File Specifications for the **Digital Talking Book**

BALLOT PERIOD: November 1, 2001 - December 17, 2001

Abstract: This standard defines the format and content of the electronic file set that comprises a digital talking book (DTB). It uses established and new specifications to delineate the structure of DTBs whose content can range from XML text only, to text with corresponding spoken audio, to audio with little or no text. DTBs are designed to make print material accessible and navigable for blind or otherwise print-disabled persons.

A Draft American National Standard Developed by the National Information Standards Organization

Approved _____, 200_ by the American National Standards Institute

Published by the National Information Standards Organization Bethesda, Maryland



NISO Press, Bethesda, Maryland, U.S.A.

About NISO Draft Standards

This is a draft standard and subject to change.

NISO standards are developed by Standards Committees of the National Information Standards Organization. A rigorous review process includes offering each NISO Voting Member and other interested parties an opportunity to review the proposed standard. In addition, approval requires verification by the American National Standards Institute that its requirements for due process, consensus, and other criteria for approval have been met by NISO. Approved NISO standards are also ANSI American National Standards.

This standard may be revised or withdrawn at any time. For current information on the status of this standard contact the NISO office or visit the NISO website at http://www.niso.org

Published by NISO Press 4733 Bethesda Avenue, Suite 300 Bethesda, MD 20814 www.niso.org

Copyright ©2001 by the National Information Standards Organization

All rights reserved under international and Pan-American Copyright Conventions. For noncommercial purposes only this publication may be reproduced or transmitted in any form or by any means without prior permission in writing from the publisher. All inquiries regarding commercial reproduction or distribution should be addressed to NISO Press, 4733 Bethesda Avenue, Suite 300, Bethesda, MD 20814 USA.

Printed in the United States of America ISSN: 1041-5653 National Information Standard Series ISBN: 1-880124-52-1

 $\overline{\mathbf{0}}$

This paper meets the requirements of ANSI/NISO Z39.48-1992 (R 1997) Permanence of Paper.

Library of Congress Cataloging-in-Publication Data

National Information Standards Organization (U.S.)

Codes for the representation of languages for information interchange

p. cm. — (National information standards series, ISSN 1041-5653)

"ANSI/NISO Z39.53-2001 (Revision of ANSI/NISO Z39.53-1994.)"

" An American national standard developed by the National Information Standards Organization."

"Approved ... by the American National Standards Institute."

ISBN 1-880124-52-1 (alk. paper)

1. Machine-readable bibliographic data—Standards—United States. 2. Language and languages--Code words--Standards--United States. I. American National Standards Institute. II. Title. III. Series.

Z699.35.M28 N38 2001 025.3'24--dc21

00-069547

Contents

Foreword	vii
1. General Information	1
1.1 Purpose and Scope of Standard	1
1.2 Definitions	1
1.3 Strategy	3
1.4 Accessibility Issues	
1.5 Relationship to Other Specifications	
1.5.1 Relationship to Unicode	5
1.6 Patent Rights	5
1.7 Maintenance Agency	5
2. Overview	5
3. The DTB Package File	7
3.1 Package Identity	8
3.2 Publication Metadata	9
3.2.1 Dublin Core Metadata	9
3.2.2 DTB ID Scheme	11
3.2.3 X-Metadata	11
3.3 Manifest	
3.4 Spine	
3.5 Tours	
3.6 Guide	
4. Content Format for Text	16
4.1 Introduction	
4.2 Using the DTBook Element Set	
4.2.1 DTBook Markup Related to SMIL	
4.2.2 Modular Extension of the DTD	
4.3 DTBook Elements	
5. Audio File Formats	24
5.1 Distribution Formats	24
5.2 Formats for Audio Notes	25
6. Image File Formats	25

7. Synchronization of Media Files	26
7.1 Introduction	
7.1.1 Background	
7.1.2 SMIL Modules	27
7.2 Application of SMIL to DTBs	27
7.3 SMIL Elements	
7.3.1 Core Attributes	
7.4 SMIL Requirements for DTBs	
7.4.1 "Escapable" Structures	
7.4.2 Automatic Invocation of Special Navigation Modes	
7.4.3 "Skippable" Structures	
7.4.4 Packaging Files across Several Media Units	
7.4.5 Links	
7.4.6 Layout Syntax	
7.4.7 Content of <par>s</par>	
7.4.8 Playback of Audio Clips	
7.4.9 Notes and Annotations in SMIL	
7.4.10 Images in SMIL	
7.4.11 Text-Only DTBs	
7.5 SMIL Metadata	
7.6 Examples	
7.7 Clock Values	
8. Navigation Control File (NCX)	39
8.1 Introduction	
8.2 Key NCX Requirements	
8.3 NCX Elements	
8.4 Other File Requirements	
8.4.1 Navigation Metadata	
8.4.2 DTBs Spanning Multiple Media Units	
8.4.3 mapRef Attribute	
8.4.4 smilCustomTest Element	
8.5 How the NCX Works	
8.6 Examples	
9. Portable Bookmarks and Highlights	49
9.1 Introduction	
9.2 Bookmark/Highlight Elements	50
9.3 Examples	

10. Resource File	56
10.1 Introduction	
10.2 Resource Elements	57
10.3 Resource File Requirements	
10.4 Examples	
11. Packaging Files for Distribution	60
11.1 Introduction	60
11.2 Distribution Requirements	61
11.3 DistInfo Elements	
11.4 Examples	63
12. Presentation Styles	65
12.1 Introduction	65
12.2 Implementing Style Sheets for DTBs	65
13. Types of DTB	66
13.1 Types	
13.2 Required Files	67
13.3 Content Rendering	67
14. Digital Rights Management	68
15. Time-Scale Modification	68
16. Conformance	68
17. References to Other Specifications/Documents	69
Appendix 1. DTBook DTD	70
Appendix 2. DTB-Specific SMIL DTD	106
Appendix 3. NCX DTD	109
Appendix 4. DTD for Portable Bookmarks/Highlights	113
Appendix 5. DTD for Resource File	115
Appendix 6. Distribution Information DTD	117
Appendix 7. Designation of Maintenance Agency	119

Foreword

(This foreword is not a part of ANSI/NISO Z39.86-200X, File Specifications for The Digital Talking Book. It is included for information only.)

This standard presents the file specifications for digital talking books (DTBs) for blind, visually impaired, physically handicapped, learning-disabled, or otherwise print-disabled readers. For many years, "talking books" have been made available to print-disabled readers on analog media such as phonograph records and audiocassettes. These media serve their users well in providing human-speech recordings of a wide array of print material in increasingly robust and cost-effective formats. However, analog media are limited in several respects when compared to a print book. First, they are by their nature linear presentations, which leaves much to be desired when reading reference works, textbooks, magazines, and other materials which are often accessed randomly. Digital media offer readers the ability to move around a book or magazine as freely as (and more efficiently than) a sighted reader flips through a print book. Second, analog recordings do not allow users to interact with the book, placing bookmarks, highlighting material, and so forth. A DTB offers this capability, storing the bookmarks and highlights separate from, but associated with, the DTB itself. Third, talking book users have long complained that they do not have access to the spelling of the words they hear. As will be explained below, some DTBs will include a file containing the full text of the work, synchronized with the audio presentation, thereby allowing readers to locate specific words and hear them spelled. Finally, analog audio offers readers only one version of the document. If, for example, a book contains footnotes, they are either read where referenced, which burdens the casual reader with unwanted interruptions, or grouped at a location out of the flow of the text, making them difficult for interested readers to access. A DTB allows the user to easily skip over or read footnotes. The Digital Talking Book offers the print-disabled user a significantly enhanced reading experience -- one that is much closer to that of the sighted reader using a print book. This standard describes the various files that make up a DTB and specifies how each must be formatted.

The DTB goes far beyond the limits imposed on analog audio books because they can include not just the audio rendition of the work, but the full textual content and images as well. Because the textual content file is synchronized with the audio file, a DTB offers multiple sensory inputs to readers, a great benefit to learning-disabled readers, for example. Some visually impaired readers may choose to listen to most of the book, but find that inspecting the images provides information not available in the narrative flow. Others may opt to skip the audio presentation altogether and instead view the text file via screen-enlarging software. Braille readers may prefer to read some or all of the document via a refreshable Braille display device connected to their DTB player and accessing the textual content file.

Digital Talking Books are not tied to a single distribution medium. CD-ROMs will be used first but DTBs will be portable to any digital distribution medium capable of handling the large files associated with digital audio recordings. Regardless of how a DTB is distributed, however, it will normally be in the context of a digital rights management system.

The initiative behind this document grew from a desire to standardize DTB file structures, in the hope that it might prevent a recurrence of the multiple formats currently used for talking books throughout the world. This document benefited *(continued)*

greatly from the work of the DAISY Consortium, whose members had broken much of the ground covered in this standard and who contributed enormously to the solution of the many problems encountered.

This standard was processed and approved for submittal to ANSI by the National Information Standards Organization. It was balloted by the NISO Voting Members November 1, 2001- December 17, 2001. It will next be reviewed in 2007. Suggestions for improving this standard are welcome. They should be sent to the National Information Standards Organization, 4733 Bethesda Avenue, Suite 300, Bethesda, MD 20814. NISO approval of this standard does not necessarily imply that all Voting Members voted for its approval. At the time it approved this standard, NISO had the following members:

NISO Voting Members

3M

Jerry Karel Susan Boettcher (Alt)

Academic Press Anthony Ross Bradford Terry (Alt)

American Association of Law Libraries Robert L. Oakley Mary Alice Baish (Alt)

American Chemical Society Robert S. Tannehill, Jr.

