

Web Services Reliable Messaging TC WS-Reliability v1.1

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18	Abstract:
19	Web Services Reliability (WS-Reliability) is a SOAP-based protocol for exchanging
20	SOAP messages with guaranteed delivery, no duplicates, and guaranteed message
21	ordering. WS-Reliability is defined as SOAP header extensions and is independent of
22	the underlying protocol. This specification contains a binding to HTTP.
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24	This document is an OASIS Standard.
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28	For information on whether any patents that may be essential to implementing this
29	specification have been disclosed and any offers of patent licensing terms, please refer
30	to the Intellectual Property Rights section of the Web Services Reliable Messaging TC
31	web page (http://www.oasis-open.org/committees/wsrm/).
32	If necessary, the errata page for this version of of the specification will be located at
33	http://www.oasis-open.org/committees/wsrm/documents/errata/1.1/index.html.

Table of Contents

35	1 Introduction	6
36	1.1 Purpose of WS-Reliability	6
37	1.2 Definition and Scope of Reliable Messaging	6
38	1.3 Notational Conventions	7
39	1.4 Relation to Other Specifications	8
40	1.5 Terminology	9
41	2 Messaging Model	11
42	2.1 Messaging Context	. 11
43	2.2 RMP Operations and Their Invocation	11
44	2.2.1 Binding between WSDL Operation Types and RMP Invocations	12
45	2.3 Assumed SOAP Message Exchange Patterns	12
46	2.4 Message Reply Patterns	. 13
47	2.4.1 Response RM-Reply Pattern	13
48	2.4.2 Callback RM-Reply Pattern	14
49	2.4.3 Poll RM-Reply Pattern	14
50	2.5 Message Identification and Grouping	15
51	3 Reliability Agreement and Features	16
52	3.1 RM Agreement	16
53	3.1.1 Definition	. 16
54	3.1.2 RM Agreement Items.	16
55	3.1.3 Scope of an Agreement Item	17
56	3.1.4 Rules	. 18
57	3.1.5 Creation, Representation and Deployment of RM Agreements	18
58	3.1.6 RM Capability	18
59	3.2 Main Reliability Features	18
60	3.2.1 Guaranteed Delivery	. 19
61	3.2.2 Duplicate Elimination	19
62	3.2.3 Guaranteed Message Ordering	20
63	4 Message Format	. 21
64	4.1 Structure	. 21
65	4.2 Request Element	. 23
66	4.2.1 Element: Request/MessageId	. 24
67	4.2.2 Element: Request/ExpiryTime	26
68	4.2.3 Element: Request/ReplyPattern	27

69	4.2.4 Element: Request/AckRequested	28
70	4.2.5 Element: Request/DuplicateElimination	. 29
71	4.2.6 Element: Request/MessageOrder	. 29
72	4.2.7 Example	29
73	4.3 PollRequest Element	. 30
74	4.3.1 Element: PollRequest/ReplyTo	. 31
75	4.3.2 Element: PollRequest/RefToMessageIds	32
76	4.3.3 Example	. 33
77	4.4 Response Element	. 34
78	4.4.1 Element: Response/NonSequenceReply	. 35
79	4.4.2 Element: Response/SequenceReplies	. 36
80	4.4.3 Example	. 37
81	4.5 Fault Codes For Reliable Messaging Failures	. 37
82	4.5.1 Message Format Faults	. 38
83	4.5.2 Message Processing Faults	. 40
84	4.5.3 RM Fault Examples	. 41
85	4.6 Extensibility Features of Schema	. 41
86	5 Operational Aspects and Semantics	. 43
87	5.1 Message Group Life Cycle	. 43
88	5.1.1 Group Termination	. 43
89	5.1.2 Group Termination Parameters	. 44
90	5.1.3 Termination Rules	. 45
91	5.2 Attachments	. 48
92	6 HTTP Binding	49
93	6.1 Reliable Messaging with Response RM-Reply Pattern	. 50
94	6.2 Reliable Messaging with Callback RM-Reply Pattern	. 52
95	6.3 Reliable Messaging with Poll RM-Reply Pattern	. 54
96	6.3.1 Synchronous Poll RM-Reply Pattern	. 54
97	6.3.2 Asynchronous Poll RM-Reply Pattern	. 56
98	7 Conformance	. 59
99	8 References	60
100	Appendix A. Schema (Normative)	. 62
101	Appendix B. WS-Reliability Features, Properties and Compositors (Normative and Optional)	63
102	B.1. Introduction	63
103	B.2. Conformance.	. 63
104	B.3. WSDL Extensibility Elements	. 64
105	B.3.1. Compositor	. 64

106	B.3.2. Feature	65
107	B.3.3. Property	66
108	B.4. WS-Reliability Feature	66
109	B.5. WS-Reliability Properties	66
110	B.5.1. Guaranteed Delivery Property	66
111	B.5.2. Duplicate Elimination Property	66
112	B.5.3. Message Ordering Property	66
113	B.5.4. Reply Pattern Property	67
114	B.6. Compositor Examples	67
115	B.6.1. Example for the "all" compositor	67
116	B.6.2. Example for the "choice" compositor:	68
117	B.6.3. Example for the "one-or-more" compositor:	69
118	B.6.4. Example for the "zero-or-more" compositor:	69
119	Appendix C. Acknowledgments	70
120	Appendix D. Notices	72

121 List of Tables

122	Table 1 Labels	8
123	Table 2 Prefixes	8
124	Table 3 RM Agreement Items	. 17
125	Table 4 Request Element	23
126	Table 5 Messageld Element	24
127	Table 6 SequenceNum Element	. 25
128	Table 7 ExpiryTime Element	. 26
129	Table 8 ReplyPattern Element	. 27
130	Table 9 Value Element	27
131	Table 10 ReplyTo Element	28
132	Table 11 BareURI Element	. 28
133	Table 12 AckRequested Element	29
134	Table 13 DuplicateElimination Element	. 29
135	Table 14 MessageOrder Element	. 29
136	Table 15 PollRequest Element	31
137	Table 16 ReplyTo Element	31
138	Table 17 BareURI Element	. 32
139	Table 18 RefToMessageIds Element	. 32
140	Table 19 SequenceNumRange Element	. 33
141	Table 20 Response Element	. 35
142	Table 21 NonSequenceReply Element	. 35
143	Table 22 SequenceReplies Element	36
144	Table 23 ReplyRange Element	. 36
145	Table 24 Invalid Message Format Fault Code Values	. 39
146	Table 25 Messaging Processing Failure Fault Code Values	. 40
147	Table 26 Conditions for terminating a group – Receiving RMP	. 48
148	Table 27 Conditions for terminating a group – Sending RMP	. 48
149	Table 28 WS-Reliability Schema Prefixes	. 62

1 Introduction

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151 2 Purpose of WS-Reliability

- 152 WS-Reliability is a SOAP-based ([SOAP 1.1] and [SOAP 1.2 Part 1]) specification that fulfills
- reliable messaging requirements critical to some applications of Web Services. SOAP over
- 154 HTTP [RFC2616] is not sufficient when an application-level messaging protocol must also
- 155 guarantee some level of reliability and security. This specification defines reliability in the context
- of current Web Services standards. This specification has been designed for use in combination
- with other complementary protocols (see **Section 1.4**) and builds on previous experiences (e.g.,
- ebXML Message Service [ebMS].)

159 3 Definition and Scope of Reliable Messaging

- 160 Reliable Messaging (RM) is the execution of a transport-agnostic, SOAP-based protocol
- 161 providing quality of service in the reliable delivery of messages. There are two aspects to
- Reliable Messaging; both must be equally addressed when specifying RM features:
 - (1) **The "wire" protocol** aspect. RM is a protocol, including both specific message headers and specific message choreographies, between a sending party and a receiving party.
 - (2) The quality of service (QoS) aspect. RM defines a quality of messaging service to the communicating parties, viz., the users of the messaging service. This assumes a protocol between these users and the provider of this service (i.e., the reliable messaging middleware). This protocol is defined by a set of abstract operations: Submit, Deliver, Notify, Respond (defined in Section 1.5).
- 170 Reliable messaging requires the definition and enforcement of contracts between:
- The Sending and Receiving message processors (contracts about the wire protocol)
- The messaging service provider and the users of the messaging service (contracts about quality of service).
- Each major RM feature will be defined as a composition of these two types of contract.
- 175 **Example:** Guaranteed message delivery is defined as both (1) a messaging protocol involving
- Acknowledgment Indications and specific message headers and (2) as a rule guaranteeing if
- "Submit" completes successfully for a payload on the sending side, "Deliver" completes
- successfully for this payload on the receiving side or "Notify" (of failure) will be invoked on the
- 179 sending side.
- 180 Figure 1 shows all of the reliability contracts (both QoS and protocol) binding the Reliable
- 181 Messaging entities (a producer of reliable messages, a consumer of reliable messages, and the
- 182 two Reliable Messaging Processors or RMPs). The direction of the arrows for the QoS contract
- 183 abstract operations, shown in Figure 1, represents the direction of information flow associated
- with the operation.
- 185 **Note:**
- 186 This specification does not make any assumption about the implementation of a messaging
- 187 service user component (Producer or Consumer components in **Figure 1**): such a component
- could be an application, a queuing or logging system, a database, a SOAP node, or the next
- handler in the message processing chain. The QoS contracts concern only the conditions of

invocation of the "Deliver", "Submit", "Respond" and "Notify" operations. The interpretation of

these operations is a matter of implementation.

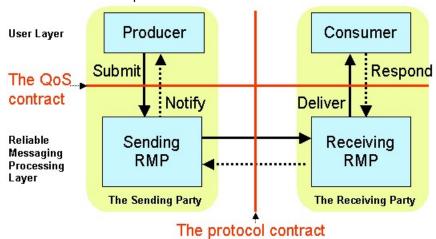


Figure 1 Reliable Messaging Contracts

- 192 The current specification defines the following reliability features:
- Guaranteed message delivery, or At-Least-Once delivery semantics.
- Guaranteed message duplicate elimination, or At-Most-Once delivery semantics.
- Guaranteed message delivery and duplicate elimination, or Exactly-Once delivery semantics.
 - Guaranteed message ordering for delivery within a group of messages.
- 198 Some messaging features are out of scope for this specification. They are:
 - Routing features. This specification addresses end-to-end reliability and is not concerned with intermediaries. The mechanisms described are orthogonal to routing techniques and can be used in combination with them.
 - Transactions. Transactional messaging ensures the integrity of exchange patterns that
 involve possibly several messages. Failure conditions may involve application-level
 decisions based on message payload interpretation. This specification is concerned
 with the reliability of individual messages from submission to delivery; it ignores any
 interpretation of these messages.
- Reliability is often associated with quantitative measures in QoS areas other than Web services (e.g., networking). Thresholds such as rate of failures, minimal size of persistent store, average
- 209 latency, and quantitative measures that may appear in service level agreements (SLAs) are out
- 210 of scope for this version.

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211 4 Notational Conventions

- This document occasionally uses terms that appear in capital letters. When the terms "MUST",
- 213 "REQUIRED", "SHALL", "SHOULD", "RECOMMENDED", "MAY", "OPTIONAL", "MUST NOT",
- 214 "NOT REQUIRED", "SHALL NOT" and "SHOULD NOT" appear capitalized, they are being used
- 215 to indicate particular requirements of this specification. An interpretation of the meanings of
- these terms appears in [RFC2119].
- 217 All text in this specification is normative, except the following:

- examples
- notes (identified with a preceding "**Note**" header)
- appendices not explicitly identified as normative
- Section 4 includes tables to explain each message header element. The meaning of the labels in these tables is as follows:

Label	Meaning
Cardinality	A constraint on the number of instances of the element, as allowed in its enclosing element (e.g., "0 or 1" means means the element may be either absent or present only once in its enclosing element).
Value	A type or format for a value of the element.
Attributes	Attribute names for the element. The type or format for the attribute value is included in parentheses.
Child elements	Elements allowed as direct descendants of the element.

Table 1 Labels

223 This specification uses the following namespace prefixes:

Prefix	Namespace
soap	http://schemas.xmlsoap.org/soap/envelope/
soap12	http://www.w3.org/2003/05/soap-envelope
wsrm	http://docs.oasis-open.org/wsrm/2004/06/ws-reliability-1.1.xsd
xs	http://www.w3.org/2001/XMLSchema/
wsdl11	http://schemas.xmlsoap.org/wsdl/
fnp	http://docs.oasis-open.org/wsrm/2004/06/fnp-1.1.xsd
wsrmfp	http://docs.oasis-open.org/wsrm/2004/06/wsrmfp-1.1.xsd
ref	http://docs.oasis-open.org/wsrm/2004/06/reference-1.1.xsd

Table 2 Prefixes

- 224 The choice of any namespace prefix is arbitrary and not semantically significant.
- 225 XPath [XPath 1.0] is used to refer to header elements, in particular in **Section 4**.

226 **5 Relation to Other Specifications**

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W3C SOAP 1.1/1.2: SOAP 1.1 [SOAP 1.1] and SOAP 1.2 [SOAP 1.2 Part 1] are the
base protocols for this specification. This specification defines reliable messaging
protocol features expressed as extension header blocks embedded in the SOAP
Header.

- OASIS ebXML Message Service Specification 2.0: The reliable messaging
 mechanism defined in the ebXML Message Service Specification 2.0 [ebMS] is
 implemented in a number of products and open source efforts, many of which have
 undergone interoperability testing. WS-Reliability borrows from this technology.
- OASIS Web Services Security: SOAP Message Security 1.0: This specification defines reliability independently from security, each of these features mapping to different SOAP header extensions. Although both features can be used in combination, the specification does not attempt to compose them in a more intricate way, nor does it attempt to profile their combination. This specification can be used with OASIS Web Services Security: SOAP Message Security 1.0 [WSS].
- **WS-I Basic Profile 1.1**: This specification defines how to use reliability in compliance with WS-I Basic Profile 1.1 [WS-I BP 1.1].

