Functional Specification

Version 1.5

May 31, 2001
The PPML Working Group

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Feedback and Developer Participation

PODi welcomes feedback on this specification, and offers the following services to support widespread adoption of the specification:

- **Specification Updates**
  
  The PPML specification is distributed free of charge. If you are a developer who will be implementing the PPML standard, you should subscribe to the free PPML updates and tech note service.

  Additional PPML features are already planned, and some aspects of the specification are likely to be refined as development proceeds. The spec document itself will be updated, and technical notes will be published containing clarifications, implementation notes, and so on.

- **Developer Support web site**

  If you are a software or hardware developer interested in supporting PPML, you can register to participate in the PPML Developers discussion group. At present, there is no charge for this service.

To participate in the PPML initiative in any of the above ways, send an email to ppmlinfo@podi.org.
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Chapter 1: Introduction

1.1 Purpose of the PPML language

This document describes the PPML (Personalized Print Markup Language) data format. The PPML format was developed by members of PODi, a market development and education initiative. Information about PODi is available at http://www.podi.org.

The main purpose of the PPML language, compared to most earlier languages, is to provide object-level addressability and reusability. More information on these features and their target applications is available in the PODi document Introduction to PPML: the Personalized Print Markup Language.

1.2 Organization of this document

This document reflects the hierarchical structure of PPML data.

Section 1 is this introduction.

Section 2 discusses the data format: XML.

Section 3 introduces terminology: the anatomy of a PPML document, job, etc.

Section 4 then presents the structure of PPML data, down to the level where documents are composed of pages.

Section 5 presents the make-up of the PPML page, including “Objects” and “Marks,” the printable page image elements that go onto pages. The language features in this section are the source of the power of the PPML language.

Section 6 presents the Print Layout elements: page size, sheet size, imposition, step and repeat.

Section 7 discusses Production Specifications: aspects of how the finished document is “manufactured.”

Section 8 discusses Resources – the additional items such as fonts that are required for production of the pages.
1.3 Notation used in this document

The following typographic notation is used in this document.

- Code excerpts, element names, and attributes: Courier

- The vertical bar character signifies the logical OR operator: |  
  For instance, “SOURCE | OCCURRENCE_REF” means “SOURCE or OCCURRENCE_REF”.

- Because many PPML element names are common English words, it is often convenient and  
  accurate to use them conversationally. In this document, when an element name appears in text  
  not in Courier, but with Initial Capitals, it is specifically referring to the PPML item that bears  
  that name. When it appears with no capitalization, the word is being used with no special  
  PPML significance. Example:  
    The SOURCE element contains one or more component files.  
    In an OBJECT element, the Source may contain data in any of several formats.  
    Customers may submit image data that was gathered from a number of different sources.

- In tables of XML attributes, when the data type is Number or Integer, a multiplication sign  
  indicates a string of numbers separated by spaces. For instance, “Number × 4” indicates that  
  the value of the attribute should be four numbers, such as “1.234  2.0  3  4.567.”

1.4 Additional resources

See the PODi web site, http://www.podi.org, for additional documents about PPML and  
personalized printing in general.

1.5 Feedback

Feedback on this specification is welcome. Send email to ppmlinfo@podi.org.
Chapter 2:  
The PPML Data Format

2.1 XML

PPML is an application of XML, the Extensible Mark-up Language.

2.1.1 Introduction to XML

Data objects in an XML stream are called elements, and each type of element can be defined as having certain attributes. This specification defines the elements for the PPML data format, the hierarchy requirements for the structure of a PPML document, and the attributes for each element.

Readers who are not yet familiar with XML are directed to these resources:

- Appendix 2 of this document is a brief description of how XML works.
- XML.ORG (http://www.xml.org) is an industry web portal operated by OASIS, the Organization for the Advancement of Structured Information Standards.
- “The XML.commune” (http://www.xml.com) is a collaborative partnership between Seybold Publications and Songline Studios, an affiliate of O’Reilly & Associates. The site includes Tim Bray’s excellent annotated version of the XML syntax recommendation.
- Project Cool XML Zone (http://www.projectcool.com/developer/xmlz/) is one of the best sites for developers, with a fairly good introduction to the basics of XML.

2.1.2 Notation for specifying optional elements

Within one XML element, other elements may be required or optional. In standard XML syntax notation optional elements are denoted by placing a punctuation mark next to the subordinate element:

<table>
<thead>
<tr>
<th>Symbol</th>
<th>Meaning</th>
</tr>
</thead>
<tbody>
<tr>
<td>?</td>
<td>0 or 1 (may or may not be present)</td>
</tr>
<tr>
<td>+</td>
<td>1 or more (at least one is required)</td>
</tr>
<tr>
<td>*</td>
<td>0 or more</td>
</tr>
</tbody>
</table>

Example: As will be described later, the PAGE element may or may not contain a Required Resources section, and may contain zero or more PRIVATE_INFO elements and zero or more Marks. This structure would be denoted:

    PAGE (REQUIRED_RESOURCES?, PRIVATE_INFO*, MARK*)

This notation, with the “child” elements enclosed in parentheses, is sometimes referred to as the element’s model.
2.1.3 PPML Capitalization conventions

In XML, the names of elements and their attributes are case-sensitive, so capitalization is significant in the code examples in this document.

The PPML capitalization convention is:

Element names: ALL_CAPS_WITH_UNDERSCORE_BETWEEN_WORDS.

Attributes: TitleCase, with no space between words.

Example of a JOB tag with attributes “Name” and “DocumentCount”:

```xml
<JOB Label="MyJob" DocumentCount="150">
```

2.1.4 DTD

All versions of the Document Type Definition (DTD) for PPML will always be available at "http://www.podi.org/ppml". The current version (PPML 1.02) is stored as "http://www.podi.org/ppml/ppml102.dtd".

The DTD’s version identifier string shall be encoded into the filename so it is not necessary to open the DTD file to determine its version.

The PPML specification has a unique PUBLIC identifier that can be used to identify the DTD in a PPML file. This identifier is -//PODi//DTD PPML 1.02//EN for this version of the specification.

This is the DOCTYPE declaration that appears at the start of a PPML file:

```xml
<!DOCTYPE PPML PUBLIC
        "-//PODi//DTD PPML 1.02//EN" "http://www.podi.org/ppml/ppml102.dtd">
```

Any valid PPML file must include this declaration.

2.1.5 Character sets

PPML elements may contain characters as defined in the XML specification at http://www.w3.org/TR/REC-xml#charsets.

2.2 Non-XML data

2.2.1 Introduction

Non-XML data is an important part of printing. For instance, binary data is used for bitmap images and compressed data such as fonts. But as of the writing of this specification, XML elements cannot readily incorporate such data.\(^1\)

\(^1\) The CDATA element provides a method, but it requires that the Producer constantly scan the data for occurrences of such strings as “]]>”, and split the data at these points. This is more of a burden than one might think, because much of such data will be binary data objects (e.g. image data) that already exist as intact files, and the entire file would have to be scanned for the string. Similarly, the Consumer would then have to reconstruct the data that has been split.
A process is underway in the XML movement to solve this, but results are not expected within the timeframe required by the PPML initiative. When a standard XML solution for non-XML data has been announced, the intention is that PPML will support it. In the meantime another approach is required.

2.2.2 External references

This method includes no non-XML data within the XML stream; rather, it is kept in external files and pointed to as external resources. Example:

```xml
<EXTERNAL_DATA Src="ftp://uc.wisc.edu/logo.eps" .../>
```

2.2.3 Wrap the non-XML data and the XML structure, in segments, in MIME as a means of transporting the dataset in a single stream.

Some applications will always absolutely require in-stream non-XML data. Other applications may include hundreds or thousands of single-use images, which would be a nuisance to store online for access via external reference; it’s simpler to just download the data within the job stream, print it, and throw it away. Clearly, therefore, a method is needed to embed non-XML data in the data stream.

When the W3C solution arrives it will be possible to send a stream of PPML elements interspersed with non-XML data. The Consumer will receive the stream, extract the non-XML data and deal with it, and parse and handle the XML segments.

Today, the same thing could be done by using MIME as an encoding filter:³

- The Producer, instead of putting the additional data between start and end tags, inserts MIME separators between segments.
- The Consumer, seeing that the start of the stream is MIME, not `<xml version="1.0"?>`, unpacks the MIME pieces and reassembles the XML and non-XML pieces.
- Processing then proceeds the same as it will when the W3C solution is implemented.

This method has the advantage of already being permissible in XML.

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²Another application dealing with this issue is medical imaging. See http://www.xml.com/xml/pub/98/07/binary/binary.html.

²Resources about MIME:
- RFC 2557 (MIME E-mail Encapsulation of Aggregate Documents, such as HTML (MHTML))
  http://www.landfield.com/rfc/rfc2557.html shows how to encapsulate HTML and external data; the same method is valid for XML.
- RFC2393 (Content-ID and Message-ID Uniform Resource Locators)
  http://www.landfield.com/rfc/rfc2393.html defines cid URLs. This method is used when you email a complete web page to someone, instead of just the URI.
- RFC 2387 (The MIME Multipart/Related Content-type) describes the MIME method that makes sense for PPML applications: “Several applications of MIME ... require multiple body parts that make sense only in the aggregate.”
In any event, this topic has no bearing on the central issues of how PPML defines pages and documents that have reusable content; it relates only to how the various data objects are “packaged” for transport from the Producer to the Consumer.
Chapter 3: Terminology and Basic Concepts

3.1 Producers and Consumers

- A “PPML Producer” (or simply “Producer”) is anything that generates PPML files. This may be a standalone application, a system-level driver, or anything else.

- A “PPML Consumer” (or simply “Consumer”) is typically a RIP or DFE (digital front end to a digital printing device), but it may be any other device (or process or system) that reads and interprets PPML files. PPML Consumers only differ in these regards:
  - the data formats they can process in SOURCE elements (see section 5.8)
  - their degree of imposition support (See section 6.1.1 for details).
  - the data encoding formats they support. The only required encoding format is Base64.

Note that a PPML Consumer may also be a PPML Producer. For instance, an application could read PPML files, interpret their contents, modify the content or structure, and produce new PPML files.

3.2 Anatomy of a Personalized Print project

- **Project** is all activities involving both the initial setup phase and the subsequent production runs. A Project is an on-going activity, consisting of multiple Jobs, as opposed to a conventional print job which is typically produced once and archived.

- **Job** is the collection of activities and data to fulfill a single personalized printing work order, or to prepare the templates, objects, etc. that will later be used in fulfilling production work orders. In personalized printing, a Job is part of a Project.

- **Page** is a single side of a trimmed sheet after all trimming and binding has been performed. Some personalization projects produce documents that have multiple Pages, others (e.g. a single-sided postcard) produce documents of only one Page. A Page consists of static and/or varying Objects, each in a specified position and orientation. (“Page” can also refer to the internal representation of a Page in a PPML file.)

- **Content Data** is source data (e.g. a picture, a text block, an EPS file) which may be placed on various Pages in various combinations of scaling, position, rotation, etc. A piece of Content Data may be used by more than one Object.

- **Object** is a discrete piece of Content Data in a specific combination of scaling, rotation, etc. Objects may be Disposable (single-use, RIP once and discard) or Reusable.

- **Instance Document** is the end result of the PPML manufacturing process: a set of one or more Pages, bound or loose, produced from a single record in the variable-data file. (This term also refers to any representation of such a document, such as an on-screen preview.)

- **Personalized Document**: an Instance Document.
• **Static Document**: a print job that contains no data-driven content – specifically, the page content is not generated from variable-data fields. Such a document may include one or more Reusable Objects, such as a PowerPoint background or a forms overlay.

• **Sheet surface** is one side of a press sheet, typically containing one or more instance Pages, imposed into position for manufacturing of an Instance Document.

• **Template** is the set of instructions for composing Personalized Documents. It defines which Pages may be in an Instance Document, what goes on each Page, and the logic rules by which each Page will be populated in response to the variable data.

• **Dataset**: a PPML element, typically containing one or more Jobs and/or Reusable Object definitions and related elements required to process them.

### 3.3 Additional terminology

• **RIP**: a Raster Image Processor – a hardware device or software application that reads a source file in a particular language and converts it to a raster – a pattern of scan lines in a data format that is suited to the machine on which the printing will take place.

• **Pre-flight**: a procedure, automated or manual, that is applied to a print file in the graphic arts to ensure that when production begins, all output will be as expected. This includes checking that all required resources are available. (The term “pre-flight” alludes to an aircraft pilot’s pre-flight checklist, which is a process intended to ensure that nothing is overlooked before the flight begins.)

• **Streaming**: a type of print application in which the Consumer begins output of the job before it has received all pages.

  Typically streaming applications are found in long-run transactional printing applications, such as printing of thousands of phone bills, not in the graphic arts. Usually this means the job has tens of thousands of pages, essentially infinite, and it means that the resource usage is unknown when output begins.

  A single streaming job can occupy a printer continuously for days printing unique pages. To avoid data underrun (where the RIP processing doesn’t keep up with the print engine and the engine either stops or prints blank pages), the Consumer system must consider carefully how many resources and pages to preprocess before the stream output begins so that in the steady state, RIP processing does keep up with the print engine.

  Effective management of cache resources requires reliable information about the future need for a given cached object; in streaming applications, that information is typically not available when output begins.

---

4 Looking at the PPML code for a document, it’s not possible to tell whether or not it was generated from variable data fields. (The PPML format exists at the point in the workflow where all page content decisions have already been made.) It’s nonetheless worth defining this term because such documents can be a valid PPML application if they contain reusable objects.
3.4 Detection of Errors

When an error is detected with the information in a PPML file, the behavior of the Consumer is not specified. Some Consumers may halt the job at that point. Others may generate a warning message, ignore the offending PPML, and proceed as best they can.
Chapter 4:  
The Structure of PPML Data

4.1 Hierarchy, Scope, and Inheritance

4.1.1 PPML is Hierarchical

PPML is a hierarchical structure, in which the properties and resources of an element are inherited from its enclosing ("parent") structure. The contents of the child element may temporarily override (or mask) the parent’s properties and resources; when the child element ends, the previous state is restored.

- A **PPML** element (the highest level) can contain resource definitions and **JOB** elements.
- A **JOB** element (a set of personalized documents) can contain resource definitions and **DOCUMENT** elements.
- A **DOCUMENT** element (which prints one complete document, of one or more pages) can contain resource definitions and **PAGE** elements.
- A **PAGE** element can contain resource definitions and **MARK** elements. **MARK** elements are what actually cause page content to be printed onto a page, using ink or toner.

**PPML**, **JOB**, **DOCUMENT** and **PAGE** are known as **levels** in the PPML hierarchy.

- A **MARK** element (which places image marks on a page) can contain two kinds of content elements: **OBJECT** and **OCCURRENCE_REF**. (Each of these content elements contains smaller elements as well.)

4.1.2 Reusable Objects; caching

An important resource in PPML is the Reusable Object. As explained later in this specification, a reusable piece of page content is expressed as an **OCCURRENCE** of a **REUSABLE_OBJECT** element and is accessed using **OCCURRENCE_REF**. This construct is central to PPML’s productivity improvement.

The reusability feature (enabled by elements such as **REUSABLE_OBJECT** and **SOURCE**) allows the data for a picture (or any other page content) to be sent once to the Consumer, where it can be RIPped (prepared for imaging on pages) and saved (cached) for reuse in subsequent Pages, Documents, Jobs, and Datasets. Typically, this improves efficiency by avoiding two redundant burdens on the system: redundant downloading and redundant computation of the content’s appearance. But there is no requirement that the Occurrence be cached; how reusability is implemented in a Consumer is not defined in the PPML language.

Caching would ordinarily improve print speed (by avoiding re-RIPping), but it is valid for a PPML Consumer not to cache but instead to regenerate the Occurrence, e.g. by re-fetching the source data and/or reRIPping the object, each time it is used in an **OCCURRENCE_REF**.
4.1.3 Scope

Two important attributes of Occurrences are their Name and their Scope.

The name is the mechanism by which \texttt{MARK} elements can place the Occurrence on a page.

The scope defines how long the Consumer must remember the Occurrence, so that the Producer can access it by name. Possible values are \texttt{Page}, \texttt{Document}, \texttt{Job}, \texttt{PPML}, and \texttt{Global}.

(Note that the content elements \texttt{OBJECT} and \texttt{MARK} are not scopes.)

When an Occurrence is \textit{in scope} the Consumer is required to recognize the Occurrence’s name and be able to use it. When the Occurrence’s specified scope level ends, the Occurrence becomes \textit{out of scope}. For instance, if an Occurrence has scope “Document”, then at the end of the current Document (i.e. when a \texttt{</DOCUMENT>} tag is encountered) the Occurrence goes out of scope.

The scope of a PPML element defines where this element is known. Each named element is known within the enclosing element specified by its scope (Job, Document, etc), from the point where it is first defined until the end of that element.

The Occurrence can be defined with a scope larger than the current enclosing element. For instance, within a \texttt{DOCUMENT} element an Occurrence can be defined with \texttt{Scope=“Job”}. In that case the Occurrence will be known beyond the end of the \texttt{DOCUMENT} element, until the end of the enclosing \texttt{JOB} element.

Any element in the hierarchy inherits the names known to its enclosing element (i.e. a \texttt{PAGE} knows of all elements that are defined in its enclosing \texttt{DOCUMENT} etc.).

Scope is discussed at greater length in section 5.10 of this document.

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5 Global objects have an additional attribute, Environment, which can be used to categorize global objects for project management purposes. See further discussion in section 5 of this document.
4.2 The <PPML> Element

4.2.1 Description
The PPML element is the top level, encompassing all other elements of the dataset.

4.2.2 Model

PPML : (CONFORMANCE_LEVEL?,
SUPPLIED_RESOURCES?,
REQUIRED_RESOURCES?,
IMPOSITION*,
(PRINT_LAYOUT | PAGE_DESIGN)?,
PRIVATE_INFO*,
(REUSABLE_OBJECT | SEGMENT_ARRAY | JOB)*)

4.2.3 Attributes

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Required/Optional</th>
<th>Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Label</td>
<td>Optional</td>
<td>String</td>
<td>An identifying label for this PPML element.</td>
</tr>
<tr>
<td>Creator</td>
<td>Optional</td>
<td>String</td>
<td>Identifies application or person that created the file, for instance to potentially aid in post-processing</td>
</tr>
<tr>
<td>CreationDate</td>
<td>Optional</td>
<td>String</td>
<td>Time stamp, in date/hours/minutes/seconds, using the subset of ISO 8601 described in the W3C's <a href="http://www.w3.org/TR/NOTE-datetime">http://www.w3.org/TR/NOTE-datetime</a>. Example: &quot;1997-07-16T19:20:30+01:00&quot;</td>
</tr>
<tr>
<td>ResourcesIncluded</td>
<td>Optional</td>
<td>Boolean</td>
<td>Values: Yes or No. If Yes, promises a Consumer that all referenced content data, fonts, and other resources are supplied with the dataset. See section 10.2.3, “Details of ResourcesIncluded”.</td>
</tr>
<tr>
<td>SheetLayoutIncluded</td>
<td>Optional</td>
<td>Boolean</td>
<td>Values: Yes or No. If Yes, declares that this dataset includes the SHEET_LAYOUT element and requires that the imposition defined in SHEET_LAYOUT must be honored. (See Section 6.1.1 for discussion of optional imposition support in PPML). Consumers that do not support SHEET_LAYOUT must reject the dataset if this attribute’s value is Yes.</td>
</tr>
</tbody>
</table>

4.2.4 Implementation notes

Note that a PPML dataset is allowed to not contain any Jobs. A valid dataset could contain nothing but a set of Reusable Object definitions with Scope="Global" which are being sent to the Consumer for pre-processing and storage in the Consumer system.
4.3 The <JOB> Element

4.3.1 Description

A Job is a set of Instance Documents. Typically an Instance Document represents the binding of layout information (e.g. a template) and a record of data from some data set (e.g. a database).

In personalized print applications, a PPML Job is typically created by applying a specific set of data (e.g. a selection from a database) to a pre-defined document template. However, such a workflow is by no means required for generation of a valid PPML dataset or job.

4.3.2 Model

```
JOB (SUPPLIED_RESOURCES?,
REQUIRED_RESOURCES?,
IMPOSITION*,
(PRINT_LAYOUT | PAGE_DESIGN)?,
PRIVATE_INFO*,
(REUSABLE_OBJECT | SEGMENT_ARRAY | DOCUMENT)+)
```

4.3.3 Attributes

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Required /Optional</th>
<th>Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Label</td>
<td>Optional</td>
<td>String</td>
<td>An identifying label for this job</td>
</tr>
<tr>
<td>DocumentCount</td>
<td>Optional</td>
<td>Integer</td>
<td>Number of Instance Documents in this job. If this attribute is used, it must be accurate; if the actual document count is different, it’s an error.</td>
</tr>
</tbody>
</table>

4.3.4 Context

The JOB element appears only within a PPML element. It is optional: a PPML dataset may contain zero or more Jobs.
4.4 The <DOCUMENT> Element

4.4.1 Description

The DOCUMENT element marks a single Instance Document. Typically an Instance Document represents the binding of layout information (e.g. a template) and a record of data from some data set (e.g. a database). Example: when printing personalized information for people on a mailing list, the Document tag delimits each individual set of pages that will be sent to one recipient on the list.