American Library Association Paul J. Weiss

American Society for Information Science and Technology Mark H. Needleman

American Society of Indexers Judith Gibbs Jacqueline Rodebaugh (Alt)

American Theological Library Association Myron Chace

ARMA International Diane Carlisle

Armed Forces Medical Library Diane Zehnpfennig Emily Court (Alt)

Art Libraries Society of North America David L. Austin

Association for Information and Image Management Betsy A. Fanning

Association of Information and Dissemination Centers Bruce H. Kiesel

Association of Jewish Libraries Caroline R. Miller Elizabeth Vernon (Alt)

Association of Research Libraries Duane E. Webster Julia Blixrud (Alt)

Baker & Taylor Sam Dempsey

BiblioMondo Inc. Martin Sach

Book Industry Communication Brian Green

Broadcast Music Inc. Edward Oshanani Robert Barone (Alt)

Cambridge Scientific Abstracts Matthew Dunie Anthea Gotto (Alt)

Checkpoint Systems, Inc. Emmett Erwin Paul Simon (Alt)

College Center for Library Automation J. Richard Madaus Ann Armbrister (Alt)

Committee on Institutional Cooperation Thomas Peters

Congressional Information Service, Inc. Robert Lester

Data Research Associates, Inc. Michael J. Mellinger Mark H. Needleman (Alt)

Elsevier Science Inc. John Mancia

Endeavor Information Systems, Inc. Verne Coppi Cindy Miller (Alt)

epixtech, inc. John Bodfish Ricc Ferante (Alt)

Ex Libris James Steenbergen Carl Grant (Alt)

Follett Corp. D. Jeffrey Blumenthal Don Rose (Alt)

NISO Voting Members (continued)

Fretwell-Downing Informatics Robin Murray

Gale Group Katherine Gruber Justine Carson (Alt)

Gaylord Information Systems William Schickling Linda Zaleski (Alt)

GCA Research Institute Jane Harnad

Geac Computers, Inc. William Z. Russell

H.W. Wilson Company Ann Case

IBM David M. Choy Chuck Brink (Alt)

Information Use Management & Policy Institute Charles McClure John Carlo Bertot (Alt)

Infotrieve Jan Peterson

Innovative Interfaces, Inc. Gerald M. Kline Sandy Westall (Alt)

Institute for Scientific Information Helen Atkins

The International DOI Foundation Norman Paskin

Kluwer Academic Publishers Mike Casey

Library Binding Institute Donald Dunham

The Library Corporation Mark Wilson Nancy Capps (Alt)

Library of Congress Winston Tabb Sally H. McCallum (Alt)

Los Alamos National Laboratory Richard E. Luce

Lucent Technologies M.E. Brennan

Medical Library Association Nadine P. Ellero Carla J. Funk (Alt)

MINITEX Cecelia Boone William DeJohn (Alt)

Modern Language Association Daniel Bokser Cameron Bardrick (Alt) Motion Picture Association of America William M. Baker Axel aus der Muhlen (Alt)

Music Library Association Lenore Coral Mark McKnight (Alt)

National Agricultural Library Gary K. McCone

National Archives and Records Administration Mary Ann Hadyka

National Federation of Abstracting and Information Services Marion Harrell

National Library of Medicine Betsy L. Humphreys

netLibrary, Inc. Justin Littman Henry Vellandi (Alt)

Nylink Mary-Alice Lynch Jane Neale (Alt)

OASIS

OCLC, Inc. Donald J. Muccino

Openly Informatics Eric Hellman (Alt)

Proquest Information and Learning Todd Fegan James Brei (Alt)

R.R. Bowker

Recording Industry Assn. of America Linda R. Bocchi Michael Williams (Alt)

Research Libraries Group Lennie Stovel Joan Aliprand (Alt)

RoweCom, Inc. Robert Boissy

SIRS Mandarin, Inc. Leonardo Lazo Harry Kaplanian (Alt)

SIRSI Corporation Greg Hathorn Slavko Manojlovich (Alt)

Society for Technical Communication Annette Reilly Kevin Burns (Alt)

Society of American Archivists Lisa Weber

Special Libraries Association Marcia Lei Zeng

Sun Microsystems Inc. Cheryl Wright John C. Fowler (Alt)

(continued)

DRAFT STANDARD SUBJECT TO CHANGE

Page ix

NISO Voting Members (continued)

Triangle Research Libraries Network Jordan M. Scepanski Mona C. Couts (Alt)

U.S. Department of Commerce, National Institute of Standards and Technology, Office of Information Services

U.S. Department of Defense, Defense Technical Information Center Gopalakrishnan Nair Jane L. Cohen (Alt) U.S. National Commission on Libraries and Information Science Denise Davis

VTLS, Inc. Vinod Chachra

At the time NISO approved this standard, the following individuals served on its Board of Directors:

NISO Board of Directors

Beverly P. Lynch, Chair University of California, Los Angeles

Jan Peterson, Vice Chair/Chair-Elect Infotrieve, Inc.

Donald J. Muccino, Immediate Past Chair OCLC

Jan Peterson, Treasurer Infotrieve, Inc.

Patricia R. Harris, Executive Director National Information Standards Organization

Pieter S. H. Bolman Academic Press

Priscilla Caplan Florida Center for Library Automation

Carl Grant Ex Libris (USA), Inc. Brian Green BIC/EDItEUR

Jose-Marie Griffiths University of Pittsburgh

Richard E. Luce Los Alamos National Laboratory

Sally McCallum Library of Congress

Norman Paskin The International DOI Foundation

Steven Puglia U.S. National Archives and Records Administration

Albert Simmonds OCLC, Inc.

Standards Committee AQ

Standards Committee AQ on Digital Talking Books had the following members at the time this standard was approved:

Mr. Donald J. Breda American Council of the Blind

Mr. George Brummell Blinded Veterans Association

Mr. John Bryant National Library Service for the Blind and Physically Handicapped Library of Congress

Mr. Glen Cavanaugh Telex Communications, Inc.

Mr. Curtis Chong World Blind Union

Mr. Thomas Kjellberg Christensen DAISY Consortium and the Danish National Library for the Blind Mr. John Cookson National Library Service for the Blind and Physically Handicapped Library of Congress

Mr. Keith Creasy American Printing House for the Blind

Mr. Frank Kurt Cylke National Library Service for the Blind and Physically Handicapped Library of Congress

Mr. Jack Decker American Printing House for the Blind

Dr. Judith Dixon National Library Service for the Blind and Physically Handicapped Library of Congress (continued)

Standards Committee AQ (continued)

Mr. Jim Dust Telex Communications, Inc.

Dr. Michael Gosse National Federation of the Blind

Mr. Luis Gutierrez American Foundation for the Blind

Mr. Mark Hakkinen Japanese Society for the Rehabilitation of Persons with Disabilities

Mr. John Hedges American Printing House for the Blind

Ms. Vivian Juig Hadley School for the Blind

Ms. Rosemary Kavanagh Canadian National Institute for the Blind

Mr. George Kerscher Recording for the Blind and Dyslexic and DAISY Consortium

Mr. Wells "Brad" Kormann National Library Service for the Blind and Physically Handicapped Library of Congress

Ms. Kathie Korpolinski Recording for the Blind and Dyslexic

Mr. Dominic Labbé VisuAide, Inc.

Ms. Mary-Frances Laughton Assistive Devices Industry Office Industry Canada

Mr. Thomas McLaughlin National Library Service for the Blind and Physically Handicapped Library of Congress Mr. Michael Moodie, Chair

National Library Service for the Blind and Physically Handicapped Library of Congress

Ms. Freddie Peaco National Library Service for the Blind and Physically Handicapped Library of Congress

Mr. Gilles Pepin VisuAide, Inc.

Mr. Lloyd Rasmussen National Library Service for the Blind and Physically Handicapped Library of Congress

Ms. Janina Sajka American Foundation for the Blind

Mr. Rudy Savage Talking Book Publishers, Inc.

Mr. Larry Skutchan American Printing House for the Blind

Ms. Linda Stetson Association of Specialized and Cooperative Library Agencies American Library Association

Mr. George Stockton National Library Service for the Blind and Physically Handicapped Library of Congress

Ms. Karen Taylor Canadian National Institute for the Blind

Acknowledgements

Standards Committee AQ gratefully acknowledges the contributions made by the DAISY Consortium (www.daisy.org) to this work. The Consortium created a series of open international specifications (DAISY 2.0 ©1998, DAISY 2.01 ©1999, and DAISY 2.02 ©2001) that formed the foundation on which this standard is built. DAISY representatives served on Committee AQ since its inception and knowledge gained in their work on DAISY projects greatly informed the complex discussions and decisions leading to the creation of this document. In addition, they hosted several list-servs on which many issues critical to DTB work in general, and to this standard specifically, were discussed and resolved. It is no exaggeration to state that without their groundbreaking efforts and their ongoing contributions to Committee work, this standard would not exist in anything like its current level of sophistication.

In addition, the Committee wishes to thank the following individuals for their substantial assistance to the process of creating the standard: Robert Berkovitz, Sensimetrics Corporation; Harvey Bingham; Mike Brown; John Churchill, Recording for the Blind and Dyslexic; Manon Gaudet, VisuAide, Inc.; Al Gilman; Markus Gylling, Swedish Library of Talking Books and Braille; Steve Jacobs, NCR Corporation; Lynn Leith, Canadian National Institute for the Blind; Tatsu Nishizawa, Plextor Corporation; Dave Pawson, Royal National Institute for the Blind; James Pritchett, Recording for the Blind and Dyslexic; Dr. Gregg Vanderheiden, TRACE Research and Development Center, University of Wisconsin; Mr. Paul Vassallo, National Institute of Standards & Technology; with special thanks to members of the DAISY Consortium's Specifications and Guidelines Work Team and DTD Work Team. Thanks also to these members of the W3C Synchronized Multimedia (SYMM) Working Group: Dick Bulterman, Oratrix; Wo Chang, NIST; Lloyd Rutledge, CWI; Patrick Schmitz, Microsoft.

File Specifications for the Digital Talking Book

1. General Information

1.1 Purpose and Scope of Standard

(This section is informative.)

This standard establishes file specifications for digital talking books (DTBs) for blind, visually impaired, physically handicapped, learning-disabled, or otherwise print-disabled readers. Its purpose is to ensure interoperability across service organizations and vendors providing content and playback systems to the target population.

This standard provides specifications applicable to all aspects of digital talking book production and rendering, including authoring tools for DTBs, hardware- or software-based playback devices, and compliance-testing software.

1.2 Definitions

(This section is informative.)

The following abbreviations, acronyms, phrases, and terms are used in this standard as defined below. In the following definitions and throughout the standard, bracketed items correspond to entries in section 17, "References to Other Specifications/Documents," where the full URL is provided for each reference.

Accessible. Fully usable by the target population.

CSS. Cascading Style Sheets [CSS] is a mechanism for adding style (e.g. fonts, colors, spacing, formatting) to HTML or XML documents.