243 6 Terminology

- Some of these definitions may reference other definitions, either within or outside of the
- 245 terminology section.
- 246 Reliable Messaging (RM):
- The act of processing the set of transport-agnostic SOAP Features defined by WS-Reliability,
- which results in a protocol supporting quality of service features such as guaranteed delivery,
- 249 duplicate message elimination, and message ordering.
- 250 Reliable Messaging Processor (RMP):
- 251 A SOAP processor and other infrastructure capable of performing Reliable Messaging as
- described by this specification. With regard to the transmission of a Reliable Message from one
- 253 RMP to another, the former is referred to as the Sending RMP and the latter as the Receiving
- 254 RMP. An RMP may act in both roles.
- 255 Reliable Message:
- 256 A SOAP message containing a <wsrm:Request> header block.
- 257 Payload:
- 258 A subset of the message data intended for the Consumer or Producer of the Reliable Message
- and provided by the Producer or Consumer respectively.
- 260 Producer (or Payload Producer)
- 261 An abstract component that produces the payload of a message to be sent. An example of a
- 262 Producer is an application component able to invoke an RMP to send a payload.
- 263 Consumer (or Payload Consumer)
- 264 An abstract component that consumes the payload of a received message after it has been
- 265 processed by the Receiving RMP. Examples of Consumers are: an application component called
- back when a message is received, a queuing device storing received payloads.
- 267 Deliver:
- 268 An abstract operation that transfers a payload from Receiving RMP to Consumer.
- **269 Submit:**

- 270 An abstract operation that transfers a payload from Producer to Sending RMP for example, a
- 271 request to the Sending RMP to handle the payload subject to a reliability agreement.
- 272 Respond:
- 273 An abstract operation that transfers a payload from Consumer to Receiving RMP as a response
- 274 to a previously received Reliable Message.
- 275 **Notify:**
- An abstract operation that makes available to the Producer a failure status of a previously sent
- 277 message (e.g., a notification the Sending RMP failed to send a Reliable Message) or transfers a
- 278 payload received as a response from Sending RMP to Producer.
- 279 RMP Operations:
- 280 Deliver, Submit, Respond and Notify are also called "RMP operations". These abstract
- operations control the transfer of payload data (and, in one case, failure information) between
- the RMP and a user component (Producer or Consumer). An RMP operation is not necessarily
- implemented by an RMP, but it must be either supported in some way by an RMP or invoked by
- the RMP.
- 285 Message Identifier:
- 286 A message header value or a combination of message header values that uniquely identifies a
- 287 Reliable Message. This identifier is meaningful only to the reliability features described here.
- 288 Duplicate Message:
- 289 A message is a duplicate of another message if it has same Message Identifier.
- 290 Message Delivery:
- 291 Completion of the Deliver operation for a Reliable Message.
- 292 Acknowledgment Indication:
- 293 An indication that refers to a previous message delivered by the Receiving RMP. An
- 294 Acknowledgment Indication signals that the acknowledged message has been successfully
- 295 delivered (that is, the message has satisfied all of the reliability requirements placed on it for
- 296 delivery).
- 297 Reliable Messaging Fault Indication (RM Fault):
- 298 An indication referring to a previous message that encountered a Reliable Messaging fault
- 299 condition at the Receiving RMP: it signals to the Sending RMP of the referred message that
- there was a failure to invoke the Deliver operation for the message.
- 301 Reliable Messaging Reply (RM-Reply):
- 302 An indication either an Acknowledgment Indication or a Reliable Messaging Fault Indication –
- referring to a previous Reliable Message.
- 304 Response, Callback and Poll RM-Reply Patterns:
- 305 See **Section 2.5**.
- 306 PollRequest Message:
- 307 A message from the Sending RMP to the Receiving RMP that requests RM-Replies for its
- 308 identified set of previously sent Reliable Messages.

- 309 Intermediary:
- 310 A SOAP node between a Sending RMP and a Receiving RMP.
- 311 Publish (an RM-Reply):
- The set of mechanisms that make an RM-Reply available to the Sending RMP. The particular
- mechanism used for a given Publish operation depends on the RM-Reply Pattern (Section 2.5)
- requested within the Reliable Message that elicited the Publish.

7 Messaging Model

316 8 Messaging Context

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- The Reliable Messaging Model described in this document makes the following assumptions about SOAP messaging and its relation to the RMP behavior:
 - Intermediary transparency. SOAP Intermediaries do not play any active role in the reliability mechanisms. They can be abstracted from the communication between Sending RMP and Receiving RMP: the RMPs are the only parties involved in implementing the RM protocol (e.g., for handling RM-Replies). There is no role for an RMP other than Receiving RMP or Sending RMP. Figure 2 illustrates this model.
 - Message integrity. For the reliability mechanisms described here to fulfill the reliability
 contract, this specification strongly RECOMMENDS that message header integrity be
 guaranteed end-to-end by using adequate security options such as those described in
 Web Services Security: SOAP Message Security 1.0 [WSS].

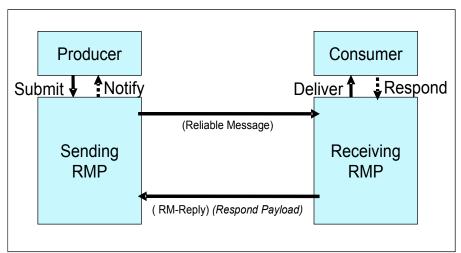


Figure 2 Messaging Model

9 RMP Operations and Their Invocation

- Four operations (Submit, Deliver, Respond and Notify) are used to model the reliability contracts
- between an RMP and its users (Producer and Consumer components).
- 331 These operations and executable components are defined abstractly to simplify discussion of the
- 332 WS-Reliability protocol, not to imply a particular API or component separation. No requirement is
- made herein about how these operations should be implemented, which component should
- implement them, or whether an implementation should explicitly represent them. The operations
- themselves describe a transfer of information (payload or failure notice) between an RMP and
- associated external components (Producer, Consumer).
- 337 The separations assumed here between the RMPs and their external components indicate the
- expected value of placing WS-Reliability support within an infrastructure component. However,
- any implementation choice leading to the externally observable properties describe in this
- 340 specification is equally valid.

- For example, a Receiving RMP could put a received payload in a queue; later, an application
- component gets the payload from that queue. This situation could be modeled in two different
- ways: (1) the queuing middleware is the Consumer, in which case the delivery is over when the
- payload is placed in the queue, (2) the application component is the Consumer, in which case
- the delivery is over when the payload is read by the application. Note that the reliability contracts
- will differ in each case and that it is an implementation choice to decide the precise point at
- which the reliability contract is considered fulfilled.
- The following requirements are associated with the use of RMP operations:
- For every valid and non-expired message it receives, a Receiving RMP MUST invoke the Deliver operation after the associated reliability requirements (ordering, duplicate elimination) have been satisfied.
 - The Sending RMP is NOT REQUIRED to invoke the Notify operation for communicating the status of every Reliable Message to a Producer. Only the failure status and available Consumer payload cases need be reported.
 - An invocation of Deliver is not always matched by an invocation of Respond; the Consumer is NOT REQUIRED to invoke Respond for every Reliable Message delivered. A Receiving RMP MUST be capable of mapping a pair of Deliver and Respond invocations to an instance of SOAP Request-response MEP (See 2.3)
- The basic exchange patterns described in the following section derive from the above messaging assumptions. Reliability features defined in this specification will in turn rely on these patterns.

10 Binding between WSDL Operation Types and RMP Invocations

- 362 This specification supports Reliable Messaging capabilities for WSDL 1.1 [WSDL 1.1] One-way
- and Request-response operation types only. That is, a WSDL instance describing the Consumer
- interface would use one of these two operations. Assuming a Sending RMP (or S-RMP) and a
- Receiving RMP (or R-RMP), the operations in such a WSDL instance MUST bind with the RMP
- operations in the following way:

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- A successful WSDL One-way operation maps to a sequence of RMP invocations of the form: S-RMP.Submit(p) + R-RMP.Deliver(p), where (p) is the payload sent in the request (input message) of the operation described in WSDL.
- A successful WSDL Request-response operation maps to a sequence of RMP invocations of the form: S-RMP.Submit(p) + R-RMP.Deliver(p) + R-RMP.Respond(p2) + S-RMP.Notify(p2), where (p) is the payload sent in the request and (p2) is the payload returned in the response (output message) of the operation described in WSDL.

11 Assumed SOAP Message Exchange Patterns

- 375 Although SOAP [SOAP 1.1] was initially defined as a one-way messaging protocol, support for
- other exchange patterns [SOAP 1.1], message exchange patterns (MEPs) [SOAP 1.2 Part 2],
- and operations [WSDL 1.1] has been described. For example, SOAP over HTTP was principally
- described in terms of a request-response exchange pattern in [SOAP 1.1], bound to either One-
- way or Request-response operations in [WSDL 1.1] and restricted (especially with regard to the
- 380 meaning of a One-way operation) in [WS-I BP 1.1]. Described below are two MEPs called here
- 381 SOAP MEPs of interest for the RM features specified herein and derived from the terminology
- in those specifications. We use these terms to describe how the RMPs send and receive SOAP
- 383 messages over the underlying transfer protocol.

- 384 An RMP MUST know which SOAP MEP is in use when sending or receiving a Reliable Message.
- 385 A WSDL instance is just one way among many to specify to an RMP a message's binding to a
- 386 SOAP MEP.

387 **SOAP One-way MEP:**

- From an RMP perspective, support for this MEP assumes the following:
- The Sending RMP (as a SOAP node) is able to initiate the sending of a SOAP envelope over the underlying protocol (i.e., not as a result of a previous protocol action such as an HTTP GET or POST).
- No response containing a SOAP envelope is sent back although a non-SOAP response (e.g., an HTTP error code) may be returned.

394 **SOAP Request-response MEP:**

- From an RMP perspective, support for this MEP assumes the following:
- The Sending RMP is able to initiate the sending of a SOAP envelope over the underlying protocol.
- The Receiving RMP can send back a message with a SOAP envelope (called a response) after somehow associating the response with the request.

400 **12 Message Reply Patterns**

401 There are three ways to publish an RM-Reply (Acknowledgment Indication or Fault Indication):

402 13 Response RM-Reply Pattern

- When the Response RM-Reply Pattern is in use, the following sequence of exchanges MUST occur:
- Step 1: The Sending RMP sends the Reliable Message in a request of a SOAP Requestresponse MEP instance.
- Step 2: The Receiving RMP sends the RM-Reply in the response message of the same SOAP MEP instance.
- 409 **Figure 3** shows this reply pattern.

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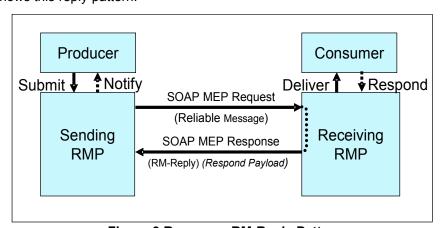


Figure 3 Response RM-Reply Pattern

- 411 The Response RM-Reply Pattern MUST NOT be used for WSDL One-way operations to the
- 412 Consumer.

413 **14 Callback RM-Reply Pattern**

- 414 When the Callback RM-Reply Pattern is in use, the following sequence of exchanges MUST
- 415 occur:
- 416 Step 1: The Sending RMP sends the Reliable Message in the SOAP MEP instance
- 417 required by this Producer-Consumer exchange. This MEP instance may be either Request-
- 418 response or One-way.
- Step 2: The Receiving RMP sends the RM-Reply. Except when the RM Reply is bundled
- 420 with a Reliable Message (as described in **Section 4.4**), the RMP MUST send this RM-
- 421 Reply using a SOAP One-way MEP.

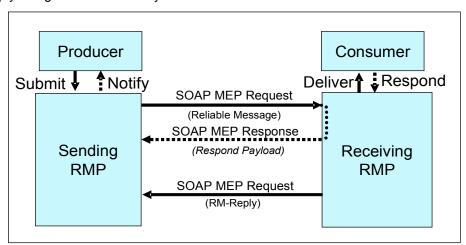


Figure 4 Callback RM-Reply Pattern

- 422 **Figure 4** shows this reply pattern. The dashed arrows indicate the SOAP message returned
- 423 when a SOAP Request-response MEP is used to send the Reliable Message.

424 15 Poll RM-Reply Pattern

- 425 When the Poll RM-Reply Pattern is in use, the following sequence of exchanges MUST occur:
- 426 Step 1: The Sending RMP sends the Reliable Message in the SOAP MEP instance
- 427 required by this Producer-Consumer exchange. This MEP instance may be either Request-
- 428 response or One-way.
- 429 Step 2: The Sending RMP issues a message with a PollRequest element in a new SOAP
- 430 MEP instance; this acts as a request for Acknowledgment. This message MUST NOT
- 431 contain a payload (as defined in **Section 1.5**). The Sending RMP MUST use the request of
- 432 a SOAP Request-response MEP instance for a synchronous PollRequest and MUST use a
- SOAP One-way MEP for an asynchronous PollRequest.
- 434 Step 3: The Receiving RMP sends the RM-Reply either (if synchronous polling) in the
- response message of the same SOAP instance that carried the PollRequest or (if
- 436 asynchronous polling) in a message from a SOAP One-way MEP instance. This message
- 437 MUST NOT contain a payload.

- When the Sending RMP of Reliable Messages cannot receive underlying protocol requests (e.g.,
- due to security restrictions), it may use the synchronous version of this reply pattern. The
- Sending RMP MAY also use this reply pattern (steps 2 and 3 above) to extend other RM-Reply
- Patterns. **Figure 5** illustrates the synchronous variant, **Figure 6** the asynchronous.

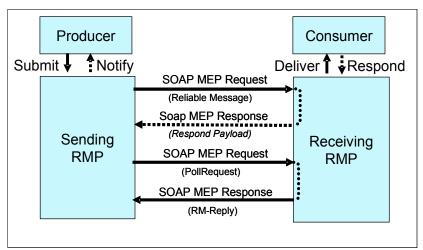


Figure 5 Synchronous Poll RM-Reply Pattern

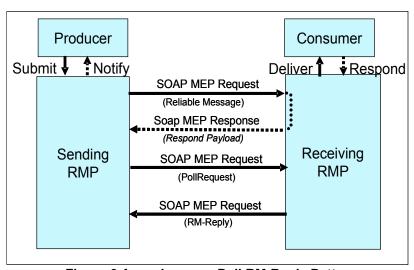


Figure 6 Asynchronous Poll RM-Reply Pattern

443 16 Message Identification and Grouping

- 444 A Reliable Message contains an Identifier that is globally unique and relies on the notion of a
- group. A Reliable Message always belongs to a group. The Sending RMP sends a group of
- 446 messages to the Receiving RMP as a sequence of individual messages. The Reliable Message
- Identifier is a combination of a group ID and an optional sequence number; a sequence number,
- if present, is an integer that is unique within a group. More precisely, a message is uniquely
- 449 identified as follows:
- 450 1) When there is only one message in the group: the group ID, which is a globally unique group identifier, may be used alone as Message Identifier. No sequence number is required, although one is allowed.
- 2) When the message belongs to a group of several messages: the message is identified by the group ID and a unique sequence number.

17 Reliability Agreement and Features

456 18 RM Agreement

457 **19 Definition**

- 458 An agreement for messaging reliability, or RM Agreement, describes which reliability features a
- sending party and a receiving party have agreed to use when exchanging a set of messages.
- 460 The RM Agreement can be seen as a contract at two levels: (1) quality of service (QoS), about
- 461 the conditions and quality of message delivery to the Consumer and (2) protocol features,
- 462 including timing parameters and details about choreography between the Sending and Receiving
- 463 RMPs.

455

464 **20 RM Agreement Items**

- 465 An RM Agreement is a list of Agreement Items.
- 466 A Sending RMP MUST be capable of (1) taking knowledge (whether by configuration, an API
- call, a message, the result of an algorithm or any other means) of a set of values that represent
- 468 the RM Agreement Items described in this specification and (2) processing them according to the
- semantics described in this specification.
- 470 A Receiving RMP MUST be capable of (1) taking knowledge of the Agreement items as they are
- 471 communicated via the header elements of Reliable Messages and (2) processing them according
- 472 to the semantics described in this specification.
- 473 **Table 3** shows the Agreement Items this specification uses. Each item is listed with its possible
- 474 values:

Name	Value	Definition
GuaranteedDelivery	enabled/disabled	For setting Guaranteed Delivery (see Section 3.2.1 for details).
NoDuplicateDelivery	enabled/disabled	For setting message delivery without duplicates or Duplicate Elimination (see Section 3.2.2 for details).
OrderedDelivery	enabled/disabled	For setting Guaranteed Message Ordering (see Section 3.2.3 for details).
GroupMaxIdleDuration	number of seconds	For setting the elapsed time limit from the last message sent or received in a group, after which the group can be terminated. The value MUST NOT be zero or smaller.
GroupExpiryTime	date/time	For setting the date and time after which the group can be terminated.
ExpiryTime	date/time	For setting the date and time after which a message must not be delivered to the Consumer.
ReplyPattern	"Response", "Callback", "Poll"	For setting the mode of response for Acknowledgments or Faults.