A Document may be larger or smaller than one sheet of substrate. The Document may be hundreds of pages long or one page, and in either case, each page could be any size, from a full press sheet to something as small as a postage stamp, so that many Documents could be printed on a single sheet. The term “Document” is thus not a physical term but a logical one.

The default is to print Instance Documents in the same sequence as they appear in the PPML stream, unless the Consumer is specifically instructed to do otherwise, e.g. via a REPEAT structure.

4.4.2 Model

DOCUMENT (SUPPLIED_RESOURCES?, REQUIRED_RESOURCES?, PAGE_DESIGN?, PRIVATE_INFO*, (REUSABLE_OBJECT | SEGMENT_ARRAY | PAGE)*)

4.4.3 Attributes

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Required /Optional</th>
<th>Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Label</td>
<td>Optional</td>
<td>String</td>
<td>An identifying label for this Document.</td>
</tr>
<tr>
<td>Dimensions</td>
<td>Optional</td>
<td>Number X2</td>
<td>Width and height of pages in this Document, in PPML units. Example: for a U.S. letter page, Dimensions=&quot;612 792&quot;. Use of this attribute is no longer recommended. Use the PAGE_DESIGN or PAGE_LAYOUT element instead. This attribute is ignored if a PAGE_DESIGN or PAGE_LAYOUT element is in effect. If no such element is in effect, this attribute is equivalent to &lt;PAGE_DESIGN TrimBox=&quot;0 0 w h&quot;/&gt;</td>
</tr>
<tr>
<td>PageCount</td>
<td>Optional</td>
<td>Integer</td>
<td>Number of pages in the document. If this attribute is used, it must be accurate; if the actual page count is different, the result is an error.</td>
</tr>
<tr>
<td>DocumentCopies</td>
<td>Optional</td>
<td>Integer</td>
<td>How many copies to print of this Instance Document in the Job. (If the current PRINT_LAYOUT element has an NCopies attribute, the total copies printed will be NCopies times DocumentCopies.)</td>
</tr>
</tbody>
</table>

4.4.4 Context

The DOCUMENT element occurs only within a JOB element.
4.5 The <PAGE> Element

4.5.1 Description

The PAGE element delimits the contents of each individual page in each Instance Document.

4.5.2 Model

    PAGE (SUPPLIED_RESOURCES?,
    REQUIRED_RESOURCES?,
    PAGE_DESIGN?,
    PRIVATE_INFO*,
    (REUSABLE_OBJECT | SEGMENT_ARRAY | MARK)*)

4.5.3 Attributes

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Required /Optional</th>
<th>Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Label</td>
<td>Optional</td>
<td>String</td>
<td>An identifying label for this Page.</td>
</tr>
<tr>
<td>Dimensions</td>
<td>Optional</td>
<td>Number ×2</td>
<td>Width and height of this Page, in PPML units. Example: for a U.S. letter page, Dimensions=&quot;612 792&quot;.</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Use of this attribute is no longer recommended. Use the PAGE_DESIGN or PAGE_LAYOUT element instead.</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>This attribute is ignored if a PAGE_DESIGN or PAGE_LAYOUT element is in effect.</td>
</tr>
</tbody>
</table>
|            |                    |           | If no such element is in effect, this attribute is equivalent to <PAGE_DESIGN TrimBox="0 0 w h"/>.

4.5.4 Context

The PAGE element appears only within a DOCUMENT element.

4.5.5 Blank pages

A PAGE element that does not contain any MARK elements instructs the Consumer to print a blank page.
4.6 The <PAGE_DESIGN> Element

4.6.1 Description

The PAGE_DESIGN element specifies the finished rectangular area of a Page as well as optional bleed box information. Examples:

```xml
<PAGE_DESIGN TrimBox="0 0 612 792"
    BleedBox="-18 -18 630 810"/>
```

The same page, with its origin offset from the origin of the PPML coordinate system:

```xml
<PAGE_DESIGN TrimBox="80 80 692 872"
    BleedBox="62 62 710 890"/>
```

The “Trim Box”

The required TrimBox attribute indicates the rectangular region of interest of the page design and defines the intended finished page size. The TrimBox origin is defined in the PPML coordinate system which is the same coordinate system in which all marks for the page are specified.

This information is useful to a PPML processor such as a PPML viewer, page proofer, or imposition layout tool only interested in page design definitions. An imposition tool, for example, may use the TrimBox information as the description of the intended finished page design, and use its dimensions to locate cut marks on imposed sheets as needed.

The “Bleed Box”

The optional BleedBox attribute indicates that page content extends outside of the design rectangle specified by the TrimBox attribute and recommends to a Consumer, such as an imposition processor, a preferred bleed extent.

The BleedBox attribute if specified must completely contain the rectangular region defined by the TrimBox or be equal to it.

If no BleedBox is specified then no hint is provided to the consumer of the existence of bleed edges of the intended finished page.
4.6.2 Model

PAGE_DESIGN   EMPTY

4.6.3 Attributes

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Required/Optional</th>
<th>Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>TrimBox</td>
<td>Required</td>
<td>Number X 4</td>
<td>Coordinates, in 1/72&quot;, of the page content area.</td>
</tr>
<tr>
<td>BleedBox</td>
<td>Optional</td>
<td>Number X 4</td>
<td>Coordinates, in 1/72&quot;, of the page’s bleed area.</td>
</tr>
</tbody>
</table>

4.6.4 Context

The PAGE_DESIGN element appears within PPML, JOB, DOCUMENT and PAGE.

4.6.5 Page orientation

All dimensions in the attributes are to be listed in “upright” orientation. For instance, a portrait letter-size page will have PAGE_DESIGN::TrimBox="0 0 612 792" and a landscape letter-size page will have PAGE_DESIGN::TrimBox="0 0 792 612". Thus, no separate Orientation attribute is needed.

Note that any page may be rotated later when it is used in imposition (see Chapter 6: ). But the page itself, and its content, are independent of imposition and printing.

4.6.6 Similarity with PAGE_LAYOUT in imposition

PAGE_DESIGN expresses the designer’s intent regarding the finished dimensions of the page. As described in Chapter 6, later production processes may involve placing pages onto sheets (“imposition”). The imposition may be expressed using PPML’s imposition features or by using alternate imposition layout expression formats.

Note that PPML’s imposition includes a PAGE_LAYOUT element, which appears similar to PAGE_DESIGN because both have a TrimBox and BleedBox attribute. The difference is that PAGE_DESIGN only expresses the designer’s intent, in the context of the page content stream (PPML, JOB, DOCUMENT, PAGE), while PAGE_LAYOUT defines the dimensions of page cells (see section 6.9) in the context of imposition (assigning pages to sheets). Therefore the TrimBox and BleedBox attributes of PAGE_LAYOUT require a graphical clipping behavior, and the TrimBox and BleedBox attributes of PAGE_DESIGN do not – they leave the determination of that behavior to the consuming PPML processor.

At least one PAGE_LAYOUT or PAGE_DESIGN element must be in effect for each Page.
4.7 The <CONFORMANCE> Element

4.7.1 Description

The optional CONFORMANCE element declares that the enclosing dataset conforms to a specific PPML subset. (See Chapter 10: Conformance Subsets.) The model allows multiple CONFORMANCE elements, since it’s conceivable that a future dataset could conform to more than one subset.

This element occurs at the start of the model for the PPML element so that a Consumer can know, from the very beginning, that nothing in the dataset exceeds the restrictions of a defined subset.

The CONFORMANCE element simply informs the PPML Consumer that the dataset meets the subset’s requirements. The Consumer may use this information, but is not required to do anything with it.

4.7.2 Model

CONFORMANCE EMPTY

4.7.3 Attributes

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Required/Optional</th>
<th>Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Subset</td>
<td>Required</td>
<td>String</td>
<td>Declares which PPML subset the dataset conforms to. The identifying string for each defined subset will be stated in the section of this specification that defines the subset.</td>
</tr>
</tbody>
</table>

4.7.4 Context

CONFORMANCE can occur in PPML.

4.7.5 Example

The following is the start of a dataset that conforms to two hypothetical subsets:

```xml
<PPML>
  <CONFORMANCE Subset="GA"/>
  <CONFORMANCE Subset="TR"/>
  <SUPPLIED_RESOURCES ...>
  ...
</PPML>
```
Chapter 5:
The PPML page

5.1 Coordinate System

The PPML coordinate system is the same as the Cartesian coordinates used by PostScript®:
- the origin (0,0) is at the bottom left corner of the page
- units are 1/72 of an inch
- x increases to the right
- y increases upward.

All PPML units are base 10. The following definitions apply:

**Integer**: In PPML, an “integer” is specified as an optional sign character (‘+’ or ‘−’, with ‘+’ being the default) followed by one or more digits “0” to “9”. The range for a PPML integer encompasses (at a minimum) $-2^{31}$ to $+2^{31}$.

**Number**: In PPML, a “number” is either an “integer” or an optional sign character (‘+’ or ‘−’, with ‘+’ being the default) followed by zero or more digits “0” to “9” followed by a dot (.) followed by zero or more digits “0” to “9” with at least one digit required either before or after the dot. The digits after the dot may be followed by an optional exponent. The exponent is the letter ‘E’ or ‘e’ followed by an “integer.” A “number” has the capacity for at least a single-precision floating point number (see [ICC32]) and has a range (at a minimum) of $-3.4\times10^{38}$ to $+3.4\times10^{38}$.


5.2 A Page contains Marks

PPML constructs a page image by placing a series of Marks on the page. Marks can consist of graphics, text and/or images defined in some external content data format. A Mark can reference either non-reusable or reusable content data. Reusable content data are data which may have multiple occurrences in a PPML page, document, job, dataset or environment. The PPML code defines the data as reusable, which permits the PPML consumer to cache these items in some format which may permit highly efficient reproduction.
5.3 The <MARK> Element

5.3.1 Description

The MARK element specifies the actual placement of marks on a page. It is used either for the placement of Objects (section 5.7) or for placing an Occurrence of a Reusable Object (section 5.12).

The Consumer places MARKs on a page in the order in which they are listed in the PAGE element. MARKs later in a PAGE element are placed on top of the earlier ones.

Each MARK’s Position attribute defines its location on the page; while the associated VIEW allows selecting (clipping) and transforming (e.g. scaling) the MARK to create the desired page content.

Conceptually, each MARK defines a rectangular raster image that consists of “marked” and “transparent” pixels. Each MARK is rasterized independently from any other MARKs on the page. When a MARK overlaps MARKs previously placed on the page, its marked pixels completely obscure the previous MARKs’ pixels, and the transparent pixels leave the previous MARKs’ pixels unaffected. Which pixels in a MARK’s raster image are marked and which are transparent depends on the MARK’s content data and the content data format, and is outside of the scope of the PPML Specification.

Notes:

1. In the case of PostScript and PDF content data, the MARK’s raster image starts out consisting of transparent pixels. Only those pixels marked by imaging operators are “marked” in the MARK’s raster image.

2. In the case of non-transparent TIFF content data, the original rectangular area defined by the TIFF source is completely marked. If the data is not rotated by a VIEW transformation, the rectangular raster image resulting from the MARK completely obscures every pixel beneath it. If the data is rotated, then only the pixels beneath the parallelogram resulting from the transformed TIFF data are obscured.

3. Other content formats likewise include the concept of transparent (or “clear”) pixels as well as white and colored pixels. Any such transparent pixels will allow pixels from previous MARKs to show through unaffected.

Some content formats describe pixels (or objects) that are only partially transparent. The interaction of these pixels with other pixels or objects defined by the same content data from a single SOURCE used to generate the raster image for a particular MARK is defined by the content data format, and is outside of the scope of the PPML specification. However, any such pixels are considered “marked” for the purposes of determining the effect of MARK overlaps: if the raster image for a MARK contains “partially transparent” pixels that overlap pixels from a previous MARK, the “partially transparent” pixels of the MARK that is on top are considered as “marked” pixels and completely obscure the previous MARK’s pixels.

5.3.2 Model

MARK ((VIEW, OBJECT+) | OCCURRENCE_REF | SEGMENT_REF)
### 5.3.3 Attributes

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Required/Optional</th>
<th>Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Position</td>
<td>Required</td>
<td>Number ×2</td>
<td>Specifies a translation to be applied to the object’s coordinate space in order to position the object on the page. This translation is concatenated with any prior transformations applied to the original data.</td>
</tr>
</tbody>
</table>

### 5.3.4 Context

MARK can occur in PAGE.

### 5.3.5 Implementation note

The Position attribute on MARK and OBJECT defines the placement of these objects. Note that this placement is also affected by other transformations applied to the elements. For example, if the OBJECT is a rectangle whose lower left corner is at (0, 0), that corner will be placed at the point specified by Position. If the rectangle’s upper left corner is at (0, 0), that corner will be placed at the Position point.
5.4 The <VIEW> Element

5.4.1 Description
The <VIEW> element combines a <TRANSFORM> with a <CLIP_RECT> to form a description of how a particular set of content data is to be rendered.

5.4.2 Model

    VIEW (TRANSFORM?, CLIP_RECT?)

5.4.3 Attributes
None.

5.4.4 Context

    VIEW can occur in MARK, OBJECT, REUSABLE_OBJECT and OCCURRENCE.

5.4.5 Empty VIEW elements

    An empty <VIEW> element (<VIEW/> ) means the identity transform with no clipping.
5.5 The `<TRANSFORM>` Element

5.5.1 Description
The `<TRANSFORM>` element represents a two-dimensional homogeneous transformation matrix.

5.5.2 Model
```
TRANSFORM EMPTY
```

5.5.3 Attributes

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Required/Optional</th>
<th>Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Matrix</td>
<td>Required</td>
<td>Number ×6</td>
<td>Supplies the components of a two dimensional homogeneous transformation matrix. See the PostScript Language Reference Manual for details.</td>
</tr>
</tbody>
</table>

5.5.4 Context

`TRANSFORM` can occur in `VIEW`.
5.6 The <CLIP_RECT> Element

5.6.1 Description

The CLIP_RECT element specifies the corners of a rectangle to be used for clipping the content data with which the CLIP_RECT is associated.

5.6.2 Model

CLIP_RECT   EMPTY

5.6.3 Attributes

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Required /Optional</th>
<th>Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Rectangle</td>
<td>Required</td>
<td>Number ×4</td>
<td>Supplies the x and y coordinates of the lower left and upper right corners of a rectangle to be used for clipping.</td>
</tr>
</tbody>
</table>

5.6.4 Context

CLIP_RECT can occur in VIEW.
5.7 The <OBJECT> Element

5.7.1 Description

The <OBJECT> element associates a <VIEW> with a <SOURCE> to specify the clip, scale and orientation of an item of appearance data within a <MARK> or a <REUSABLE_OBJECT>.

The Position attribute specifies a translation to be applied to the <SOURCE>’s coordinate space in order to position the <SOURCE> in relation to other <SOURCE> elements within a <MARK> or <REUSABLE_OBJECT>. This translation is concatenated with any prior transformations applied to the original data. (See the implementation note regarding object origin in section 5.3.5.)

5.7.2 Model

OBJECT (SOURCE, VIEW)

5.7.3 Attributes

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Required /Optional</th>
<th>Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Position</td>
<td>Required</td>
<td>Number ×2</td>
<td>Specifies a translation to be applied to the object's coordinate space in order to position the object on the page. This translation is concatenated with any prior transformations applied to the original data.</td>
</tr>
</tbody>
</table>

5.7.4 Context

The <OBJECT> element can occur in <MARK> and <REUSABLE_OBJECT>. 
5.8 The `<SOURCE>` Element

5.8.1 Description

The `<SOURCE>` element defines a set of one or more content elements (EXTERNAL_DATA, INTERNAL_DATA), of a single format, to be collected into a single sequence of appearance data. The content data from all enclosed elements are concatenated in the order the elements appear, and are processed as a single unit by the format processor, the same as if all the data had been submitted to the Consumer as a single object.

Note that some file format specifications allow non-content data, which must be removed by Consumers that accept that format. For instance, the format type for EPS files is application/postscript, but Windows EPS files contain a non-PostScript binary preview (See the PostScript Language Reference Manual, appendix H.5.2.), which the Consumer system must remove.

5.8.2 Model

```
SOURCE ( (INTERNAL_DATA | EXTERNAL_DATA)+ | EXTERNAL_DATA_ARRAY )
```

5.8.3 Attributes

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Required /Optional</th>
<th>Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Format</td>
<td>Required</td>
<td>Keyword</td>
<td>Indicates format of the data (e.g., PostScript, PDF, TIFF, etc.). Value: any format name registered with the Internet Assigned Numbers Authority (IANA). ⁶</td>
</tr>
<tr>
<td>Dimensions</td>
<td>Required</td>
<td>Number × 2</td>
<td>The width w and height h of a rectangle that encloses the content data contained in this element. See 5.8.5, “Dimensions and ClippingBox” below.</td>
</tr>
<tr>
<td>ClippingBox</td>
<td>Optional</td>
<td>Number × 4</td>
<td>Supplies the coordinates of the lower left and upper right corners of the rectangle containing the desired area of the content data, in PPML default coordinates.</td>
</tr>
</tbody>
</table>

5.8.4 Context

`SOURCE` can occur in `OBJECT`.

5.8.5 Dimensions and ClippingBox

- For `<SOURCE>` elements whose content format is dimensionless, the Dimensions attribute states what width and height the Consumer should assume.
- If ClippingBox is not present, Dimensions specifies an implicit clipping rectangle “0 0 w h”.

---

⁶ These formats are listed at [http://www.isi.edu/in-notes/iana/assignments/media-types/media-types](http://www.isi.edu/in-notes/iana/assignments/media-types/media-types).
• If both Dimensions and ClippingBox are present, both of them clip. The effective clipping boundary is the intersection of the clipping rectangle implied by Dimensions and the specified ClippingBox.
5.9 The <EXTERNAL_DATA> Element

5.9.1 Description

An EXTERNAL_DATA element identifies, by location and access method, a single content appearance datum (e.g. a source file). This datum, which may be in any of the supported formats (e.g., PostScript, PDF, PCL, TIFF, etc.) can be used by itself or in combination with other content elements to construct components which appear on the printed page.

5.9.2 Model

EXTERNAL_DATA EMPTY

5.9.3 Attributes

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Required/Optional</th>
<th>Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Src</td>
<td>Required</td>
<td>URI</td>
<td>URI (Uniform Resource Identifier) string identifying the external data. See RFC2396 for full details of URIs.</td>
</tr>
<tr>
<td>SourceUsage</td>
<td>Optional</td>
<td>Keyword</td>
<td>“Single” or “Multiple” or “Unknown” (default). A hint to the Consumer: will data from this source be used only once, or in other elements? See 5.9.5, “The SourceUsage attribute” below.</td>
</tr>
</tbody>
</table>

5.9.4 Context

EXTERNAL_DATA may occur within SOURCE.

5.9.5 The SourceUsage attribute

SourceUsage="Multiple" means the data in this source file may be used again later. Thus, the Consumer may wish to cache the unprocessed source data to avoid retrieving it again later.

---

7 RFC2396 is at www.ietf.org/rfc/rfc2396.txt. A good overview of URIs and URLs is at www.w3.org/Addressing/Overview.html.
5.10 The <EXTERNAL_DATA_ARRAY> Element

5.10.1 Description

An EXTERNAL_DATA_ARRAY element identifies, by location and access method, a multi-segment source datum. A multi-segment source is one that contains multiple content descriptions that can be accessed individually, e.g. a multi-page PostScript or PDF file.

Only one EXTERNAL_DATA_ARRAY element may be used in a SOURCE element.

5.10.2 Model

EXTERNAL_DATA_ARRAY EMPTY

5.10.3 Attributes

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Required/Optional</th>
<th>Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Src</td>
<td>Required</td>
<td>URI</td>
<td>See section 5.9.3, attributes of EXTERNAL_DATA.</td>
</tr>
<tr>
<td>Index</td>
<td>Optional</td>
<td>Integer</td>
<td>Indicates which segment is to be selected for use in this instance. The default (and minimum) value is &quot;1&quot;, which corresponds to the very first segment of the referenced source file.</td>
</tr>
<tr>
<td>IndexUsage</td>
<td>Optional</td>
<td>Keyword</td>
<td>Single or Multiple or Unknown (default). A hint to the Consumer, meaning &quot;will additional segments be used later in the same graphics state?&quot; See section 5.10.5, &quot;The IndexUsage attribute&quot; below.</td>
</tr>
</tbody>
</table>

5.10.4 Context

EXTERNAL_DATA_ARRAY may occur within SOURCE.