DRM. *Digital Rights Management* is a system of tools and processes that protect intellectual property when it is encoded and distributed in digital form.

DTB. The *Digital Talking Book* content data set that complies with the specifications in this standard.

DTBook. An XML element set (dtbook.dtd) that defines the markup for the textual content of a DTB.

DTD. The *Document Type Definition* file contains machine- and human-readable rules that define allowable XML markup for a particular application.

FIXED. When used in definitions of attributes, means the attribute has a single, fixed value specified in the DTD.

Fragment Identifier. A means to address a named place in a document. For reference within the current document, the reference part is to a named target, and begins with "#". See URI for addressing into another document.

Global navigation. Movement to user-selected portions of a document, with that movement enabled by the NCX. Navigation targets may be headings representing the hierarchical structure of the document or specific points such as pages, notes, sidebars, etc.

IMPLIED. When used in definitions of attributes, means the attribute is optional, as opposed to REQUIRED.

Informative. An explanatory part of this standard. Contrast with Normative.

Local navigation. Movement within a document at a granularity finer than that provided by the NCX. For example, navigation by paragraph or sentence, or within a table or nested list. Precise local navigation can be controlled by the textual content file or the SMIL file(s); the granularity is limited by the degree to which the textual content file has been marked up or the level to which synchronization has been applied in the SMIL file(s). Time-based movement through a document (similar to fast-forward and rewind on an analog cassette) may also be implemented.

Manifest. A component of the Package File, the *Manifest* lists all files included in the DTB.

May. In this standard, the word *may* means that a course of action is optional.

Media Unit. A single object on which a DTB is stored for distribution to the reader. For example, a single CD-ROM disk.

Must. In this standard, the word *must* is to be interpreted as a mandatory requirement on the content or implementation. The term *shall* has the same definition as *must*.

NCX. The *Navigation Control file for XML applications (NCX)* provides the reader efficient and flexible access to the hierarchical structure of a DTB as well as direct access to selected elements such as page numbers, notes, figures, etc.

Normative. A portion of the standard that supplies precise specifications rather than background or explanation. Contrast with *Informative*. Notes within a normative section may be informative.

OEBF. The Open eBook Forum [OEBF] is an organization formed to create and maintain standards and promote the successful adoption of electronic books. The *Open eBook Publication Structure Version 1.0.1* provides a specification for representing the content of a book when it is converted from print to electronic form. This DTB standard utilizes a subset (the Package File) of that specification.

OPF. Open eBook Forum Package File. See Package File.

Package File. The *Open eBook Forum Package File* (OPF) is an XML file conforming to the oebpkg101.dtd that contains administrative information about the DTB, the files that comprise it, and how these files interrelate.

Playback. With regard to implementations, *playback* refers to the methods used to render the DTB content. *Playback* may include audio, Braille, large print, and synthetic speech as appropriate for the content and as supported by the playback system.

Playback System. The hardware/software platform which renders the contents of a DTB to a user. Synonymous with *Player*.

Player. See Playback System.

Reader. The person reading the digital talking book. Synonymous with User.

REQUIRED. When used in definitions of attributes, means the attribute is required, as opposed to IMPLIED.

Shall. See Must

Should. In this standard, the word *should* means that a course of action is recommended but not required.

SMIL. The Synchronized Multimedia Integration Language [SMIL] is a W3C recommendation (SMIL 2.0) utilized in this standard to control the synchronized presentation of content in multiple media.

Spine. A component of the Package File, the *Spine* lists in default reading order the SMIL files included in the DTB.

Target population. The *target population* consists of blind, visually impaired, physically handicapped, learning-disabled, and otherwise print-disabled readers.

Textual Content File. The content of the subject document in a character set specified by ISO/IEC 10646 [ISO 10646] to which XML markup valid to the DTBook DTD has been applied.

TSM. Time-scale modification. Varying playback rate (both slower and faster than real time) while maintaining constant pitch.

URI. A *Uniform Resource Identifier* is a compact string of characters for identifying resources: documents, images, audio files, etc. Within a DTB, they are most likely to appear as attribute values for various XML elements, used as a way of identifying other documents or files either in whole or part. For the purposes of this specification, URIs must adhere to the syntax defined in RFC 2396 [RFC 2396]. A URI may include a fragment identifier suffix beginning with "#" that matches some named anchor in the target document. See *Fragment Identifier*.

User. See Reader.

XML. The Extensible Markup Language [XML] is a standardized language for marking up files containing structured information.

XSL. Extensible Stylesheet Language: A series of recommendations by the Worldwide Web Consortium which describes how XML documents can be transformed and rearranged [XSLT], then formatted [XSL] for screen, handheld device, paper or audio presentation.

XSLT. A language for transforming XML documents into other XML documents. [XSLT] is designed for use as part of XSL. See *XSL*.

1.3 Strategy

(This section is informative.)

This standard is based primarily on a variety of widely used standards and specifications, including several from the World Wide Web Consortium and the Open eBook Forum. Wherever applicable and appropriate standards or specifications existed they

were used. The use of these specifications and technologies is intended to promote a fast and consistent adoption of this standard for the target population, while encouraging its extension into mainstream use.

1.4 Accessibility Issues

(This section is informative.)

Digital Talking Book files, streams, transformation processes and players have been designed to present their content to people with a wide range of abilities and disabilities. They are designed to allow presentation in forms other than conventional print, due to the inaccessibility of printed documents to these users. To the greatest extent possible, files, streams, transformation processes and players should make information available in as many presentation modes as practical, including human-narrated audio, Braille, synthesized speech and, for players with visual display, large print with user-specifiable size and text re-wrapping, as well as text and audio synchronization and other enhancements for persons with learning disabilities. The controls of players should be easily used by people with a wide range of manual dexterity. Further, tools for producing DTBs should be designed from the outset to be usable by people who are blind, visually impaired, or have other reading disabilities.

During the development of this standard, an advisory document, DTB Playback Device Features List was created. Although it is not a normative part of this standard, player developers may find useful accessibility concepts embodied in it.

In addition to the provisions of this standard, valuable supplemental information is available from the guidelines and techniques produced by the Worldwide Web Consortium's Web Accessibility Initiative. At this time, these documents include:

Web Content Accessibility Guidelines, Authoring Tool Accessibility Guidelines, and User Agent Accessibility Guidelines.

(This section is normative.)

It is not expected that all modes of presentation will be available in all players and documents, but it is strongly recommended that multiple equivalent presentations be made available to users whenever possible. Historically, products marketed to specific user groups with disabilities have sometimes proven unusable. Not all players need to be accessible to all target groups, but any device compliant with this standard must be accessible to the target group for which it is advertised. It is also strongly recommended that DTB production tools and processes be made accessible to persons with disabilities.

1.5 Relationship to Other Specifications

(This section is informative.)

This standard is based on the specific versions of the standards and specifications referenced herein, which are used as defined, except as noted by this document. Any refinement or replacement of a referenced specification by a newer or different version is not directly applicable to this standard. Conformance to this standard is based on the versions of the standards and specifications in effect at the time of this writing.

1.5.1 Relationship to Unicode

(This section is normative.) Playback systems must support at least UTF-8 and UTF-16 encodings.

1.6 Patent Rights

(This section is informative.)

It is possible that compliance with this standard may require the use of one or more inventions covered by patent rights. It is believed that all companies claiming such rights have agreed to grant a license under such rights that they hold on reasonable and nondiscriminatory terms and conditions to any applicant.

Producers of DTB systems or any component thereof are responsible for obtaining the appropriate licenses for any and all technology defined by the relevant standards and specifications referenced by this standard.

Issues surrounding the protection of intellectual property embodied in the works distributed as digital talking books are discussed in section 14, Digital Rights Management.

1.7 Maintenance Agency

(This section is informative.)

The maintenance agency designated in Appendix 7 will be responsible for reviewing and acting upon suggestions for modifications to this standard. Questions concerning the implementation of this standard and requests for information should be sent to the maintenance agency.

A list of errata relating to this standard will be maintained at http://www.loc.gov/nls/z3986/v100/errata.html.

2. Overview

(This section is informative.)

A digital talking book (DTB) is a collection of electronic files arranged to present information to the target population via alternative media, namely, human or synthetic speech, refreshable Braille, or visual display, e.g., large print. When these files are created and assembled into a DTB in accordance with this standard, they make possible a wide range of features such as rapid, flexible navigation; bookmarking and highlighting; keyword searching; spelling of words on demand; and user control over the presentation of selected items (e.g., footnotes, page numbers, etc.). Such features enable readers with visual and physical disabilities to access the information in DTBs flexibly and efficiently, and allow sighted users with learning or reading disabilities to receive the information through multiple senses. For a full discussion of these capabilities, see the "Document Navigation Features List" [Navigation Features], developed as the user requirements document on which this standard was based. A document written during the development of this standard, Theory Behind the DTBook DTD [DTBook Theory], also describes the navigational capabilities of a DTB in some detail. The content of DTBs will range from audio alone, through a combination of audio, text, and images, to text alone. Section 13 describes these various types of DTB.

DTB players will also be produced with a variety of capabilities. The simplest might be portable devices with audio-only capabilities. More complex portable players could include text-to-speech capabilities as well as audio output for recorded human speech. The most comprehensive playback systems are expected to be PC-based, supporting visual and audio output, text-to-speech capability, and output to a Braille display. The Playback Device Features List [Player Features] mentioned above presents the committee's priorities for a range of functions across three types of playback device.

The files comprising a DTB fall into ten categories, as described below:

Package File — The Package File, drawn from the Open eBook Publication Structure 1.0.1, contains administrative information about the DTB and the files that comprise it. A valid XML version 1.0 file, it contains a set of metadata describing the DTB, a list (the *manifest*) of the files that make up the DTB, and a *spine* that defines the default reading order of the document. See section 3, "Package File."

Textual Content File — A DTB may contain part or all of the text of the document, as an XML 1.0 file marked up in accordance with the document type definition (DTD) defined for this standard, dtbook.dtd. (See Appendix 1, "DTBook DTD.") The textual content file enables properly-configured playback devices to spell words on demand, carry out keyword searches, and permit finely-grained navigation. It may also be accessed directly via refreshable Braille display, synthetic speech, or screen-enlarging software. See section 4, "Content Format for Text."

