionId="and">
[e28]     <Apply FunctionId="less-than-or-equal">
[e29]     <SubjectAttributeDesignator DataType="integer" AttributeId="fee"/>
[e30]     <AttributeValue DataType="integer">
[e31]     100

```

```

[e32]         </AttributeValue>
[e33]         </Apply>
[e34]         <Apply FunctionId="greater-than-or-equal">
[e35]           <ResourceAttributeDesignator DataType="integer" AttributeId="data-rate"/>
[e36]           <AttributeValue DataType="integer">
[e37]             64000
[e38]           </AttributeValue>
[e39]         </Apply>
[e40]         <Apply FunctionId="equal">
[e41]           <SubjectAttributeDesignator DataType="integer" AttributeId="fee"/>
[e42]           <AttributeValue DataType="integer">
[e43]             150
[e44]           </AttributeValue>
[e45]         </Apply>
[e46]         <Apply FunctionId="greater-than-or-equal">
[e47]           <ResourceAttributeDesignator DataType="integer" AttributeId="data-rate"/>
[e48]           <AttributeValue DataType="integer">
[e49]             64000
[e50]           </AttributeValue>
[e51]         </Apply>
[e52]       </Condition>
[e53]     </Rule>
[e54]   <Rule RuleId="1UdAQEVsQ8MDAqAH" Effect="Permit">
[e55]     <Condition FunctionId="and">
[e56]       <Apply FunctionId="less-than-or-equal">
[e57]         <SubjectAttributeDesignator DataType="integer" AttributeId="fee"/>
[e58]         <AttributeValue DataType="integer">
[e59]           100
[e60]         </AttributeValue>
[e61]       </Apply>
[e62]       <Apply FunctionId="greater-than-or-equal">
[e63]         <ResourceAttributeDesignator DataType="integer" AttributeId="data-rate"/>
[e64]         <AttributeValue DataType="integer">
[e65]           64000
[e66]         </AttributeValue>
[e67]       </Apply>
[e68]       <Apply FunctionId="equal">
[e69]         <SubjectAttributeDesignator DataType="integer" AttributeId="fee"/>
[e70]         <AttributeValue DataType="integer">
[e71]           45
[e72]         </AttributeValue>
[e73]       </Apply>
[e74]       <Apply FunctionId="equal">
[e75]         <ResourceAttributeDesignator DataType="integer" AttributeId="data-rate"/>
[e76]         <AttributeValue DataType="integer">
[e77]           40000
[e78]         </AttributeValue>
[e79]       </Apply>
[e80]       <Apply FunctionId="greater-than-or-equal">
[e81]         <EnvironmentAttributeDesignator DataType="time" AttributeId="timeOfDay"/>

```

```

[e82]      <AttributeValue DataType="time">
[e83]      18:00
[e84]      </AttributeValue>
[e85]      </Apply>
[e86]      </Condition>
[e87]      </Rule>
[e88]      <Rule RuleId="1UdAQEVsQ8MDAqAI" Effect="Permit">
[e89]      <Condition FunctionId="and">
[e90]      <Apply FunctionId="less-than-or-equal">
[e91]      <SubjectAttributeDesignator DataType="integer" AttributeId="fee"/>
[e92]      <AttributeValue DataType="integer">
[e93]      50
[e94]      </AttributeValue>
[e95]      </Apply>
[e96]      <Apply FunctionId="greater-than-or-equal">
[e97]      <ResourceAttributeDesignator DataType="integer" AttributeId="data-rate"/>
[e98]      <AttributeValue DataType="integer">
[e99]      32000
[e100]     </AttributeValue>
[e101]     </Apply>
[e102]     <Apply FunctionId="greater-than-or-equal">
[e103]     <EnvironmentAttributeDesignator DataType="time" AttributeId="timeOfDay"/>
[e104]     <AttributeValue DataType="time">
[e105]     21:00
[e106]     </AttributeValue>
[e107]     </Apply>
[e108]     <Apply FunctionId="equal">
[e109]     <SubjectAttributeDesignator DataType="integer" AttributeId="fee"/>
[e110]     <AttributeValue DataType="integer">
[e111]     150
[e112]     </AttributeValue>
[e113]     </Apply>
[e114]     <Apply FunctionId="greater-than-or-equal">
[e115]     <ResourceAttributeDesignator DataType="integer" AttributeId="data-rate"/>
[e116]     <AttributeValue DataType="integer">
[e117]     64000
[e118]     </AttributeValue>
[e119]     </Apply>
[e120]     </Condition>
[e121]     </Rule>
[e122]     <Rule RuleId="1UdAQEVsQ8MDAqAJ" Effect="Permit">
[e123]     <Condition FunctionId="and">
[e124]     <Apply FunctionId="less-than-or-equal">
[e125]     <SubjectAttributeDesignator DataType="integer" AttributeId="fee"/>
[e126]     <AttributeValue DataType="integer">
[e127]     50
[e128]     </AttributeValue>
[e129]     </Apply>
[e130]     <Apply FunctionId="greater-than-or-equal">
[e131]     <ResourceAttributeDesignator DataType="integer" AttributeId="data-rate"/>

```