5.10.5 The IndexUsage attribute

IndexUsage= "Multiple" means that although this instance only uses one of the segments in this multi-segment file, additional instances of EXTERNAL_DATA_ARRAY may call for other segments. Thus, as an optimization, a Consumer may wish to process all the segments in the source, not just the one segment specified by the Index attribute.
5.11 The <INTERNAL_DATA> Element

5.11.1 Description

An INTERNAL_DATA element is the same as an EXTERNAL_DATA element except that it contains the actual content data, instead of referring to it. Therefore it has no Src attribute.

Like the datum referred to by an EXTERNAL_DATA element, it may be in any of the supported formats (e.g., PostScript, PDF, PCL, TIFF, etc.) and can be used by itself or in combination with other content elements to construct components which appear on the printed page.

Note that the content data itself, contained in the INTERNAL_DATA element, must be valid XML content – it must be free of XML delimiters and must conform to the character sets identified in section 2.1.5, “Character sets.”

5.11.2 Model

INTERNAL_DATA (#PCDATA)

5.11.3 Attributes

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Required/Optional</th>
<th>Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Encoding</td>
<td>Optional</td>
<td>Keyword</td>
<td>Encoding scheme of the data: None (default) or any encoding name registered with the Internet Assigned Numbers Authority (IANA). However, note that Consumers are only required to support Base64.</td>
</tr>
<tr>
<td>CharacterSet</td>
<td>Optional</td>
<td>String</td>
<td>Specifies the character set of the decoded data. For use with text content or any other media type containing characters. Value: any character set name registered with the Internet Assigned Numbers Authority (IANA).</td>
</tr>
<tr>
<td>Label</td>
<td>Optional</td>
<td>String</td>
<td>Any arbitrary string to identify this element, for instance in case an error message is necessary.</td>
</tr>
<tr>
<td>Creator</td>
<td>Optional</td>
<td>String</td>
<td>Identifies the application that created this content.</td>
</tr>
</tbody>
</table>

5.11.4 Context

INTERNAL_DATA may occur within SOURCE.

---

8 The valid encoding name strings are listed at [http://www.isi.edu/in-notes/iana/assignments/transfer-encodings](http://www.isi.edu/in-notes/iana/assignments/transfer-encodings).

9 The valid character set name strings are at [http://www.isi.edu/in-notes/iana/assignments/character-sets](http://www.isi.edu/in-notes/iana/assignments/character-sets).
5.12 The <REUSABLE_OBJECT> Element

5.12.1 Description

The REUSABLE_OBJECT element defines a component of page appearance which is intended for multiple use, and may therefore be stored by the PPML consumer in some optimized format.

Reusable Objects exist for efficiency: to store frequently used items so they can be accessed without redundant processing. Each individual use (Occurrence) of a Reusable Object will have its own different VIEW, but there may be some transformations that are shared. For instance, a photo may be clipped and rotated, and then be scaled to several different sizes. The VIEW on the Reusable Object could perform the clipping and rotating once; then several different Occurrences could be defined, each with a VIEW that performs additional scaling.

5.12.2 Model

REUSABLE_OBJECT (OBJECT+, VIEW, OCCURRENCE_LIST)

5.12.3 Attributes

None.

5.12.4 Context

REUSABLE_OBJECT can occur in PPML, JOB, DOCUMENT and PAGE.
5.13 The <OCCURRENCE_LIST> Element

5.13.1 Description

Within a REUSABLE_OBJECT definition element, the OCCURRENCE_LIST element declares each viewing transformation which may be applied to the object, and may provide hints of the relative importance of each transformation.

5.13.2 Model

OCCURRENCE_LIST (OCCURRENCE+)

5.13.3 Attributes

None.

5.13.4 Context

OCCURRENCE_LIST can occur in REUSABLE_OBJECT.
5.14 The <OCCURRENCE> Element

5.14.1 Description

The OCCURRENCE element specifies the VIEW and relative importance with which a particular rendition of a Reusable Object will occur. By specifying Occurrence information in the definition of a Reusable Object, the PPML Producer facilitates optimization of rendering and storage by the eventual Consumer.

Note that the element model contains no explicit statement of the dimensions of the content image area that will be created when the Consumer generates this Occurrence. A Consumer that wishes to anticipate the dimensions should do so by accumulating the clipping boxes defined in the REUSABLE_OBJECT element.

5.14.2 Model

OCCURRENCE (VIEW)

5.14.3 Attributes

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Required /Optional</th>
<th>Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name</td>
<td>Required</td>
<td>String</td>
<td>Name to be used when referring to this OCCURRENCE. The name must be unique within the Occurrence’s scope or environment; see 5.14.5, “Policies for Name collisions” below.</td>
</tr>
<tr>
<td>Environment</td>
<td>Required if Scope = “Global”; not needed otherwise</td>
<td>String</td>
<td>Specifies the environment in which a global object should be defined. (There is no default environment.)</td>
</tr>
<tr>
<td>Scope</td>
<td>Optional</td>
<td>Keyword</td>
<td>Specifies the scope of this object’s use. Possible values are Global, PPML, Job, Document and Page. By default, the scope is the containing element in which the object is defined. A higher value may be specified in this attribute, but a lower value is an error.</td>
</tr>
<tr>
<td>Overwrite</td>
<td>Optional</td>
<td>Boolean</td>
<td>Defines what the Consumer should do if Scope=“Global” and the name already exists in the specified Environment: Yes means “overwrite the existing Occurrence”, No means “ignore this element.” Default= No. This attribute has no meaning unless Scope=“Global”.</td>
</tr>
<tr>
<td>Weight</td>
<td>Optional</td>
<td>Number</td>
<td>A number from 1 (minimum importance) to 100 (maximum) describing, qualitatively, the relative importance of this Occurrence. See 5.14.6, “Statistics about Reuse: the Weight attribute.”</td>
</tr>
</tbody>
</table>

5.14.4 Context

The OCCURRENCE element can occur in OCCURRENCE_LIST.
5.14.5 Policies for Name collisions

The value of Name must be unique within the scope (or within the Environment, if Scope= "Global"). The following policies define how the Consumer should handle the case where Name already exists at the specified Scope:

- If Scope="Global" then the Overwrite attribute defines what action should be taken: overwrite the existing attribute, or ignore this element?
- If Scope is not Global, an error occurs.

5.14.6 Statistics about Reuse: the Weight attribute

How efficiently a given system (Producer or Consumer) handles reusable content is expected to be a major differentiating factor compared to other PPML systems. System designers are therefore advised to give thought to efficient design regarding this feature.

Typically, when a PPML Consumer receives a Reusable Object definition, it will pre-process it (RIP it) into the data format required by the target print engine, and then save the resulting Occurrences somewhere (cache them), e.g. in RAM, on internal disk, or on some attached storage system. Sometime later, in the same print run or some other run, the data stream will call for that Occurrence by name, and the Consumer will be able to recall it from storage and image it without pausing to process it “on the fly.”

A Consumer must make informed decisions about what to cache and for how long. A Consumer with large amounts of RAM may be able to hold all of a job’s Occurrences in RAM at once; this approach will usually produce the fastest possible throughput. But as system price declines, RAM tends to be more limited, which forces the Consumer to make decisions about what to cache and what not to – especially as jobs become complicated and the quantity of Occurrences increases.

Imagine, for instance, a print run that includes two Occurrences. If one will be used 800 times and the other only twice, it’s clear which one should be cached.

But the Consumer cannot make that decision unless it knows the relative importance of the Occurrences. Producers therefore play an important role in supporting productive printing: only the Producer knows how often an Occurrence will be used in a given print run, and if the Producer wishes to support optimized printing, it should feed that information to the Consumer via the Weight attribute in the OCCURRENCE element.

In the absence of Weight, a Consumer can still base a caching strategy on such factors as scope or least recently used.

5.14.7 What to cache and for how long

The Consumer is responsible for its caching technology and caching strategy; there is no requirement in this specification that the Consumer provide any particular caching functionality. However, the major goal of the PPML initiative is to improve efficiency of reuse, so Consumers that substantially improve throughput are likely to be much more successful.

It’s also important to understand that there is no requirement to cache at any particular stage of the RIPping process. The communication between Producer and Consumer on this subject is limited to the Producer providing two types of information: Weight hints and Scope declarations.
Note that even if an Occurrence goes out of scope, the Consumer is not required to purge it (nor take any other action). In fact the Producer has no certain knowledge of the Occurrence’s status. Even the scope declaration is just a “hint” that the Consumer may or may not use.

5.14.8 Implementation note: Effects of imposition

Consumers are advised to take into account the possibility that imposition (see Chapter 6: of this specification) will require the Occurrence to be imaged in more than one orientation.
5.15 The <OCCURRENCE_REF> Element

5.15.1 Description

The OCCURRENCE_REF element creates a reference to an Occurrence of a Reusable Object. The Reusable Object and Occurrence to which the OCCURRENCE_REF refers must have been defined earlier in the dataset or globally via a named environment.

5.15.2 Model

    OCCURRENCE_REF EMPTY

5.15.3 Attributes

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Required/Optional</th>
<th>Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ref</td>
<td>Required</td>
<td>String</td>
<td>Name of a previously defined Occurrence for this object.</td>
</tr>
<tr>
<td>Environment</td>
<td>Optional</td>
<td>String</td>
<td>The environment in which the name of a Global Occurrence should be interpreted. (This attribute is required if the scope of the Occurrence is Global; otherwise, this attribute has no meaning.)</td>
</tr>
</tbody>
</table>

5.15.4 Context

OCCURRENCE_REF can occur in MARK, SHEET_MARK, VER_TRIM_MARKS, HOR_TRIM_MARKS, VER_FOLD_MARKS and HOR_FOLD_MARKS.
5.16 Notes on REUSABLE_OBJECTs, OCCURRENCES, Scope, and Environment

5.16.1 Implementation notes

Note that Occurrences with Scope="Global" will never go out of scope. Therefore, they will accumulate wherever the Consumer stores its resources, e.g. its disk or a file server. This means that any Consumer system may want to consider whether, and how, to manage the storage of Reusable Objects and their Occurrences.

5.16.2 Protection of an Environment’s global resources

It is the Consumer’s responsibility to protect global-scoped Occurrences from being accidentally erased by subsequent downloads. Therefore, Consumer vendors may want to require authorization before any dataset can create or access an Environment. This is left as an implementation decision for the Consumer.

One approach could be to use some unique identifier as part of the Environment, perhaps including the domain name of the print job’s originator. In either case, PPML merely considers it to be a simple text string, but accidental duplication of Environment would be unlikely. Examples:

Scope="Global" Environment="FordJob@Dclark@MyCompany.com"
Scope="Global" Environment="MyCompany/Dclark/"

5.16.3 Scope

The Occurrence’s Scope attribute defines how long the Occurrence must be available: for the current Page, the current Document, the current Job, the entire PPML dataset, or permanently (Global). For instance, in a Consumer that caches Occurrences, when the Consumer completes the defined scope (e.g. the current Job), the Occurrence can be flushed from cache memory.

Scoping is mostly for lifespan: when an Occurrence goes out of scope, the Consumer is permitted to recover the resources it used. (It also has a namespace effect – for instance, “Ford logo” may have a different meaning in a particular job than it does for most projects.) However, global scope is somewhat different. Most uses of global scope will be for Occurrences that persist over a considerable period of time: weeks or months, as in a continuing project, perhaps even years, such as company logos). It is expected that in typical production work such Occurrences will be loaded into the Consumer system before production jobs begin, and they will then be referenced repeatedly in multiple jobs or projects.

5.16.4 Resolving Occurrence names

When a Mark contains an Occurrence Reference, the referenced Occurrence name is resolved by searching from lowest to highest level. If the Occurrence was defined within the current Page, that definition is used; if not, each higher level is searched: Document, Job, then PPML. Global Occurrences are only searched if the Occurrence Reference has an Environment attribute. If it has, only global Occurrences in that Environment are searched and Occurrences at lower scopes are ignored. It is an error if no Occurrence is found.
5.16.5 Downloading reusable objects for caching for future use

In real world workflows, the source data for some reusable objects typically becomes available to production workers before other objects become available. To minimize workload at deadline time, it’s a good idea to download such objects to the Consumer for caching when they become available, rather than waiting until all objects are available.

To do this, construct a PPML dataset that contains no Jobs, just Reusable Object Definition elements. Set each element’s Scope attribute to Global and define a value for the Environment string attribute.
5.17 The <SEGMENT_ARRAY> element

5.17.1 Description

The SEGMENT_ARRAY element defines a collection of reusable objects whose contents are contained in a multi-page source file. Once the SEGMENT_ARRAY has been declared, individual segments can be placed on a page by use of a SEGMENT_REF.

5.17.2 Model

SEGMENT_ARRAY (VIEW)

5.17.3 Attributes

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Required/Optional</th>
<th>Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>ClippingBox</td>
<td>Optional</td>
<td>Number × 4</td>
<td>Supplies the coordinates of the lower left and upper right corners of the rectangle containing the desired area of the content data, in PPML default coordinates.</td>
</tr>
<tr>
<td>Dimensions</td>
<td>Required</td>
<td>Number × 2</td>
<td>The width ( w ) and height ( h ) of a rectangle that encloses the content data contained in this element.</td>
</tr>
<tr>
<td>Environment</td>
<td>Required if Scope = &quot;Global&quot;; not needed otherwise</td>
<td>String</td>
<td>Specifies the environment in which a global object should be defined. (There is no default environment.)</td>
</tr>
<tr>
<td>Format</td>
<td>Required</td>
<td>Keyword</td>
<td>Indicates the format of the data (e.g., PostScript, PDF, TIFF, etc.) Value: any format name registered with the Internet Assigned Numbers Authority (IANA). (See Appendix 3.)</td>
</tr>
<tr>
<td>IndexRange</td>
<td>Required</td>
<td>Comma-separated list of ranges, e.g. 1-10 or 1-5,7,10-12</td>
<td>Specifies which of the segments within the source to fully process and cache within the Consumer. Segments which are skipped may require some processing to locate the start of data for subsequent segments. The list is specified as either a single index or a range of indices given as ( 1-h ) (&quot;low to high&quot;). The index values must increase monotonically.</td>
</tr>
<tr>
<td>Name</td>
<td>Required</td>
<td>String</td>
<td>Name to be used when referencing SEGMENT_ARRAY elements.</td>
</tr>
<tr>
<td>Attribute</td>
<td>Required/Optional</td>
<td>Type</td>
<td>Description</td>
</tr>
<tr>
<td>-----------</td>
<td>-------------------</td>
<td>------------</td>
<td>-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>Overwrite</td>
<td>Optional</td>
<td>Boolean</td>
<td>Defines what the Consumer should do if Scope=&quot;Global&quot; and the name already exists in the specified environment. For each segment specified in the IndexRange, a value of “Yes” instructs the Consumer to replace a prior definition with the same Name and index by the newly supplied value and leaves any other segment unchanged (any segment not in the current IndexRange is taken from the existing definition). It is allowed that the maximum index of the current IndexRange is greater than the one of the existing definition. A value of “No” (the default) instructs the Consumer to leave the prior value of the segment.</td>
</tr>
<tr>
<td>Scope</td>
<td>Optional</td>
<td>Keyword</td>
<td>Specifies the scope of this element’s use. Possible values are Global, PPML, Job, Document and Page. By default, the scope is the containing element in which the object is defined. A higher value may be specified with this attribute. Specifying a lower scope level is an error.</td>
</tr>
<tr>
<td>Src</td>
<td>Required</td>
<td>URI</td>
<td>See section 5.9.3</td>
</tr>
<tr>
<td>Weight</td>
<td>Optional</td>
<td>Number</td>
<td>A number from 1 (minimum importance) to 100 (maximum) describing, qualitatively, the relative importance of this Segment Array. See section 5.14.6, “Statistics about Reuse: the Weight attribute.”</td>
</tr>
</tbody>
</table>

**5.17.4 Context**

The SEGMENT_ARRAY element can occur within PPML, JOB, DOCUMENT and PAGE.

**5.17.5 Implementation note: Effects of IndexRange and Overwrite**

When combining IndexRange with Overwrite="Yes", it is possible that segments in the same SEGMENT_ARRAY have different values for ClippingBox, View and Dimensions.

**5.17.6 Implementation note: Effects of nested scopes**

A redefinition of a SEGMENT_ARRAY on a lower scope completely hides the ones on a higher scope. As a consequence a reference to a segment that is not in the IndexRange of the SEGMENT_ARRAY on the lowest scope results in an empty mark and is not resolved by a possible segment on a higher scope.
5.18 The <SEGMENT_REF> element

5.18.1 Description

The SEGMENT_REF element creates a reference to a member of a Segment Array. The SEGMENT_ARRAY element to which this element refers must have been previously defined in a scope containing the reference.

5.18.2 Model

SEGMENT_REF EMPTY

5.18.3 Attributes

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Required/Optional</th>
<th>Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Environment</td>
<td>Optional</td>
<td>String</td>
<td>Specifies the environment in which the name of the global-scoped element is defined.</td>
</tr>
<tr>
<td>Index</td>
<td>Optional</td>
<td>Integer</td>
<td>Indicates which segment is to be selected for use in this instance. If Index refers to a segment that falls outside the specified IndexRange (see also the Overwrite attribute in SEGMENT_ARRAY), this Mark is empty. The default (and also minimum) value is &quot;1&quot;, which corresponds to the very first segment of the referenced source file.</td>
</tr>
<tr>
<td>Ref</td>
<td>Required</td>
<td>String</td>
<td>Specifies the name of the previously defined Segment Array to which this element refers.</td>
</tr>
</tbody>
</table>

5.18.4 Context

A SEGMENT_REF can occur in MARK.
5.19 Definition of PPML Extent Boxes

The extent box of a SOURCE element is its effective clipping boundary determined by its Dimensions and ClippingBox attributes. See Section 5.8.5, Dimensions and ClippingBox for the definition of the clipping boundary.

To apply a VIEW to an extent box, the Consumer must use the following procedure:

- Apply the transformation specified in the TRANSFORM attribute to the current extent box. This results in a four-sided figure.
- If the VIEW has a CLIP_RECT attribute, clip the four-sided figure using the clipping rectangle. This results in a figure that can have up to eight sides.
- Compute the bounding box of this figure: it is the new extent box.

To combine two or more extent boxes, compute the bounding box of the positioned extent boxes: it is the new extent box.

5.19.1 Applying a VIEW to an Extent Box

This example shows how a VIEW is applied to an extent box:

The resulting extent box is shown below:
5.19.2 Combining Extent Boxes

When two or more objects are combined in a single mark, their extent boxes are combined as follows, then the view is applied as shown in the previous section:

The resulting extent box is shown below:

The next section contains examples of how extent boxes are used.
5.20 Notes on Transforming, Clipping and Positioning

The following two examples show how to process a simple case of a MARK on a PPML page: a single EPS file is transformed and clipped in various ways, and placed on a page. All the instructions in the first example will be contained in the MARK element; the second example shows how the same result could be accomplished using a REUSABLE_OBJECT element.

Both examples use the same original EPS file – a few words of text, which fits into a box 100 units high and 150 units wide. The result we want to achieve is a part of this EPS file, reduced, cropped, and rotated, as shown at the right.

<table>
<thead>
<tr>
<th>Source</th>
<th>Desired Result</th>
</tr>
</thead>
<tbody>
<tr>
<td>a PPML source</td>
<td>PPML</td>
</tr>
</tbody>
</table>

5.20.1 Self-Contained MARK Example

A self-contained MARK has this structure:

- The simplest possible MARK contains a VIEW and one OBJECT.
- An OBJECT is a VIEW of a single SOURCE.
- Each of the VIEWS can contain a TRANSFORM and a CLIP_RECT.

To process a MARK, the Consumer must first process each OBJECT inside it. And to do that, it first processes the SOURCE in the OBJECT. Here is the resulting sequence the Consumer must follow:

- Process the SOURCE, applying its ClippingBox if any
- Take the result and transform it using the TRANSFORM from the OBJECT’s VIEW
- Take the result and clip it using the CLIP_RECT from the OBJECT’s VIEW

This produces one OBJECT that will be contained in the MARK.

Now, position the OBJECT in the MARK’s coordinate space.

Repeat the above for each OBJECT in the MARK.

Now, apply the MARK’s VIEW:

- Take the set of (one or more) OBJECTS and transform it using the TRANSFORM from the MARK’s VIEW
- Take the result and clip it using the CLIP_RECT from the MARK’s VIEW
This produces the final piece of page content that will appear on the page. The last step will be to position it on the page, using the MARK's Position attribute.