Audio Files — A DTB may include human or synthetic speech recordings of the document, embodied in audio files encoded in one of a specified group of audio formats. Section 5, "Audio File Formats," presents the formats specified by this standard.

Image Files — In addition to text and audio, DTBs may include images which can be presented on players with visual displays. Section 6, "Image File Formats," lists the formats specified by this standard.

Synchronization Files — To synchronize the different media files of a DTB during playback, this standard specifies the use of the World Wide Web Consortium's (W3C) Synchronized Multimedia Integration Language (SMIL), SMIL 2.0 version, an XML 1.0 application. The DTB SMIL files define a sequence of media events. During each event, text elements and corresponding audio clips as well as any additional visual elements are presented simultaneously. DTB players utilize the synchronization information to both index into the audio presentation and to track, during audio playback, the corresponding position in the textual content file. This standard utilizes a subset of the full SMIL 2.0 specification. See section 7, "Synchronization of Media Files," for discussion of these issues and Appendix 2, "DTB-Specific SMIL DTD," for the DTD that defines the DTB SMIL application.

Navigation Control File — The DTB system supports two modes of navigation, global and local. Global navigation — movement by structure (chapter, section, subsection) and by other selected points such as pages, figures, or notes — is effected through the Navigation Control file for XML applications (NCX). The NCX presents a dynamic view of the document's hierarchical structure, allowing the user to move through the document in large steps corresponding to its major divisions, or in progressively smaller steps down to a limit set by the document's detail. Text, audio, and image elements present to the user the document's headings, and id-based links point to the SMIL presentation at the corresponding locations. Appendix 3 contains the XML 1.0 DTD for the NCX. Local (more finely-grained) navigation is not handled by the NCX but is enabled through the textual content file or SMIL file(s), or through time-based movement through the audio presentation, depending on the document and on the player. See section 8, " Navigation Control File (NCX)," and Appendix 3, "NCX DTD" for specifications related to the NCX.

Bookmark/Highlight File — This standard supports user-set, exportable bookmarks and highlights to which text and audio notes may be applied. Specifications for the XML 1.0 file for portable bookmarks and highlights are presented in section 9, "Portable Bookmarks and Highlights" and Appendix 4, "DTD for Portable Bookmarks/Highlights."

Resource File — The resource file contains or references various text segments, audio clips, and/or images that provide alternative representations of navigational information — for example, feedback on the user's current location in the document. It supplies information normally presented in a print book via typographical clues. See section 10, "Resource File," and Appendix 5, "DTD for Resource File" for file specifications.

Distribution Information File — Given the great size of audio files, even when heavily compressed, it will be common for large books to span several media units. Section 11, "Packaging Files for Distribution," describes how the "distInfo" file maps the location of each SMIL file to a specific media unit, e.g., disk 1 of 3. It also explains how, when several books are distributed on the same media unit, the distInfo file stores information about each book for presentation to the reader. Appendix 6, "Distribution Information DTD," presents the document type definition for "distInfo" files.

Presentation Styles — Section 12, "Presentation Styles," discusses how the presentation of a DTB in various media may be controlled through the use of optional style sheets.

3. The DTB Package File

(This section is normative.)

A DTB conforming to this standard must include exactly one Package File which must be a valid XML 1.0 document conforming to the Open eBook Forum (OEBF) 1.0.1 package DTD (oebpkg101.dtd) and its associated entity reference (oeb1.ent). The full specification, DTD, and entity reference for the OEBF package file are available for download from the OEBF site [OEBF]. The Package File must be named with the extension ".opf." If a DTB spans multiple media units, the identical Package File must be present on each media unit.

A Package File conforming to this standard must comply with all aspects of section 2 of the OEBF Publication Structure 1.0.1, with the following two exceptions:

- Section 2.3.1 does not apply. Specifically, there is no requirement on DTB authors or playback devices to implement the fallback mechanism for files that are not of the OEBF core MIME media types.
- 2. Section 2.4 of the Publication Structure states that the spine element may refer only to item elements of media type *text/x-oeb1-document*. In DTB applications, the spine must only reference items of media type *application/smil*.

(This section is informative.)

The Package File, drawn from the OEBF Publication Structure 1.0.1, contains administrative information about the DTB, the files that comprise it, and how these files interrelate. This section, drawn largely from the Publication Structure, provides only a brief summary of the function of each section with an example illustrating how it is applied to the DTB. Please see section 2 of the full OEBF Publication Structure 1.0.1 for complete details on the Package File.

The Publication Structure describes the major parts of the Package File as follows:

PACKAGE IDENTITY — a unique identifier for the OEB publication as a whole.

METADATA — Publication metadata (title, author, publisher, etc.).

MANIFEST — A list of files (documents, images, style sheets, etc.) that make up the publication. The manifest also includes fallback declarations for files of types not supported by this specification.

SPINE — An arrangement of documents providing a linear reading order.

TOURS — A set of alternate reading sequences through the publication, such as selective views for various reading purposes, reader expertise levels, etc.

GUIDE — A set of references to fundamental structural features of the publication, such as table of contents, foreword, bibliography, etc.

Here is an informal outline of the package file:

3.1 Package Identity

(This section is normative.)

The package must include a value for its unique-identifier attribute. This is required because more than one dc:Identifier may be present in a DTB's Package File metadata and the unique-identifier specifies which dc:Identifier element provides the package's primary identifier. The value of unique-identifier must match the id attribute of one and only one dc:Identifier element which is a descendant of the package element.

The primary identifier of the DTB must be globally unique.

```
(This section is informative.)
```

3.2 Publication Metadata

(This section is normative.)

This portion of the Package File contains the information about a DTB that would normally be found in a library catalog record. It includes data about the DTB itself (e.g., title, author, producer, format, and narrator) as well as information about the source publication (usually a print book) such as publisher, edition, copyright statement, etc.

The Package File must contain exactly one metadata element which must contain one and only one dc-metadata element holding Dublin Core [DC] metadata and must contain supplemental metadata in an x-metadata element. The x-metadata element must contain at least one instance of the meta element, which uses name and content attributes to define its value (see section 3.2.3, "x-Metadata").

3.2.1 Dublin Core Metadata

(This section is normative.)

The use of Dublin Core metadata within a compliant DTB must conform to the following description from the OEBF Publication Structure 1.0.1:

The dc-metadata element contains specific publication-level metadata as defined by the Dublin Core initiative (http://purl.org/dc/). The descriptions below are included for convenience, and the Dublin Core's own definitions take precedence (see http://www.ietf.org/rfc/rfc2413.txt).

The dc-metadata element can contain any number of instances of any Dublin Core elements. Dublin Core element names begin with the "dc:" prefix followed by a leading uppercase letter. Dublin Core metadata elements may occur in any order; in fact, multiple instances of the same element type (multiple dc:Creator elements, for example) can be interspersed with other metadata elements without change of meaning.

For upwards-compatibility, the element metadata in an OEB package is required to have an attribute of xmlns:dc=" http://purl.org/dc/elements/1.0/" and xmlns:oebpackage=" http://openebook.org/namespaces/oeb-package/1.0/" .

Following are brief definitions of the Dublin Core elements. See the Publication Structure and the Dublin Core itself for more complete descriptions. The attributes "xml:lang" and "id" can be applied to all "dc:..." elements. Additional attributes can be used with several elements as detailed below. Note that all Dublin Core element types may be repeated (occur more than once) within dc-metadata.

- dc:Title
 - Content: The title of the DTB.
 - Occurrence: Required
- dc:Creator
 - Content: Names of primary author or creator of the intellectual content of the publication.
 - Occurrence: Optional (not all documents have known creators) recommended
 - Added attributes:
 - role (optional) The function performed by the creator (e.g., author, editor). See Publication Structure for details on normative list of values.
 - file-as (optional) A normalized form of the contents, suitable for machine processing.

- dc:Subject
 - Content: The topic of the content of the publication.
 - Occurrence: Optional -recommended
- dc:Description
 - Content: Plain text describing the publication's content.
 - Occurrence: Optional
- dc:Publisher
 - Content: The agency responsible for making the DTB available. (Compare dtb:sourcePublisher and dtb:producer.)
 - Occurrence: Required
- dc:Contributor
 - Content: A party whose contribution to the publication is secondary to those named in dc:Creator.
 - Occurrence: Optional
 - Added attributes:
 - role (optional) The function performed by the contributor (e.g., translator, compiler). See Publication Structure for details on normative list of values.
 - file-as (optional) A normalized form of the contents, suitable for machine processing.
- dc:Date
 - Content: Date of publication of the DTB. (Compare dtb:sourceDate and dtb:producedDate.) In format from [ISO8601]; the syntax is YYYY[-MM[-DD]], with a mandatory 4-digit year, an optional 2-digit month, and if the month is present, an optional 2-digit day of month.
 - Occurrence: Required
 - Added attributes:
 - event (optional) Significant occurrence related to publication of the DTB.
 Allows repetition of dc:Date to describe, for example, multiple revisions. Best practice is to use dtb:revision and dtb:revisionDate instead.
- dc:Type
 - Content: The nature of the content of the DTB (i.e., sound, text, image). Best practice is to draw from the Dublin Core's enumerated list [DC-Type].
 - Occurrence: Optional
- dc:Format
 - Content: The standard or specification to which the DTB was produced. Values of dc:Format in a DTB conforming to this standard are valid only if they read "ANSI/NISO Z39.86-200x v1.0.0". [NOTE: The string "200x" will be changed to "2001" or "2002," as appropriate, upon approval of the standard.]
 - Occurrence: Required
- dc:Identifier
 - Content: A string or number identifying the DTB. One instance of this element, that which is referenced from the package unique-identifier attribute, must include an id.
 - Occurrence: Required
 - Added attributes:
 - scheme (optional) The name of the system or authority that generated or assigned the identifier. For example, "DOI," "ISBN," or "DTB."
- dc:Source
 - Content: A reference to a resource (e.g., a print original, ebook, etc.) from which the DTB is derived. Best practice is to use the ISBN when available.
 - Occurrence: Optional recommended
- dc:Language
 - Content: Language of the content of the publication. An [RFC 1766] language code. For Sweden: "sv" or "sv-SE", for UK: "en" or "en-GB", for US: "en" or "en-US", etc.