Table 3 RM Agreement Items

475 **21 Scope of an Agreement Item**

- 476 There are two scopes to consider:
- Group scope: All messages sent within a group.
- Message Scope: A single message.
- Agreement Items relate to a particular scope: for example, ExpiryTime affects each message separately, while GroupExpiryTime is an Agreement Item about groups.
- 481 Agreement items applying to the Message Scope MAY be applied to the Group Scope. For
- example, an RMP implementation may decide to specify the same ExpiryTime value for all
- 483 messages of a group and not support setting different values for messages in a group. The
- default scope of applicability for each RM Agreement item is:
- 485 Message scope:
- 486
 ExpiryTime
- 487
 ReplyPattern
- 488 Group scope:
- 489 OrderedDelivery
- 490 GuaranteedDelivery
- 491 NoDuplicateDelivery
- 492
 GroupExpiryTime

- 493
 GroupMaxIdleDuration
- 494 An RMP MUST NOT allow most Agreement items applicable at Group scope to vary between
- 495 messages of a group. For example, a Sending RMP MUST NOT use different guaranteed
- delivery modes for different messages of a group. However, it is allowed to dynamically change
- 497 the value of GroupExpiryTime or GroupMaxIdleDuration pertaining to a group (See **Section**
- 498 **5.1.2**).

499 **22 Rules**

- 500 When defining an RM Agreement instance, there are some dependencies between the items of the agreement that must be respected:
- If OrderedDelivery is enabled for a group, GuaranteedDelivery and NoDuplicateDelivery MUST also be enabled for that group.
- If GroupExpiryTime is used for a group, the item GroupMaxIdleDuration MUST NOT be used for this group and vice versa.

506 23 Creation, Representation and Deployment of RM Agreements

- 507 The concrete representation of an RM Agreement is beyond the scope of this specification, as
- this may be part of a more general agreement that covers other matters as well as the reliability
- 509 aspect. However, the RM Agreement determines the use of the reliability protocol and the
- behavior of RMPs. For these reasons, this specification references the RM Agreement in an
- abstract way, showing it as a simple list of (name, value) pairs called Agreement Items. This
- 512 allows a description of the concrete effect of each Agreement Item on the message content and
- 513 flow. Once there is a broad enough consensus for using a particular representation for
- agreements, a future version of this specification will define a corresponding binding for RM
- 515 Agreements.
- 516 The way RM Agreements are established or communicated to each party is out of scope.
- However, one of the principles of this specification is that it should not be necessary to deploy an
- 518 RM Agreement on both RMPs prior to executing business transactions. Only the Sending RMP
- 519 needs to have knowledge of the RM Agreement initially. No prior communication of the
- 520 agreement to the receiving party (an RMP and its user) is required. The only input the Receiving
- 521 RMP will need in order to enforce the reliability requirements will be obtained from the header
- 522 elements of received messages.

523 **24 RM Capability**

- As a way to support the creation of RM Agreements, it may be useful for Web services providers
- 525 to advertise somehow the reliability features (or RM Agreement Item values) supported by a
- 526 deployed Web service. In contrast with agreements involving both parties, such reliability
- 527 features called RM Capabilities may conveniently be associated with WSDL definitions. In
- 528 support of this option, this specification proposes a concrete representation for these capabilities
- 529 (see **Appendix B**).

530 **25 Main Reliability Features**

- 531 The main reliability features mentioned in **Section 1** are formally described here in terms of
- 532 requirements. This specification provides the means to enforce these requirements. A detailed
- 533 description of the protocol features implementing these means is given in **Section 4** and beyond.

534 **26 Guaranteed Delivery**

- 535 Quality of Service requirements:
- 536 When the GuaranteedDelivery Agreement Item is enabled, one of the two following outcomes
- 537 SHALL occur for each Submit invocation: either (1) the Receiving RMP successfully delivers
- 538 (Deliver invocation) the submitted payload to its associated Consumer or (2) the Sending RMP
- 539 notifies (Notify invocation) the Producer associated with that payload of a delivery failure.

540 **Notes**:

- This QoS feature guarantees only that the sender will always be notified of a delivery failure when a message is not delivered. It is, however, impossible to guarantee this while at the same time guaranteeing that (1) and (2) will never occur together for the same message. A proper usage by an implementation of the protocol options described in this specification will, however, greatly reduce situations where both (1) and (2) occur.
- The GuaranteedDelivery agreement is defined for messages resulting from invocations of the Submit operation. An extension of this agreement to messages resulting from invocations of the Respond operation is out of scope for this specification.
- 550 Protocol requirements:
- 551 For all messages sent with the GuaranteedDelivery agreement, a Receiving RMP MUST publish
- the RM-Reply of each such message that has been either delivered or faulted. The Sending
- 553 RMP MUST poll for all of its sent messages that requested the Poll RM-Reply Pattern.
- 554 A message resending technique combined with the acknowledgment and fault mechanism
- 555 described here MUST be used in case of a delivery failure. Parameters that control the
- resending policy (number of retries, frequency, etc.) are out of the scope of this specification.
- 557 These parameters may be added to an RM Agreement, although the resending policy may need
- to be dynamically adjusted depending on network conditions. When resending a message, the
- message contents must not change.
- 560 A Receiving RMP MUST NOT publish a Reliable Messaging Fault for a delivered Message. The
- RMP MUST NOT deliver a message for which a Reliable Messaging Fault has been published.
- A Sending RMP MUST NOT resend a message for which an RM-Reply with a Fault type other
- 563 than MessageProcessingFailure has been received and MUST instead notify its Producer of a
- 564 delivery failure.

565 **27 Duplicate Elimination**

- 566 Quality of Service requirements:
- 567 When the NoDuplicateDelivery Agreement Item is enabled, a message resulting from a Submit
- invocation SHALL NOT be delivered twice or more to the Consumer.
- 569 **Note**:
- 570 In the current specification, the NoDuplicateDelivery agreement is defined for messages
- 571 resulting from invocations to the Submit operation. An extension of this agreement to messages
- 572 resulting from invocations to the Respond operation is out of scope for this specification.
- 573 Protocol requirements:
- 574 An implementation of this specification must ensure the following invariants:

- Message instances resulting from separate invocations of Submit MUST NOT share the same Message Identifier.
- When resending a message, the message contents must not change.
- 578 As a corollary to the above requirements, a Receiving RMP MUST ensure that once a message
- 579 under this agreement has been delivered to a Consumer, no message with the same identifier
- received afterward will be delivered to this Consumer.
- 581 When the Response RM-Reply Pattern is requested with Duplicate Elimination for a Reliable
- 582 Message, the Receiving RMP cannot deliver that message to the Consumer again (because it is
- 583 a duplicate of a previously delivered message), and a Consumer response payload is expected,
- the response of the SOAP MEP instance MUST contain one (but not both) of the following:
- a copy of the original response payload returned for that Message (in the SOAP Body) in addition to the Acknowledgment Indication (in the SOAP Header) or
- a SOAP server Fault (in the SOAP Body) in addition to the Acknowledgment Indication (in the SOAP Header).
- 589 The Sending RMP and Producer expect either a complete response or a SOAP Fault when using
- the Response RM-Reply Pattern; these two allowed behaviors satisfy that expectation.

591 28 Guaranteed Message Ordering

- 592 Quality of Service requirements:
- 593 When the OrderedDelivery Agreement Item is enabled, messages resulting from a sequence of
- 594 Submit invocations SHALL be delivered in the same order to the Consumer. In addition, when
- 595 the Receiving RMP delivers one of these messages, all previous messages submitted in the
- sequence MUST already have been delivered (no missing message allowed).
- 597 **Note**:
- In the current specification, the OrderedDelivery agreement is defined for messages resulting
- from invocations of the Submit operation on the Sending RMP. An extension of this agreement to
- 600 messages resulting from invocations of the Respond operation is out of scope for this
- 601 specification.
- 602 Protocol requirements:
- Ordering is supported only over messages of the same group.
- An implementation of this specification must ensure the following invariants, regarding the usage
- of sequence numbers (SequenceNum element):
- The Sending RMP MUST reflect the order of the Submit invocations on this RMP in the sequence numbers of the corresponding messages sent.
- The Receiving RMP MUST deliver the messages received according to the order expressed by their sequence numbers, which is the same as the submission order.
- 610 An RMP will terminate the group as specified in **Section** 5.1.3.5 (T5) when those conditions
- 611 arise.

29 Message Format

30 Structure

612

- 614 Figure 7 shows the structure of reliability SOAP header blocks in the SOAP Envelope, as
- specified by the WS-Reliability protocol. On the left side of the figure, a Reliable Message is
- characterized by the presence of the wsrm:Request element. On the right side a response to a
- Reliable Message contains a wsrm:Response element. Both wsrm:Request and wsrm:Response
- elements may be found in the same message.

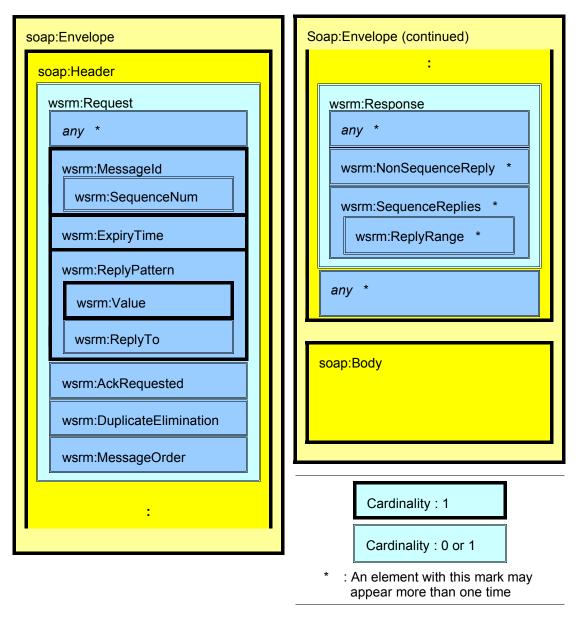


Figure 7 Structure of WS-Reliability elements

619 **Figure 8** shows the structure of PollRequest message embedded in the SOAP Envelope.

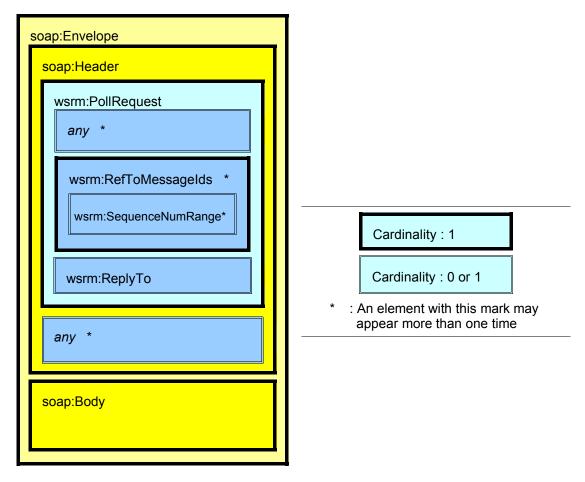


Figure 8 Structure of PollRequest message elements

- 620 The namespace [XML Namespaces] for reliable messaging defined in this specification is:
- http://docs.oasis-open.org/wsrm/2004/06/ws-reliability-1.1.xsd
- When the text of the specification is shown to be in conflict with schema statements, the schema statements prevail in the absence of an errata addressing the conflict.
- 624 The schema for some of the elements specified in this section includes the specification of
- extensibility elements and attributes. The extensibility features expressed formally in the schema
- are specified in **Section 4.6**.
- 627 If a message contains additional elements or attributes not described in this specification, the
- 628 Reliable Messaging Processor MAY ignore them.
- 629 Any of the following three elements can be a direct child element of the SOAP Header:
- Request element
- PollRequest element
- Response element

633 31 Request Element

- The Request element conveys information about the agreement items that apply to the
- containing Reliable Message. This element includes the following attribute and child elements
- 636 (see the description of each child element for cardinality requirements):
- SOAP **mustUnderstand** attribute (see **Appendix** A for details)
- Messageld element
- **ExpiryTime** element
- **ReplyPattern** element
- AckRequested element
- **DuplicateElimination** element
- MessageOrder element

Cardinality	0 or 1
Value	None
Attributes	soap:mustUnderstand (Boolean)
Child elements	MessageId
	ExpiryTime
	ReplyPattern
	AckRequested
	DuplicateElimination
	MessageOrder

Table 4 Request Element

644 **Example 1** shows an instance of a Request element.

Example 1 Request Element

```
645
      <Request
646
      xmlns="http://docs.oasis-open.org/wsrm/2004/06/ws-reliability-1.1.xsd"
647
      xmlns:soap12="http://www.w3.org/2003/05/soap-envelope"
648
      soap12:mustUnderstand="1">
649
       <MessageId groupId="mid://20040202.103832@wsr-sender.org">
650
          <SequenceNum number="0"</pre>
651
           groupExpiryTime="2005-02-02T03:00:33-31:00" />
652
       </MessageId>
653
       <ExpiryTime>2004-09-07T03:01:03-03:50</ExpiryTime>
654
       <ReplyPattern>
655
          <Value>Response</Value>
656
       </ReplyPattern>
657
       <AckRequested/>
658
        <DuplicateElimination/>
659
       <MessageOrder/>
660
      </Request>
```

661 32 Element: Request/MessageId

- This element includes the following attribute:
- a **groupId** attribute

Cardinality	1
Value	None
Attributes	groupId (xs:anyURI)
Child elements	SequenceNum

Table 5 Messageld Element

664 33 Attribute: Request/MessageId@groupId

- 665 This attribute identifies a message group. The Sending RMP MUST use a distinct globally
- 666 unique @groupId value for each distinct group of messages. Within any such group, all
- messages will have the same value for @groupId. This identification (the value) is of type URI as
- defined in [RFC2396]. It is RECOMMENDED that implementations use the Message-ID schema
- 669 defined in [RFC2392].

670 34 Element: Request/MessageId/SequenceNum

- The Sending RMP MUST include the SequenceNum element in all Reliable Messages of a
- group with more than one message.
- 673 The SequenceNum element carries the sequence number as well as other attributes that may
- 674 alter the Receiving RMP's processing of the group. When a message includes a MessageOrder
- element, the sequence number is used in support of message ordering (**Section 3.2.3**).
- This element includes the following attributes:

677 • a groupExpiryTime attribute 678 a groupMaxIdleDuration attribute 679 a **number** attribute 680 a last attribute 681 In a request message, the sender MAY include either (but not both) @groupExpiryTime or 682 @groupMaxIdleDuration (see Section 5.1.2). **Example 2** illustrates the SequenceNum element with some message fragments: 683 **Example 2 SequenceNum Element** 684 1) First message 685 <MessageId groupId="mid://20040202.103832@wsr-sender.org"> 686 <SequenceNum number="0"</pre> 687 groupExpiryTime="2005-02-02T03:00:33-31:00" /> 688 </MessageId> 689 2) Second message 690 <MessageId groupId="mid://20040202.103832@wsr-sender.org"> 691 <SequenceNum number="1"</pre> 692 groupExpiryTime="2005-02-02T03:00:33-31:00" /> 693 </MessageId> 694 3) The last message for the group 695 <MessageId groupId="mid://20040202.103832@wsr-sender.org"> 696 <SequenceNum number="2"</pre> 697 groupExpiryTime="2005-02-02T03:00:33-31:00" last="true" /> 698 </MessageId>

Cardinality	1
Value	None
Attributes	groupExpiryTime (dateTime)
	groupMaxIdleDuration (duration)
	number (unsignedLong)
	last (Boolean)
Child elements	None

Table 6 SequenceNum Element

699 35 Attribute: Request/MessageId/SequenceNum@groupExpiryTime

- 700 This attribute represents the GroupExpiryTime agreement item (**Section 3.1.2, Table 3**). It
- 701 specifies the the date and time at which the sender wishes the group to terminate. The
- 702 @groupExpiryTime value is expressed as UTC and conforms to [XML Schema Part 2] dateTime.