The following PPML fragment achieves our desired result using a self-contained MARK:

```xml
<MARK Position="30 40">
  <VIEW>
    <TRANSFORM Matrix="0.75 0 0 0.75 0 0" />
    <CLIP_RECT Rectangle="0 0 75 75" />
  </VIEW>
  <OBJECT Position="-20 -20">
    <SOURCE Dimensions="150 100" ClippingBox="30 50 160 90">
      <EXTERNAL_DATA Src="ppml.eps" />
    </SOURCE>
    <VIEW>
      <TRANSFORM Matrix="0.866 -0.5 0.5 0.866 -25.98 31.7" />
      <CLIP_RECT Rectangle="20 20 120 120" />
    </VIEW>
  </OBJECT>
</MARK>
```

*Note that the Format attribute of the SOURCE has been omitted for clarity.*

A PPML Consumer processes this fragment using the steps shown on the following pages.
1. Read the **SOURCE** element in the **OBJECT**

First, the Consumer finds the **SOURCE** element inside the **MARK**:

```xml
<MARK Position="30 40">
  <VIEW>
    <TRANSFORM Matrix="0.75 0 0 0.75 0 0" />
    <CLIP_RECT Rectangle="0 0 75 75" />
  </VIEW>
  <OBJECT Position="-20 -20">
    <SOURCE Dimensions="150 100" ClippingBox="30 50 160 90">
      <EXTERNAL_DATA Src="ppml.eps" />
    </SOURCE>
  </OBJECT>
</MARK>
```

The **ClippingBox** attribute crops the edges of the EPS file, as shown by the dashed line:

The current coordinate space: the **SOURCE**.

The result is shown below. **This is the content defined by this** **SOURCE** **element**:
2. Completing the OBJECT: VIEW the SOURCE

Next, the Consumer applies the OBJECT’s VIEW, starting with the TRANSFORM element:

```xml
<MARK Position="30 40">
  <VIEW>
    <TRANSFORM Matrix="0.75 0 0 0.75 0 0" />
    <CLIP_RECT Rectangle="0 0 75 75" />
  </VIEW>
  <OBJECT Position="-20 -20">
    <SOURCE Dimensions="150 100" ClippingBox="30 50 160 90">
      <EXTERNAL_DATA Src="ppml.eps" />
    </SOURCE>
    <VIEW>
      <TRANSFORM Matrix="0.866 -0.5 0.5 0.866 -25.98 31.7" />
      <CLIP_RECT Rectangle="20 20 120 120" />
    </VIEW>
  </OBJECT>
</MARK>
```

The transformation component of this VIEW specifies a translation of (-25.98, 31.7) and a rotation of –30°.

![PPML Diagram]

SOURCE origin
Offset -25.98,31.7
from OBJECT origin,
rotated -30°

☑️ Current coordinate space: the OBJECT.
Now process the OBJECT's CLIP_RECT. This completes the VIEW, and thus completes the content of the OBJECT:

```
<MARK Position="30 40">
  <VIEW>
    <TRANSFORM Matrix="0.75 0 0 0.75 0 0" />
    <CLIP_RECT Rectangle="0 0 75 75" />
  </VIEW>
  <OBJECT Position="-20 -20">
    <SOURCE Dimensions="150 100" ClippingBox="30 50 160 90">
      <EXTERNAL_DATA Src="ppml.eps" />
    </SOURCE>
    <VIEW>
      <TRANSFORM Matrix="0.866 -0.5 0.5 0.866 -25.98 31.7" />
      <CLIP_RECT Rectangle="20 20 120 120" />
    </VIEW>
  </OBJECT>
</MARK>
```

The CLIP_RECT (20,20 to 120,120) clips the rotated image like this:

![clipped OBJECT image](image)

☑ **Current coordinate space:** the OBJECT.

**Note**

The drawings use color to highlight the clipping area.
Next, determine the extent box of this OBJECT element:

Current coordinate space: the OBJECT.

The result is shown below. **This is the content that this OBJECT element defines:**
3. Place the **OBJECT in the MARK, and apply the MARK’s VIEW**

A MARK can contain several OBJECTS, each with its own position. Thus, when each OBJECT is complete, its origin can be placed anywhere within the coordinates of its enclosing MARK element. This is done using the OBJECT element’s Position attribute.

*In this example the MARK contains only one OBJECT, positioned at (-20,-20).*

```xml
<MARK Position="30 40">
  <VIEW>
    <TRANSFORM Matrix="0.75 0 0 0.75 0 0" />  
    <CLIP_RECT Rectangle="0 0 75 75" />
  </VIEW>
  <OBJECT Position="-20 -20">
    <SOURCE Dimensions="150 100" ClippingBox="30 50 160 90">
      <EXTERNAL_DATA Src="ppml.eps" />
    </SOURCE>
    <VIEW>
      <TRANSFORM Matrix="0.866 -0.5 0.5 0.866 -25.98 31.7" />  
      <CLIP_RECT Rectangle="20 20 120 120" />
    </VIEW>
  </OBJECT>
</MARK>
```

+ -20,-20 (offset of OBJECT’s origin in the MARK)

☑ Current coordinate space: the MARK.
Next, apply the MARK’s \textit{TRANSFORM}: scale the OBJECT to 75% of its original size:

\begin{verbatim}
<MARK Position="30 40">
  <VIEW>
    <TRANSFORM Matrix="0.75 0 0 0.75 0 0" />
    <CLIP_RECT Rectangle="0 0 75 75" />
  </VIEW>
  <OBJECT Position="-20 -20">
    <SOURCE Dimensions="150 100" ClippingBox="30 50 160 90">
      <EXTERNAL_DATA Src="ppml.eps" />
    </SOURCE>
    <VIEW>
      <TRANSFORM Matrix="0.866 -0.5 0.5 0.866 -25.98 31.7" />
      <CLIP_RECT Rectangle="20 20 120 120" />
    </VIEW>
  </OBJECT>
</MARK>
\end{verbatim}

Result:

\begin{center}
\includegraphics[width=0.3\textwidth]{ppml.png}
\end{center}

- Current coordinate space: the MARK.

Next, apply the MARK’s \textit{CLIP_RECT}: in this case, it does no extra clipping.

\begin{verbatim}
<MARK Position="30 40">
  <VIEW>
    <TRANSFORM Matrix="0.75 0 0 0.75 0 0" />
    <CLIP_RECT Rectangle="0 0 75 75" />
  </VIEW>
  <OBJECT Position="-20 -20">
    <SOURCE Dimensions="150 100" ClippingBox="30 50 160 90">
      <EXTERNAL_DATA Src="ppml.eps" />
    </SOURCE>
    <VIEW>
      <TRANSFORM Matrix="0.866 -0.5 0.5 0.866 -25.98 31.7" />
      <CLIP_RECT Rectangle="20 20 120 120" />
    </VIEW>
  </OBJECT>
</MARK>
\end{verbatim}

The MARK’s content is now complete. The content can now be positioned on the page, as shown below.
4. Position the MARK on the page.

The only remaining step is to process the MARK element’s Position attribute.

```
<MARK Position="30 40">
  <VIEW>
    <TRANSFORM Matrix="0.75 0 0 0.75 0 0" />
    <CLIP_RECT Rectangle="0 0 75 75" />
  </VIEW>
  <OBJECT Position="-20 -20">
    <SOURCE Dimensions="150 100" ClippingBox="30 50 160 90">
      <EXTERNAL_DATA Src="ppml.eps" />
    </SOURCE>
    <VIEW>
      <TRANSFORM Matrix="0.866 -0.5 0.5 0.866 -25.98 31.7" />
      <CLIP_RECT Rectangle="20 20 120 120" />
    </VIEW>
  </OBJECT>
</MARK>
```

☑ Current coordinate space: the PAGE.
The entire MARK is now complete: the content has been marked onto the page.

```
<MARK Position="30 40">
  <VIEW>
    <TRANSFORM Matrix="0.75 0 0 0.75 0 0" />
    <CLIP_RECT Rectangle="0 0 75 75" />
  </VIEW>
  <OBJECT Position="-20 -20">
    <SOURCE Dimensions="150 100" ClippingBox="30 50 160 90">
      <EXTERNAL_DATA Src="ppml.eps" />
    </SOURCE>
    <VIEW>
      <TRANSFORM Matrix="0.866 -0.5 0.5 0.866 -25.98 31.7" />
      <CLIP_RECT Rectangle="20 20 120 120" />
    </VIEW>
  </OBJECT>
</MARK>
```

The following PostScript code could be placed before the EPS source to produce this result:

```
30 40 translate  \% MARK position
0 0 75 75 rectclip \% MARK clipping
[0.75 0 0 0.75 0 0] concat \% MARK transform
-20 -20 translate \% OBJECT position
20.0 20.0 100.0 100.0 rectclip \% OBJECT clipping
[0.866 -0.5 0.5 0.866 -25.98 31.7] concat \% OBJECT transform
30.0 50.0 120.0 40.0 rectclip \% SOURCE clipping
% insert content of file "ppml.eps" here
```
5.20.2 REUSABLE_OBJECT Example

This example renders the same MARK as the previous one, but uses a REUSABLE_OBJECT.

A REUSABLE_OBJECT has this structure:

- The simplest possible REUSABLE_OBJECT contains a VIEW, one OBJECT, and an OCCURRENCE_LIST with one OCCURRENCE.
- Each OCCURRENCE specifies a VIEW of all the OBJECTs in this REUSABLE_OBJECT.
- A MARK can include a particular OCCURRENCE of a REUSABLE_OBJECT by including an OCCURRENCE_REF.
- It only makes sense to use REUSABLE_OBJECT if its OCCURRENCES are used in more than one MARK; it is probable (but not required) that the PPML Consumer will optimize the OBJECT for reuse.

To process a REUSABLE_OBJECT, the Consumer must first process each OBJECT inside it. And to do that, it first processes the SOURCE in the OBJECT. It is the same sequence as is used for OBJECTs within a MARK:

- Process the SOURCE, applying its ClippingBox if any
- Take the result and transform it using the TRANSFORM from the OBJECT’s VIEW
- Take the result and clip it using the CLIP_RECT from the OBJECT’s VIEW

This produces one OBJECT that will be contained in the REUSABLE_OBJECT.

Now, position the OBJECT in the REUSABLE_OBJECT’s coordinate space.

Repeat the above for each OBJECT in the REUSABLE_OBJECT.

Now, apply the REUSABLE_OBJECT’s VIEW:

- Take the set of (one or more) OBJECTs and transform it using the TRANSFORM from the REUSABLE_OBJECT’s VIEW
- Take the result and clip it using the CLIP_RECT from the REUSABLE_OBJECT’s VIEW

Now, apply each OCCURRENCE’s VIEW:

- Take the result and transform it using the TRANSFORM from the OCCURRENCE’s VIEW
- Take the result and clip it using the CLIP_RECT from the OCCURRENCE’s VIEW
- Repeat the above for each OCCURRENCE in the OCCURRENCE_LIST

This process produces the final piece of page content for each OCCURRENCE. They are now ready to be included on a page with an OCCURRENCE_REF. The last step will be to position the content on the page, using the MARK’s Position attribute.
The following PPML fragment achieves our desired result using a **REUSABLE_OBJECT**:

```xml
<REUSABLE_OBJECT>
  <OBJECT Position="-20 -20">
    <SOURCE Dimensions="150 100" ClippingBox="30 50 160 90">
      <EXTERNAL_DATA Src="ppml.eps" />
    </SOURCE>
    <VIEW>
      <TRANSFORM Matrix="0.866 -0.5 0.5 0.866 -25.98 31.7" />
      <CLIP_RECT Rectangle="20 20 120 120" />
    </VIEW>
  </OBJECT>
  <VIEW />
  <OCCURRENCE_LIST>
    <OCCURRENCE Name="example">
      <VIEW>
        <TRANSFORM Matrix="0.75 0 0 0.75 0 0" />
        <CLIP_RECT Rectangle="0 0 75 75" />
      </VIEW>
    </OCCURRENCE>
  </OCCURRENCE_LIST>
</REUSABLE_OBJECT>

<MARK Position="30 40">
  <OCCURRENCE_REF Ref="example" />
</MARK>

*Note that the **Format** attribute of the **SOURCE** has been omitted for clarity.*

A PPML Consumer processes this fragment using the following steps.
1. Create the **OBJECT** specified in the **REUSABLE_OBJECT**.

Use steps 1 and 2 from the previous example to obtain the OBJECT by reading its SOURCE and applying its VIEW.

```xml
<REUSABLE_OBJECT>
  <OBJECT Position="-20 -20">
    <SOURCE Dimensions="150 100" ClippingBox="30 50 160 90">
      <EXTERNAL_DATA Src="ppml.eps" />
    </SOURCE>
    <VIEW>
      <TRANSFORM Matrix="0.866 -0.5 0.5 0.866 -25.98 31.7" />
      <CLIP_RECT Rectangle="20 20 120 120" />
    </VIEW>
  </OBJECT>
  <VIEW />
  <OCCURRENCE_LIST>
    <OCCURRENCE Name="example">
      <VIEW>
        <TRANSFORM Matrix="0.75 0 0 0.75 0 0" />
        <CLIP_RECT Rectangle="0 0 75 75" />
      </VIEW>
    </OCCURRENCE>
  </OCCURRENCE_LIST>
</REUSABLE_OBJECT>
```

The result is shown below. This is the content that this OBJECT element defines:

![OBJECT content](image)

**OBJECT**'s extent box: 95 x 74.65

**OBJECT**'s origin

- **Current coordinate space**: the **OBJECT**
2. Place the **OBJECT**, and apply the **REUSABLE_OBJECT**'s and **OCCURRENCE**'s **VIEWS**.

A **REUSABLE_OBJECT** can contain several **OBJECT**s, each with its own position. Thus, when each **OBJECT** is complete, its origin can be placed anywhere within the coordinates of its enclosing **REUSABLE_OBJECT** element. This is done using the **OBJECT** element's **Position** attribute.

In this example, the **OBJECT** is positioned at (-20, -20).

```xml
<REUSABLE_OBJECT>
  <OBJECT Position="-20 -20">
    <SOURCE Dimensions="150 100" ClippingBox="30 50 160 90">
      <EXTERNAL_DATA Src="ppml.eps" />
    </SOURCE>
    <VIEW>
      <TRANSFORM Matrix="0.866 -0.5 0.5 0.866 -25.98 31.7" />
      <CLIP_RECT Rectangle="20 20 120 120" />
    </VIEW>
  </OBJECT>
  <VIEW />
  <OCCURRENCE_LIST>
    <OCCURRENCE Name="example">
      <VIEW>
        <TRANSFORM Matrix="0.75 0 0 0.75 0 0" />
        <CLIP_RECT Rectangle="0 0 75 75" />
      </VIEW>
    </OCCURRENCE>
  </OCCURRENCE_LIST>
</REUSABLE_OBJECT>
```

-20,-20 (offset of **OBJECT**'s origin in the **REUSABLE_OBJECT**)

☑ Current coordinate space: the **REUSABLE_OBJECT**.
Next, apply the **REUSABLE_OBJECT**’s **VIEW**: transform and clip the **OBJECT** as specified. *In this example, the **REUSABLE_OBJECT**’s **VIEW** is empty and no processing is required.*

```
<REUSABLE_OBJECT>
  <OBJECT Position="-20 -20">
    <SOURCE Dimensions="150 100" ClippingBox="30 50 160 90">
      <EXTERNAL_DATA Src="ppml.eps" />
    </SOURCE>
    <VIEW>
      <TRANSFORM Matrix="0.866 -0.5 0.5 0.866 -25.98 31.7" />
      <CLIP_RECT Rectangle="20 20 120 120" />
    </VIEW>
  </OBJECT>
  <VIEW />
  <OCCURRENCE_LIST>
    <OCCURRENCE Name="example">
      <VIEW>
        <TRANSFORM Matrix="0.75 0 0 0.75 0 0" />
        <CLIP_RECT Rectangle="0 0 75 75" />
      </VIEW>
    </OCCURRENCE>
  </OCCURRENCE_LIST>
</REUSABLE_OBJECT>
```

Next, apply the **OCCURRENCE**’s **TRANSFORM**: scale the **OBJECT** to 75% of its current size:

```
<REUSABLE_OBJECT>
  <OBJECT Position="-20 -20">
    <SOURCE Dimensions="150 100" ClippingBox="30 50 160 90">
      <EXTERNAL_DATA Src="ppml.eps" />
    </SOURCE>
    <VIEW>
      <TRANSFORM Matrix="0.866 -0.5 0.5 0.866 -25.98 31.7" />
      <CLIP_RECT Rectangle="20 20 120 120" />
    </VIEW>
  </OBJECT>
  <VIEW />
  <OCCURRENCE_LIST>
    <OCCURRENCE Name="example">
      <VIEW>
        <TRANSFORM Matrix="0.75 0 0 0.75 0 0" />
        <CLIP_RECT Rectangle="0 0 75 75" />
      </VIEW>
    </OCCURRENCE>
  </OCCURRENCE_LIST>
</REUSABLE_OBJECT>
```
Current coordinate space: the OCCURRENCE.

Next, apply the OCCURRENCE's CLIP_RECT: in this case, it does no extra clipping.

The OCCURRENCE's content is now complete.
3. Position the occurrence on the page.

The only remaining step is to apply the \texttt{MARK} element’s \texttt{Position} attribute to the \texttt{OCCURRENCE} created in step 2:

\begin{verbatim}
<REUSABLE_OBJECT>
  <OBJECT Position="-20 -20">
    <SOURCE Dimensions="150 100" ClippingBox="30 50 160 90">
      <EXTERNAL_DATA Src="ppml.eps" />
    </SOURCE>
    <VIEW>
      <TRANSFORM Matrix="0.866 -0.5 0.5 0.866 -25.98 31.7" />
      <CLIP_RECT Rectangle="20 20 120 120" />
    </VIEW>
  </OBJECT>

  <VIEW />
  <OCCURRENCE_LIST>
    <OCCURRENCE Name="example">
      <VIEW>
        <TRANSFORM Matrix="0.75 0 0 0.75 0 0" />
        <CLIP_RECT Rectangle="0 0 75 75" />
      </VIEW>
    </OCCURRENCE>
  </OCCURRENCE_LIST>
</REUSABLE_OBJECT>

<MARK Position="30 40">
  <OCCURRENCE_REF Ref="example" />
</MARK>
\end{verbatim}

\begin{figure}
\centering
\includegraphics[width=0.3\textwidth]{ppml.png}
\end{figure}

\slid{Current coordinate space: the PAGE.}

The entire \texttt{MARK} is now complete: the content has been marked onto the page.
5.20.3 **REUSABLE_OBJECT Example**

This example renders the same **MARK** as the previous one, but uses a **REUSABLE_OBJECT**. A **REUSABLE_OBJECT** has this structure:

- The simplest possible **REUSABLE_OBJECT** contains a **VIEW**, one **OBJECT**, and an **OCURRENCE_LIST** with one **OCURRENCE**.
- Each **OCURRENCE** specifies a **VIEW** of all the **OBJECTs** in this **REUSABLE_OBJECT**.
- A **MARK** can include a particular **OCURRENCE** of a **REUSABLE_OBJECT** by including an **OCURRENCE_REF**.
- It only makes sense to use **REUSABLE_OBJECT** if its **OCURRENCES** are used in more than one **MARK**; it is probable (but not required) that the PPML Consumer will optimize the **OBJECT** for reuse.

To process a **REUSABLE_OBJECT**, the Consumer must first process each **OBJECT** inside it. And to do that, it first processes the **SOURCE** in the **OBJECT**. It is the same sequence as is used for **OBJECTs** within a **MARK**:

- Process the **SOURCE**, applying its **ClippingBox** if any
- Take the result and transform it using the **TRANSFORM** from the **OBJECT**’s **VIEW**
- Take the result and clip it using the **CLIP_RECT** from the **OBJECT**’s **VIEW**

This produces one **OBJECT** that will be contained in the **REUSABLE_OBJECT**.

Now, position the **OBJECT** in the **REUSABLE_OBJECT**’s coordinate space. Repeat the above for each **OBJECT** in the **REUSABLE_OBJECT**.

Now, apply the **REUSABLE_OBJECT**’s **VIEW**:

- Take the set of (one or more) **OBJECTs** and transform it using the **TRANSFORM** from the **REUSABLE_OBJECT**’s **VIEW**
- Take the result and clip it using the **CLIP_RECT** from the **REUSABLE_OBJECT**’s **VIEW**

Now, apply each **OCURRENCE**’s **VIEW**:

- Take the result and transform it using the **TRANSFORM** from the **OCURRENCE**’s **VIEW**
- Take the result and clip it using the **CLIP_RECT** from the **OCURRENCE**’s **VIEW**
- Repeat the above for each **OCURRENCE** in the **OCURRENCE_LIST**

This process produces the final piece of page content for each **OCURRENCE**. They are now ready to be included on a page with an **OCURRENCE_REF**. The last step will be to position the content on the page, using the **MARK**’s **Position** attribute.
The following PPML fragment achieves our desired result using a **REUSABLE_OBJECT**:

```xml
<REUSABLE_OBJECT>
  <OBJECT Position="-20 -20">
    <SOURCE Dimensions="150 100" ClippingBox="30 50 160 90">
      <EXTERNAL_DATA Src="ppml.eps" />
    </SOURCE>
    <VIEW>
      <TRANSFORM Matrix="0.866 -0.5 0.5 0.866 -25.98 31.7" />
      <CLIP_RECT Rectangle="20 20 120 120" />
    </VIEW>
  </OBJECT>
  <VIEW />
  <OCCURRENCE_LIST>
    <OCCURRENCE Name="example">
      <VIEW>
        <TRANSFORM Matrix="0.75 0 0 0.75 0 0" />
        <CLIP_RECT Rectangle="0 0 75 75" />
      </VIEW>
    </OCCURRENCE>
  </OCCURRENCE_LIST>
</REUSABLE_OBJECT>

<MARK Position="30 40">
  <OCCURRENCE_REF Ref="example" />
</MARK>

*Note that the `Format` attribute of the `SOURCE` has been omitted for clarity.*

A PPML Consumer processes this fragment using the following steps.
1. Create the **OBJECT** specified in the **REUSABLE_OBJECT**.