- Occurrence: Required
- dc:Relation
 - Content: A reference to a related resource.
 - Occurrence: Optional
- dc:Coverage
 - Content: The extent or scope of the content of the resource. Not expected to be used for DTBs.
 - Occurrence: Optional
- dc:Rights
 - Content: Information about rights held in and over the DTB. (Compare dtb:sourceRights.)
 - Occurrence: Optional

3.2.2 DTB ID Scheme

(This section is informative.)

Various schemes are available for identifying digital publications. In the DTB domain, the requirements for an identifier are simply to identify the publication in a manner that is highly likely to be globally unique. A major purpose of the uniqueness requirement is to prevent filename collisions among bookmark files.

To meet this base requirement, a simple DTB id scheme may be used. A DTB identifier under this scheme consists of a hyphen-separated string consisting of a two-letter country code drawn from [ISO 3166], an agency code unique within its country, and an identifier unique within the agency. For example, us-afb-x12345.

This scheme will provide a simple solution to the uniqueness requirement that will serve DTB-publishers' needs in the short term. In the longer term, as the requirements of a global library of alternative format materials become more important, other more sophisticated mechanisms should certainly be employed.

3.2.3 X-Metadata

(This section is normative.)

The following names were developed for the DTB application to supply information that the Dublin Core element set does not cover. These names may appear only within the x-metadata containing element, as values of the name attribute on the meta element. Each x-metadata name below is shown as either "Repeatable" (it may be used more than once) or "Not repeatable."

- dtb:sourceDate
 - Content: Date of publication of the resource (e.g., a print original, ebook, etc.) from which the DTB is derived. In format from [ISO8601]; the syntax is YYYY[-MM[-DD]], with a mandatory 4-digit year, an optional 2-digit month, and if the month is present, an optional 2-digit day of month.
 - Occurrence: Optional recommended. Not repeatable.
- dtb:sourceEdition
 - Content: A string describing the edition of the resource (e.g., a print original, ebook, etc.) from which the DTB is derived.
 - Occurrence: Optional recommended. Not repeatable.
- dtb:sourcePublisher
 - Content: The agency responsible for making available the resource (e.g., a print original, ebook, etc.) from which the DTB is derived. (Compare dc:Publisher.)
 - Occurrence: Optional recommended. Not repeatable.

- dtb:sourceRights
 - Content: Information about rights held in and over the resource (e.g., a print original, ebook, etc.) from which the DTB is derived. (Compare dc:Rights.)
 - Occurrence: Optional recommended. Not repeatable.
- dtb:sourceTitle
 - Content: The title of the resource (e.g., a print original, ebook, etc.) from which the DTB is derived. To be used only if different from dc:Title.
 - Occurrence: Optional. Not repeatable.
- dtb:multimediaType
 - Content: One of the six types of DTB described in section 13. Values are: audioOnly, audioNCX, audioPartText, audioFullText, textPartAudio, textNCX, textOnly.
 - Occurrence: Optional recommended. Not repeatable.
- dtb:narrator
 - Content: Name of the person whose recorded voice is embodied in the DTB.
 - Occurrence: Optional recommended. Repeatable.
- dtb:producer
 - Content: Name of the organization/production unit that created the DTB. (Compare dc:Publisher.)
 - Occurrence: Optional. Not repeatable.
- dtb:producedDate
 - Content: Date of first generation of the complete DTB. (Compare dc:Date.) In format from [ISO8601]; the syntax is YYYY[-MM[-DD]], with a mandatory 4-digit year, an optional 2-digit month, and if the month is present, an optional 2-digit day of month.
 - Occurrence: Optional. Not repeatable.
- dtb:revision
 - Content: Non-negative integer value of specific version of the DTB. Incremented each time the DTB is revised.
 - Occurrence: Optional. Not repeatable.
- dtb:revisionDate
 - Content: Date associated with specific dtb:revision. In format from [ISO8601]; the syntax is YYYY[-MM[-DD]], with a mandatory 4-digit year, an optional 2-digit month, and if the month is present, an optional 2-digit day of month.
 - Occurrence: Optional. Not repeatable.
- dtb:totalTime
 - Content: Total playing time of all SMIL files comprising the content of the DTB. Clock Values from SMIL 2.0 Timing and Synchronization Module [SMILCLOCK]. See Section 7.7, "Clock Values".
 - Occurrence: Required. Not repeatable.
- dtb:audioFormat
 - Content: A string describing the format in which the audio files in the DTB file set are written. If more than one audio format is used, this element may be repeated.
 - Occurrence: Optional, recommended for audio DTBs. Repeatable.
 - Formats specified in section 5 are shown below, followed by the normative value for dtb:audioFormat:
 - MPEG-4 AAC: MP4-AAC
 - MPEG-1/2 Layer III: MP3
 - Linear PCM, RIFF WAVE format: WAV (Values are not case-sensitive.)

```
(This example is informative)
```

```
Example 3.2:
. . .
<metadata>
    <dc-metadata xmlns:dc="http://purl.org/dc/elements/1.0/"</pre>
     xmlns:oebpackage="http://openebook.org/namespaces/oeb-
     package/1.0/">
        <dc:Title>Revised Standards and Guidelines of Service for
          the Library of Congress Network of Libraries for the
          Blind and Physically Handicapped 1995</dc:Title>
        <dc:Subject>library information networks</dc:Subject>
        <dc:Subject>libraries and the physically handicapped-
        standards-U.S.</dc:Subject>
        <dc:Subject>libraries and the blind-standards-U.S.
        </dc:Subject>
        <dc:Identifier id="uid" scheme="DTB">us-nls-db00001
        </dc:Identifier>
        <dc:Identifier scheme="DOI">10.1000/DX44998
        </dc:Identifier>
        <dc:Creator role="aut">American Library Association.
          Association of Specialized and Cooperative Library
          Agencies</dc:Creator>
        <dc:Publisher>National Library Service for the Blind and
          Physically Handicapped, Library of Congress
        </dc:Publisher>
        <dc:Date>2000-06-22</dc:Date>
        <dc:Source>0-8389-7797-9</dc:Source>
        <dc:Language>en</dc:Language>
        <dc:Format>ANSI/NISO Z39.86-200x v1.0.0</dc:Format>
        <dc:Description>A document developed to improve library
          service for blind and physically disabled persons by
          providing a tool for assessing the current status of
          those services and for developing long-range plans.
          </dc:Description>
        </dc-metadata>
        <x-metadata>
        <meta name="dtb:sourceDate" content="1995" />
        <meta name="dtb:sourcePublisher" content="American
          Library Association" />
        <meta name="dtb:sourceRights" content="copyright 1995,</pre>
          American Library Association" />
        <meta name="dtb:narrator" content="Lowenstein, Ralph" />
        <meta name="dtb:producer" content="American Foundation
          for the Blind" />
        <meta name="dtb:multimediaType" content="audioNcx" />
        <meta name="dtb:totalTime" content="06:22:34.143" />
    </x-metadata>
</metadata>
```

```
• • •
```

3.3 Manifest

(This section is normative.)

The manifest, which is a child of the package element, must contain a complete list of all of the files (documents, audio files, images, style sheets, etc.) that make up a given DTB, including the package file itself. The distInfo file and any associated audio changeMsgs are not considered part of the DTB and thus shall not be listed (See section 11, "Packaging Files for Distribution.") Each file is referenced by an item element. Each item must have an href attribute which is the URI of the referenced file and is unique within the manifest. This URI must not include fragment identifiers; if relative, it is interpreted as relative to the package file itself. Further, any relative URIs contained within an XML file listed in the manifest are considered to be relative to the referring file.

In addition, each item must have a media-type attribute containing the MIME media type of the file, and an id attribute. The id is utilized primarily when a manifest item is referenced by the spine. The manifest also includes fallback declarations for files of types not supported by this standard (see OEBF Publication Structure for details). Support for the fallback mechanism is not required by this standard. The NCX entry in the Package File manifest must have an id value equal to "ncx". The Resource File entry in the Package File manifest must have an id value equal to "resource". The item elements listing SMIL files in the manifest must have a media-type attribute of " application/ smil". The item elements for the NCX, textual content file(s), Package File, and Resource File must have media-type attribute values of " text/xml." The order of item elements within the manifest is not significant.

(This example is informative)

A sample manifest for a DTB with audio, structure, and text follows (multimediaType=audioFullText):

```
Example 3.3:
```

```
<manifest>
<manifest>
<item id="opf" href="rs.opf" media-type="text/xml" />
<item id="text" href="rs.xml" media-type="text/xml" />
<item id="text_style" href="dtbbase.css" media-type="text/css2" />
<item id="ncx" href="rs.ncx" media-type="text/xml" />
<item id="ncx_style" href="ncx16.css" media-type="text/css2" />
<item id="SMIL" href="rs.smil" media-type="audio/mp3" />
<item id="foreword" href="rs_fwdx.mp3" media-type="audio/mp3" />
<item id="standards" href="rs_app.mp3" media-type="audio/mp3" />
<item id="appendices" href="rs_index.mp3" media-type="audio/mp3" />
<item id="index" href="rs_index.mp3" media-type="audio/mp3" />
<item id="resource" href="rs.res" media-type="audio/mp3" />
<item id="resource" href="rs.res" media-type="audio/mp3" />
</manifest>
```

```
. . .
```

Here is a manifest for an audio-only version of the above DTB (multimediaType=audioNcx), where separate SMIL files were created for each segment of the book.

3.4 Spine

(This section is normative.)

The spine, a child of the package element, shall consist of a list of one or more itemref elements whose order defines the default linear reading order for the DTB. Each itemref must contain an idref which points to the id of a SMIL file listed in the manifest. Only SMIL files can be referenced by itemrefs in the spine. The itemrefs must be listed in the spine in the order in which the SMIL files are to be presented. A player must consult the spine when it reaches the end of a SMIL file to determine which file to render next.

(The following examples are informative.)

The first of the following examples shows the *spine* that corresponds to the first of the two manifest examples above:

The following spine matches the second manifest example above. The correct reading order is presented here. Note that it does not match the order of files in the manifest, where order is not significant.

3.5 Tours

(This section is normative.)

Compliant players are not required to support tours

(This section is informative.)