- 703 The Cardinality of this attribute is 0 or 1. Constraints on the use of this attribute are specified in
- 704 **Section 5**.

705 36 Attribute: Request/MessageId/SequenceNum@groupMaxIdleDuration

- 706 This attribute represents the GroupMaxIdleDuration agreement item (Section 3.1.2, Table 3). It
- 707 specifies the maximum idle time for a group. The @groupMaxIdleDuration value conforms to
- 708 [XML Schema Part 2] duration. The Cardinality of this attribute is 0 or 1. Constraints on the use
- of this attribute are specified in **Section 5**.

710 37 Attribute: Request/MessageId/SequenceNum@number

- 711 This attribute contains the sequence number, which identifies the message within its group
- 712 (Section 2.6) and is used in support of message ordering (Section 3.2.3). @number conforms to
- 713 [XML Schema Part 2] unsignedLong.
- 714 The Sending RMP MUST set this value to 0 for the first message of a group. The Sending RMP
- thereafter MUST increment this value by 1 for each message submitted in this group. Once the
- value reaches the maximum (18446744073709551615, the maximum value for this data type),
- 717 the group is terminated (see **Section 5**).

718 38 Attribute: Request/MessageId/SequenceNum@last

- 719 This attribute indicates whether or not the containing message is the last in a group. The
- 720 Cardinality of this attribute is 0 or 1. When this attribute is present, its Boolean value has the
- 721 following meaning:

722

723

- false: Indicates the message is not the last message of the group or is not known to be the last message of the group.
- **true:** Indicates the message is known to be the last message sent within a group of messages.
- When this attribute is not present, its value defaults to false.

727 39 Element: Request/ExpiryTime

- 728 The ExpiryTime element represents the ExpiryTime agreement item (**Section 3.1.2, Table 3**). It
- 729 indicates the ultimate date and time after which the Receiving RMP MUST NOT invoke the
- 730 Deliver operation for the received message. The message is considered expired if the current
- time, expressed in UTC, is greater than the value of the ExpiryTime element. When a message
- expires on the Sending RMP before being successfully sent, a Sending RMP MUST NOT send
- or resend it and MUST communicate a delivery failure to the Producer. The time is expressed as
- 734 UTC and conforms to [XML Schema Part 2] dateTime.

Cardinality	1
Value	xs:dateTime
Attributes	None
Child elements	None

Table 7 ExpiryTime Element

735 40 Element: Request/ReplyPattern

- 736 A Sending RMP MUST include the ReplyPattern element in a Request element. The
- 737 ReplyPattern element includes the following child elements:
- 738 a **Value** element
- 739 a ReplyTo element

Cardinality	1
Value	None
Attributes	None
Child elements	Value
	ReplyTo

Table 8 ReplyPattern Element

740 41 Element: Request/ReplyPattern/Value

- The Value element indicates which reply pattern the Sending RMP requests. This element
- specifies whether the Receiving RMP should send the Acknowledgment Indication or RM Fault
- 743 Indication back in the response to the reliable message, in a separate callback request, or in the
- response to a separate poll request. A Sending RMP MUST include the Value element in a
- ReplyPattern element. This element has one of the following three values:
- 746 Response
- 747 Callback
- 748 Poll
- 749 These values respectively indicate which of the RM-Reply Patterns Response, Callback or Poll 750 is in use, as described in **Section 2.5**.

Cardinality	1
Value	xs:string:
	Response, Callback or Poll
Attributes	None
Child elements	None

Table 9 Value Element

751 42 Element: Request/ReplyPattern/ReplyTo

- 752 If the value of the Request/ReplyPattern/Value element is "Callback", the Sending RMP MUST
- include this element in the Reliable Message. For all other values ("Poll" and "Response") of
- 754 Request/ReplyPattern/Value element, the Sending RMP MUST NOT include this element. This
- 755 element specifies the endpoint where the Sending RMP expects to receive a callback containing
- 756 RM-Reply information.

- 757 If present, the reference-scheme attribute specifies the format of the single child element of the
- 758 ReplyTo element. If the attribute is omitted, the default content of the ReplyTo element is
- 759 BareURI.

Cardinality	0 or 1
Value	None
Attributes	reference-scheme
Child elements	{xs:anyType} (an element representing the reference)

Table 10 ReplyTo Element

760 43 Attribute: Request/ReplyPattern/ReplyTo@reference-scheme

- This attribute specifies the format or schema of the child element of
- 762 Request/ReplyPattern/ReplyTo. The Sending RMP MUST omit this attribute when the child
- element of Request/ReplyPattern/ReplyTo is BareURI. The type of this attribute is xs:anyURI.

764 44 Element: Request/ReplyPattern/ReplyTo/BareURI

- 765 This element provides one of the simplest referencing options, the URI of the callback recipient's
- 766 endpoint. It is the default content of the Request/ReplyPattern/ReplyTo and
- PollRequest/ReplyTo (see **Section 4.3.1**) elements, though the Sending RMP MAY use any
- other element and scheme supported by the Receiving RMP. This location (the value) is of type
- 769 URI as defined in [RFC2396].
- 770 Section 6 provides additional information about the specific case for which the content of a
- BareURI in a Request or PollRequest element uses the HTTP URI scheme.

Cardinality	0 or 1
Value	xs:anyURI
Attributes	None
Child elements	None

Table 11 BareURI Element

772 45 Element: Request/AckRequested

- 773 A Sending RMP MUST include the AckRequested element in a message if and only if that
- 774 message is subject to the GuaranteedDelivery Agreement Item (refer to **Section 3.2.1** for
- 775 details); as described in **Section 3.1.4**, this condition includes all messages subject to the
- OrderedDelivery Agreement Item. The Sending RMP uses this element to request the Receiving
- 777 RMP to publish an Acknowledgment after the message is delivered to the consumer party or else
- 778 to publish an RM Fault Indication. The Receiving RMP MUST publish this information, even for
- 779 received messages that are duplicates of previously delivered messages. For example, if the
- 780 RM-Reply Pattern is Callback and no fault occurs, an Acknowledgment Indication SHALL be sent
- 781 back.
- 782 The Receiving RMP MAY publish an RM Fault Indication for a Reliable Message, even if the
- 783 AckRequested element is not present in the Request element for that message.

The pattern used to send the Acknowledgment or RM Fault Indication is determined by the value of the ReplyPattern element.

Cardinality	0 or 1
Value	None
Attributes	None
Child elements	None

Table 12 AckRequested Element

786 46 Element: Request/DuplicateElimination

- 787 A Sending RMP MUST include the DuplicateElimination element in a message if and only if that
- 788 message is subject to the NoDuplicateDelivery Agreement Item (refer to **Section 3.2.2** for
- 789 details); as described in **Section 3.1.4**, this condition includes all messages subject to the
- 790 OrderedDelivery Agreement Item.

Cardinality	0 or 1
Value	None
Attributes	None
Child elements	None

Table 13 DuplicateElimination Element

791 47 Element: Request/MessageOrder

- 792 A Sending RMP MUST include the MessageOrder element if and only if that message is subject
- 793 to the OrderedDelivery Agreement Item (refer to **Section 3.2.3** for details).
- 794 If the MessageOrder element appears in the message received, the Receiving RMP MUST NOT
- 795 deliver the message until all messages with the same Request/MessageId@groupId value and a
- 796 lower Request/MessageId/SequenceNum@number value have been delivered.

Cardinality	0 or 1
Value	None
Attributes	None
Child elements	None

Table 14 MessageOrder Element

797 **48 Example**

- 798 The HTTP message below uses the Request element to specify (among other things) that all
- three reliability features should be used: GuaranteedDelivery ("AckRequested" element),
- 800 NoDuplicateDelivery ("DuplicateElimination" element), and OrderedDelivery ("MessageOrder"
- 801 element). The reply pattern is "Poll", meaning that no Acknowledgment or Fault will be sent back
- 802 unless explicitly requested by another message containing a PollRequest header.

Example 3 Reliable Message with Request header

```
POST /abc/servlet/wsrEndpoint HTTP/1.0
803
804
     Content-Type: text/xml; charset=utf-8
805
     Host: 192.168.183.100
806
     SOAPAction: ""
807
     Content-Length: 736
808
809
     <soap:Envelope xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/">
810
        <soap:Header>
811
       <Request
812
         xmlns="http://docs.oasis-open.org/wsrm/2004/06/ws-reliability-1.1.xsd"
813
         soap:mustUnderstand="1">
814
          <MessageId groupId="mid://20040202.103832@wsr-sender.org">
815
            <SequenceNum number="0"</pre>
816
             groupExpiryTime="2005-02-02T03:00:33-31:00" />
817
          </MessageId>
818
            <ExpiryTime>2004-09-07T03:01:03-03:50</ExpiryTime>
819
            <ReplyPattern>
820
              <Value>Poll</Value>
821
            </ReplyPattern>
822
            <AckRequested/>
823
            <DuplicateElimination/>
824
            <MessageOrder/>
825
          </Request>
826
       </soap:Header>
827
       <soap:Body>
828
          <Request xmlns="http://example.org/wsr">Request Message</Request>
829
       </soap:Body>
830
      </soap:Envelope>
```

49 PollRequest Element

831

844

- 832 A PollReguest Message reguests an RM-Reply for a Reliable Message that had "Poll" as the
- 833 value of the Request/ReplyPattern/Value element and included the Request/AckRequested
- element. However, PollRequest Messages can also solicit delivery status for messages that were
- 835 originally sent with "Response" or "Callback" as the value of the Request/ReplyPattern/Value
- element and that included the Request/AckRequested element.
- 837 If a Receiving RMP does not support the use of PollRequest as a general status guery
- mechanism, it MAY return a FeatureNotSupported fault in response to a PollRequest when the
- relevant ReplyPattern Agreement Item does not have the value "Poll".
- 840 A Receiving RMP that receives a supported form of PollRequest MUST publish RM-Reply
- information relevant to non-expired messages identified in that request.
- This element includes the following attribute and child elements:
- SOAP **mustUnderstand** attribute (see **Appendix** A for details)
 - a ReplyTo element

• a **RefToMessageIds** element

Cardinality	0 or 1
Value	None
Attributes	soap:mustUnderstand (Boolean)
Child elements	ReplyTo
	RefToMessageIds

Table 15 PollRequest Element

Example 4 PollRequest Element

```
846
      <PollRequest</pre>
847
      xmlns="http://docs.oasis-open.org/wsrm/2004/06/ws-reliability-1.1.xsd"
848
      xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/"
849
      soap:mustUnderstand="1">
850
       <RefToMessageIds groupId="mid://20040202.103832@wsr-sender.org">
851
         <SequenceNumRange from="0" to="5"/>
852
         <SequenceNumRange from="15" to="20"/>
853
       </RefToMessageIds>
854
       <RefToMessageIds groupId="mid://20040202.103811@wsr-sender.org" />
855
       <RefToMessageIds groupId="mid://20040202.103807@wsr-sender.org">
856
         <SequenceNumRange from="713" to="6150"/>
857
       </RefToMessageIds>
858
      </PollRequest>
```

859 50 Element: PollRequest/ReplyTo

- The Receiving RMP MUST send the RM-Reply information in a new request to the endpoint
- 861 specified by PollRequest/ReplyTo whenever this element is present. If it is not present, the
- 862 Receiving RMP MUST send back the RM-Reply on the response to the PollRequest message.
- 863 **Section 4.2.3.2** provides additional information about the very similar
- 864 Request/ReplyPattern/ReplyTo element.

Cardinality	0 or 1
Value	None
Attributes	reference-scheme
Child elements	{xs:anyType} (an element representing the reference)

Table 16 ReplyTo Element

865 51 Attribute: PollRequest/ReplyTo@reference-scheme

- Section 4.2.3.2.1 provides additional information about the similar
- 867 Request/ReplyPattern/ReplyTo@reference attribute.

52 Element: PollRequest/ReplyTo/BareURI

- **Section 4.2.3.2.2** provides additional information about the similar
- 870 Request/ReplyPattern/ReplyTo/BareURI element.

Cardinality	0 or 1
Value	xs:anyURI
Attributes	None
Child elements	None

Table 17 BareURI Element

871 53 Element: PollRequest/RefToMessageIds

- 872 The RefToMessageIds element contains the identifiers of groups and messages whose status
- 873 the Sending RMP is requesting. This element includes @groupId and zero or more
- 874 SequenceNumRange elements as follows:
- a **groupId** attribute

868

• zero or more **SequenceNumRange** elements

Cardinality	1 or more
Value	None
Attributes	groupId (URI)
Child elements	SequenceNumRange

Table 18 RefToMessageIds Element

- 877 When this RefToMessageIds element does not include a SequenceNumRange element, the
- 878 Receiving RMP MUST return RM-Replies for non-expired messages that were delivered or
- 879 faulted in that group.
- When the RefToMessageIds element includes one or more SequenceNumRange element(s), the
- 881 Receiving RMP MUST return RM-Replies for the non-expired messages that were delivered or
- 882 faulted in the identified subset of that group. The identified subset includes all Reliable
- Messages whose MessageId/SequenceNum@number values fall in the range(s) specified in the
- 884 RefToMessageIds/SequenceNumRange element(s) of the PollReguest.
- 885 A Sending RMP MAY include multiple RefToMessageIds elements (one for each @groupId
- value) in a single PollRequest Message to request RM-Replies for multiple groups.

887 54 Attribute: PollRequest/RefToMessageIds@groupId

- The @groupId specifies the group of messages whose status the Sending RMP is requesting.
- This identification (the value) is of type URI as defined in [RFC2396].

890 55 Element: PollRequest/RefToMessageIds/SequenceNumRange

- The SequenceNumRange element specifies those messages in a group for which the Sending
- 892 RMP requests status. Attributes @from and @to of this element express an inclusive range for
- 893 SequenceNum values. This element contains the following two attributes:
- a **from** attribute
- a **to** attribute
- 896 When these attributes have the same value, the range is limited to a single message.

Cardinality	0 or more
Value	None
Attributes	from (unsignedLong)
	to (unsignedLong)
Child elements	None

Table 19 SequenceNumRange Element

897 56 Attribute: PollRequest/RefToMessageIds/SequenceNumRange@from

- This attribute specifies the lowest SequenceNum@number value of the message range. The
- value of @from is of type unsignedLong and SHALL be less than or equal to the value of @to.

900 57 Attribute: PollRequest/RefToMessageIds/SequenceNumRange@to

- This attribute specifies the highest SequenceNum@number value of the message range. The
- value of @to is of type unsignedLong and SHALL be greater than or equal to the value of
- 903 @from.

904 **58 Example**

- 905 The HTTP message below uses the PollRequest reliability element, polling the Receiving RMP
- 906 for the status of messages within the range of sequence numbers 0 to 20 of a particular group.
- The response to this PollRequest will identify which of those messages have been delivered
- 908 (Acknowledged).