Use steps 1 and 2 from the previous example to obtain the **OBJECT** by reading its **SOURCE** and applying its **VIEW**.

```
<REUSABLE_OBJECT>
  <OBJECT Position="-20 -20">  
    <SOURCE Dimensions="150 100" ClippingBox="30 50 160 90">
      <EXTERNAL_DATA Src="ppml.eps"/>
    </SOURCE>
    <VIEW>
      <TRANSFORM Matrix="0.866 -0.5 0.5 0.866 -25.98 31.7"/>
      <CLIP_RECT Rectangle="20 20 120 120"/>
    </VIEW>
  </OBJECT>
  <VIEW/>
  <OCCURRENCE_LIST>
    <OCCURRENCE Name="example">
      <VIEW>
        <TRANSFORM Matrix="0.75 0 0 0.75 0 0"/>
        <CLIP_RECT Rectangle="0 0 75 75"/>
      </VIEW>
    </OCCURRENCE>
  </OCCURRENCE_LIST>
</REUSABLE_OBJECT>
```

The result is shown below. This is the content that this **OBJECT** element defines:

- **OBJECT**'s extent box: 95 x 74.65
- **OBJECT**'s origin: 25, 20

✓ **Current coordinate space:** the **OBJECT**
2. Place the **OBJECT**, and apply the **REUSABLE_OBJECT’s and OCCURRENCE’s VIEWS**.

A **REUSABLE_OBJECT** can contain several **OBJECTs**, each with its own position. Thus, when each **OBJECT** is complete, its origin can be placed anywhere within the coordinates of its enclosing **REUSABLE_OBJECT** element. This is done using the **OBJECT** element’s **Position** attribute.

In this example, the **OBJECT** is positioned at (-20,-20).

```xml
<REUSABLE_OBJECT>
  <OBJECT Position="-20 -20">
    <SOURCE Dimensions="150 100" ClippingBox="30 50 160 90">
      <EXTERNAL_DATA Src="ppml.eps" />
    </SOURCE>
    <VIEW>
      <TRANSFORM Matrix="0.866 -0.5 0.5 0.866 -25.98 31.7" />
      <CLIP_RECT Rectangle="20 20 120 120" />
    </VIEW>
  </OBJECT>
  <VIEW />
  <OCCURRENCE_LIST>
    <OCCURRENCE Name="example">
      <VIEW>
        <TRANSFORM Matrix="0.75 0 0 0.75 0 0" />
        <CLIP_RECT Rectangle="0 0 75 75" />
      </VIEW>
    </OCCURRENCE>
  </OCCURRENCE_LIST>
</REUSABLE_OBJECT>
```

-20,-20 (offset of **OBJECT**’s origin in the **REUSABLE_OBJECT**)

- **Current coordinate space**: the **REUSABLE_OBJECT**.
Next, apply the **REUSABLE_OBJECT**'s **VIEW**: transform and clip the **OBJECT** as specified. In this example, the **REUSABLE_OBJECT**'s **VIEW** is empty and no processing is required.

```
<REUSABLE_OBJECT>
  <OBJECT Position="-20 -20">
    <SOURCE Dimensions="150 100" ClippingBox="30 50 160 90">
      <EXTERNAL_DATA Src="ppml.eps" />
    </SOURCE>
    <VIEW>
      <TRANSFORM Matrix="0.866 -0.5 0.5 0.866 -25.98 31.7" />
      <CLIP_RECT Rectangle="20 20 120 120" />
    </VIEW>
  </OBJECT>
  <VIEW />
  <OCCURRENCE_LIST>
    <OCCURRENCE Name="example">
      <VIEW>
        <TRANSFORM Matrix="0.75 0 0 0.75 0 0" />
        <CLIP_RECT Rectangle="0 0 75 75" />
      </VIEW>
    </OCCURRENCE>
  </OCCURRENCE_LIST>
</REUSABLE_OBJECT>

Next, apply the **OCCURRENCE**'s **TRANSFORM**: scale the **OBJECT** to 75% of its current size:

```
<REUSABLE_OBJECT>
  <OBJECT Position="-20 -20">
    <SOURCE Dimensions="150 100" ClippingBox="30 50 160 90">
      <EXTERNAL_DATA Src="ppml.eps" />
    </SOURCE>
    <VIEW>
      <TRANSFORM Matrix="0.866 -0.5 0.5 0.866 -25.98 31.7" />
      <CLIP_RECT Rectangle="20 20 120 120" />
    </VIEW>
  </OBJECT>
  <VIEW />
  <OCCURRENCE_LIST>
    <OCCURRENCE Name="example">
      <VIEW>
        <TRANSFORM Matrix="0.75 0 0 0.75 0 0" />
        <CLIP_RECT Rectangle="0 0 75 75" />
      </VIEW>
    </OCCURRENCE>
  </OCCURRENCE_LIST>
</REUSABLE_OBJECT>
```
Result:

The OCCURRENCE’s content is now complete.
3. **Position the OCCURRENCE on the PAGE.**

The only remaining step is to apply the MARK element’s Position attribute to the OCCURRENCE created in step 2:

```xml
<REUSABLE_OBJECT>
  <OBJECT Position="-20 -20">
    <SOURCE Dimensions="150 100" ClippingBox="30 50 160 90">
      <EXTERNAL_DATA Src="ppml.eps" />
    </SOURCE>
    <VIEW>
      <TRANSFORM Matrix="0.866 -0.5 0.5 0.866 -25.98 31.7" />
      <CLIP_RECT Rectangle="20 20 120 120" />
    </VIEW>
  </OBJECT>
  <VIEW />
  <OCCURRENCE_LIST>
    <OCCURRENCE Name="example">
      <VIEW>
        <TRANSFORM Matrix="0.75 0 0 0.75 0 0" />
        <CLIP_RECT Rectangle="0 0 75 75" />
      </VIEW>
    </OCCURRENCE>
  </OCCURRENCE_LIST>
</REUSABLE_OBJECT>

<MARK Position="30 40">
  <OCCURRENCE_REF Ref="example" />
</MARK>

☑ Current coordinate space: the PAGE.

The entire MARK is now complete: the content has been marked onto the page.

```xml
<MARK Position="30 40">
  <OCCURRENCE_REF Ref="example" />
</MARK>
```
Chapter 6:
Print Layout –
Page Layout and Imposition

6.1 Introduction

6.1.1 Imposition in personalized printing

In addition to its personalization features, the PPML language includes another important feature not found in most print languages: imposition. It’s important to understand what imposition is and is not, especially in the context of personalized documents, which are a main purpose of the PPML language.

- Imposition is the process of positioning page images on sheets of paper in the printer (or in a digital printing press), as part of the process of producing finished documents.
- In addition to the page images, various marks can be added to the sheets, to aid in the production process. For instance, marks can be added to show where the paper should be folded or trimmed.
- Imposition has no effect on the content of any individual page – it only affects where the pages are placed on a press sheet.

Note: in this document, “imposition” (lowercase) refers to the functions described above. It does not refer to processing of the IMPPOSITION element. “Imposing Consumers” are ones that process the SHEET_ LAYOUT element.

Personalized printing requires imposition instructions that have never before been necessary.

In non-personalized printing, imposition is the placement of unchanging master pages onto a reproduction master, such as a printing plate.

But in digital printing of personalized documents, every copy is unique. Therefore, in addition to the regular imposition instructions, the language must also specify where to place each sequential copy of the document (each Instance Document). Sometimes the next document starts on a separate sheet, sometimes it starts in the next row of the same sheet, sometimes it starts in the next column of the same sheet.

Note

PPML Consumers are not required to support the SHEET_ LAYOUT element, nor the Ncopies and Collate attributes on PRINT_LAYOUT. This means a complex production job intended for a large-format digital printing press can be proof-printed on a simpler, small-format desktop printer. Similarly, a single-page production printer can print the dataset’s document content stream (including copies and collation), ignoring imposition instructions.

It also means a post-processing system can extract the document content stream (Jobs, Documents and Pages) from a PPML dataset, and use other methods to assign pages to sheets, add sheet marks, etc.
6.1.2 Overview of PPML elements for laying out the print job

This section provides a conceptual overview of how PPML pages are printed onto sheets as part of the overall production process. Each element is defined in its own section below.

Top level elements

<PRINT_LAYOUT> includes:
  <PAGE_LAYOUT> defines page size and cropping.
  <SHEET_LAYOUT> defines the size of the sheet, the sheet marks (e.g. crop marks), and all imposition instructions

Sheet layout elements

Sheet layout elements include imposition elements plus certain production marks that are associated with each sheet.

< SHEET_LAYOUT > includes:
  < SHEET_MARK > Imposition elements

Imposition elements

Imposition elements contain signature definitions and REPEAT elements:

<IMPOSITION> includes:
  <SIGNATURE> or
  <REPEAT>

Impositions can have a Name and can be referenced with IMPOSITION_REF.

Signature elements

Finally, the SIGNATURE element (and its surrounding REPEATs, if any) define what is to be printed on a single sheet:

<SIGNATURE>
  <CELL> defines the page order of each available position in the imposition layout: which location should receive the first Page, the second Page, and so on, and whether the Page should be rotated.
  Gutter locations & sizes (spaces between cells)
  Fold marks
  Trim Marks

6.1.3 Production Marks

"Production marks" are marks added to the sheet to assist in production; they are not part of document content. The Consumer may add production marks to a sheet after all the pages have been imaged, or before the pages, or both. Production marks and PAGES are imaged in the order they appear.

The following sections define each of the elements presented in this overview.
6.2 The <PRINT_LAYOUT> Element

6.2.1 Description

PRINT_LAYOUT is the master element that includes the page dimensions and how the Pages are to be laid out onto sheets by the Consumer.

6.2.2 Model

PRINT_LAYOUT (PAGE_LAYOUT, SHEET_LAYOUT?)

6.2.3 Example

The following illustrates a simple setup for printing letter-size pages onto 12x18” sheets. (Lower-level elements are omitted for this illustration.)

```xml
<PRINT_LAYOUT>
  <PAGE_LAYOUT TrimBox="0 0 612 792"/>
  <SHEET_LAYOUT HSize="1296" VSize="864">
    ...
  </SHEET_LAYOUT>
</PRINT_LAYOUT>
```

6.2.4 Attributes

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Required/Optional</th>
<th>Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ncopies</td>
<td>Optional</td>
<td>Integer</td>
<td>How many copies to print of each sheet (for an imposing Consumer) or each page (for a non-imposing Consumer). Default=1.</td>
</tr>
</tbody>
</table>
| Collate   | Optional         | Keyword  | "Document" (default) = print the entire first copy of the document (all sheets, all pages), then print the entire second copy of the same document, etc.  
|           |                   |          | "Job" = print one copy of the entire Job (one copy of each document), then print the entire Job again (another copy of each document), etc.  
|           |                   |          | "No" = print all copies of the first sheet (or page) of the first document, then print all copies of the second sheet (or page) of that document, etc. |

Notice the distinction between the non-imposing consumer (which sees only pages) and the imposing consumer (which sees sheets). Both Ncopies and Collate make sense for both environments. The non-imposing consumer will copy and collate individual pages, while an imposing consumer will copy and collate full sheets.

Generally, uncollated output makes fewer demands on the Consumer’s memory and may thus be the preferred mode when outputting to lower-powered products.

6.2.5 Context

PRINT_LAYOUT can occur in PPML and JOB.
6.3 The <PAGE_LAYOUT> Element

6.3.1 Description

The PAGE_LAYOUT element describes page cropping information when using PPML’s imposition. This element appears similar to PAGE_DESIGN because both have a TrimBox and BleedBox attribute. See section 4.6.6 for a discussion of the similarities and differences.

The PAGE_LAYOUT element states the rectangular dimensions of the Page. Three different dimensions are given: the trim box, the bleed box, and the bounding box. Example:

```xml
<PAGE_LAYOUT TrimBox="0 0 612 792"
            BleedBox="-18 -18 630 810"
            BoundingBox="-72 -72 684 864"/>
```

The “Trim Box”

TrimBox indicates the final page size after trimming. The lower left corner of the trimmed page is the origin: when BleedBox or BoundingBox extends outside the trimmed page, its lower left corner will have negative coordinates, as shown in the PAGE_LAYOUT example above.

The “Bleed Box”

“Bleed” is the practice of intentionally allowing page content to extend a small distance beyond TrimBox. This is done to compensate for normal imperfections in the finishing process: if the trimming is not perfectly accurate, blank paper might be visible along the edge of the page. Extending the page image beyond TrimBox (i.e. using bleeds) avoids this.

The PAGE_LAYOUT element’s BleedBox attribute specifies how far the image area is allowed to extend outside the page, but the allowed amount may not always be used. For instance, at the edge of a sheet, the entire specified bleed area is used. But within an imposed sheet (i.e. between two adjacent pages), the bleed extends into the gutter between the pages (if there is one) as follows:

- If there is no space (gutter) between the pages, then no bleed is needed at that edge. On that side, the Consumer crops the content of each page at each Page’s TrimBox (which in this case is also the line where the two pages meet). At the outside borders of the signature the bleed would still be used.
- If there is a gutter, and it’s less than or equal to the bleed, then the bleed fills the gutter. (The bleed from each page stops in the middle of the gutter.)
- If the gutter is wider than the bleed, the Consumer crops the page image at the BleedBox.

If no BleedBox is specified, BleedBox defaults to TrimBox.
TrimBox should not extend beyond BleedBox, but if it does, TrimBox will prevail.

The “Bounding Box”

The bounding box states the farthest uncropped extent of all objects on the page. In rare circumstances this may be useful as an alternative cropping boundary. It is expected to be used less frequently than BleedBox but will be of value in appropriate applications.

For instance, a PPML Page could consist of a single full-page object created by a desktop publishing application. Output from such applications typically includes production marks that fall outside the page area: crop marks, file identification information, etc. When the PPML page containing this object is imposed, the Producer has typically set BleedBox to a small value, so that all the application’s production mark information is cropped out.

But when the same PPML page is proof-printed on a non-imposing printer, it may be preferable not to crop out those marks. With BoundingBox, a Producer can indicate the farthest uncropped extent of all objects on the page. The Consumer can honor BoundingBox instead of BleedBox, which allows printing page proofs that show the original application-provided marks outside the bleed area.

If BoundingBox is not specified, it defaults to BleedBox. If BleedBox extends beyond BoundingBox, then BoundingBox is set to the intersection of the two.

6.3.2 Model

```
PAGE_LAYOUT EMPTY
```

6.3.3 Attributes

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Required/Optional</th>
<th>Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>TrimBox</td>
<td>Required</td>
<td>Number × 4</td>
<td>Coordinates, in 1/72”, of the trimmed size of the final page (i.e. after finishing).</td>
</tr>
<tr>
<td>BleedBox</td>
<td>Optional</td>
<td>Number × 4</td>
<td>Coordinates, in 1/72&quot;, of the page’s bleed area. Defaults to TrimBox.</td>
</tr>
<tr>
<td>BoundingBox</td>
<td>Optional</td>
<td>Number × 4</td>
<td>Coordinates, in 1/72&quot;, of the maximum area that may need to be printed. Defaults to BleedBox.</td>
</tr>
</tbody>
</table>

6.3.4 Context

The PAGE_LAYOUT element appears within PRINT_LAYOUT and SHEET_LAYOUT.

6.3.5 Page orientation

All dimensions in the attributes are to be listed in “upright” orientation. For instance, a portrait letter-size page will have TrimBox="0 0 612 792" and a landscape letter-size page will have TrimBox="0 0 792 612". Thus, no separate Orientation attribute is needed.

Note that any Page may be rotated when it is used in IMPOSITION and/or SHEET_LAYOUT. But the Page itself, and its content, are independent of imposition and printing.
6.4 The <SHEET_LAYOUT> Element

6.4.1 Description

In general, the SHEET_LAYOUT element contains all the elements that define what goes where on which sheet. It declares any marks that are associated with the sheet itself and what imposition instructions to use.

6.4.2 Model

SHEET_LAYOUT (SHEET_MARK | (PAGE_LAYOUT?, (IMPOSITION | IMPOSITION_REF)))*

6.4.3 Attributes

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Required/Optional</th>
<th>Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hsize</td>
<td>Required</td>
<td>Number</td>
<td>Horizontal size of the sheet in 1/72”</td>
</tr>
<tr>
<td>Vsize</td>
<td>Required</td>
<td>Number</td>
<td>Vertical size of the sheet in 1/72”</td>
</tr>
<tr>
<td>GangDocuments</td>
<td>Optional</td>
<td>Boolean</td>
<td>Yes means all Instance Documents in a Job are to be concatenated into a single stream of pages for imposition. No (the default) means each Instance Document must start a new sheet. See also the PageOrder attribute of the CELL element (section 6.9.5, “Using expressions in the PageOrder attribute”).</td>
</tr>
</tbody>
</table>

6.4.4 Context

SHEET_LAYOUT occurs within PRINT_LAYOUT.

6.4.5 Usage

Note that the model allows SHEET_MARK elements to come before or after imposition, or before and after Imposition elements. The Consumer must image the sheet in the sequence specified in SHEET_LAYOUT.

If SHEET_LAYOUT contains no child elements, then it defines nothing but the sheet size – it defines no imposition or sheet marks. In this case each page is centered on a sheet. If the PAGE’s BleedBox is bigger than the sheet size, then the sheet size is used for cropping.

An optional PAGE_LAYOUT element may precede IMPOSITION or IMPOSITION_REF, in which case it replaces the previous PAGE_LAYOUT. This allows combining several different imposition schemes on the same sheet, including (optionally) different page sizes.

One set of page numbers applies to the whole sheet, even if it contains more than one IMPOSITION.
6.5 The <SHEET_MARK> Element

6.5.1 Description

The SHEET_MARK element places a Reusable Object at a specified location on every sheet. Applications of this feature are expected to include color control strips, the print shop’s logo, or job ID information.

Note that a sheet mark may be placed anywhere on the sheet: the Producer may place sheet marks on top of page image areas if desired. The name of the Occurrence Reference is resolved immediately when the Sheet Mark element is encountered. That is, the OCCURRENCE content object named in the OCCURRENCE_REF element is retrieved immediately, such that even if the OCCURRENCE is renamed while the job is running, the appearance of the SHEET_MARK will not be affected.

Note that this element can only exist at the PPML or Job level (not Document or Page) because its enclosing SHEET_LAYOUT element can only appear at those levels. Therefore, the Occurrence used in a Sheet Mark cannot have a scope of Document or Page.

6.5.2 Model

SHEET_MARK (OCCURRENCE_REF)

6.5.3 Attributes

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Required/Optional</th>
<th>Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Position</td>
<td>Required</td>
<td>Number ×2</td>
<td>Location where the bottom left corner of the mark’s bounding box is to be placed on the sheet.</td>
</tr>
<tr>
<td>Face</td>
<td>Optional</td>
<td>Keyword</td>
<td>Whether the Sheet Mark is to appear on the top of the sheet (Face=&quot;Up&quot;) or bottom of the sheet (Face=&quot;Dn&quot;). Default=Up.</td>
</tr>
</tbody>
</table>

6.5.4 Context

SHEET_MARK occurs in SHEET_LAYOUT

6.5.5 Future considerations: variable sheet marks

Future versions may include the ability to imprint variable information in a sheet mark. Examples might include the date and time of the press run, a text string to identify which machine printed the sheets, a sheet number within the run or within the job, and so on.
6.6 The <IMPOSITION> Element

6.6.1 Description

The IMPOSITION element creates an imposition template, which immediately becomes the active imposition. The optional Name attribute allows saving it as a reusable template so it can be recalled with IMPOSITION_REF.