The tours element is an optional child of the package element. The OEBF Publication Structure describes tours as follows: "Much as a tour guide might assemble points of interest into a set of sightseers' tours, a content provider may assemble selected parts of a publication into a set of tours to enable convenient navigation. ... Reading systems may use tours to provide various access sequences to parts of the publication, such as selective views for various reading purposes, reader expertise levels, etc." Because of inherent differences between the structures of a DTB and the OEBF tours, it is not feasible to implement tours in a DTB prepared in accordance with this standard. If a producer wishes to provide the functionality described above, it may partially achieve it by producing customized navLists in the NCX.

3.6 Guide

(This section is normative.)

Compliant players are not required to support guides.

(This section is informative.)

As specified in the OEBF Publication Structure, the guide, a child of the package element, lists the key structural features of the DTB, such as the table of contents, introduction, bibliography, etc. to enable playback devices to provide convenient access to them. Because DTBs include a mandatory NCX that satisfies a more rigorous and detailed access requirement, the guide is not expected to be used in DTBs.

4. Content Format for Text

4.1 Introduction

(This section is normative.)

This standard defines an XML 1.0 Document Type Definition — DTBook — for markup of the textual content files of books and other publications presented in digital talking book format. To be compliant with this standard, a textual content file of a DTB must be a valid XML file conforming to dtbook100.dtd, which can be found in Appendix 1, "DTBook DTD." The version attribute on the dtbook element must be present and contain the value drawn from the above-named DTD. Parsers will not enforce the presence of this attribute, so other mechanisms must.

A DTB that includes textual content will, in most cases, contain only one textual content file. However, when necessary (with a very large book, for example), a DTB can contain multiple textual content files, each of which must be valid to the DTBook DTD.

DTB content producers may extend the base DTD by including one or more new elements or full modules for special situations. To remain conformant with this standard, such extensions of the DTD must employ the mechanisms specified by XML 1.0. See section 4.2.2, "Modular Extension of the DTD."

4.2 Using the DTBook Element Set

(This section is informative.)

A document developed during the creation of this standard, Theory Behind the DTBook DTD [DTBook Theory], discusses the rationale underlying the DTBook element set and the benefits it provides to digital talking book applications.

An alphabetical listing of the DTBook elements, with definitions, is included in section 4.3. Two documents external to this standard provide detailed information on the use of the element set. First, an expanded version of the DTD, in HTML format, (see [DTBook HTML]) provides full detail on each element, describing where it can be used and which elements can be used within it, along with an expanded list of attributes.

Second, a comprehensive set of guidelines for applying DTBook markup is available from the DAISY Consortium. These Structure Guidelines [StructGuide] describe the correct application of the DTBook element set, emphasize the importance of capturing the structure of the text content, and provide detailed examples of the use of all DTBook elements.

The DTBook element set has considerable application outside of the digital talking book as well. It was designed to enable the production of documents in a variety of accessible formats. At least one U.S. Braille translation software package has implemented a facility that imports DTBook documents and automatically translates and formats them in Grade 2 Braille. It is expected that similar automated processes will be developed for converting properly marked-up documents into large print and for rendering DTBook documents in Braille, synthetic speech, and large print " on the fly." Finally, an attribute called " showin" is incorporated in the DTBook element set to control the display of selected segments of a DTBook document. For example, descriptions of a graph might vary between Braille and large print editions; " showin" could allow only the appropriate version to show in each edition, although both would be present in the DTBook document.

This standard does not mandate the degree of markup to be applied to a textual content file. However, the richer the markup, the greater the functionality available to the reader.

For more information on XML 1.0 markup and DTD usage, see the W3C XML site [XML].

4.2.1 DTBook Markup Related to SMIL

(This section is normative.)

To ensure efficient player operation with DTBs containing textual content files, the smilref attribute must be present and non-empty for each element in the textual content file referenced by a SMIL file. The smilref value shall normally be the uri of the SMIL time container (par or seq) containing the media object that references a given element. However, in a text-only DTB consisting of a sequence of text media objects, smilref contains the uri of the media object that references the element. The smilref attribute permits the DTB player to resume SMIL-based playback following text-based navigation, full-text searches, etc.

4.2.2 Modular Extension of the DTD

(This section is informative.)

The DTBook DTD includes a base set of elements for use in marking up a broad range of material. Additional modules containing elements for specialized applications such as poetry, plays, dictionaries, mathematics, etc. can be "invoked" from within a DTBook document when needed, as described below. A DTBook document is an XML application. Therefore it should begin with the XML declaration identifying the version of XML, and the optional character set encoding (see Appendix 1, "DTBook DTD" for more information):

```
<?xml version="1.0" encoding="UTF-8" ?>
```

This is followed by the document type declaration:

```
<!DOCTYPE dtbook SYSTEM "dtbook100.dtd" >
```

For discussion of other ways of expressing the DOCTYPE, see section 2.2 of Appendix 1, "DTBook DTD."

A book can invoke other DTDs or modules to augment the DTBook DTD by adding instructions in square brackets before the concluding ">" of the document type declaration. Such instructions in square brackets are called the "internal subset of declarations." For example:

The first line of the internal subset declares an entity known as "dramaModule" and provides the URI where that module can be found. The second line invokes this entity, that is "brings it into" the current document, just as the DOCTYPE declaration invoked the base DTD (dtbook100.dtd). The third line declares the entity "% externalblock" and gives it the value "drama." Since dtbook100.dtd contains an entity of the same name, and the internal subset overrules the base (external) DTD (dtbook100.dtd) in areas of conflict, everywhere in dtbook100.dtd where %externalblock; appears (that is, wherever block elements are allowed), the value "drama" is added. Since drama is the root element in the drama module, the full drama module can be used there. Similarly, the last line effectively allows the element stagedir to be used anywhere %externalinline; is allowed in dtbook100.dtd (wherever inline elements can be used).

More than one module may be needed and included in a book. In the following example, both a poetry and drama module are invoked, as well as one inline element (stagedir) from the drama module.

```
[
    <!ENTITY % poemModule "http://www.xyz.org/poem.dtd" >
    %poemModule;
    <!ENTITY % dramaModule "http://www.xyz.org/drama.dtd" >
    %dramaModule;
    <!ENTITY % externalblock "| poem | drama" >
    <!ENTITY % externalinline "| stagedir">
    ]>
```

See section 3 of Appendix 1, "DTBook DTD" for a more detailed discussion of this issue.

4.3 DTBook Elements

(This section is informative.)

The element names from DTBook are listed below in alphabetical order. The description provided for each element is taken directly from the DTBook DTD.

- a contains an anchor, which is used to reference another location, within the same or another <dtbook>.
- abbr designates an abbreviation, a shortened form of a word. For examples: Mr., approx., lbs., rec'd.
- acronym marks a word formed from key letters (usually initials) of a group of words. For examples: UNESCO, NATO, XML.
- address contains a location at which a person or agency may be contacted. By use of <line> to contain content of the individual lines, the class attribute can be used to identify the content of that <line>. For example, class values might include: name, address, region: (state. province, etc.), country, location code: (zip code, provincial code, etc.), phone, fax, email, etc.
- annoref marks a text segment that references an <annotation>. Each <annoref> is usually a word, phrase, or whole line that is part of the surrounding text (identified in the original print book by bolding, italics, etc.). It should not normally be allowed to be turned off in a DTB application.
- annotation is a comment on or explanation of a portion of a printed book. It differs from <note> in that an <annotation> is usually set in the margin or on a facing page, often with no explicit reference to it inserted in the text. Any local reference to <annotation id="xxx" > is by <annoref idref="#xxx" >.
- author identifies the writer of a work other than this one. Contrast with <docauthor> which identifies the author of this work. <author> typically occurs within <blockquote> or <cite>.
- bdo is used in special cases where the automatic actions of the bi-directional algorithm would result in incorrect display.
- blockquote indicates a block of quoted content that is set off from the surrounding text by paragraph breaks. Compare with <q> which marks short, inline quotations.
- bodymatter consists of the text proper of a book, as opposed to preliminary material <frontmatter> or supplementary information <rearmatter>.
- book surrounds the actual content of the document, which is divided into <frontmatter>, <bodymatter>, and <rearmatter>. <head>, which contains metadata, precedes <book>.
- br marks a forced line break.
- caption describes a or . If used with it must follow immediately after the start tag. If used with or <imggroup> it is not so constrained.

- cite marks a reference (or citation) to another document. <cite> may occur within an ... should that other document be available in the same dtbook distribution.
- code designates a fragment of computer code.
- col is a means to apply attribute values to a column of a .
- colgroup groups adjacent columns <col> that are semantically related. The <col> in a <colgroup> may inherit attribute values from it, or an enclosing parent, such as <thead>, <tfoot>, or , or within a .
- dd marks a definition of a term within a definition list.
- dfn marks the first occurrence of a word or term that is defined or explained there or elsewhere in <book>. Often <dfn> is rendered in italics, sometimes in parentheses.
- div is a generic container for subdivisions of a book. The <level1> ... <level6> hierarchy, or the <level> tag used recursively, should mark the major hierarchical structures of a book, while <div> is used in less formal circumstances or when for production purposes it is desired that a structure should be treated differently. The class attribute value identifies the actual name (e.g., part, chapter, letter) of the structure it marks. Compare with which is used in inline settings.
- dl contains a definition list, usually consisting of pairs of terms <dt> and definitions <dd>. Any definition can contain another definition list.
- docauthor marks each author or editor of this work. Compare with <author>, used to mark the author of another work, within <blockquote> or <cite>.
- doctitle marks the title of the book within <frontmatter>. By convention it should appear only once, usually first. Within <head> is <title> whose contents are generally the same.
- dt marks a term in a definition list.
- dtbook is the root element in the Digital Talking Book DTD. <dtbook> contains metadata in <head> and the contents itself in <book>.
- em indicates emphasis. Usually is rendered in italics. Compare with .
- frontmatter contains preliminary material enclosed in appropriate <level> or <level1>. Content may include <doctitle>, <docauthor> copyright notice, foreword, acknowledgments, table of contents, etc. <frontmatter> serves as a guide to the content and nature of a <book>.
- h1 contains the text of the heading for a <level1> structure.
- h2 contains the text of the heading for a <level2> structure.
- h3 contains the text of the heading for a <level3> structure.