Example 5 PollRequest Message embedded in HTTP Request

```
909
     POST /abc/servlet/wsrEndpoint HTTP/1.0
910
     Content-Type: text/xml; charset=utf-8
911
     Host: 192.168.183.100
912
     SOAPAction: ""
913
     Content-Length: 432
914
915
     <soap:Envelope xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/">
916
       <soap:Header>
917
         <PollRequest
918
           xmlns="http://docs.oasis-open.org/wsrm/2004/06/ws-reliability-1.1.xsd"
919
           soap:mustUnderstand="1">
920
            <RefToMessageIds groupId="mid://20040202.103832@wsr-sender.org">
921
              <SequenceNumRange from="0" to="20"/>
922
            </RefToMessageIds>
923
         </PollRequest>
924
       </soap:Header>
925
       <soap:Body />
926
      </soap:Envelope>
```

927 **59 Response Element**

- The Response element indicates Acknowledgments and Faults for Reliable Messages. This element includes the following attributes:
- SOAP mustUnderstand attribute (see Appendix A for details)
- The Response element SHALL include a list one or more elements in length containing a choice or choices from the following:
- NonSequenceReply element(s)
- SequenceReplies element(s)
- When the Response occurs under the Response RM-Reply Pattern, the first element in this list
- 936 describes the status of the received Reliable Message. In this case, when the SeguenceReplies
- 937 element is used, the first contained ReplyRange element will include the received Reliable
- 938 Message within its range.
- 939 The Receiving RMP MAY bundle a Response element with a Request element when responding
- to a message that used the Callback RM-Reply Pattern. In this case, the response and the new
- 941 Reliable Message MUST share a common destination URI. This enables the combination of an
- 942 Acknowledgment Indication and the business response to the original message. This also allows
- a Receiving RMP to bundle an Acknowledgment Indication with another unrelated message to
- 944 the Sending RMP to reduce network traffic. When combined in a single message, the Request
- 945 and Response elements are treated separately from the perspective of the abstract model
- 946 (Section 2); a Receiving RMP component handles the Request element and payload while a
- 947 Sending RMP handles the Response element.

Cardinality	0 or 1
Value	None
Attributes	soap:mustUnderstand (Boolean)
Child elements	NonSequenceReply
	SequenceReplies

Table 20 Response Element

948 **Example 6** shows an instance of the Response element.

Example 6 Response Element

```
949
      <Response
950
      xmlns="http://docs.oasis-open.org/wsrm/2004/06/ws-reliability-1.1.xsd"
951
      xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/"
952
      soap:mustUnderstand="1">
953
       <NonSequenceReply groupId="mid://20040202.103832@wsr-sender.org" />
954
       <SequenceReplies groupId="mid://20040202.103807@wsr-sender.org">
955
         <ReplyRange from="1" to="4" />
956
         <ReplyRange from="5" to="5" fault="wsrm:InvalidRequest" />
957
         <ReplyRange from="6" to="42" />
958
       </SequenceReplies>
959
       <NonSequenceReply groupId="mid://20040202.103811@wsr-sender.org"</pre>
960
        fault="wsrm:PermanentProcessingFailure" />
961
      </Response>
```

962 60 Element: Response/NonSequenceReply

- An RM-Reply for a message that does not have a sequence number SHALL include a NonSequenceReply element. This element includes the following attributes:
- a **groupId** attribute
- a **fault** attribute
- The @fault indicates a particular fault for the identified message. Without this attribute, the NonSequenceReply element is an Acknowledgment Indication for the message.

Cardinality	0 or more
Value	None
Attributes	groupId (URI)
	fault (QName)
Child elements	None

Table 21 NonSequenceReply Element

969 61 Attribute: Response/NonSequenceReply@groupId

- 970 This attribute specifies the group identifier of a message that did not have a sequence number. A
- 971 NonSequenceReply element SHALL include the message's @groupId. This identification (the
- value) is of type URI as defined in [RFC2396].

973 62 Attribute: Response/NonSequenceReply@fault

- 974 This attribute indicates the code of a Reliable Messaging Fault encountered while processing the
- 975 message. The Cardinality of this attribute is 0 or 1.

976 63 Element: Response/SequenceReplies

- 977 An RM-Reply for a group (or a subset thereof) whose messages had sequence numbers SHALL
- 978 include a SequenceReplies element. This element contains a @groupId and 1 or more
- 979 ReplyRange elements.

Cardinality	0 or more
Value	None
Attributes	groupId (URI)
Child elements	ReplyRange

Table 22 SequenceReplies Element

980 64 Attribute: Response/SequenceReplies@groupId

- The @groupId specifies the message group for which its SequenceReplies element carries the
- 982 status. A SequenceReplies element SHALL include the group's @groupId. This identification
- 983 (the value) is of type URI as defined in [RFC2396].

984 65 Element: Response/SequenceReplies/ReplyRange

- The ReplyRange element indicates a range of sequence numbers with a shared delivery status.
- 986 The @fault indicates a particular, common fault all messages in the range share. Without this
- 987 attribute, the ReplyRange element is an Acknowledgment Indication for all messages in the
- 988 range.

Cardinality	1 or more	
Value	None	
Attributes	from (unsigned Long)	
	to (unsigned Long)	
	fault (QName)	
Child elements	None	

Table 23 ReplyRange Element

989 66 Attribute: Response/SequenceReplies/ReplyRange@from

990 This attribute has same type and semantics as in the PollRequest element.

991 67 Attribute: Response/SequenceReplies/ReplyRange@to

992 This attribute has same type and semantics as in the PollRequest element.

993 68 Attribute: Response/SequenceReplies/ReplyRange@fault

This attribute indicates the code of a Reliable Messaging Fault encountered while processing all of the messages in the identified range. The Cardinality of this attribute is 0 or 1.

996 **69 Example**

The message below uses the Response reliability element, which in this case is carrying the response of a previous PollRequest element. The response acknowledges a message specified by the group identifier "mid://20040202.103811@wsr-sender.org" and messages for a group specified by the group identifier "mid://20040202.103832@wsr-sender.org" within the ranges of sequence numbers 0 to 14 and 16 to 20. The response also reports an RM Fault for a message with sequence number 15 for the group.

Example 7 RM-Reply message embedded in HTTP Response

```
1003
      HTTP/1.0 200 OK
1004
      Server: WS-ReliabilityServer
1005
      Date: Mon, 02 Feb 2004 10:38:32 GMT
1006
      Content-Language: en
1007
      Content-Type: text/xml; charset=utf-8
1008
      Content-Length: 593
1009
1010
      <soap:Envelope xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/">
1011
        <soap:Header>
1012
          <Response soap:mustUnderstand="1"</pre>
1013
           xmlns="http://docs.oasis-open.org/wsrm/2004/06/ws-reliability-1.1.xsd">
1014
            <NonSequenceReply groupId="mid://20040202.103811@wsr-sender.org"/>
1015
            <SequenceReplies groupId="mid://20040202.103832@wsr-sender.org">
1016
              <ReplyRange from="0" to="14"/>
              <ReplyRange from="15" to="15" fault="InvalidRequest"/>
1017
1018
              <ReplyRange from="16" to="20"/>
1019
            </SequenceReplies>
1020
          </Response>
1021
        </soap:Header>
1022
        <soap:Body />
1023
```

1024 **70** Fault Codes For Reliable Messaging Failures

1025 The protocol defines two fault categories:

- The Message Format fault set, which includes all faults generated because of a malformed Reliable Message header.
- The Message Processing fault set, which includes all faults generated while processing the message.
- 1030 They are explained in detail in the following sections. The Receiving RMP returns these
- protocol-specific fault codes within the Response header element. Reliable Message Faults are
- 1032 carried in the SOAP Header and do not rely exclusively on the SOAP Fault model for the
- 1033 following reasons:

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- The SOAP Fault model does not allow batching of several faults in the same message.
- RM Faults may be carried along with business messages that are unrelated to these faults; they should not affect the processing of the SOAP body in such messages.
- 1037 The rules for processing faults are:
 - The Receiving RMP MUST NOT deliver a message for which an RM Fault is published.
 Therefore, the Receiving RMP MUST NOT send an Acknowledgment Indication for such a message.
 - If a Reliable Message sent over a SOAP Request-response MEP cannot be delivered to the Consumer, the response of the SOAP MEP instance SHALL contain a SOAP Fault (in the SOAP Body) in addition to the appropriate RM Fault (in the SOAP Header). If the specific RM Fault encountered was due to a problem with the request header element, the Receiving RMP MUST set the value of the soap:Fault@faultcode attribute to "soap:Client" (for SOAP 1.1 messages) or the soap12:Fault/Code/Value element to "soap12:Sender" (for SOAP 1.2 messages). If the specific RM Fault encountered was due to a problem with processing by the Receiving RMP, the Receiving RMP MUST set the value of the soap:Fault@faultcode attribute to "soap:Server" (for SOAP 1.1 messages) or the soap12:Fault/Code/Value element to "soap12:Receiver" (for SOAP 1.2 messages). The Sending RMP and Producer expect either a complete response or a SOAP Fault when using the SOAP Request-response MEP; this requirement satisfies those expectations. More details are given in Section 3.2 and in the HTTP Binding section (Section 6).
 - When a Reliable Message sent over a SOAP One-way MEP cannot be delivered to the Consumer due to a failure in processing the RM headers, a SOAP Fault SHALL NOT be returned. The HTTP binding section (Section 6) gives more details on the recommended behavior in such case.
- The Fault codes described in **Sections 4.5.1** and **4.5.2** are allowed values for @fault in a Response element.

1061 **71 Message Format Faults**

The Receiving RMP publishes these faults when the message format of the Reliable Messaging Headers is either invalid or wrong.

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Local part name	Description and Cause(s)
InvalidRequest	The Request element is wrong or invalid. Examples are:
	 Any of the mandatory elements such as MessageId, ExpiryTime or ReplyPattern are missing.
	2.AckRequested, DuplicateElimination or MessageOrder elements appear twice.
	3.The soap:mustUnderstand attribute is missing.
InvalidPollRequest	The PollRequest element is wrong or invalid. Examples are:
	 The soap:mustUnderstand attribute is missing.
	2. The RefToMessageIds element is missing.
InvalidMessageId	Used in any of the following cases:
	 @groupId (for MessageId or RefToMessageIds) is not present or is present with an invalid value.
	@number in SequenceNum element is not present or is present with an invalid value.
	Attributes (from and to) of SequenceNumRange are not present or are present with invalid values.
InvalidMessageParameters	Used in any of the following cases:
	The @groupExpiryTime is wrong or invalid.
	The @groupMaxIdleDuration is wrong or invalid.
	3. Both group parameters are present.
	 SequenceNum@last exists but is not one of the allowed {false true} values.
InvalidReplyPattern	Used in either of the following cases:
	1. The ReplyPattern format is wrong or invalid.
	2. The ReplyTo element is missing for the Callback pattern.
InvalidExpiryTime	The ExpiryTime format is wrong or invalid.

Table 24 Invalid Message Format Fault Code Values

1064 **Note:**

- Cases exist in which the Receiving RMP is unable to send RM Fault Indications for messages with invalid message headers, such as:
- 1067 The ReplyTo element is missing or invalid in the Callback and asynchronous Poll cases.
 - The MessageId element is missing for the Request element.
 - · The RefToMessageIds is missing for the PollRequest element.

72 Message Processing Faults

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The Receiving RMP publishes these faults when there is an error processing a valid Reliable Messaging message.

Local part name	Description and Cause(s)
FeatureNotSupported	The Receiving RMP receives a message with an RM feature that it does not support. An example is an RM message with a MessageOrder element sent to a Receiving RMP that doesn't support Guaranteed Message Ordering.
PermanentProcessingFailure	Permanent and fatal processing failures such as:
	Persistence Storage failures.
	2. Message Delivery failures.
	A PermanentProcessingFailure fault indicates that the failure is fatal and subsequent retries of the same message will also fail.
MessageProcessingFailure	Used in transient failure cases such as:
	The number of buffered requests exceeded the maximum limit.
	The number of threads reached the maximum limit, etc.
	3. The Deliver operation fails.
	A transient fault, unlike a permanent fault, is temporary; the message may succeed after a subsequent retry.
GroupAborted	All processing for the group associated with the reliable message request has been aborted by the Receiving RMP. The Receiving RMP MUST NOT deliver subsequent messages within that group.

Table 25 Messaging Processing Failure Fault Code Values

1074 73 RM Fault Examples

Example 8 RM Fault Indication for Reliable Messaging

```
<soap:Envelope xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/">
1075
1076
         <soap:Header>
1077
           <Response soap:mustUnderstand="1"</pre>
1078
            xmlns="http://docs.oasis-open.org/wsrm/2004/06/ws-reliability-1.1.xsd">
1079
             <SequenceReplies groupId="mid://20040202.103832@wsr-sender.org">
1080
               <ReplyRange from="1" to="1" fault="InvalidReguest" />
1081
             </SequenceReplies>
1082
           </Response>
1083
        </soap:Header>
1084
         <soap:Body />
1085
       </soap:Envelope>
```

1086 If the PollReguest element in **Example 4** was missing the soap:mustUnderstand attribute, the 1087 InvalidPollRequest fault may be sent as follows.

Example 9 RM Fault Indication for PollRequest message

```
<soap:Envelope xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/">
1088
1089
        <soap:Header>
           <Response soap:mustUnderstand="1"</pre>
1090
1091
            xmlns="http://docs.oasis-open.org/wsrm/2004/06/ws-reliability-1.1.xsd">
1092
             <SequenceReplies groupId="mid://20040202.103832@wsr-sender.org">
               <ReplyRange from="0" to="5" fault="InvalidPollRequest"/>
1093
1094
               <ReplyRange from="15" to="20" fault="InvalidPollRequest"/>
1095
             </SequenceReplies>
1096
             <NonSequenceReply groupId="mid://20040202.103811@wsr-sender.org"</pre>
              fault="InvalidPollRequest"/>
1097
1098
             <SequenceReplies groupId="mid://20040202.103807@wsr-sender.org/">
1099
               <ReplyRange from="713" to="6150" fault="InvalidPollRequest"/>
1100
             </SequenceReplies>
1101
           </Response>
1102
        </soap:Header>
1103
         <soap:Body />
1104
       </soap:Envelope>
```

74 Extensibility Features of Schema

- 1106 The core schema for this specification (associated in Section 1.3, Table 2, with the "wsrm" 1107 namespace prefix) specifies extension mechanisms for some schema elements.
- The following elements (which have a complex sequence type) allow the presence of zero or 1108 1109 more extension elements (of type xs:anyType; that is, any type not defined in this core
- namespace is allowed) at the beginning of the sequence, as well as zero or more extension 1110 attributes (with similar namespace restrictions): 1111
- 1112 Request

1105

- 1113 Response
- PollRequest
- NonSequenceReply
- SequenceReplies
- 1117 ReplyRange
- The extensibility of the ReplyTo elements (Sections 4.2.3.2 and 4.3.1) is somewhat different; it
- is described in the appropriate sections above.