The IMPOSITION element can have two possible content structures:

- For multi-sheet applications, IMPOSITION can contain a SIGNATURE, e.g.:

  <IMPOSITION>
  <SIGNATURE> ... </SIGNATURE>
  </IMPOSITION>

- For applications where the document is smaller than one sheet, the IMPOSITION element can contain one REPEAT element (which may be nested) around one SIGNATURE element, for instance:

  <IMPOSITION>
  <REPEAT Direction="Stack">
  <REPEAT Direction="Hor">
  <REPEAT Direction="Ver">
  <SIGNATURE> ... </SIGNATURE>
  </REPEAT>
  </REPEAT>
  </REPEAT>
  </IMPOSITION>

6.6.2 Model

IMPOSITION (SIGNATURE | REPEAT)
6.6.3 Attributes

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Required/Optional</th>
<th>Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name</td>
<td>Optional</td>
<td>String</td>
<td>Optional identifying string for reference in a subsequent IMPOSITION_REF element.</td>
</tr>
<tr>
<td>Environment</td>
<td>Required if Scope= “Global”; not needed otherwise</td>
<td>String</td>
<td>Specifies the environment in which the Imposition should be defined. (There is no default environment.)</td>
</tr>
<tr>
<td>Scope</td>
<td>Optional</td>
<td>Keyword</td>
<td>Specifies the scope of this Imposition template’s use. Possible values for Scope are Global, PPML, and Job. By default, the scope is the containing element in which the imposition is defined. A higher value may be specified in this attribute, but a lower value is an error.</td>
</tr>
<tr>
<td>Rotation</td>
<td>Optional</td>
<td>Integer</td>
<td>Rotation of the IMPOSITION content structure (the imposed set of signatures) relative to the sheet, counterclockwise: 0, 90, 180, 270 degrees. Default=0.</td>
</tr>
<tr>
<td>Position</td>
<td>Optional</td>
<td>Number×2</td>
<td>Location where the bottom left corner of the rotated IMPOSITION content structure is to be placed on the sheet. If the Position attribute is not used, the entire structure is centered on the sheet. The imposition content structure is the logical structure that contains all the cells (including any empty cells) in the imposition scheme. It does not include any trim or fold marks.</td>
</tr>
</tbody>
</table>

6.6.4 Context

IMPOSITION can occur in SHEET_LAYOUT, JOB, and PPML.
6.7 The <IMPOSITION_REF> Element

6.7.1 Description

The `<IMPOSITION_REF>` element recalls an imposition template that was previously defined. This enables the convenience of creating a library of standard imposition setups and reusing them.

6.7.2 Model

```
<IMPOSITION_REF EMPTY
```

6.7.3 Attributes

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Required /Optional</th>
<th>Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name</td>
<td>Required</td>
<td>String</td>
<td>Name attribute of the imposition template previously defined.</td>
</tr>
<tr>
<td>Environment</td>
<td>Optional</td>
<td>String</td>
<td>The environment in which the name of a Global imposition template should be interpreted. (This attribute is required if the scope of the template is Global; otherwise, this attribute has no meaning.)</td>
</tr>
<tr>
<td>Rotation</td>
<td>Optional</td>
<td>Number</td>
<td>Rotation of the <code>IMPOSITION</code> content structure, counterclockwise: 0, 90, 180, 270 degrees. Default=0.</td>
</tr>
<tr>
<td>Position</td>
<td>Optional</td>
<td>Number x2</td>
<td>Location where the bottom left corner of the <code>IMPOSITION</code> content structure is to be placed on the sheet. If the <code>Position</code> attribute is not used, the entire structure is centered on the sheet.</td>
</tr>
</tbody>
</table>

6.7.4 Context

The `<IMPOSITION_REF>` element occurs in `SHEET_LAYOUT`.

6.7.5 Implementation notes

Calling for a stored imposition template by name has advantages but also has a side effect. Producers should be conscious of this.

One advantage is that the dataset can be marginally smaller. Another is that it may be simpler for the Producer to output a simple name than to regenerate all the imposition instructions. Perhaps most important, though, is that if a dataset uses `<IMPOSITION_REF>` to call for a template by name, then the latest version of that template will automatically be retrieved. This means that if a shop has refined its template, the updates will automatically be implemented in any dataset that uses that template.

But it also means that the dataset no longer has complete control of the imposition: by definition, `<IMPOSITION_REF>` means “I don’t care what imposition is stored under this name – use it.”

If the Producer requires absolute control of the imposition for a job, it should explicitly define the imposition in the dataset, using `IMPOSITION` and its child elements. (The dataset can still use `<IMPOSITION_REF>` to call the imposition by name later in the dataset; the point is that the imposition is only certain if it’s defined within the dataset that references it.)
6.8 The `<SIGNATURE>` Element

6.8.1 Description

A **signature** is a set of one or more pages from an Instance Document, printed on a single sheet of paper. The pages are arranged in a specific sequence, and are printed on one or both sides of the sheet.

The `SIGNATURE` element defines a uniform cell grid defined by `Nrows` and `Ncols`. The size of the cells in the grid is not specified by the imposition layout, but is defined by the `TrimBox` attribute of the `PAGE_LAYOUT` of the document that is imposed. The `HOR_GUTTER` and `VER_GUTTER` elements define the spacing between the cells in the grid.

Note that every cell has the same size. Specifically, the `Rotation` attribute of the `CELL` is not used to determine the size of a cell.

Once this grid is defined, the `BleedBox` in the `PAGE_LAYOUT` defines the clipping rectangle for each cell depending on the gutters and the relative position in the grid.

The `Rotation` and `Position` in the `IMPOSITION` element determine how and where this grid is positioned.

6.8.2 Model

```
SIGNATURE  (CELL+, HOR_TRIM_MARKS?, VER_TRIM_MARKS?,
            HOR_GUTTER*, VER_GUTTER*, HOR_FOLD_MARKS*, VER_FOLD_MARKS*)
```

6.8.3 Attributes

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Required/Optional</th>
<th>Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td><code>Nrows</code></td>
<td>Required</td>
<td>Integer</td>
<td>The number of rows in this signature.</td>
</tr>
<tr>
<td><code>Ncols</code></td>
<td>Required</td>
<td>Integer</td>
<td>The number of columns in this signature.</td>
</tr>
<tr>
<td><code>PageCount</code></td>
<td>Optional</td>
<td>Integer</td>
<td>The number of different pages consumed by this signature. (See section 6.8.5 below.) Default is the number of <code>CELL</code> elements in this signature.</td>
</tr>
</tbody>
</table>

6.8.4 Context

The `SIGNATURE` element can occur in `IMPOSITION` and `REPEAT`.

6.8.5 PageCount applications

PageCount specifically states how many different pages the Producer has assigned to this Signature. Typically this equals the number of `CELL` elements, but that is not required.

For instance, in an eight-page Signature the Producer may choose to assign only four or six pages to the signature, and that’s the number that would be assigned to PageCount. As another example, a Producer may want to assign the same page to multiple locations in the same signature – for instance it may duplicate the second page on the signature, for some reason. In that case when the
Producer calculates PageCount, it would ignore those duplicates, counting only how many *different* pages are assigned to the Signature.
6.9 The <CELL> Element

6.9.1 Description

The <CELL> element assigns Pages to specific locations on a Signature. For each Page, it specifies the row and column position within the signature, whether the Page is to be printed on the face-up or face-down side of the sheet, and whether the page content is to be rotated in the cell.

One <CELL> element may be used for each page position on either side of the signature. No <CELL> element has to be specified for positions that are empty.

The TrimBox attribute of the PAGE_LAYOUT used to instantiate the IMPOSITION template determines the actual size of every Cell within the Signature.

The Rotation attribute of the <CELL> determines how the page content is placed inside the Cell. It does not affect its size or bleed area. E.g. if the Rotation is 90, the page content is rotated 90 degrees counterclockwise around the center of the cell.

No trim marks will be generated for missing cells.

6.9.2 Model

   CELL   EMPTY

6.9.3 Attributes

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Required /Optional</th>
<th>Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Row</td>
<td>Required</td>
<td>Integer</td>
<td>Row number of the cell being defined. Top row=1.</td>
</tr>
<tr>
<td>Col</td>
<td>Required</td>
<td>Integer</td>
<td>Column number of the cell being defined. Left column=1.</td>
</tr>
<tr>
<td>Face</td>
<td>Optional</td>
<td>Keyword</td>
<td>Whether the Page is to appear on the top of the sheet (Face=&quot;Up&quot;) or bottom of the sheet (Face=&quot;Dn&quot;). Default=Up.</td>
</tr>
<tr>
<td>PageOrder</td>
<td>Required</td>
<td>String</td>
<td>Defines the sequence number of the Page to be placed in this cell. Can be an integer or an expression. See description and example in paragraphs 6.9.5 and 6.9.6 below.</td>
</tr>
<tr>
<td>Rotation</td>
<td>Optional</td>
<td>Integer</td>
<td>Rotation of the Page, counterclockwise: 0, 90, 180, 270. Default=0.</td>
</tr>
</tbody>
</table>

6.9.4 Context

The <CELL> element occurs in SIGNATURE.
6.9.5 Using expressions in the PageOrder attribute

Expressions can use the operators +, −, *, /, and parentheses, operating on integers and two variables: \( s \) for sheet number (starting at 1) and \( n \) for number of pages to be imposed. Expressions are evaluated with normal operator precedence. Multiplication must be expressed by explicitly including the * operator – that is, use “2*s”, not “2s”. Remainders are discarded.

For print applications where page count varies from Instance Document to Instance Document, PPML imposition templates can automatically assign pages to the correct Signature and Cell position. To use this feature, the Producer should specify the PageOrder attribute using expressions based on \( n \).

The variable \( n \) depends on \( p \), the total number of pages that need to be imposed. This number \( p \) on its turn depends on the value of the GangDocuments attribute of SHEET_LAYOUT:

- GangDocuments="No" means each Instance Document must start on a new Sheet. In this case, \( p \) refers to the number of pages in the current Instance Document, and the Consumer will evaluate the PageOrder expression separately for each Instance Document.

- GangDocuments="Yes" means all Instance Documents are to be concatenated into a single stream of pages for imposition. In this case, \( p \) refers to the total page count (the sum of page counts for all documents in the Job) and PageOrder refers to a page’s position in the concatenated stream of pages, not its position within its parent Document.

In both cases, \( n \) is derived from \( p \) according to the following rule: let \( c \) be the sum of all the PageCount attributes of all the SIGNATURE elements in the SHEET_LAYOUT, then \( n \) is \( p \) rounded up to the nearest multiple of \( c \). The number of signatures generated will be \( n/c \).

Any cell that has a resulting PageOrder attribute greater than \( p \) or less than 1 is left blank. For instance, if \( c \) equals 4, and an Instance Document contains 7 pages, then \( n \) for that document is 8, and the last cell will have no content.
6.9.6 Examples

This example shows an eight-page job being assigned to the cells of two four-page signatures.

The cell assignments shown in the above diagram for the eight pages are as follows. Pages that get assigned to the second signature are shown center-aligned so they’re easy to recognize; notice that within each signature, the page sequence (as shown in the illustrations) is Down Up Up Down.

<table>
<thead>
<tr>
<th>Page #</th>
<th>Signature</th>
<th>Row</th>
<th>Column</th>
<th>Face</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>Down</td>
</tr>
<tr>
<td>2</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>Up</td>
</tr>
<tr>
<td>3</td>
<td>2</td>
<td>1</td>
<td>1</td>
<td>Down</td>
</tr>
<tr>
<td>4</td>
<td>2</td>
<td>1</td>
<td>1</td>
<td>Up</td>
</tr>
<tr>
<td>5</td>
<td>2</td>
<td>1</td>
<td>2</td>
<td>Up</td>
</tr>
<tr>
<td>6</td>
<td>2</td>
<td>1</td>
<td>2</td>
<td>Down</td>
</tr>
<tr>
<td>7</td>
<td>1</td>
<td>1</td>
<td>2</td>
<td>Up</td>
</tr>
<tr>
<td>8</td>
<td>1</td>
<td>1</td>
<td>2</td>
<td>Down</td>
</tr>
</tbody>
</table>

This two-signature imposition can be described in a more general form, so that it handles any number of pages, and will automatically generate additional signatures as needed to accommodate those pages. This is done by using one 4-cell SIGNATURE element, with each PageOrder attribute being an expression \( f(s) \), the sheet number in the above table. The general form will be as follows. (Note: \( f_1(s) \) is not part of the PPML code – it will be explained below.)

```xml
<IMPOSITION Name="2 x 2-UP Bundled">
  <SIGNATURE Nrows="1", Ncols="2">
    <CELL Row="1" Col="1" PageOrder="f_1(s)" Face="Up" Rotate="0"/>
    <CELL Row="1" Col="1" PageOrder="f_2(s)" Face="Dn" Rotate="0"/>
    <CELL Row="1" Col="2" PageOrder="f_3(s)" Face="Up" Rotate="0"/>
    <CELL Row="1" Col="2" PageOrder="f_4(s)" Face="Dn" Rotate="0"/>
  </SIGNATURE>
</IMPOSITION>
```

Each of the expressions \( f_1(s) \) ... \( f_4(s) \) is of the form \( f_i(s) = a\cdot s - b \).

The following illustrates how to determine \( f_2(s) \), the expression for the second CELL element.

We find the values of \( a \) and \( b \) by rewriting the expression “\( a\cdot s - b \)” for the two entries in the above table that have Row=1, Col=1 and Face=Down (the first and third entry from the table). We know the result must be the page number shown in the first column of the table:

\[
1 = a \cdot 1 - b \quad \text{(first entry from table)}
\]
3 = a*2 – b  (third entry from table)

Resolving this for a and b gives a=2 and b=1. So the second CELL element becomes:

```xml
<CELL Row="1" Col="1" PageOrder="2*s-1" Face="Dn" Rotate="0"/>
```

Doing this for all four cells, the final code is:

```xml
<IMPOSITION Name="2 x 2-UP Bundled">
  <SIGNATURE Nrows="1", Ncols="2">
    <CELL Row="1" Col="1" PageOrder="2*s" Face="Up" Rotate="0"/>
    <CELL Row="1" Col="1" PageOrder="2*s-1" Face="Dn" Rotate="0"/>
    <CELL Row="1" Col="2" PageOrder="9-2*s" Face="Up" Rotate="0"/>
    <CELL Row="1" Col="2" PageOrder="10-2*s" Face="Dn" Rotate="0"/>
  </SIGNATURE>
</IMPOSITION>
```

The true power of using expressions in the PageOrder attribute is shown by generalizing the above for any n-page document, n being a multiple of 4:

```xml
<IMPOSITION Name="2 x 2-UP Bundled">
  <SIGNATURE Nrows="1", Ncols="2">
    <CELL Row="1" Col="1" PageOrder="2*s" Face="Up" Rotate="0"/>
    <CELL Row="1" Col="1" PageOrder="2*s-1" Face="Dn" Rotate="0"/>
    <CELL Row="1" Col="2" PageOrder="n+1-2*s" Face="Up" Rotate="0"/>
    <CELL Row="1" Col="2" PageOrder="n+2-2*s" Face="Dn" Rotate="0"/>
  </SIGNATURE>
</IMPOSITION>
```

If we instead want to fold each sheet first, then gather them together, the page assignment scheme would follow the same generic sequence but it would allocate pages 1-4 to the first signature, and 5-8 to the second signature, as follows:

<table>
<thead>
<tr>
<th>Page #</th>
<th>Signature</th>
<th>Row</th>
<th>Column</th>
<th>Face</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>Down</td>
</tr>
<tr>
<td>2</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>Up</td>
</tr>
<tr>
<td>3</td>
<td>1</td>
<td>1</td>
<td>2</td>
<td>Up</td>
</tr>
<tr>
<td>4</td>
<td>1</td>
<td>1</td>
<td>2</td>
<td>Down</td>
</tr>
<tr>
<td>5</td>
<td>2</td>
<td>1</td>
<td>1</td>
<td>Down</td>
</tr>
<tr>
<td>6</td>
<td>2</td>
<td>1</td>
<td>1</td>
<td>Up</td>
</tr>
<tr>
<td>7</td>
<td>2</td>
<td>1</td>
<td>2</td>
<td>Up</td>
</tr>
<tr>
<td>8</td>
<td>2</td>
<td>1</td>
<td>2</td>
<td>Down</td>
</tr>
</tbody>
</table>

The resulting imposition is:

```xml
<IMPOSITION Name="2 x 2-UP">
  <SIGNATURE Nrows="1", Ncols="2">
    <CELL Row="1" Col="1" PageOrder="4*s-2" Face="Up" Rotate="0"/>
    <CELL Row="1" Col="1" PageOrder="4*s-3" Face="Dn" Rotate="0"/>
    <CELL Row="1" Col="2" PageOrder="4*s-1" Face="Up" Rotate="0"/>
    <CELL Row="1" Col="2" PageOrder="4*s-0" Face="Dn" Rotate="0"/>
  </SIGNATURE>
</IMPOSITION>
```
Notice that in this second example, every sheet is independent from the previous one, which is reflected by the PageOrder expressions being independent of \( n \).

### 6.9.7 Cell Rotation Example

This example shows the effect of the Rotation attribute in a CELL. Note that some attributes have been omitted for clarity.

```xml
<SHEET_LAYOUT>
  <IMPOSITION>
    <SIGNATURE Nrows="2" Ncols="3">
      <CELL Row="1" Col="1" PageOrder="3*s" Rotation="0" />
      <CELL Row="2" Col="2" PageOrder="3*s+1" Rotation="270" />
      <CELL Row="1" Col="3" PageOrder="3*s+2" Rotation="180" />
    </SIGNATURE>
  </IMPOSITION>
</SHEET_LAYOUT>
```

The input document contains these three pages:

![Three pages with arrows pointing upwards, each colored red, blue, and green]

The active PAGE_LAYOUT is as follows:

![Diagram showing the Trim Box and Bleed Box]

Executing the imposition gives the following result:

![Diagram showing the imposition result with trim and bleed boxes]

Note that the trim and bleed boxes are shown in this drawing. They will not be visible in the actual PPML output.
6.10 The <HOR_TRIM_MARKS> Element

6.10.1 Description

Trim Marks are Reusable Object Occurrences that can be automatically placed by the Consumer on each sheet, at the corners of the final pages, on both sides of the sheet (front and back), using the HOR_TRIM_MARKS and VER_TRIM_MARKS elements.

Each mark is centered on one boundary of the TrimBox. The attribute MarkDist specifies the mark’s distance from the corner of the page.

- Mark is to be centered on the page edge.
- Closest edge of the OCCURRENCE is positioned MarkDist away from the cell corner.

The mark is printed without rotation or mirroring – for instance the vertical trim mark at the top of the page will be identical, relative to the sheet, to the mark at the bottom of the page.

If a signature has pages that touch, or nearly touch, as shown at right, some trim marks would fall onto the TrimBox of their neighboring pages. A trim mark is suppressed if any part of its bounding box falls closer than MarkDist to a neighboring trim box. An optional attribute AllowOnPage="Yes" (default = "No") can overrule this suppression.

The OCCURRENCE_REF may only refer to a reusable object.
with a scope at least as high as the scope of the IMPOSITION element enclosing this mark. It is an error to refer to a mark which is in scope, but which has a scope lower than that of the enclosing IMPOSITION element.

6.10.2 Model

HOR_TRIM_MARKS (OCCURRENCE_REF)

6.10.3 Attributes

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Required/Optional</th>
<th>Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>MarkDist</td>
<td>Optional</td>
<td>Number</td>
<td>Distance of the mark away from the page, in 1/72”</td>
</tr>
<tr>
<td>AllowOnPage</td>
<td>Optional</td>
<td>Boolean</td>
<td>Default= “No”. If Yes, Trim Marks will not be suppressed if they fall on or inside another page’s trim box.</td>
</tr>
</tbody>
</table>

6.10.4 Context

HOR_TRIM_MARKS can occur in SIGNATURE.

6.10.5 Example

The following example shows how Trim Marks would be coded using two Reusable Object Occurrences named VerTrim and HorTrim. Each is to be positioned six points from the corner of its page.

Note that the Trim Marks elements are unaffected by how many cells are in the signature; they simply declare whether or not the signature has trim marks.

```
<IMPOSITION>
  <SIGNATURE Nrows="1" Ncols="2">
    <CELL .../>
    <CELL .../>
    <HOR_TRIM_MARKS MarkDist="6">
      <OCCURRENCE_REF Ref="HorTrim"/>
    </HOR_TRIM_MARKS>
    <VER_TRIM_MARKS MarkDist="6">
      <OCCURRENCE_REF Ref="VerTrim"/>
    </VER_TRIM_MARKS>
  </SIGNATURE>
</IMPOSITION>
```
6.11 The <VER_TRIM_MARKS> Element

6.11.1 Description

The VER_TRIM_MARKS element is the vertical equivalent to HOR_TRIM_MARKS. See HOR_TRIM_MARKS (section 6.10) for description, illustration, and example.