Page 20 DRAFT STANDARD SUBJECT TO CHANGE

- h4 contains the text of the heading for a <level4> structure.
- h5 contains the text of the heading for a <level5> structure.
- h6 contains the text of the heading for a <level6> structure.
- hd marks the text of a heading in a <list> or <sidebar>.
- head contains metainformation about the book but no actual content of the book itself, which is placed in <book>. This information is consonant with the <head> information in xhtml, see [XHTML11STRICT]. Other miscellaneous elements can occur before and after the required <title>. By convention <title> should occur first.
- hr is an empty element indicating a horizontal rule. May be used to indicate a break in the text where only blank lines, a row of asterisks, a horizontal line, etc. are used in the print book.
- img marks a visual image. An will generally contain a longdesc, a pointer to the related <prodnote>. The referencing is typically of the form <caption imgref="#yyy" >The Caption </caption> for the printed caption of the .
- imggroup provides a container for or images and associated <caption> and <prodnote>. <prodnote> may contain a description of the image. The content model allows: 1) multiple if they share a caption, with the ids of each in the <caption idref="id1 id2 ...">, 2) multiple <caption> if several captions refer to a single where each caption has the same <caption idref="xxx">, 3) multiple <prodnote> if different versions are needed for different media (e.g., large print, braille, or print.)
- kbd designates information that the reader is to input directly into a computer using the keyboard.
- level is an alternative tag for marking the major structures in a book. It may be used recursively, i.e., repeated indefinitely with each successive occurrence nesting within the previous. It may also be included in a subsequent higher level. Subordinate levels have greater depth. Contrast with the explicit <level1>...<level6> elements, which may not be intermixed with <level>.
- level1 is the highest level container of major divisions of a book. Used in <frontmatter>, <bodymatter>, and <rearmatter> to mark the largest divisions of the book (usually parts or chapters), inside which level2 subdivisions (often sections) may nest. The class attribute identifies the actual name (e.g., part, chapter) of the structure it marks. Contrast with <level>.
- level2 contains subdivisions that nest within <level1> divisions. The class attribute identifies the actual name (e.g., subpart, chapter, subsection) of the structure it marks.
- level3 contains sub-subdivisions that nest within <level2> subdivisions (e.g., subsubsections within subsections). The class attribute identifies the actual name (e.g., section, subpart, sub-subsection) of the subordinate structure it marks.

- level4 contains further subdivisions that nest within <level3> subdivisions. The class attribute identifies the actual name of the subordinate structure it marks.
- level5 contains further subdivisions that nest within <level4> subdivisions. The class attribute identifies the actual name of the subordinate structure it marks.
- level6 contains further subdivisions that nest within <level5> subdivisions. The class attribute identifies the actual name of the subordinate structure it marks.
- levelhd contains the text of a heading within <level>. Corresponds to <h1> through <h6> used in <level1> through <level6>.
- li marks each list item in a <list>. content may be either inline or block and may include other nested lists. Alternatively it may contain a sequence of list item components, <lic>, that identify regularly occurring content, such as the heading and page number of each entry in a table of contents.
- lic ("list item component") allows ordered substructure within a list item . Used when a list item is made up of two or more components, as in a table of contents entry. The same number of should occur in each . If not, correspondence of in different is in order of occurrence for the current writing direction of the .
- line marks a single logical line of text. Often used in conjunction with linenum> in documents with numbered lines.
- linenum contains a line number in, for example, in legal text.
- link is an empty element appearing in the <head> section of a document that establishes a connection between the current document and another document. The <link> element conveys relationship information (for example, "next" and "previous") that may be rendered by user agents in a variety of ways.
- list contains some form of list, ordered or unordered. The list may have intermixed heading <hd> (generally only one, possibly with <prodnote>) and an intermixture of list items and <pagenum>. If bullets and outline enumerations are part of the print content, they are expected to prefix those list items in content, rather than be implicitly generated. Note: XHTML has explicit list element types: of for ordered, and ul for unordered.
- meta indicates metadata about the book. It is an empty element that may appear repeatedly only in <head>.
- note marks a footnote, endnote, etc. Any local reference to <note id=" yyy" > is by <noteref idref=" #yyy" >.
- noteref marks one or more characters that reference a footnote or endnote <note>. Contrast with <annoref>. Either may be independently skippable.
- notice contains a warning, caution, or other type of admonition normally found in the margin of a book. In contrast with <sidebar> a <notice> must be presented at a specific location within the text. Its presentation is not optional.

Page 22 DRAFT STANDARD SUBJECT TO CHANGE

- p contains a paragraph, which may contain subsidiary <list> or <dl>.
- pagenum contains one page number as it appears from the print document, usually inserted at the point within the file immediately preceding the first item of content on a new page.
- prodnote contains language added to the alternative-format version by the producer; commonly used to: 1) provide descriptions of one or more visual elements such as charts, graphs, etc. 2) supply operating instructions 3) describe differences between the print book and the audio version.
- q contains a short, inline quotation. Compare with
blockquote> which marks a longer quotation set off from the surrounding text.
- rearmatter contains supplementary material such as appendices, glossaries, bibliographies, and indices. It follows the <bodymatter> of the book.
- samp contains a sample of work created by the author for use as an example or template. For example, a sample business letter, resume, or computer program output, or form.
- sent marks a sentence.
- sidebar contains information supplementary to the main text and/or narrative flow and is often boxed and printed apart from the main text block on a page. It may have a heading <hd>.
- span is a generic container for use in inline settings when no specific tag exists for a given situation. The class attribute may describe the nature of the text it marks (e.g., a typographical error). May be used to mark a class of items to which styles are to be applied. Compare with <div> which is used in block settings. #PCDATA following an inline can be given an id for resumed playing by putting it in a .
- strong marks stronger emphasis than . Visually is usually rendered bold.
- style provides the means to include styling information that applies to the book. It may appear only in <head>. It may include CDATA sections.
- sub indicates a subscript character (printed below a character's normal baseline). Can be used recursively and/or intermixed with <sup>.
- sup marks a superscript character (printed above a character's normal baseline). Can be used recursively and/or intermixed with <sub>.
- table contains cells of tabular data arranged in rows and columns. A may have a <caption>. It may have descriptions of the columns in <col>s or groupings of several <col> in <colgroup>. A simple may be made up of just rows . A long table crossing several pages of the print book should have separate <pagenum> values for each of the pages containing that indicated on the page where it starts. Note the logical order of optional <thead>, optional <tfoot>, then one or more of either or just rows . This order accommodates simple or

large, complex tables. The <thead> and <tfoot> information usually helps identify content of the rows, For a multiple-page print the <thead> and <tfoot> are repeated on each page, but not redundantly tagged.

- tbody marks a group of rows in the main body of a . If the is divided into several sections, each consisting of a number of rows, each section would be separately tagged with . The same <thead> and <tfoot> apply to every section.
- td indicates a table cell containing data.
- tfoot marks footer information in a , consisting of one or more rows , usually of cells. On multiple-page printed tables, <tfoot> rows are repeated at the bottom of the first page of the and its continuation on other pages.
- th indicates a table cell containing header information.
- thead marks header information in a , consisting of one or more rows of cells. On multiple-page printed tables, <thead> rows are repeated at the top of the and on top of its continuation on other pages.
- title contains the title of the book but is used only as metainformation in <head>. Use <doctitle> within <book> for the actual book title, which will usually be the same.
- tr marks one row of a containing or cells. The values for %cellhalign; and %cellvalign; provide default values for and in the row, overriding any from <col>.
- w marks a word.

5. Audio File Formats

5.1 Distribution Formats

(This section is normative.)

A set of audio file formats is listed below. A compliant audio player must be capable of decoding at least one of the formats listed. It is strongly recommended that players be able to decode all listed formats. Content compliant with this standard must be delivered in one of the formats below, or any mixture of them. The file extensions shown for each format must be utilized in audio filenames in compliant DTBs. Values are not case-sensitive.

It is permissible for parts of a single book to be encoded in different audio formats. For example, a producer may choose to encode a lengthy bibliography at a lower bitrate or with a different codec than the main body of the book. Players must support transitions between differently encoded sections smoothly. There is no restriction on the granularity of these parts, i.e. they may occur at any point in the SMIL presentation.
Support for multi-channel rendering is not required. Stereo signals must be recognized and rendered at least in monaural format.

A compliant DTB player that provides audio output should be capable of decoding the following audio formats:

- MPEG-4 AAC [MPEG] ISO/IEC 14496-3. File extension: .aac
- MPEG-1/2 Layer III (MP3) [MPEG] ISO/IEC 11172-3, ISO/IEC 13818-3. File extension: .mp3
- Linear PCM RIFF WAVE format [RIFFWAV]. File extension: .wav File header contains information about sample rate, number of channels, etc. Players are required to handle monaural WAVE files with single 'fmt' and 'data' subchunks only, and are not required to support any other RIFF media types.

While the ISO standards for MP3 and AAC require support for variable bitrate playback, players compliant with this standard are only required to support constant bitrate playback.

Players must support sample rates of 44.1, 22.05, and 11.025 kHz at a depth of 16 bits per sample. Compressed audio must be encoded such that the output sampling rate is restricted to one of the above three rates.

5.2 Formats for Audio Notes

(This section is normative.)

Audio players capable of recording and exporting audio notes for bookmarks and highlights must support encoding in the following format or one of the formats specified in section 5.1. Audio players capable of importing bookmarks and highlights must support decoding of the following format.

• ADPCM - ITU-T G.726

Communication quality at 40,32,24 or 16 kbps. Encoder and decoder are simple to implement. File extension shall be: .726

6. Image File Formats

(This section is normative.)

Images included in DTBs must be presented in one or more of the following formats. Compliant playback devices that support image display must be capable of displaying the following image formats: JPEG (JFIF V 1.02) [JPEG] and PNG [RFC 2083]. Support for Scalable Vector Graphics [SVG] is recommended. Appendix 8 of the SVG specification addresses accessibility issues.

7. Synchronization of Media Files

7.1 Introduction

7.1.1 Background

(This section is informative.)

The Synchronized Multimedia Integration Language (SMIL 2.0) [SMIL] was developed by the World Wide Web Consortium as a standard for definition and playback of multimedia presentations over the Internet. SMIL defines the sequence of playback for one or more media objects. In the case of DTBs, the primary media objects are audio and textual content files; SMIL provides for their parallel and synchronized presentation. Any DTB constructed using SMIL, and utilizing content encoded in standard text and audio media types, is playable on any device or platform which has implemented a SMILconformant player of the same or later SMIL version, so long as the necessary audio and textual rendering decoders are present.