75Operational Aspects and Semantics

76 Message Group Life Cycle

1122 **77 Group Termination**

- Being able to know when a group may be terminated and its persistent resources reclaimed is
- essential for keeping the resource footprint of reliability low. However, this section is not just
- about efficient management of resources: it describes normative behavioral rules for RMPs when
- 1126 handling group termination.
- 1127 Termination of a group in the Sending RMP and in the Receiving RMP are two distinct events,
- 1128 not synchronized by any special message but instead occurring as the result of rules applying
- separately to the Sending and Receiving RMPs. As a consequence, the termination of a group
- may occur at quite different times on the Sending RMP and the Receiving RMP. However, the
- lack of synchronization allowed by these termination rules is not consequential.
- Groups undergoing termination on the Sending RMP and the Receiving RMP pass through the
- 1133 following states:

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> 1150 1151

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1134 Group complete:

- The Sending RMP considers a group complete when all of its messages have been sent and the last sent message has an ending marker (SequenceNum@last="true" or it has a sequence number with the maximum value). Note that completeness occurs even if not all of the group's messages have been either acknowledged or faulted (in case GuaranteedDelivery is enabled).
- The Receiving RMP considers a group complete when a message with an ending marker has been received and all previous messages for this group also have been received (no number missing in the sequence) although not necessarily delivered yet.

1143 Group closed:

- When a group is closed in the Sending RMP, the RMP expects to send no new
 message in this group. However, the RMP MAY resend messages as needed if
 GuaranteedDelivery is enabled. If a new message is submitted for a closed group, the
 Sending RMP MUST notify the Producer that the group is closed and MUST NOT send
 the message.
- When a group is closed in the Receiving RMP, the RMP expects to receive no new
 message for this group. After a group is closed and before it is "removed" (see
 definition below), a Receiving RMP MUST NOT deliver messages received with this
 group identifier, whether or not they are duplicates of previous messages and
 regardless of whether they result from a resend of previously failed messages initiated
 before closing on the Sending RMP (in case GuaranteedDelivery is enabled).

1155 **Note**:

- Due to time-out, a group may be closed without being complete. Once complete, a group will
- 1157 close (see termination rules).

Group Removed:

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- Group removal occurs at the time the group is closed or afterward. Intuitively, a group is removed
- when a Receiving RMP does not need to remember anything about this group, i.e., when there is
- no need to check for duplicates of its messages in the future (for example, when all of its
- 1162 messages have expired).
- When a group is removed in the Sending RMP, the RMP is NOT REQUIRED to verify that future submitted messages are improperly associated with the removed group and MAY treat them as part of a new group. However, the Sending RMP is responsible for generating group identifiers, and it SHOULD generate values unique enough to avoid later reuse of the group identifier of a removed group (for example, generation mechanisms including a timestamp will make reuse impossible).
- When a group is removed in the Receiving RMP, the RMP is no longer supposed to remember anything about this group. In particular, the group identifier is discarded from the RMP state. When receiving a message with same group identifier as a removed group, a Receiving RMP is NOT REQUIRED to confirm whether or not this group identifier value has already been used; the RMP MAY treat such a message as part of a new group.

78 Group Termination Parameters

- Two RM Agreement Items, GroupExpiryTime and GroupMaxIdleDuration, determine when a
- group can be terminated. These two items are considered Group Termination parameters that
- control the persistence of the group data. The corresponding message header attributes are
- 1179 @groupExpiryTime and @groupMaxIdleDuration respectively. The following requirements
- pertain to these header attributes:
- a) The first message in a group (the one with
- Request/MessageId/SequenceNum@number=0) indicates which Group Termination (time-
- out) parameter is in use for the group. However, the Receiving RMP MUST use the first
- message received for this group to indicate which termination parameter is associated with this group.
- If the first message in the sequence of a group has neither group time-out parameter present, the group will be terminated according to condition T3, T4 or T5.
 - If the first message has one of the two time-out parameters present (either @groupExpiryTime or @groupMaxIdleDuration), the group will be subject to termination rules T1 or T2 described below.
 - The Receiving RMP MUST return an InvalidMessageParameters fault if both group persistence parameters are present in any request message.
 - If @groupExpiryTime is in use, the Sending RMP MUST NOT send a message in that group with an ExpiryTime value greater than @groupExpiryTime.
- b) The group termination parameter sent on the first message in the group SHALL be used on all subsequent messages in that group and SHALL be assigned a value.
- c) If the Receiving RMP receives a message with a group termination parameter that is not consistent with the termination parameter used in previous messages for this group, the Receiving RMP MUST return an InvalidMessageParameters fault.
- When the group is ordered, the fault SHALL be returned for the message with lowest sequence number that was found inconsistent in the group. If the group is not required to

1202 1203	be ordered, the fault SHALL be returned for the first message received that was found inconsistent in the group.
1204 1205 1206 1207 1208	d) The Sending RMP MAY modify either time-out parameter, sending a subsequent message with the new value. When applying termination rules, the Sending RMP MUST use the value in the message with the highest sequence number sent for the group. The Receiving RMP MUST use the value from the message with the highest sequence number received for the group.
1209 1210 1211	 e) @groupMaxIdleDuration can be either increased or decreased without restriction. The Sending RMP may increase or decrease @groupExpiryTime as long as it is never less than the max(ExpiryTime) of the messages sent for the group so far.
1212 1213 1214	The Receiving RMP MUST publish an InvalidMessageParameters Fault for a message with a @groupExpiryTime value less than the max(ExpiryTime) of the messages previously received for the group.
1215	79 Termination Rules
1216 1217 1218 1219 1220 1221 1222 1223	Termination is the process by which an RMP discontinues the use of a group, allowing the RMP to reclaim resources used by the group. Termination typically involves two steps that may occur at different times: closing and removal. Removal of a group may happen some time after it is closed, allowing an RMP to filter out potential duplicate messages. The general rule is that a group is removed once all of its messages have expired. If we define max(ExpiryTime) as the maximum date and time of all ExpiryTime values of the messages sent for a group (on the Sender side) or received for a group (on the Receiver side), a group will not be removed before max(ExpiryTime) occurs.
1224	There are two general indicators an RMP will use to terminate a group:
1225 1226 1227	 a) Message Marker: Information within a message (either Request/MessageId/SequenceNum@last="true" or the maximum sequence number) indicates the last message for the group. This is used by termination rules T3, T4.
1228 1229 1230	b) Timing: Either the group's lifespan expired or its idle time exceeded a time-out. This is used by termination rules T1, T2. Or due to message expiration, a group with the ordering requirement cannot be delivered. This is used by termination rule T5.
1231 1232	These termination rules apply to both ordered and unordered groups. However, these rules do not apply to groups that contain a single message with no sequence number.
1233	80 Termination by expiration (T1):
1234	Context:
1235	The group specified @groupExpiryTime.
1236	Receiver side:
1237	Triggering event: @groupExpiryTime is in the past.
1238	The RMP MUST close and remove the group.
1239	Sender side:
1240 1241	Triggering event: @groupExpiryTime is in the past (note: in this case, max(ExpiryTime) also is past).
1242	The RMP MUST close and remove the group.

1243 81 Termination by idle time-out (T2):

- 1244 Context:
- 1245 The group specified @groupMaxIdleDuration.
- 1246 Receiver side:
- 1247 Triggering event: The time since the last received message for the group is over
- 1248 @groupMaxIdleDuration.
- 1249 The RMP MUST close the group. But unlike T1, some of its past messages may not have
- 1250 expired yet. In case Duplicate Elimination is required, the RMP MUST NOT remove the group
- until max(ExpiryTime) is reached in order to make sure all potential duplicates for the group will
- 1252 not be delivered.
- 1253 Sender side:
- 1254 Triggering event: The time since the last sent message for the group is over
- 1255 @groupMaxIdleDuration.
- 1256 The RMP MUST close the group. If GuaranteedDelivery was required, the RMP MUST remove
- 1257 the group once it has received either acknowledgment or notification of delivery failure for all
- 1258 sent messages. If no GuaranteedDelivery was required, the RMP MUST remove the group
- 1259 immediately.

1260 82 Termination by completeness (T3):

- 1261 Context:
- 1262 No specific context.
- 1263 Receiver side:
- 1264 Triggering event: The RMP receives a message marked last
- 1265 (Request/MessageId/SequenceNum@last="true"). If all previous messages for the group have
- been received, the group is closed immediately. Alternately, the group is closed when the RMP
- receives the last missing message in the group.
- 1268 The RMP MUST close the group. However, its removal is done according to T1 or T2 depending
- on which time-out parameter was specified for the group. If no time-out parameter was specified,
- the group is removed once all of its messages have expired, i.e., the date and time
- 1271 max(ExpiryTime) has passed.
- 1272 **Note:**
- 1273 In the case in which a message is received with an ending marker before all previous messages
- have been received, the group remains active. No termination process is initiated yet.
- 1275 <u>Sender side:</u>
- 1276 Triggering event: The RMP sends a message marked last.
- 1277 All messages of the group have been sent. The RMP MUST close the group. If
- GuaranteedDelivery was required, the RMP MUST remove the group once it has received either acknowledgment or notification of delivery failure for all sent messages. If GuaranteedDelivery
- was not required, the RMP MUST remove the group immediately.

1281 83 Termination by sequence exhaustion (T4):

- 1282 Context:
- 1283 No specific context.
- 1284 Receiver side:
- 1285 Triggering event: The RMP receives a message with a sequence number of the maximum value.
- 1286 If all previous messages for the group have been received, the group is closed immediately.
- 1287 Alternately, the group is closed when the RMP receives the last missing message in the group.
- 1288 The group closing and removal follow the rules in T3, the message with the maximum sequence
- number acting as a message with the ending mark.
- 1290 **Note**:
- 1291 In case a message is received with the maximum sequence number before all previous
- messages have been received, the group remains active. No termination process is initiated yet.
- 1293 Sender side:
- 1294 Triggering event: The RMP sends a message with a sequence number with the maximum value.
- 1295 The group closing and removal follow the rules in T3, the message with the maximum sequence
- number acting as a message with the ending mark.

1297 **84 Termination by ordering failure (T5):**

- 1298 Context:
- 1299 The group requires the Guaranteed Message Ordering reliability feature.
- 1300 Receiving side:
- 1301 Triggering event: In an ordered group, a received message expires before delivery or faults with
- 1302 a fault code other than MessageProcessingFailure. If all previous messages for the group have
- been received, the group is closed immediately. Alternately, the group is closed when the RMP
- receives the last missing message in the group.
- The RMP MUST close the group. The group is removed according to rule T3.
- 1306 Sender Side:
- 1307 Triggering event: In an ordered group, an unacknowledged message expires or the RMP
- receives an RM Fault for this Reliable Message with a fault code other than
- 1309 MessageProcessingFailure.
- 1310 The RMP MUST close the group. The group is removed according to rule T3.

1311 85 Summary of Group Termination Rules

1312 Conditions for terminating a group in a Receiving RMP:

Group Closing	Group Removal
When @groupExpiryTime has passed.	(after closing) When @groupExpiryTime has passed.
When the @groupMaxIdleDuration time-out has expired.	(after closing) When Max(ExpiryTime) has passed.
When a group is complete.	(after closing) When Max(ExpiryTime) has passed.
When a group is ordered AND an undelivered message expires or faults.	(after closing) When Max(ExpiryTime) has passed.

Table 26 Conditions for terminating a group - Receiving RMP

1313 Conditions for terminating a group in a Sending RMP:

Group Closing	Group Removal
When @groupExpiryTime has passed.	(after closing) When @groupExpiryTime has passed.
When the @groupMaxIdleDuration time-out has expired.	(after closing) In case GuaranteedDelivery is not required, remove the group immediately. Otherwise, remove it if all messages have been either acknowledged or faulted.
When a group is complete.	(after closing) In case GuaranteedDelivery is not required, remove the group immediately. Otherwise, remove it if all messages have been either acknowledged or faulted.
When a group is ordered AND an unacknowledged message expires or faults.	(after closing) Remove the group after all messages have been either acknowledged or faulted.

Table 27 Conditions for terminating a group - Sending RMP

1314 **86 Attachments**

- When an RMP implementing this specification uses the W3C Note "SOAP Messages with Attachments" specification [SOAP with Attachments], it MUST follow the following rules:
- 1317 1) The Sending RMP MUST include the whole SOAP envelope containing the WS-1318 Reliability header elements in the first MIME part.
- 2) It MUST set the charset parameter of the Content-Type header of the first MIME part to either UTF-8 or UTF-16.
- 3) It MAY include zero or more additional MIME parts in a Reliable Message.
- 4) The Receiving RMP MUST deliver all MIME parts in a Reliable Message to the Consumer.

1324 87 HTTP Binding

- This section specifies two normative bindings of WS-Reliability header elements to SOAP
- header blocks carried in messages using HTTP as a transport protocol:
- SOAP 1.1 over HTTP POST binding: An implementation of WS-Reliability MAY support mapping the WS-Reliability header elements as SOAP header blocks in accordance with the SOAP 1.1 HTTP Binding specified in Section 6 of [SOAP 1.1]. In that case, the SOAP Request-response MEP defined in this specification will map to an HTTP request-response. The SOAP One-way MEP, as defined in Section 2.3, maps to the request of an HTTP request-response.
- SOAP 1.2 over HTTP POST binding: An implementation of WS-Reliability MAY support mapping the WS-Reliability header elements as SOAP header blocks in accordance with the SOAP 1.2 HTTP binding for the Request-Response MEP specified in Section 7, "SOAP HTTP Binding", of [SOAP 1.2 Part 2].
- 1337 If a Reliable Message request is invoked using SOAP 1.1, all subsequent message exchanges
- pertaining to that Message Identifier MUST use the SOAP 1.1 protocol. In addition, when an
- HTTP binding is used, it is RECOMMENDED the RMP comply with WS-I BP 1.1 [WS-I BP 1.1].
- When no WSDL describes the messages being exchanged, the previous WS-I conformance
- requirements should be understood as conformance to the subset of the profile requirements
- pertaining to the message artifact only.
- 1343 In case a message encounters a failure in processing the RM headers, the requirements for
- 1344 Fault handling in **Section 4.5** apply. When using SOAP 1.1, conformance to the WS-I Basic
- 1345 Profile 1.1 requires the following:
- For SOAP One-way HTTP binding: the HTTP response entity-body SHALL be empty. If the RM Fault is a Message Format fault, the HTTP status code SHOULD be "400 Bad Request" (see R1113 in [WS-I BP 1.1]); otherwise, the RM fault is a Message Processing fault and the status code SHOULD be "500 Internal Server Error".
- For SOAP Request-response HTTP binding: the HTTP response contains a SOAP Fault element and has the "500 Internal Server Error" HTTP status code (see R1126 in [WS-I BP 1.1]).
- 1353 These two requirements for Fault handling apply to all message exchanges described in this
- 1354 section and its sub-sections.
- 1355 If a ReplyTo element present in a Request element or Poll Request header element sent using
- the SOAP 1.1 protocol uses the wsrm:BareURI (the default, described in **Sections** 4.2.3.2.2 and
- 1357 4.3.1.2) reference scheme and uses the 'http:' URL scheme, the Receiving RMP MUST send the
- 1358 WS-Reliability response using the HTTP binding specified in Section 6 of SOAP 1.1.
- 1359 If a Reliable Message request is invoked using SOAP 1.2, all subsequent message exchanges
- pertaining to its Message Identifier MUST use the SOAP 1.2 protocol.
- 1361 If a ReplyTo element present in a Request element or Poll Request header element sent using
- the SOAP 1.2 protocol uses the wsrm:BareURI reference scheme and uses the 'http:' URL
- 1363 scheme, the the Receiving RMP MUST send the WS-Reliability response using the HTTP
- binding for Request-Response MEP specified in SOAP 1.2.
- 1365 The following subsections specify the mapping of WS-Reliability header elements to HTTP
- 1366 request and response messages for the three RM-Reply Patterns. The Poll RM-Reply Pattern
- has two variations: synchronous and asynchronous.