```

[e132]      <AttributeValue DataType="integer">
[e133]      32000
[e134]      </AttributeValue>
[e135]      </Apply>
[e136]      <Apply FunctionId="greater-than-or-equal">
[e137]      <EnvironmentAttributeDesignator DataType="time" AttributeId="timeOfDay"/>
[e138]      <AttributeValue DataType="time">
[e139]      21:00
[e140]      </AttributeValue>
[e141]      </Apply>
[e142]      <Apply FunctionId="equal">
[e143]      <SubjectAttributeDesignator DataType="integer" AttributeId="fee"/>
[e144]      <AttributeValue DataType="integer">
[e145]      45
[e146]      </AttributeValue>
[e147]      </Apply>
[e148]      <Apply FunctionId="equal">
[e149]      <ResourceAttributeDesignator DataType="integer" AttributeId="data-rate"/>
[e150]      <AttributeValue DataType="integer">
[e151]      40000
[e152]      </AttributeValue>
[e153]      </Apply>
[e154]      <Apply FunctionId="greater-than-or-equal">
[e155]      <EnvironmentAttributeDesignator DataType="time" AttributeId="timeOfDay"/>
[e156]      <AttributeValue DataType="time">
[e157]      18:00
[e158]      </AttributeValue>
[e159]      </Apply>
[e160]      </Condition>
[e161]      </Rule>
[e162]      </Policy>
[e163]      </PolicySet>

```

794 **A.3.4. Combine <Apply> elements and eliminate <Rule>**  
795 **elements**

796 <Apply> elements are combined if they are combinable according to Table 1. Only the final  
797 <Rule> element, lines [e122] – [e161], contains combinable <Apply> elements. Therefore, the  
798 other <Rule> elements are eliminated.

799 For example, the first <Rule> element, lines [e26] – [e53], is not combinable because the “fee”  
800 attribute cannot be both less than or equal to 100, lines [e28] – [e33], **and** equal to 150, lines  
801 [e40] – [e45].

802 Similar considerations lead to the elimination of the second and third <Rule> elements.

803 The fourth <Rule> element, lines [e122] – [e161], is combinable because:

- 804 • the “fee” attribute **can** be both less than or equal to 50, lines [e124] – [e129], **and** equal  
805 to 45, lines [e142] – [e147], according to row 5 of Table 1;

- 806 • the “data-rate” attribute **can** be both greater than or equal to 32,000, lines [e130] –  
807 [e135], **and** equal to 40,000, lines [e148] – [e153], according to row 3 of Table 1; and  
808 • the “time” attribute **can** be both greater than or equal to 21:00, lines [e136] – [e141], **and**  
809 greater than or equal to 18:00, lines [e154] – [e159], and  $\max(21:00, 18:00) <- 21:00$ ,  
810 according to row 9 of Table 1.  
811

```
[f01] <PolicySet PolicySetId="1UdAQEVsQ8MDAqAE" PolicyCombiningAlgId="deny-
overrides">
[f02] <Target>
[f03] <Resources>
[f04] <ResourceMatch MatchId="equal"
[f05] <AttributeValue DataType="anyURI">
[f06] serviceX:portX
[f07] </AttributeValue>
[f08] <ResourceAttributeDesignator AttributId=
"urn:oasis:names:tc:xacml:1.0:attribute:portId" DataType="anyURI"/>
[f09] </ResourceMatch>
[f10] </Resources>
[f11] <Actions>
[f12] <AnyAction/>
[f13] </Actions>
[f14] </Target>
[f15] <Policy PolicyId="1UdAQEVsQ8MDAqAF" RuleCombiningAlgId="permit-overrides">
[f16] <Target>
[f17] <Actions>
[f18] <ActionMatch MatchId="equal">
[f19] <AttributeValue DataType="anyURI">data-rate-allocation</AttributeValue>
[f20] <ActionAttributeDesignator AttributId=
"urn:oasis:names:tc:xacml:1.0:attribute:objectiveld" DataType="anyURI"/>
[f21] </ActionMatch>
[f22] </Actions>
[f23] </Target>
[f24] <Rule RuleId="1UdAQEVsQ8MDAqAJ" Effect="Permit">
[f25] <Condition FunctionId="and">
[f26] <Apply FunctionId="equal">
[f27] <SubjectAttributeDesignator DataType="integer" AttributId="fee"/>
[f28] <AttributeValue DataType="integer">
[f29] 45
[f30] </AttributeValue>
[f31] </Apply>
[f32] <Apply FunctionId="equal">
[f33] <ResourceAttributeDesignator DataType="integer" AttributId="data-rate"/>
[f34] <AttributeValue DataType="integer">
[f35] 40000
[f36] </AttributeValue>
[f37] </Apply>
[f38] <Apply FunctionId="greater-than-or-equal">
[f39] <EnvironmentAttributeDesignator DataType="time" AttributId="timeOfDay"/>
```