The OCCURRENCE_REF may only refer to a reusable object with a scope at least as high as the scope of the IMPOSITION element enclosing this mark. It is an error to refer to a mark which is in scope, but which has a scope lower than that of the enclosing IMPOSITION element.

6.11.2 Model

VER_TRIM_MARKS (OCCURRENCE_REF)

6.11.3 Attributes

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Required/Optional</th>
<th>Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>MarkDist</td>
<td>Optional</td>
<td>Number</td>
<td>Distance of the mark away from the page, in 1/72”</td>
</tr>
<tr>
<td>AllowOnPage</td>
<td>Optional</td>
<td>Boolean</td>
<td>Default is “No”. If Yes, Trim Marks will not be suppressed if they fall on or inside another page’s trim box.</td>
</tr>
</tbody>
</table>

6.11.4 Context

VER_TRIM_MARKS can occur in SIGNATURE.
6.12 The <HOR_GUTTER> Element

6.12.1 Description

The horizontal gutter is a horizontal strip of space between two rows of cells in a signature. The BetweenRows attribute specifies the set of rows between which this gutter should be inserted. For instance, the following code shows a signature with NRows="3" and gutters between all rows:

```
<IMPOSITION>
  <SIGNATURE Nrows="3" Ncols="2">
    <CELL Row="1" Col="1" PageOrder="3" Face="Up" Rotate="180"/>
    ...
    <CELL Row="2" Col="2" PageOrder="8" Face="Dn" Rotate="0"/>
    <HOR_GUTTER BetweenRows="1 3" Distance="18"/>
  </SIGNATURE>
</IMPOSITION>
```

It is also possible to specify a different HOR_GUTTER element for each space between rows:

```
<IMPOSITION>
  <SIGNATURE Nrows="2" Ncols="2">
    <CELL Row="1" Col="1" PageOrder="3" Face="Up" Rotate="180"/>
    ...
    <CELL Row="2" Col="2" PageOrder="8" Face="Dn" Rotate="0"/>
    <HOR_GUTTER BetweenRows="1 2" Distance="36"/>
    <HOR_GUTTER BetweenRows="2 3" Distance="18"/>
  </SIGNATURE>
</IMPOSITION>
```

Each HOR_GUTTER element affects only the rows identified in BetweenRows – previous gutter settings for other gutters are unaffected. For instance, this code for an 8-row signature defines uniform spacing for all rows, then changes the value for the middle gutter to 1”:

```
<SIGNATURE Nrows="8" Ncols="1">
    ...
    <HOR_GUTTER BetweenRows="1 8" Distance="18"/>
    <HOR_GUTTER BetweenRows="4 5" Distance="72"/>
</SIGNATURE>
```

6.12.2 Model

```
HOR_GUTTER  EMPTY
```
6.12.3 Attributes

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Required /Optional</th>
<th>Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Distance</td>
<td>Required</td>
<td>Number</td>
<td>Size (height) of the gutter, in 1/72”</td>
</tr>
<tr>
<td>BetweenRows</td>
<td>Required</td>
<td>Integer×2</td>
<td>Identifies the set of rows between which this Distance applies. See examples. Top row = 1.</td>
</tr>
</tbody>
</table>

6.12.4 Context

HOR_GUTTER occurs in SIGNATURE.
6.13 The <VER_GUTTER> Element

6.13.1 Description

The <VER_GUTTER> element is identical to <HOR_GUTTER> except that it defines a vertical strip of space between two columns, not rows, of cells in a signature. See the description of <HOR_GUTTER>, section 6.12.1, for examples and explanation of attributes.

6.13.2 Model

   VER_GUTTER   EMPTY

6.13.3 Attributes

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Required /Optional</th>
<th>Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Distance</td>
<td>Required</td>
<td>Number</td>
<td>Size (width) of the gutter, in 1/72”</td>
</tr>
<tr>
<td>BetweenCols</td>
<td>Required</td>
<td>Integer ×2</td>
<td>Identifies the set of columns between which this Distance applies. See examples. Columns are numbered from left to right.</td>
</tr>
</tbody>
</table>

6.13.4 Context

<VER_GUTTER> occurs in SIGNATURE.
6.14 The `<HOR_FOLD_MARKS>` Element

6.14.1 Description

The `<HOR_FOLD_MARKS>` element specifies a pair of horizontal fold marks between two specified rows of a Signature – an Occurrence of a Reusable Object that will print outside the left and right edges of the Signature.

If fold marks are defined between two cells, the trim marks on the two corners of each cell closest to the fold marks are suppressed. Fold marks are also suppressed if any part of its bounding box falls closer than MarkDist from the trim box of a neighboring cell.

The name of the Reusable Object Occurrence is resolved immediately when this element is encountered. The `<OCCURRENCE_REF>` may only refer to a reusable object with a scope at least as high as the scope of the `<IMPOSITION>` element enclosing this mark. It is an error to refer to a mark which is in scope, but which has a scope lower than that of the enclosing `<IMPOSITION>` element.

6.14.2 Model

```
HOR_FOLD_MARKS (OCCURRENCE_REF)
```

6.14.3 Attributes

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Required/Optional</th>
<th>Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>BetweenRows</td>
<td>Required</td>
<td>Integer x2</td>
<td>Rows between which the fold line exists</td>
</tr>
<tr>
<td>MarkDist</td>
<td>Optional</td>
<td>Number</td>
<td>Distance, in 1/72&quot;, between the outermost page of the signature and the start of the Reusable Object. (Positive value = away from the signature.)</td>
</tr>
</tbody>
</table>

6.14.4 Context

`HOR_FOLD_MARKS` occurs in `SIGNATURE`.

6.14.5 Example

```
<HOR_FOLD_MARKS BetweenRows="1 2" MarkDist="6">
  <OCCURRENCE_REF Ref="HDashedLine"/>
</HOR_FOLD_MARKS>
```
6.15 The <VER_FOLD_MARKS> Element

6.15.1 Description

The <VER_FOLD_MARKS> element specifies a pair of vertical fold marks between two specified columns of a Signature – an Occurrence of a Reusable Object that will print outside the top and bottom edges of the Signature.

The mark will be centered on the fold line, at a distance specified by the MarkDist attribute.

If fold marks are defined between two cells, the trim marks on the two corners of each cell closest to the fold marks are suppressed. Fold marks are also suppressed if any part of its bounding box falls closer than MarkDist from the trim box of a neighboring cell.

The name of the Reusable Object Occurrence is resolved immediately when this element is encountered. The OCCURRENCE_REF may only refer to a reusable object with a scope at least as high as the scope of the IMPOSITION element enclosing this mark. It is an error to refer to a mark which is in scope, but which has a scope lower than that of the enclosing IMPOSITION element.

6.15.2 Model

VER_FOLD_MARKS (OCCURRENCE_REF)

6.15.3 Attributes

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Required /Optional</th>
<th>Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>BetweenCols</td>
<td>Required</td>
<td>Integer ×2</td>
<td>Columns between which the fold line exists</td>
</tr>
<tr>
<td>MarkDist</td>
<td>Optional</td>
<td>Number</td>
<td>Distance, in 1/72”, between the outermost page of the signature and the start of the Reusable Object. (Positive value = away from the signature.)</td>
</tr>
</tbody>
</table>

6.15.4 Context

VER_FOLD_MARKS occurs in SIGNATURE.

6.15.5 Example

```xml
<VER_FOLD_MARKS BetweenCols="1 2" MarkDist="6">
  <OCCURRENCE_REF Ref="VFoldMark"/>
</VER_FOLD_MARKS>
```
6.16 The `<REPEAT>` Element

6.16.1 Description

An imposition template allows printing multiple pages on a signature, from one Instance Document. In contrast, the `<REPEAT>` element allows printing signatures from multiple documents on a single sheet. It also controls the distribution of different Instance Documents throughout the print run.

Three attributes control the effect of each `<REPEAT>` element: Direction, Action, and Count. The elements can be nested, with different values in each element. When `<REPEAT>` elements are nested, they are executed from innermost to outermost. For instance, the following code could be used in creating a sheet of five identical columns of eight different business cards (see illustration). (Inner elements have been omitted for this illustration.)

```xml
<REPEAT Direction="Ver" Action="Increment" Count="8">
  <REPEAT Direction="Hor" Action="Duplicate" Count="5">
    <SIGNATURE...
  </REPEAT>
</REPEAT>
```

Printing pre-sorted stacks: If the attribute values are Direction="Stack" Action="Increment", `<REPEAT>` puts the next Signature on the next sheet. That is, the signatures will repeat through the stack of sheets, producing a stack of pre-sorted documents.

In such applications, a Consumer may wish to print the last sheet first, so it ends up at the bottom of the stack. To support such applications, the optional attribute Order="Descending" can be used.

**Nested `<REPEAT>`s using Action="Increment":** When multiple nested `<REPEAT>`s have Action="Increment", an additional counter `d` (document counter) is applied. In every step of a `<REPEAT>` with action="Increment", `d` is incremented by 1, while `s` remains the overall sheet counter. For instance, in the following example the inner `<REPEAT>` has Direction="Ver" Count="3" so the Consumer will first put three signatures in a column. The outer `<REPEAT>` has Direction="Hor" Count="4" so the whole column will be repeated four times, incrementing the counter `d` continuously:
These are the values of $d$ for the resulting sheet:

<table>
<thead>
<tr>
<th>1</th>
<th>4</th>
<th>7</th>
<th>10</th>
</tr>
</thead>
<tbody>
<tr>
<td>2</td>
<td>5</td>
<td>8</td>
<td>11</td>
</tr>
<tr>
<td>3</td>
<td>6</td>
<td>9</td>
<td>12</td>
</tr>
</tbody>
</table>

If the signature has one cell, with PageOrder="s", then one should impose on the first sheet the first page of document 1, below it the first page of document 2 and so on.

When the counting of documents is incremented in the stack direction the counter $s$ starts over from 1. If different documents start on the same sheet and they have different number of pages, then the next set of documents starts only after the longest document ends. For example:

```xml
<REPEAT Action="Increment" Direction="Hor" Count="2">
  <SIGNATURE Nrows="1" Ncols="1">
    <CELL Row="1" Col="1" PageOrder="s"/>
  </SIGNATURE>
</REPEAT>
```

If document 1 has 1 page and document 2 has 2 pages then this is the page distribution:

- Page 1: document 1 page 1, document 2 page 1 ($S=1$)
- Page 2: document 2 page 2 ($S=2$)
- Page 3: document 3 page 1, document 4 page 1 ($S=1$)

Notice also that when the count of documents imposed reaches the total count in the imposition template, the consumer keeps imposing the following documents, as if a global imaginary REPEAT with count “infinity” encompasses all other REPEATs. In other words, let $N$ be the number of documents that a REPEAT and all its nested REPEATS consume. This is equal to the product of all Count attributes of the REPEAT (and all its nested ones), that have an attribute Action=increment. When all the sheets for these $N$ documents are generated, the whole process starts again for the next $N$ documents.

In the last example the imposition template imposes two documents (one REPEAT element with count="2"). In such a case the Consumer imposes the first two documents and then imposes the next two documents and so on until all documents are imposed.

If there is more than one IMPOSITION element in SHEET_LAYOUT, the counter $d$ increments independently for each IMPOSITION.

**Spacing of Signatures – the attributes** Spacing and SpacingMethod: By default, signatures are repeated with no space between them: the TrimBox of the next Instance Document touches the TrimBox of the previous one. Optionally, the Spacing attribute can specify a distance between the documents.

Spacing can have two different meanings, depending on the value of another attribute, SpacingMethod, which has values Gap or Offset. By default, Spacing specifies the gap between the signatures, as shown in the illustration. If SpacingMethod= "Offset" then Spacing is the distance from the start of one signature to the start of the next.
Multiple multi-page Instance Documents per sheet: Note that REPEAT repeats a signature, which is defined as “a set of one or more pages from an Instance Document, printed on a single sheet of paper.” The business card example above shows the trivial case of a one-page Signature, where each cell equals an entire Instance Document.

It is also possible to repeat a multi-page (multi-cell) Signature on a single sheet. For instance, a personalized folded card, such as an invitation or a greeting card, could be repeated, placing two Instance Documents on each sheet using the following code:

```xml
<REPEAT Direction="Ver" Count="2" Action="Increment">
  <SIGNATURE Nrows="2" Ncols="1">
    <CELL Row="1" Col="1" PageOrder="4*s-0" Face="Up" Rotate="0"/>
    <CELL Row="1" Col="2" PageOrder="4*s-3" Face="Up" Rotate="0"/>
    <CELL Row="1" Col="1" PageOrder="4*s-2" Face="Dn" Rotate="0"/>
    <CELL Row="1" Col="2" PageOrder="4*s-1" Face="Dn" Rotate="0"/>
  </SIGNATURE>
</REPEAT>
```

6.16.2 Model

REPEAT (REPEAT | SIGNATURE)

6.16.3 Attributes

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Required /Optional</th>
<th>Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Direction</td>
<td>Required</td>
<td>Keyword</td>
<td>Specifies which direction this REPEAT element is defining. Allowable values: Ver (vertical), Hor (horizontal), Stack (from sheet to sheet).</td>
</tr>
<tr>
<td>Action</td>
<td>Required</td>
<td>Keyword</td>
<td>What to print in the next location: use the same value of the signature counter s again, or increment it. Values: Duplicate or Increment.</td>
</tr>
<tr>
<td>Order</td>
<td>Optional</td>
<td>Keyword</td>
<td>Values: Ascending (default) or Descending.</td>
</tr>
<tr>
<td>Count</td>
<td>Required</td>
<td>Integer</td>
<td>Total count of repeated instances.</td>
</tr>
<tr>
<td>Spacing</td>
<td>Optional</td>
<td>Number</td>
<td>Distance, in 1/72&quot;, between Signatures. Default=0. See the SpacingMethod attribute for the effect of this value.</td>
</tr>
<tr>
<td>SpacingMethod</td>
<td>Optional</td>
<td>Keyword</td>
<td>Values: Gap</td>
</tr>
</tbody>
</table>

6.16.4 Context

The REPEAT element occurs in IMPOSITION and REPEAT.
Chapter 7: 
Production Specifications

7.1 Introductory remarks

Most of the preceding PPML elements concern the appearance of individual pages. It is often useful to provide additional information that supports the automated production (“manufacturing”) of finished documents from those pages. Such information has no bearing on the content of individual pages; rather, it concerns production on a particular machine: how the pages should be rendered on that machine or instructions to inline finishing equipment.

As much as possible, PPML is intended to be device-independent, presuming that the machine has the RIPs (processor resources) required by the dataset. Therefore, the PPML philosophy is to keep all production specifications clearly separate. If it becomes necessary to retarget a job to a different Consumer, this structure makes it easy to identify and perhaps modify all elements that are not device-independent.

Still, practical reality in current product implementations (and expected implementations in the foreseeable future) is that much production information is specific to individual manufacturers: even the raw feature set varies substantially. Therefore, at present the PPML philosophy is that the language should only specify production parameters that are true no matter what device will be used for printing.
7.2 The <PRIVATE_INFO> Element

7.2.1 Description

Some applications on some systems need additional “private” information, e.g. device-specific features that aren’t part of the PPML language. This element allows inclusion of any arbitrary data.

Private Info elements are private; their content is ignored by systems that don’t know the meaning of the enclosed data.

One expected application for this feature is to include extracts from the widely used PPD (PostScript Printer Description) file format. Such functionality may be explicitly added to PPML in future editions; in any event, the PRIVATE_INFO element can safely be used to convey information from PPDs or any other printer description file format (or any other allowable XML content), and it will be ignored by any Consumer that has no use for it. Another example of a possible application would be to provide data regarding a CMS (color management system) profile.

7.2.2 Model

PRIVATE_INFO (#PCDATA)

7.2.3 Attributes

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Required/Optional</th>
<th>Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Creator</td>
<td>Required</td>
<td>String</td>
<td>The creator (person, application, system etc) of this element</td>
</tr>
<tr>
<td>Identifier</td>
<td>Optional</td>
<td>String</td>
<td>An arbitrary string identifying what information or feature is provided by the content of this element.</td>
</tr>
<tr>
<td>Encoding</td>
<td>Optional</td>
<td>String</td>
<td>Identifies the encoding, if any, used in the content of this element</td>
</tr>
<tr>
<td>CharSet</td>
<td>Optional</td>
<td>String</td>
<td>Identifies the character set used in the content of this element.</td>
</tr>
</tbody>
</table>

7.2.4 Context

The PRIVATE_INFO element can occur in PPML, JOB, DOCUMENT, and PAGE.
Chapter 8: Resources

8.1 The <REQUIRED_RESOURCES> Element

8.1.1 Description
The optional Required Resources element can appear at any level (PPML, Job, Document, Page). It specifies all the resources required (e.g. a font or a PostScript procedure set) to process every page and every object at and below the current level (the “enclosed pages”). There is no required use for this element, but it exists for two purposes:

1. **Pre-flight checks**: so that a Consumer can ensure that all resources required for a print run are available before the processing and printing starts.

2. **Subsets**: To facilitate extraction of self-sufficient subsets of the larger PPML dataset that include all the resources required to print the subset successfully.

8.1.2 Model

```
REQUIRED_RESOURCES (FONT*,
                      EXTERNAL_DATA*,
                      PROCESSOR*,
                      SUPPLIED_RESOURCE_REF*)
```

8.1.3 Context
The REQUIRED_RESOURCES element can occur at any level: JOB, DOCUMENT, PAGE, or the entire PPML element.

8.1.4 Attributes
None.

8.1.5 Application notes
A PPML Producer can choose the level (or levels) at which it will place the Required Resources element, based on the functionality desired for the target application.

Consumers should note that there may be an interaction between SUPPLIED_RESOURCE and REQUIRED_RESOURCE which presents an opportunity for optimization. For instance, the input stream might name an EXTERNAL_DATA Required Resource that’s previously been supplied. In a simplest implementation, the Consumer can simply concatenate the external file within the SOURCE element whenever it’s needed. In contrast, a more sophisticated Consumer may choose to add code to process the Resource in a way that makes it persistent, and then insert code that loads it later, when needed.
8.2 The <FONT> Element

8.2.1 Description

The FONT element identifies a font resource required for processing the pages enclosed in the current level.

8.2.2 Model

    FONT EMPTY

8.2.3 Attributes

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Required/Optional</th>
<th>Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>FontName</td>
<td>Required</td>
<td>String</td>
<td>Name of the font as referenced by the content of the SOURCE elements in which it is used.</td>
</tr>
<tr>
<td>Format</td>
<td>Required</td>
<td>String</td>
<td>Data format of the font. Value: any format name registered with the Internet Assigned Numbers Authority (IANA).</td>
</tr>
</tbody>
</table>

8.2.4 Context

    FONT occurs in REQUIRED_RESOURCES.
8.3 The <PROCESSOR> Element

8.3.1 Description

The PROCESSOR element names a file format interpreting resource, e.g. a RIP or similar interpreter, required for processing the pages enclosed in the current level.

8.3.2 Model

PROCESSOR EMPTY

8.3.3 Attributes

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Required/Optional</th>
<th>Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Format</td>
<td>Required</td>
<td>String</td>
<td>Name of the language or file format. Value: any format name registered with the Internet Assigned Numbers Authority (IANA).</td>
</tr>
<tr>
<td>Revision</td>
<td>Optional</td>
<td>String</td>
<td>Any identifying string that will be useful to a Consumer in identifying whether its available processor resources are appropriate for the enclosed data.</td>
</tr>
</tbody>
</table>

8.3.4 Context

PROCESSOR occurs in REQUIRED_RESOURCES.
8.4 The <SUPPLIED_RESOURCES> Element

8.4.1 Description

SUPPLIED_RESOURCES is an umbrella element containing one or more child SUPPLIEDRESOURCE elements.

8.4.2 Model

SUPPLIED_RESOURCES (SUPPLIEDRESOURCE+)

8.4.3 Attributes

None.

8.4.4 Context

SUPPLIED_RESOURCES occurs within PPML, DOCUMENT, JOB, and PAGE.
8.5 The <SUPPLIED_RESOURCE> Element

8.5.1 Description

The Supplied Resource is a definition of a reusable resource such as a font, PostScript ProcSet, and other reusable resources for later use. To be used, the Supplied Resource must be referenced by a SUPPLIED_RESOURCE_REF in a REQUIRED_RESOURCES element.

Resources are independent of each other. They may be processed in any order, but they must appear before they are referenced.