- 1368 The value of the ReplyPattern/Value element identifies the specific RM-Reply Pattern in use (see
- 1369 **Section 4.2.3.1** for details).
- 1370 This specification requires the transport layer to deliver messages to the reliability layer without
- 1371 corruption. When a request message contains the AckRequested element, the Receiving RMP
- 1372 MUST send an RM-Reply (an Acknowledgment Indication or an RM Fault Indication) for that
- 1373 request. For the Callback and Poll RM-Reply Patterns, a Response element can contain multiple
- 1374 Acknowledgment and/or RM Fault Indications.
- For simplicity, the detailed examples show only the use of SOAP 1.1. However, the figures that
- 1376 show the mapping of WS-Reliability elements to HTTP POST request messages and HTTP
- 1377 response messages apply to both the SOAP 1.1 over HTTP POST binding and the SOAP 1.2
- 1378 over HTTP POST binding.

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1379 88 Reliable Messaging with Response RM-Reply Pattern

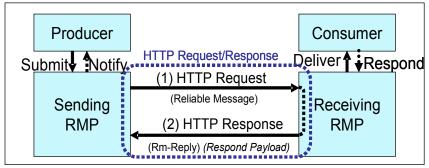


Figure 9 Response RM-Reply Pattern

- As described in general for this RM-Reply Pattern (**Section 2.4.1**), the Receiving RMP MUST return the RM-Reply with the HTTP response on the same HTTP connection used by the Sending RMP to send the request. This is illustrated in **Figure 9**.
 - In (1), the Sending RMP initiates an HTTP connection and sends a Message using the HTTP POST method, as in **Example 10**.
 - In (2), using the same connection, the Receiving RMP sends back to the Sending RMP an HTTP response containing an RM-Reply; in **Example 11**, the RM-Reply is an Acknowledgment Indication.

Example 10 Request Message with Response RM-Reply Pattern

```
1388
      POST /abc/servlet/wsrEndpoint HTTP/1.0
1389
      Content-Type: text/xml; charset=utf-8
1390
      Host: 192.168.183.100
1391
      SOAPAction: ""
1392
      Content-Length: 755
1393
1394
      <soap:Envelope xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/" >
1395
        <soap:Header>
1396
           <Request
1397
            xmlns="http://docs.oasis-open.org/wsrm/2004/06/ws-reliability-1.1.xsd"
1398
            soap:mustUnderstand="1">
1399
             <MessageId groupId="mid://20040202.103832@wsr-sender.org">
1400
               <SequenceNum number="0"</pre>
1401
                groupExpiryTime="2005-02-02T03:00:33-31:00" />
1402
             </MessageId>
1403
             <ExpiryTime>2004-09-07T03:01:03-03:50</ExpiryTime>
1404
             <ReplyPattern>
1405
               <Value>Response</Value>
1406
             </ReplyPattern>
1407
             <AckRequested/>
1408
             <DuplicateElimination/>
1409
             <MessageOrder/>
1410
           </Request>
1411
        </soap:Header>
1412
        <soap:Body>
1413
           <Request xmlns="http://example.org/wsr">Request Message/Request>
1414
        </soap:Body>
1415
       </soap:Envelope>
```

Example 11 Acknowledgment Indication with Response RM-Reply Pattern

```
1416
      HTTP/1.0 200 OK
1417
      Server: WS-ReliabilityServer
1418
      Date: Mon, 02 Feb 2004 10:38:32 GMT
1419
      Content-Language: en
1420
      Content-Type: text/xml; charset=utf-8
1421
      Content-Length: 414
1422
1423
      <soap:Envelope xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/" >
1424
        <soap:Header>
1425
          <Response soap:mustUnderstand="1"</pre>
1426
           xmlns="http://docs.oasis-open.org/wsrm/2004/06/ws-reliability-1.1.xsd">
1427
            <SequenceReplies groupId="mid://20040202.103832@wsr-sender.org">
1428
               <ReplyRange from="0" to="0"/>
1429
            </SequenceReplies>
1430
          </Response>
1431
        </soap:Header>
1432
        <soap:Body />
1433
```

1434 89 Reliable Messaging with Callback RM-Reply Pattern

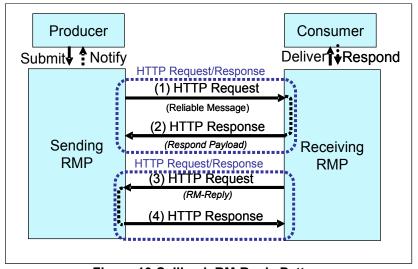


Figure 10 Callback RM-Reply Pattern

- As described in general for this RM-Reply Pattern (**Section 2.4.2**) and as illustrated in **Figure 1436 10**, two distinct HTTP request/response exchanges are involved.
 - In (1), the Sending RMP initiates a new HTTP request and sends a Reliable Message with the Callback RM Reply Pattern. **Example 12** shows such an HTTP message.
 - In (2), the HTTP response may have an empty entity-body (in case of a SOAP One-way MEP instance).
 - In (3), the Receiving RMP MUST return the RM-Reply on an HTTP connection different from the one the Sending RMP used to send the message. The direction of the HTTP

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1440 1441

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- 1443 connection used by the Receiving RMP is from the Receiving RMP to the Sending RMP. **Example 14** shows an Acknowledgment Indication as the RM-Reply.
- In (4), there is no HTTP entity-body unless the RM-Reply was bundled with a new Reliable Message on a SOAP Request-response MEP instance.

Example 12 Request Message with Callback RM-Reply Pattern

```
1447
      POST /abc/servlet/wsrEndpoint HTTP/1.0
1448
      Content-Type: text/xml; charset=utf-8
1449
      Host: 192.168.183.100
1450
      SOAPAction: ""
1451
      Content-Length: 863
1452
1453
      <soap:Envelope xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/" >
1454
        <soap:Header>
1455
           <Request
1456
            xmlns="http://docs.oasis-open.org/wsrm/2004/06/ws-reliability-1.1.xsd"
1457
            soap:mustUnderstand="1">
1458
             <MessageId groupId="mid://20040202.103832@wsr-sender.org">
1459
               <SequenceNum number="0"</pre>
1460
                groupExpiryTime="2005-02-02T03:00:33-31:00" />
1461
             </MessageId>
1462
             <ExpiryTime>2004-09-07T03:01:03-03:50</ExpiryTime>
1463
             <ReplyPattern>
1464
               <Value>Callback</Value>
1465
               <ReplyTo>
1466
                 <BareURI>http://wsr-sender.org/abc/wsrmListener</BareURI>
1467
               </ReplyTo>
1468
             </ReplyPattern>
1469
             <AckRequested/>
1470
             <DuplicateElimination/>
1471
             <MessageOrder/>
1472
          </Request>
1473
        </soap:Header>
1474
        <soap:Body>
1475
           <Request xmlns="http://example.org/wsr">Request Message</Request>
1476
        </soap:Body>
1477
       </soap:Envelope>
```

Example 13 HTTP response with no content

```
1478 HTTP/1.0 200 OK
1479 Server: WS-ReliabilityServer
1480 Date: Mon, 02 Feb 2004 10:38:32 GMT
1481 Content-Language: en
1482 Content-Type: text/xml; charset=utf-8
1483 Content-Length: 0
```

Example 14 Acknowledgment Indication with Callback RM-Reply Pattern

```
1484
      POST /abc/wsrmListener HTTP/1.0
1485
      Content-Type: text/xml; charset=utf-8
1486
      Host: 192.168.183.200
1487
      SOAPAction: ""
1488
      Content-Length: 414
1489
1490
      <soap:Envelope xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/">
1491
        <soap:Header>
1492
           <Response soap:mustUnderstand="1"</pre>
1493
            xmlns="http://docs.oasis-open.org/wsrm/2004/06/ws-reliability-1.1.xsd">
1494
             <SequenceReplies groupId="mid://20040202.103832@wsr-sender.org">
1495
               <ReplyRange from="0" to="0"/>
1496
             </SequenceReplies >
1497
           </Response>
1498
        </soap:Header>
1499
         <soap:Body />
1500
       </soap:Envelope>
```

1501 90 Reliable Messaging with Poll RM-Reply Pattern

- 1502 The general rules for this RM-Reply Pattern are described in **Section 2.4.3**. When the Sending
- 1503 RMP issues a PollRequest, the Receiving RMP MAY return the RM-Reply on the HTTP
- 1504 connection used to send the PollRequest message (synchronous), or it MAY return the RM-
- Reply on a different HTTP connection (asynchronous). Whether the RM-Reply corresponding to
- the PollRequest is synchronous or asynchronous depends on the presence of a ReplyTo
- 1507 element in the PollReguest element.

1508 91 Synchronous Poll RM-Reply Pattern

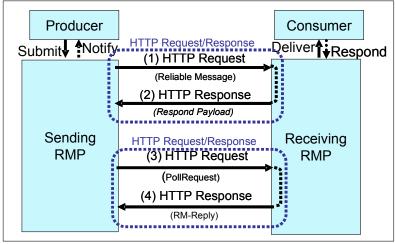


Figure 11 Synchronous Poll RM-Reply Pattern

- 1509 **Figure 11** illustrates the synchronous variant of the Poll RM Reply Pattern.
- In (1), the Sending RMP initiates a new HTTP Request and sends a Reliable Message with the Poll RM-Reply Pattern.

- In (2), the HTTP response may have an empty entity-body (in case of a SOAP One-way MEP instance).
- In (3), at a later time the Sending RMP initiates a different HTTP Request to send a PollRequest message. The PollRequest does not include the ReplyTo element (see Example 15).
- In (4), the Receiving RMP returns the RM-Reply in an HTTP response on the same
 HTTP connection used to send the PollRequest, as illustrated in Figure 11. The HTTP response (4) includes an RM-Reply (e.g., an Acknowledgment Indication as in Example 1520
 16).

Example 15 PollRequest message with Synchronous Poll RM-Reply Pattern

```
POST /abc/servlet/wsrmListener HTTP/1.0
 1521
1522
      Content-Type: text/xml; charset=utf-8
1523
      Host: 192.168.183.100
1524
      SOAPAction: ""
1525
      Content-Length: 433
1526
1527
      <soap:Envelope xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/" >
1528
        <soap:Header>
1529
           <PollRequest
1530
            xmlns="http://docs.oasis-open.org/wsrm/2004/06/ws-reliability-1.1.xsd"
1531
            soap:mustUnderstand="1">
1532
             <RefToMessageIds groupId="mid://20040202.103832@wsr-sender.org">
1533
               <SequenceNumRange from="0" to="20"/>
1534
             </RefToMessageIds>
1535
           </PollRequest>
1536
        </soap:Header>
1537
         <soap:Body />
1538
       </soap:Envelope>
```

Example 16 Synchronous Acknowledgment Indication

```
1539
      HTTP/1.0 200 OK
1540
      Server: WS-ReliabilityServer
1541
      Date: Mon, 02 Feb 2004 10:38:32 GMT
1542
      Content-Language: en
1543
      Content-Type: text/xml; charset=utf-8
1544
      Content-Length: 456
1545
1546
      <soap:Envelope xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/" >
1547
        <soap:Header>
1548
          <Response soap:mustUnderstand="1"</pre>
1549
           xmlns="http://docs.oasis-open.org/wsrm/2004/06/ws-reliability-1.1.xsd">
1550
            <SequenceReplies groupId="mid://20040202.103832@wsr-sender.org">
1551
               <ReplyRange from="0" to="14"/>
1552
               <ReplyRange from="16" to="20"/>
1553
            </SequenceReplies>
1554
          </Response>
1555
        </soap:Header>
1556
        <soap:Body />
1557
```

1558 **92 Asynchronous Poll RM-Reply Pattern**

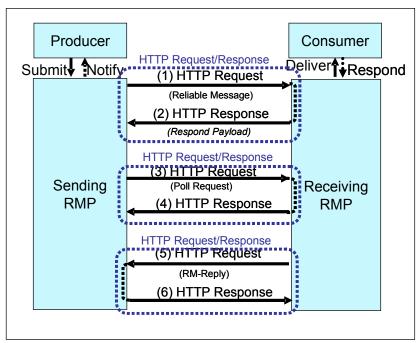


Figure 12 Asynchronous Poll RM-Reply Pattern

- 1559 **Figure 12** illustrates the asynchronous variant of the Poll RM Reply Pattern.
- In (1), the Sending RMP initiates a new HTTP Request and sends a Reliable Message with the Poll RM-Reply Pattern.

- In (2), the HTTP response may have an empty entity-body (in the case of a SOAP Oneway MEP instance).
- In (3), the Sending RMP initiates a new HTTP request and sends a PollRequest message. Note that in **Example 17**, the PollRequest element has a ReplyTo element.
- In (4), the HTTP response (4) has no HTTP entity-body (see **Example 13**).
- In (5), the Receiving RMP sends the RM-Reply in a different HTTP request to the listener identified by the ReplyTo element (see **Example 18**).
- In (6), the HTTP response has no HTTP entity-body (see **Example 13**).

Example 17 PollRequest message with Asynchronous Poll RM-Reply Pattern

```
1570
      POST /abc/servlet/wsrmListener HTTP/1.0
1571
      Content-Type: text/xml; charset=utf-8
1572
      Host: 192.168.183.100
1573
      SOAPAction: ""
1574
      Content-Length: 553
1575
1576
      <soap:Envelope xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/" >
1577
        <soap:Header>
1578
           <PollRequest
1579
           xmlns="http://docs.oasis-open.org/wsrm/2004/06/ws-reliability-1.1.xsd"
1580
            soap:mustUnderstand="1">
1581
             <RefToMessageIds groupId="mid://20040202.103832@wsr-sender.org">
1582
               <SequenceNumRange from="0" to="20"/>
1583
             </RefToMessageIds>
1584
             <ReplyTo>
1585
               <BareURI>http://wsr-sender.org/xyz/servlet/wsrmListener
1586
               </BareURI>
1587
             </ReplyTo>
1588
           </PollRequest>
1589
        </soap:Header>
1590
         <soap:Body />
1591
       </soap:Envelope>
```

Example 18 Asynchronous Acknowledgment Indication

```
1592
      POST /xyz/servlet/wsrmListener HTTP/1.0
1593
      Content-Type: text/xml; charset=utf-8
1594
      Host: 192.168.183.200
1595
      SOAPAction: ""
1596
      Content-Length: 456
1597
1598
      <soap:Envelope xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/" >
1599
        <soap:Header>
1600
           <Response soap:mustUnderstand="1"</pre>
1601
            xmlns="http://docs.oasis-open.org/wsrm/2004/06/ws-reliability-1.1.xsd">
1602
             <SequenceReplies groupId="mid://20040202.103832@wsr-sender.org">
1603
               <ReplyRange from="0" to="14"/>
1604
               <ReplyRange from="16" to="20"/>
1605
             </SequenceReplies>
1606
           </Response>
1607
        </soap:Header>
1608
        <soap:Body />
1609
       </soap:Envelope>
```

93 Conformance

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- In order to conform to this specification, an implementation must satisfy all of the following conditions:
- It has implemented all required syntax, features and behaviors.
- It complies with the following interpretation of the keywords OPTIONAL and MAY: as stated in [RFC2119], when these keywords apply to the behavior of the implementation, the implementation is free to support these behaviors or not.
 - It MUST be capable of processing the prescribed failure mechanism for those optional
 features it has chosen to implement. If an RMP conforming to this requirement has
 implemented an optional feature, syntax or behavior defined in this specification, it can
 interoperate with another implementation that has not.
 - It MUST be capable of generating the prescribed failure mechanism for those optional features it has not chosen to implement. If an RMP conforming to this requirement has not implemented an optional feature, syntax or behavior defined in this specification, it can interoperate with another implementation that has.