```

[f40]         <AttributeValue DataType="time">
[f41]             21:00
[f42]         </AttributeValue>
[f43]     </Apply>
[f44] </Condition>
[f45] </Rule>
[f46] </Policy>
[f47] </PolicySet>

```

### 812 **A.3.5. Substitute <Apply> elements**

813 Substitution involves replacing the “greater-than-or-equal” `functioneId` value at line [f38] with  
814 the “equal” value, making all the `<Apply>` elements into assignment statements.

815

```

[g01] <PolicySet PolicySetId="1UdAQEVsQ8MDAqAE" PolicyCombiningAlgId="deny-
      overrides">
[g02]     <Target>
[g03]     <Resources>
[g04]     <ResourceMatch MatchId="equal"
[g05]     <AttributeValue DataType="anyURI">
[g06]     serviceX:portX
[g07]     </AttributeValue>
[g08]     <ResourceAttributeDesignator AttributeId=
      "urn:oasis:names:tc:xacml:1.0:attribute:portId" DataType="anyURI"/>
[g09]     </ResourceMatch>
[g10]     </Resources>
[g11]     <Actions>
[g12]     <AnyAction/>
[g13]     </Actions>
[g14] </Target>
[g15] <Policy PolicyId="1UdAQEVsQ8MDAqAF" RuleCombiningAlgId="permit-
      overrides">
[g16]     <Target>
[g17]     <Actions>
[g18]     <ActionMatch MatchId="equal">
[g19]     <AttributeValue DataType="anyURI">
[g20]     data-rate-allocation
[g21]     </AttributeValue>
[g22]     <ActionAttributeDesignator AttributeId=
      "urn:oasis:names:tc:xacml:1.0:attribute:objectiveId" DataType="anyURI"/>
[g23]     </ActionMatch>
[g24]     </Actions>
[g25]     </Target>
[g26]     <Rule RuleId="1UdAQEVsQ8MDAqAJ" Effect="Permit">
[g27]     <Condition FunctionId="and">
[g28]     <Apply FunctionId="equal">
[g29]     <SubjectAttributeDesignator DataType="integer" AttributeId="fee"/>
[g30]     <AttributeValue DataType="integer">

```

```
[g31]         45
[g32]         </AttributeValue>
[g33]         </Apply>
[g34]         <Apply FunctionId="equal">
[g35]         <ResourceAttributeDesignator DataType="integer" AttributeId="data-rate"/>
[g36]         <AttributeValue DataType="integer">
[g37]         40000
[g38]         </AttributeValue>
[g39]         </Apply>
[g40]         <Apply FunctionId="equal">
[g41]         <EnvironmentAttributeDesignator DataType="time" AttributeId="timeOfDay"/>
[g42]         <AttributeValue DataType="time">
[g43]         21:00
[g44]         </AttributeValue>
[g45]         </Apply>
[g46]         </Condition>
[g47]         </Rule>
[g48]         </Policy>
[g49]         </PolicySet>
```

816 The resulting `<PolicySet>` element represents a solution to both the consumer and provider  
817 policy statements that gives priority to the preferences of the policy combiner – the consumer in  
818 this instance. A service invocation using this solution conforms with both policies and should be  
819 successful.

## Appendix B. Revision history

Rev	Date	By whom	What
Draft 02	23 July 2003	Tim Moses	<p>Limited functions and data-types to those defined by XACML.</p> <p>Prohibited the nesting of <code>&lt;Apply&gt;</code> elements.</p> <p>In the WSDL binding, targeted top-level policy statements at <code>&lt;wsdl:port&gt;</code> elements.</p> <p>Introduced two levels of <code>&lt;PolicySet&gt;</code> elements to allow finer targeting of policy statements.</p> <p>Added a “Security Considerations” section.</p> <p>Introduced the elimination step.</p>
Draft 03	5 Sep 2003	Tim Moses	<p>Added text clarifying <b>attribute</b> classification.</p> <p>Modified approach to combining involving greater-than and less-than operations to eliminate floor and ceiling functions.</p> <p>Clarified the procedure when compatible, but non-combinable, <b>predicates</b> are present.</p> <p>Added text in WSDL 1.2 binding section.</p>
Draft 04	29 Sep 2003	Tim Moses	<p>Clarified the procedure when one of the <code>&lt;PolicySet&gt;</code> elements to be combined contains a second-level <code>&lt;PolicySet&gt;</code> element and the other one does not.</p> <p>Included a description of the example.</p>

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