8.5.2 Model

SUPPLIED_RESOURCE EMPTY

8.5.3 Attributes

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Required/Optional</th>
<th>Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name</td>
<td>Required</td>
<td>String</td>
<td>An identifying name for this resource for use in SUPPLIED_RESOURCE_REF.</td>
</tr>
<tr>
<td>ResourceName</td>
<td>Required</td>
<td>String</td>
<td>Name of the resource as referenced by the content of the SOURCE elements in which it is used.</td>
</tr>
<tr>
<td>Src</td>
<td>Required</td>
<td>URI</td>
<td>Location of the resource file</td>
</tr>
<tr>
<td>Format</td>
<td>Required</td>
<td>String</td>
<td>Data format of the resource. Value: any format name registered with the Internet Assigned Numbers Authority (IANA).</td>
</tr>
<tr>
<td>Type</td>
<td>Required</td>
<td>Keyword</td>
<td>The resource type: Font</td>
</tr>
<tr>
<td>SubType</td>
<td>Optional</td>
<td>String</td>
<td>Optional resource subtype, e.g. (Type1, TrueType etc.)</td>
</tr>
<tr>
<td>Scope</td>
<td>Optional</td>
<td>String</td>
<td>Specifies how long the Consumer must ensure that the resource will be needed: to the end of the current PPML, JOB, DOCUMENT, or PAGE element.</td>
</tr>
</tbody>
</table>

8.5.4 Context

The SUPPLIED_RESOURCE element can occur in SUPPLIED_RESOURCES.
8.6 The `<SUPPLIEDRESOURCE_REF>` Element

8.6.1 Description
This element embodies a reference to a previously named `SUPPLIEDRESOURCE` element. This permits a `SUPPLIEDRESOURCE` element to be declared once, and referenced in multiple `REQUIRED_RESOURCES` elements.

8.6.2 Model

```
SUPPLIEDRESOURCE_REF   EMPTY
```

8.6.3 Attributes

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Required /Optional</th>
<th>Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name</td>
<td>Required</td>
<td>String</td>
<td>Supplies the name of a previously encountered and named <code>SUPPLIEDRESOURCE</code> element.</td>
</tr>
</tbody>
</table>

8.6.4 Context
The `SUPPLIEDRESOURCE_REF` element can occur in `REQUIRED_RESOURCES`. 
Chapter 9:
Future Capabilities

The following are in addition to future capabilities mentioned elsewhere in this specification.

9.1 Transparency / overprinting

In the current version of PPML each MARK defines a raster image that consists of “marked” and “transparent” pixels. When a MARK overlaps a MARK that was previously placed on the page, its marked pixels completely obscure the previous MARK’s pixels, and the transparent pixels leave the previous MARK’s pixels unaffected. This specification only applies to the interaction of MARKS; it does not preclude the content data format used for a particular MARK from using transparency to specify the color of the marked pixels in the MARK’s raster image.

Later versions of this specification may allow the placement of a MARK to modify rather than obscure MARKS that were previously placed on the page. Note, however, that since different MARKS may have been generated by content data in different content data formats using different color models, the definition of how a “partially transparent” overlying pixel would interact with an underlying pixel is a complex process.

9.2 Color Management

Future versions of PPML may include direct support for CMS (color management system) profiles. In the current version, color profiles can be supported via PRIVATE_INFO or EXTERNAL_DATA elements.

9.3 PPML Consumer Profile

Differences between Consumers (e.g. which data formats they can accept, level of imposition support, color separations available) may be documented in a standardized Consumer Profile file format. In the current version of PPML, such information can optionally be conveyed in PRIVATE_INFO elements.
Chapter 10: Conformance Subsets

10.1 Introduction

The PPML language allows a practically limitless range of data formats. This gives the language great flexibility for present and future applications, but also creates the possibility of valid PPML datasets that no machine could consume. To enable greater predictability, PODi may define subsets designed to meet the needs of various markets and applications.

Conformance to a particular subset can be declared using the CONFORMANCE element (see section 4.7). Each subset described below has one or more identifying strings for use in the Subset and Level attributes of CONFORMANCE.

10.2 Graphic Arts subset

This subset is intended to meet the needs of typical graphic arts workflows.

Subset string: GA
Level string: 1 or 2

10.2.1 Levels

The relationships between PPML Producers and PPML Consumers can be categorized as informal, semi-formal, and formal. The PPML Graphic Arts Conformance Subset is intended for informal and semi-formal relationships. It is not intended for formal relationships. If a conforming dataset specifies ResourcesIncluded=Yes, then the dataset is suitable for informal blind-exchange. If a conforming dataset specifies ResourcesIncluded=No, then the dataset is suitable for semi-formal partial-blind-exchange.

Level 1: informal relationship, “blind exchange”

An informal relationship allows “blind exchange” between Producer and Consumer. All data needed for the job is transmitted with the job itself. There is no reliance on any previous exchanges between Producer and Consumer.

The Producer must ensure the job conforms to the Subset and that all resources are included in the job itself. The Consumer must ensure it can correctly process any PPML that conforms to this subset.

Level 2: semi-formal, “partial blind exchange”

A semi-formal relationship allows partial-blind-exchange between Producer and Consumer. Some of the data needed for this job may have been sent in a previous exchange and has been kept by the Consumer for use by future jobs.
The Producer must ensure that the PPML data conforms to this Conformance Subset, and that all needed data is either in the job stream or already present at the Consumer. The Consumer must ensure it can correctly process any Conformance Subset PPML.

**Open exchange (formal relationship)**

A formal relationship allows open exchange of data between Producer and Consumer. The Producer knows which Consumer it is sending data to and forms the data according to what the Consumer needs. For these relationships, no Conformance Subset is needed. However, PPML datasets prepared for one Consumer may not print correctly if sent to another Consumer. It is up to the Producer to guarantee that the PPML can be processed by the Consumer.

**10.2.2 Overview of PPML Changes**

PPML that conforms to the Graphic Arts Subset is restricted as follows:

**The SOURCE element**

The `SOURCE` element Format attribute may only have one of these values:

- application/postscript
- application/pdf
- image/tiff
- image/jpeg.

Further restrictions on these data formats (e.g. revision levels) are explained in detail below. A conforming PPML Producer may produce any or all of these formats, and therefore conforming PPML Consumers must support all of them.

**Digital Print Ticket (job ticketing)**

The to-be-defined PPML digital print ticket format shall specify all Production Instructions. `PRINT_LAYOUT` elements contained within PPML and `JOB` elements are allowed, but will be overridden by a `PRINT_LAYOUT` element within the PPML job ticket.

**PRIVATE_INFO**

PRIVATE_INFO cannot alter the content or layout of objects on the page.

**The ResourcesIncluded attribute**

The PPML element’s attribute `ResourcesIncluded` promises a Consumer that all referenced content data, fonts, and other resources are supplied with the dataset. Note that this attribute can have the value `Yes` or `No`. Either value is valid for compliance with the Graphic Arts subset. A value of `Yes` means the dataset is suitable for the "blind exchange" business relationship model.

**10.2.3 Details of ResourcesIncluded**

A PPML dataset that specifies `ResourcesIncluded=Yes` must conform to these rules:

1. All content data is transmitted with the dataset. For example, if the dataset is carried in MIME, all content data is also included in that MIME stream. All references to external data
(EXTERNAL_DATA, EXTERNAL_DATA_ARRAY, SUPPLIED_RESOURCE) will refer only to data transmitted with the job.

2. All REQUIRED_RESOURCES elements (if present) must include only SUPPLIED_RESOURCE_REF and PROCESSOR elements. No FONT elements are allowed.

3. No element shall specify Scope=Global. This guarantees that the data carried with the dataset will be used and not some global data from a previous dataset.

A PPML dataset that specifies ResourcesIncluded=Yes but does not conform to the above rules is an invalid PPML dataset.

10.2.4 Content Format Details

Color Spaces

Some color data does not specify a calibrated color space to determine its color characteristics: TIFF, JPEG, and PostScript/PDF in color spaces DeviceCMYK and DeviceRGB. All such color data shall be assumed to be calibrated to the SWOP standard (Specifications Web Offset Publications, available at http://www.swop.org) for four-component data, or to the sRGB standard (IEC61966-2.1, available at http://www.srgb.com) for three-component data.

PostScript

SOURCE elements with Format=application/postscript conform to the Graphic Arts subset if they refer to content data that obey these restrictions:

- Content data adheres to the PostScript Language Reference Manual, Third Edition (PLRM). For example, language extensions for particular printers are not allowed.
- Content data do not rely on the execution of illegal operators as defined in "Encapsulated PostScript File Format Specification Version 3.0", Adobe Technical Note #5002 and as amended by Appendix G, "Operator Usage Guidelines" of the PLRM. A PPML Consumer is free to redefine these illegal operators to consume their operands and do nothing else.
- Content data do not use any restricted operators as defined in "Encapsulated PostScript File Format Specification Version 3.0", except as allowed in Appendix G, "Operator Usage Guidelines" of the PLRM. A PPML Consumer is free to redefine these restricted operators to perform only permitted uses.
- Any external resources, such as fonts, that are not included directly in the content data are specified in the REQUIRED_RESOURCES element that pertains to this SOURCE element.
- OPI comments for image replacement must be ignored. Any image replacement, such as that specified by OPI comments, has already been accomplished before the PPML Consumer receives the PPML dataset.

PDF

SOURCE elements with Format=application/pdf conform to the Graphic Arts subset if they refer to content data that obey these restrictions:

- Content data contain only PDF operators as specified in the Portable Document Format Reference Manual, Version 1.3.
• Any external resources, such as fonts, that are not included directly in the content data are specified in the REQUIRED_RESOURCES element that pertains to this SOURCE element.

• No image object will contain an OPI Dictionary.

**TIFF**

**SOURCE elements with Format=image/tiff** conform to the Graphic Arts subset if they refer to content data that obey these restrictions:

• Content data conform to TIFF Revision 6.0\(^{10}\), except:

• Content data do not specify Compression=6, which is ill-defined and can't guarantee successful parsing of JPEG data, and

• Content data can specify Compression=7, which is well-defined JPEG,\(^{11}\) and widely used.

Note that Compression=5 (LZW compression) is supported, but requires a license from Unisys. Conforming PPML Producers and Consumers are required to obtain such a license themselves or use products from companies that already have a license.

**JPEG**

**SOURCE elements with Format=image/jpeg** conform to the Graphic Arts subset if they refer to content data that obey these restrictions:

• Content data conform to Huffman-encoded Lossy JPEG (any of these Start Frame Markers: SF0, SF1, SF2, SF5, SF6).

• Resolution is deduced from the Dimensions attribute of the element. Only one JPEG image file is allowed per SOURCE element, so that Dimensions will be correct.

---


Appendix 1: Acknowledgements

PPML Working Group participants

The PPML specification would not have been possible without the substantial efforts of the following companies and their designated participants. In alphabetical order, they are:

Adobe Systems: John Green
Agfa: Roger Baeten and Marcus Delhoune
Barco: Dirk De Bosschere
EFI: Margaret Motamed
HP: Bob Taylor
IBM: D. R. Palmer
Indigo: Sigal Krumer and Ouri Poupko
NexPress: David Blaszyk, Tim Donahue, Wayne Minns
Pageflex: Peter Davis
Scitex: Jacob Aizikowitz, Israel Roth, Reuven Sherwin
Xeikon: Anthony Porter
Xerox: Steve Strasen

Prior work

While PPML as a standardized data format is new, the technology of variable data printing (VDP) is not.

PPML concepts were largely contributed by skilled developers of established VDP products from several members of PODi, including:

- Agfa variable data machines and Personalizer X software
- Barco’s Book Ticket Format (BTF) and Imposition Templates for PrintStreamer
- Indigo™ Yours Truly™ Personalization® architecture, SNAP® personalization software and software applications
- Pageflex’s MPower variable data composition software, based on the NuDoc composition engine
- Scitex’s VI Digital Front Ends, Darwin software, and VPS™ language. Scitex is a co-founder of PODi. Before PPML, VPS was the format that was most widely supported by third-party applications.
- Xeikon’s “Private-I” software
Origins of PPML

PPML 1.0 grew out a combined proposal approved in July 1999 by the PPML Working Group. This proposal was a merger of proposals from Scitex, Barco and Pageflex: Scitex, by way of its VPS language, contributed the foundation for the basic object model, object-level granularity, and job structure of PPML; Barco contributed the foundation for the production-centric parts of the specification, including major work on imposition; PageFlex contributed the original proposal for an XML-based language called PPML. NexPress contributed substantial work from its proposed vPDF specification, and Xerox presented additional information at the July conference based on its substantial experience with its VIPP PostScript-based variable data software.
Appendix 2:
Introduction to XML

The PPML data format is based on the XML (eXtensible Mark-up Language) syntax. This is analogous to saying that XML is the programming language in which the PPML application is written. To understand PPML, therefore, it’s helpful to have some basic knowledge of how XML works.

**Elements:** In XML, data can be grouped into tagged elements, like this:

```xml
<TAG>This sentence is data of type TAG.</TAG>
```

Here, `<TAG>` is the start tag, and `</TAG>` is the end tag. The end tag uses the same tag name as the start tag, but the name is preceded by a “/”. Whatever lies between the start and end tags is considered to be of type TAG.

**Nesting:** Elements can be, and usually are, nested:

```xml
<TAG1>This is TAG1 text.
    <TAG2>And this is TAG2 text.</TAG2>
</TAG1>.
```

Note that the end tags are in the reverse order from the start tags, so that TAG2 lies entirely inside TAG1. This means the elements are properly nested. The following would NOT be syntactically valid because the outer tag (TAG1) is closed off while the inner tag (TAG2) is still left open:

```xml
<TAG1>This is TAG1 text.
    <TAG2>And this is TAG2 text.
</TAG1>
</TAG2>.
```

**Elements with no content:** In some cases, a tagged element will have no content between the opening and closing tags. This can be abbreviated with a single tag that has the “/” character at the end. In other words, `<TAG/>` is equivalent to `<TAG></TAG>`.

**Attributes:** Elements can specify attributes, which are properties of the particular instance of the element. For example, element NOTE_TEXT could be defined to have the property that the color is normally red, but I may override this in a specific instance by specifying:

```xml
<NOTE_TEXT Color="blue">This text will be blue.</NOTE_TEXT>
```

In this example, Color is an attribute of the element NOTE_TEXT.

**Comments:** Finally, comments (information which is not processed by software) can be placed in the XML file for users who may wish to look directly at the file. Such comments are embedded between `<!--` and `-->` delimiters, for instance:

```xml
<!-- This is a comment. -->
```

White space (returns, tabs, and spaces) are allowed within a comment.
**The DTD:** An XML application, such as PPML, specifies exactly which tags are defined, which elements can (or must) exist within other elements, and what attributes and values can be specified for each element, via a file called the Document Type Definition (DTD).
Appendix 3:
Strings to use for the Format attribute of SOURCE

The following are examples of the strings approved by IANA (the Internet Assigned Numbers Authority) that are to be used in the value of the Format attribute in the SOURCE element. These strings were developed for use in identifying the media type in a MIME stream; PPML is adopting them by reference because they are an existing standard that is well suited to PPML needs.

Most of these strings are from http://www.isi.edu/in-notes/iana/assignments/media-types/media-types.

<table>
<thead>
<tr>
<th>Format</th>
<th>IANA identifier</th>
<th>RFCs</th>
</tr>
</thead>
<tbody>
<tr>
<td>PostScript</td>
<td>application/postscript</td>
<td>RFC2045, RFC2046</td>
</tr>
<tr>
<td>Encapsulated PostScript (EPS)</td>
<td>application/postscript</td>
<td></td>
</tr>
<tr>
<td>PDF</td>
<td>application/pdf</td>
<td></td>
</tr>
<tr>
<td>PCL</td>
<td>application/vnd.hp-PCL</td>
<td></td>
</tr>
<tr>
<td>PCL XL</td>
<td>application/vnd.hp-PCLXL</td>
<td></td>
</tr>
<tr>
<td>AFP</td>
<td>application/vnd.ibm.modcap</td>
<td></td>
</tr>
<tr>
<td>TIFF</td>
<td>image/tiff</td>
<td>RFC2302</td>
</tr>
<tr>
<td>JPEG</td>
<td>image/jpeg</td>
<td>RFC2045, RFC2046</td>
</tr>
<tr>
<td>GIF</td>
<td>image/gif</td>
<td>RFC2045, RFC2046</td>
</tr>
<tr>
<td>SVG (scaleable vector graphics)</td>
<td>image/svg-xml</td>
<td></td>
</tr>
</tbody>
</table>
Appendix 4: Change History

Version 1.0, March 15, 2000
Initial release.

Version 1.01, May 18, 2000:
• Inside front cover: modify text and email address related to reader participation.
• 1.2 Organization of this Document: add “and Marks”
• 2.1.4 DTD: Add reference to the official online version of the PPML DTD.
• 4.4.3 (attributes of DOCUMENT and PAGE): reposition Label attribute in the table. (This
does not affect functionality.)
• 5.3.3 Attributes of MARK, new 5.5.3 Implementation Note: New definition of the Position attribute.
• 5.7.1 Description of OBJECT element: add a second paragraph, clarifying intent related
to the change in 5.5.3 above.
• 5.7.3 Attributes of OBJECT: see 5.3.3 above.
• 5.8.2 Model of SOURCE: add EXTERNAL_DATA_ARRAY, consistent with contexts listed in
5.10.3.
• Appendix 3: add SVG support.
• Reference card: update per the above; document the list of allowed attribute values where
appropriate, and show which choice is the default.

Version 1.02, December 14, 2000:
New features and substantial additions
• Add support for multi-page source files:
  • Created two new elements, SEGMENT_ARRAY (section 5.17) and SEGMENT_REF (section
5.18);
  • Added SEGMENT_REF to the model for MARK, and added SEGMENT_ARRAY to the model for
• Illustrations of how PPML content objects are created and placed on a page:
  • Added new section 5.19 Definition of PPML Extent Boxes
  • Added section 5.20 Notes on Transforming, Clipping and Positioning
• Imaging model re transparency & overprint: Modify the following sections regarding
the interaction of marks on a page:
  5.2 A Page contains Marks
5.3.1 The MARK Element – Description

9.1 Transparency / overprinting

Additional changes and clarifications

- **2.1.4 DTD:** Add PUBLIC identifier; change statement regarding DTDs stored on the Web.
- **2.2 Non-XML Data:** remove sentence about a possible separate specification regarding transport issues.
- **5.8.1 SOURCE:** Add paragraph regarding non-content data, such as binary previews on Windows EPS files.
- **5.10.3 EXTERNAL_DATA_ARRAY:** Clarify minimum value of Index attribute.
- **6.6.3 IMPOSITION Position attribute:** Declare that the imposition structure does not include any trim or fold marks, so the marks do not affect position on the sheet,
- **6.8.1 SIGNATURE description:** Explain CELL positioning and rotation
- **6.9 The CELL Element:** Expand description (6.9.1), add rotation example (6.9.7), add “PageOrder <1” case at end of 6.9.5.
- **6.10 The HOR_TRIM_MARKS Element:** Add illustration of position of trim marks; clarify wording of mark suppression in the “touching pages” case.
- **Scope of OCCURRENCE_REF in sheet marks:** State in 6.10.1, 6.11.1, 6.14.1, 6.15.1 that the scope of a sheet mark’s Occurrence Ref must be at least as high as the enclosing IMPOSITION.
- **6.14.1, HOR_FOLD_MARKS:** clarify suppression of trim marks near fold marks.
- **8.2.3, Attributes of FONT:** Add Format attribute. Also, change the Name attribute to FontName and add a descriptive note about its intent. (“Name” in other PPML elements is merely an arbitrary identifying string; in the FONT element, it denotes the actual name of the font, e.g. Helvetica-BoldOblique. Also, add Format attribute.
- **8.5 SUPPLIED_RESOURCE:**
  - **8.5.1 Description:** stipulate that the resource must be referenced to be used; stipulate that resources can be processed in any order.
  - **8.5.3 Attributes:** add required ResourceName attribute; clarify that the Name attribute is for use in SUPPLIED_RESOURCE_REF; Type attribute has only two possible values (Font or ProcSet); add definition of ProcSet.
Version 1.5, May 31, 2001:

**New features and substantial additions**

- **Conformance subsets**
  - Add new Chapter 10, Conformance Subsets, particularly Section 10.2, Graphic Arts subset, with full definition of file formats and their constraints.
  - Add new `CONFORMANCE` element (Section 4.7) and `ResourcesIncluded` attribute on `PPML`.

- **Page Dimension information:** For non-imposing Consumers (see below), add new `PAGE_DESIGN` element (section 4.6); add corresponding text in `PAGE_LAYOUT`; deprecate the use of the `Dimensions` attribute on `DOCUMENT` and `PAGE`.

**Additional changes and clarifications**

- **Imposing and non-imposing Consumers:** clarify the term “imposition” as used in this specification (section 6.1.1) and update the boxed note in Section 6.1 regarding what features a Consumer may or may not support; add `SheetLayoutIncluded` attribute on `PPML`.

- **Enhanced REPEAT functionality** for imposing Consumers: in the `PageOrder` attribute of `CELL`, change the counter `s` to refer to sheets (not signatures) and add document counter `d`. 