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1687 Appendix A.Schema (Normative)

1693

1694

The schemas for this specification have the following URLs and are located using the filenames shown in the table:

Schema Namespace URL	File name	Prefix
http://docs.oasis-open.org/wsrm/2004/06/ws-reliability-1.1.xsd	ws-reliability- 1.1.xsd	wsrm
http://docs.oasis-open.org/wsrm/2004/06/reference-1.1.xsd	reference-1.1.xsd	ref
http://docs.oasis-open.org/wsrm/2004/06/fnp-1.1.xsd	fnp-1.1.xsd	fnp
http://docs.oasis-open.org/wsrm/2004/06/wsrmfp-1.1.xsd	wsrmfp-1.1.xsd	wsrmfp

Table 28 WS-Reliability Schema Prefixes

RMPs MUST include the SOAP mustUnderstand attribute (defined in the same namespace used for the soap:Envelope element) in all Reliable Messaging specified header blocks and MUST observe the following restrictions:

• For SOAP 1.1, the mustUnderstand attribute value is restricted to "1".

• For SOAP 1.2, the mustUnderstand attribute value is restricted to "1" or "true".

Appendix B.WS-Reliability Features, Properties and Compositors (Normative and Optional)

1697 B.1. Introduction

1695

1696

- Users of a Web Service need to be aware of the reliability capabilities (RM capabilities) the
- service supports or requires. One practical location to advertise these capabilities is in the
- 1700 service description (WSDL document), which allows publishing both abstract service definitions
- and concrete protocol details (bindings). This allows clients (including other Web services) to
- 1702 easily obtain information about specific capabilities (such as guaranteed delivery, duplicate
- elimination, message ordering, and the supported reply patterns) of a specific Web service
- before calling the service. While bundling RM capabilities with the service description may not
- be desirable in all cases, this convenient approach often should be appropriate. The WSDL
- annotation mechanism described here adds such capability assertions in a flexible way.
- WS-Reliability uses the WSDL 1.1 extensibility points to define an extensible framework
- 1708 consisting of features, properties and compositors. This framework addresses the needs of a
- 1709 reliable Web service to advertise its capabilities and the composability of those capabilities.
- 1710 The following extensibility elements are relevant to RM capabilities:
- feature see Appendix B.3.2.
- property see Appendix B.3.3.
- compositor see Appendix B.3.1.
- An annotation composed with the above extensibility elements will specify the reliability features
- and properties associated with specific WSDL constructs. Features and properties represent RM
- 1716 capabilities; compositors specify how these capabilities are composed.
- 1717 This would, for example, allow a Web service description to advertise that clients invoking the
- 1718 service must use duplicate elimination or message ordering.

1719 B.2. Conformance

- 1720 Implementations of WS-Reliability are expected (though not required) to understand the WSDL
- extensibility points defined in this section.
- 1722 Understanding these extensibility points promotes interoperability: a service advertises its
- 1723 supported and required features when its WSDL document contains these extensibility points.
- 1724 Therefore it is RECOMMENDED that implementations recognize, understand and support these
- 1725 extensibility points.
- 1726 It is also possible for services to advertise features through other channels (such as UDDI) in
- addition to these extensibility points.

B.3. WSDL Extensibility Elements

1729 **B.3.1. Compositor**

1728

1750

1751

1752

- 1730 The compositor semantics describe how features and properties are composed for the enclosing
- 1731 component (or WSDL 1.1 element). The compositor's semantics determine whether the usage of
- composed elements by a client to the service is required or optional. All of the RM capabilities
- 1733 represented by these elements must be supported by the service. A compositor element can
- occur as a child element of wsdl11:portType, wsdl11:operation (which itself may be a child of
- wsdl11:portType or wsdl11:binding), wsdl11:binding, wsdl11:service and wsdl11:port. The
- 1736 compositor element uses the extensibility defined by WSDL 1.1. A compositor element specifies
- the semantics for combining its children elements. These children elements can be additional
- 1738 compositors, features, properties or extensibility elements.
- 1739 A compositor element is expressed by the following pseudo-syntax:

```
1740 <fnp:compositor uri="..." name="NCName"?>
```

- 1741 [fnp:feature/> | <fnp:property/> | <fnp:compositor/> |
- 1742 <extensibility-element/>]+
- 1743 </fnp:compositor>
- 1744 The uri attribute of the compositor specifies its semantics. Four different compositors (URIs) and
- their capability-related semantics are described below. It is possible to provide additional
- 1746 compositors by using other URIs. The possibility of additional compositors and the existence of
- extensibility points (represented by "<extensibility-element>") make the framework extensible.
- 1748 The optional @name identifies the compositor. An element built with such compositors
- 1749 represents an RM capability.
 - all: this compositor specifies that a service invocation MUST comply with all of the children elements representing RM capability assertions. This compositor is identified by the URI:
- 1753 http://docs.oasis-open.org/wsrm/2004/06/fnp-1.1.xsd/compositors/all
- **choice:** this compositor specifies that a service invocation MUST comply with exactly one of the possibly many children elements representing RM capability assertions. This compositor is identified by the URI:
- 1757 http://docs.oasis-open.org/wsrm/2004/06/fnp-1.1.xsd/compositors/choice
- **one-or-more**: this compositor specifies that a service invocation MUST comply with at least one of the possibly many children elements representing RM capability assertions. This compositor is identified by the URI:
- http://docs.oasis-open.org/wsrm/2004/06/fnp-1.1.xsd/compositors/one-or-more
- **zero-or-more:** this compositor specifies that a service invocation MAY comply with one or more of the children elements representing RM capability assertions. This compositor is identified by the URI:
- 1765 http://docs.oasis-open.org/wsrm/2004/06/fnp-1.1.xsd/compositors/zero-or-more
- 1766 Examples for each compositor are provided in **Appendix B.7** below.
- 1767 Compositors specified at different WSDL components are implicitly aggregated using the 'all'
- 1768 compositor at the dependent WSDL component. Consider the example below:

```
1769
       <wsdl11:definitions>
1770
1771
        <wsdl11:portType name="myPortType">
1772
           <fnp:compositor uri="..." name="A">
1773
1774
          </fnp:compositor>
1775
           . . .
1776
        </wsdl11:portType>
1777
        <wsdl11:binding name="myBinding" type="myPortType">
1778
           <fnp:compositor uri="..." name="B">
1779
1780
           </fnp:compositor>
1781
1782
        <wsdl11:binding>
1783
        <wsdl11:service name="myService">
1784
           <wsdl11:port name="myPort" binding="myBinding>
1785
1786
          </wsdl11:port>
1787
        </wsdl11:service>
1788
       <wsdl11:definitions>
```

The compositor specified at the wsdl11:portType "myPortType" and the compositor specified at wsdl11:binding "myBinding" are aggregated at the dependent wsdl11:port "myPort" using the 'all' compositor. The equivalent compositor at "myPort" is

1800 **B.3.2. Feature**

- A feature describes an abstract RM capability or assertion associated with a WSDL element. A
- 1802 feature can occur only as a child of a compositor.
- 1803 The enclosing compositor(s) define(s) whether or not the usage of a feature is required. A
- feature is identified by a URI. Recognizing the URI of a feature implies understanding the feature
- 1805 identified by that URI.
- 1806 A feature element is expressed by the following pseudo-syntax:

```
1807 <fnp:feature uri="...">
1808 [<fnp:compositor/> | <extensibility-element/>]*

</fnp:feature>
```

1810 **B.3.3. Property**

- A property is identified by a QName. A property is an assertion or constraint on a specific RM
- capability and its value(s). A property can occur only as a child of a compositor.
- Typically, properties are (but are not required to be) associated with a feature and are described
- in a feature specification. The QName identifier of a property uniquely identifies the property.
- Recognizing the property QName identifier implies understanding the semantics associated with
- that property. The property QName identifier typically points to a global XML Schema element
- declaration. A property specification typically specifies the schema containing this global element
- declaration. There may be a constraint on the set of values a property can have; such a
- constraint is specified by a QName identifying an XML Schema type.

```
1820 <fnp:property name="xs:QName">
```

- 1821 [<fnp:value>xs:anyType</fnp:value> |
- 1822 <fnp:constraint>xs:QName</fnp:constraint>]
- 1823 [<extensibility-element/>]*
- 1824 </fnp:property>

1825

B.4. WS-Reliability Feature

- 1826 The WS-Reliability feature is identified by the URI
- http://docs.oasis-open.org/wsrm/2004/06/wsrmfp-1.1.xsd
- 1828 This feature URI identifies the WS-Reliability specification. Understanding this URI implies
- understanding the WS-Reliability specification.

1830 B.5. WS-Reliability Properties

- This section identifies properties for the WS-Reliability specification. Typically these properties
- are scoped within the feature identified by the URI
- http://docs.oasis-open.org/wsrm/2004/06/wsrmfp-1.1.xsd

1834 **B.5.1. Guaranteed Delivery Property**

- 1835 This property is identified by the QName "wsrmfp:GuaranteedDelivery" and corresponds to the
- semantics specified by the WS-Reliability guaranteed delivery semantics. The type of this
- 1837 property is "xs:boolean".

1838 **B.5.2. Duplicate Elimination Property**

- This property is identified by the QName "wsrmfp:NoDuplicateDelivery" and corresponds to the
- semantics specified by the WS-Reliability duplicate elimination semantics. The type of this
- 1841 property is "xs:boolean".

1842 B.5.3. Message Ordering Property

- 1843 This property is identified by the QName "wsrmfp:OrderedDelivery" and corresponds to the
- semantics specified by the WS-Reliability message ordering semantics. The type of this property
- 1845 is "xs:boolean".

1846 **B.5.4. Reply Pattern Property**

- 1847 This property is identified by the QName "wsrmfp:ReplyPattern" and corresponds to the
- semantics specified by the WS-Reliability reply pattern options. The type of this property is
- 1849 "xs:string". (values: Response, Poll, Callback)

B.6. Compositor Examples

1850

1851 B.6.1. Example for the "all" compositor

```
<wsdl11:portType name="Example-1">
 1852
1853
         <fnp:compositor
1854
          uri="http://docs.oasis-open.org/wsrm/2004/06/fnp-1.1.xsd/compositor/all">
1855
           <fnp:feature
1856
            uri="http://docs.oasis-open.org/wsrm/2004/06/wsrmfp-1.1.xsd"
1857
             <fnp:compositor uri=</pre>
1858
               "http://docs.oasis-open.org/wsrm/2004/06/fnp-1.1.xsd/compositor/all">
1859
               <fnp:property name="wsrmfp:NoDuplicateDelivery">
1860
                 <fnp:value>true</fnp:value>
1861
               </fnp:property>
               <fnp:property name="wsrmfp:OrderedDelivery">
1862
1863
                 <fnp:value>true</fnp:value>
1864
               </fnp:property>
1865
               <fnp:property name="wsrmfp:GuaranteedDelivery">
1866
                 <fnp:value>true</fnp:value>
1867
               </fnp:property>
1868
             </fnp:compositor>
1869
           </fnp:feature>
1870
         </fnp:compositor>
1871
1872
       </wsdl11:portType>
```

- In the example above, the reliability feature identified by URI "http://docs.oasis-
- open.org/wsrm/2004/06/wsrmfp-1.1.xsd" is required by the portType. This feature consists of
- three properties, all of which are required because of the semantics of the 'all' compositor that
- 1876 composes the three properties.

1877 B.6.2. Example for the "choice" compositor:

```
<wsdl11:binding name="Example-2">
1878
1879
        <fnp:compositor
1880
         uri="http://docs.oasis-open.org/wsrm/2004/06/fnp-1.1.xsd/compositor/all">
1881
           <fnp:feature
1882
            uri="http://docs.oasis-open.org/wsrm/2004/06/wsrmfp-1.1.xsd"
1883
             <fnp:compositor uri=
1884
            "http://docs.oasis-open.org/wsrm/2004/06/fnp-1.1.xsd/compositors/choice">
1885
               <fnp:property name="wsrmfp:ReplyPattern">
1886
                 <value>Response</value>
1887
               </fnp:property>
1888
               <fnp:property name="wsrmfp:ReplyPattern">
1889
                 <value>Callback
1890
               </fnp:property>
1891
               <fnp:property name="wsrmfp:ReplyPattern">
1892
                 <value>Poll</value>
1893
               </fnp:property>
1894
             </fnp:compositor>
1895
           </fnp:feature>
1896
        </fnp:compositor>
1897
1898
       </wsdl11:binding>
```

- In the example above, the reliability feature identified by URI "http://docs.oasis-
- open.org/wsrm/2004/06/wsrmfp-1.1.xsd" is required by the portType. This feature consists of
- three properties composed by the 'choice' compositor; the client must choose one.

1902 **B.6.3. Example for the "one-or-more" compositor:**

```
<wsdl11:portType name="Example-3">
1903
1904
        <fnp:compositor
1905
          uri="http://docs.oasis-open.org/wsrm/2004/06/fnp-1.1.xsd/compositor/all">
1906
           <fnp:feature
1907
            uri="http://docs.oasis-open.org/wsrm/2004/06/wsrmfp-1.1.xsd" >
1908
             <fnp:compositor uri=
1909
         "http://docs.oasis-open.org/wsrm/2004/06/fnp-1.1.xsd/compositor/one-or-more">
1910
               <fnp:property name="wsrmfp:NoDuplicateDelivery">
1911
                 <fnp:value>true</fnp:value>
1912
               </fnp:property>
1913
               <fnp:property name="wsrmfp:OrderedDelivery">
1914
                 <fnp:value>true</fnp:value>
1915
               </fnp:property>
1916
               <fnp:property name="wsrmfp:GuaranteedDelivery">
1917
                 <fnp:value>true</fnp:value>
1918
               </fnp:property>
1919
             </fnp:compositor>
1920
           </fnp:feature>
1921
        </fnp:compositor>
1922
1923
       </wsdl11:portType>
```

1924 **B.6.4. Example for the "zero-or-more" compositor:**

```
<wsdl11:portType name="Example-4">
1925
1926
        <fnp:compositor
1927
         uri="http://docs.oasis-open.org/wsrm/2004/06/fnp-1.1.xsd/compositor/all">
1928
           <fnp:feature
1929
            uri="http://docs.oasis-open.org/wsrm/2004/06/wsrmfp-1.1.xsd"
1930
             <fnp:compositor uri=</pre>
1931
       "http://docs.oasis-open.org/wsrm/2004/06/fnp-1.1.xsd/compositor/zero-or-more">
1932
               <fnp:property name="wsrmfp:NoDuplicateDelivery">
1933
                 <fnp:value>true</fnp:value>
1934
               </fnp:property>
1935
               <fnp:property name="wsrmfp:OrderedDelivery">
1936
                 <fnp:value>true</fnp:value>
1937
               </fnp:property>
1938
               <fnp:property name="wsrmfp:GuaranteedDelivery">
1939
                 <fnp:value>true</fnp:value>
1940
               </fnp:property>
1941
             </fnp:compositor>
1942
           </fnp:feature>
1943
        </fnp:compositor>
1944
1945
      </wsdl11:portType>
```

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1946

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1992 Appendix D.Notices

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