What distinguishes a DTB playback system from a basic SMIL player is the inclusion of specific navigation and presentational capabilities set out in the user requirements for DTBs ([Navigation Features]). These capabilities can utilize information from an NCX file, from the textual content, and/or from the SMIL file itself. The key to this information is the inclusion of unique identifiers within the textual content (when present) and SMIL files. Audio files are indexed by time-based positions and in themselves contain no embedded semantic structure. To provide semantic structure to audio content, it is necessary to associate time-points in the audio file with the corresponding position within the textual content. This is achieved using SMIL through the pairing of a pointer to a specific position within a textual content. In the case of the DTB SMIL application, each synchronization point within the SMIL file is assigned a unique identifier. The presence of these identifiers within both the textual content and the SMIL allows navigation to occur by several different methods, as determined by the playback system.

SMIL incorporates a control structure called customTests, which allows SMIL authors to identify by class selected elements of a document (e.g., notes, page numbers, line numbers). The playback device can then expose to the user the presence of these classes and allow the user to select whether a given class of elements is to be read or skipped over during sequential playback.

The DTB producer determines granularity of the synchronization events. Synchronization events may be limited to the primary structural elements (those indicated in the NCX) or may be augmented in books with full textual content to include synchronization down to paragraph, sentence, or even word level. The requirement for this level of synchronization is that the textual content include mark-up tags for the desired elements, and that those elements include unique identifiers that can be referenced in the SMIL files.

The SMIL file for a DTB typically will consist of a sequence of parallel events (e.g., text and audio (and possibly image) events occurring simultaneously). SMIL represents this structure through the use of the "time containers" seq (sequence of media objects) and par (parallel time grouping in which multiple media objects play back at the same time). A simple form of DTB SMIL file would be as follows, where the three pars shown are played one after the other, and the text and audio content referenced in each par are rendered simultaneously:

```
<smil>
    ...
<seq>
<par><text.../><audio.../></par>
<par><text.../><audio.../></par>
<par><text.../><audio.../><img.../></par>
</seq>
...
</smil>
```

7.1.2 SMIL Modules

(This section is informative.)

Synchronization of media objects in this standard is based on the SMIL 2.0 Specification. Developers are requested to reference SMIL 2.0 [SMIL] for complete background and details. Only a small subset of the SMIL specification is utilized in this implementation, drawing from the following modules, which are grouped by functional area. Modules marked with asterisks are used in whole or in part in this application; the others are not utilized but are included because they are part of a core set of modules required for *host language conformance* under W3C modularization guidelines.

- Timing
 - *BasicInlineTiming
 - MinMaxTiming
 - SyncbaseTiming
 - EventTiming
 - *BasicTimeContainers
- Content Control
 - *BasicContentControl
 - *CustomTestAttributes
 - SkipContentControl
- Layout
 - *BasicLayout
- Linking
 - *BasicLinking
 - *LinkingAttributes
- Media Objects
 - *BasicMedia
 - *MediaClipping
- Metainformation
 - *Metainformation
- Structure
 - *Structure

The modules mentioned above can be combined, using W3C modularization guidelines, to form a *profile* specific to DTB applications. Section 2 of the SMIL specification, "The SMIL 2.0 Modules," describes this process in detail.

7.2 Application of SMIL to DTBs

(This section is normative.)

To simplify validation using commonly available parsers and to lessen the complexity of determining content models and applicable attribute lists, a DTB-Specific SMIL DTD is

included in this standard in Appendix 2. This DTD includes only those elements and attributes from the modules listed above that are required for the DTB application. In addition, it is more restrictive than the SMIL modules in that id attributes are often required in the DTB application when they are implied in the SMIL modules.

A compliant DTB must contain at least one SMIL file. All SMIL files included in a DTB must be valid XML documents conforming to dtbsmil100.dtd. The version attribute on the smil element must be present and contain the value drawn from the above-named DTD. Parsers will not enforce the presence of this attribute, so other mechanisms must.

Time containers (seqs or pars) within SMIL files must contain ids. Media objects (audio, text, and img) may also contain ids, although this practice will generally be limited to single-medium DTBs. See section 7.4.11, "Text-Only DTBs."

In the textual content file, each segment to be synchronized (e.g., heading, paragraph, list item, etc.) must be contained within an element carrying a unique id to which the corresponding SMIL segment points. In addition, any textual content file element referenced by a SMIL file must include a smiller attribute specifying the uri of the time container or media object that references it. The smillef value shall normally be the uri of the SMIL time container containing the media object that references a given element. However, in a text-only DTB consisting of a sequence of text media objects, smillef shall contain the uri of the referencing media object itself. See section 4.2.1, "DTBook Markup Related to SMIL."

To ensure efficient player operation with DTBs containing textual content files, the smilref attribute must be present and non-empty for each element in the textual content file referenced by a SMIL file. The smilref value shall normally be the uri of the SMIL time container (par or seq) containing the media object that references a given element. However, in a text-only DTB consisting of a sequence of text media objects, smilref contains the uri of the media object that references the element. The smilref attribute permits the DTB player to resume SMIL-based playback following text-based navigation, full-text searches, etc.

It is strongly recommended that the SMIL file(s) have a level of granularity matching that of the textual content file. That is, if the textual content file is marked up to the paragraph level, the SMIL file(s) should include synchronization to the paragraph level.

All time offsets in SMIL files (and all other applicable DTB files, e.g., NCX clipBegin/clipEnd, bookmark timeOffsets, etc.), are based on normal play speed. In order to maintain synchronization, a player must process time offsets independently of actual playback speed.

7.3 SMIL Elements

(This section is informative.)

As mentioned above, the DTB application utilizes only a portion of the elements and attributes that make up the modules in the DTB SMIL Profile. Playback devices compliant with this standard need support only the following SMIL elements and attributes, which make up the DTB-Specific SMIL DTD.

<smil>

```
Description: The root element of a SMIL 2.0 file is smil. The smil element contains exactly one head and exactly one body.
```

Declaration: <!ELEMENT smil (head, body) >

Syntax: <smil>...content...</smil>

Attributes:

- version (CDATA, FIXED) "1.0.0": Specifies the version of the DTD used in this instance. Three digits, with decimal point separators; digits one, two and three will be updated to reflect major, moderate and minor changes to the DTD, respectively. This attribute must be present, but parsers will not enforce its presence, just its value.
- %Core.attrib; See section 7.3.1, "Core Attributes."
- xml:lang (NMTOKEN, IMPLIED)

Valid inside: None

• <head>

Description: Contains information not directly related to the temporal presentation: metadata (using meta element), optional layout, and optional customAttributes, in that order.

Declaration: <!ELEMENT head ((meta)*, (layout)?,</pre>

(customAttributes)?) >

Syntax: <head>...content...</head>

Attributes:

• %Core.attrib; See section 7.3.1, "Core Attributes."

xml:lang (NMTOKEN, IMPLIED)

Valid inside: <smil>

• <meta>

Description: Contains information describing the SMIL document. Syntax: <meta ...attributes... /> Declaration: <!ELEMENT meta EMPTY > Attributes: • content (CDATA, #IMPLIED)

- name (CDATA, #REQUIRED)
- Valid inside: <head>

Comments: See section 7.5, "SMIL Metadata" for normative content.

<layout>

Description: Controls (through the region elements it contains) where on a visual, audio, or tactile rendering space various producer-defined elements, e.g., figures, text, footnotes, etc. are displayed. Declaration: <!ELEMENT layout (region)+ > Syntax: <layout>...Content...</layout> Attributes:

%Core.attrib;

- xml:lang (NMTOKEN, IMPLIED)
- Valid inside: <head>

Comments: Syntax is restricted. See section 7.4.6, "Layout Syntax" for normative content.

<region>

Description: Controls the position, size, and scaling of media objects (e.g., text, img).
Declaration: <!ELEMENT region EMPTY >
Syntax: <region ...attributes... />
Attributes:

• id (ID, REQUIRED) Value of region attribute on media object references the id on appropriate region element.

- bottom (CDATA, 'auto') Locates region in display space. See SMIL 2.0 for details.
- left (CDATA, 'auto') Locates region display space. See SMIL 2.0 for details.
- right (CDATA, 'auto') Locates region in display space. See SMIL 2.0 for details.
- top (CDATA, 'auto') Locates region in display space. See SMIL 2.0 for details.
- height (CDATA, 'auto') Locates region in display space. See SMIL 2.0 for details.
- width (CDATA, 'auto') Locates region in display space. See SMIL 2.0 for details.
- fit ((hidden|fill|meet|scroll|slice) 'hidden') Specifies behavior if the intrinsic height and width of a visual media object differ from those of the region which it is displayed. See SMIL 2.0 for definitions of attribute values.
- **backgroundColor (CDATA, IMPLIED)** Sets background color of the area of the region that is not covered by the media object(s) being displayed.
- showBackground ((always|whenActive) 'always') Controls whether the backgroundColor of a region is shown when no media is being rendered to the region. See SMIL 2.0 for definitions of attribute values.

• z-index (CDATA, IMPLIED) Used for control of multilayered displays. Valid inside: <layout>

Comments: All media objects whose region attribute references the id on a given region element will be displayed in that region.

<customAttributes>

Description: Contains one or more customTests which allow the producer to specify kinds of structures that the user can choose to have automatically rendered or skipped.

Declaration: <!ELEMENT customAttributes (customTest)+ >
Syntax: <customAttributes>...Content...</customAttributes>
Attributes:

- %Core.attrib; See section 7.3.1, "Core Attributes."
- xml:lang (NMTOKEN, IMPLIED)
- Valid inside: <head>

Comments: See section 7.4.3, " 'Skippable' Structures" for normative content.

<customTest>

Description: Defines the kinds of structures (e.g., page numbers, notes, line numbers, etc.) which the user can choose to have presented or skipped during normal playback of a DTB. See definition of customTest attribute for par and seq below.

Declaration: <!ELEMENT customTest EMPTY >
Syntax: <customTest ...attributes... />
Attributes:

- id (ID, REQUIRED) Id here serves as a unique identifier referenced by a customTest attribute on par or seq in body of SMIL.
- class (CDATA, IMPLIED)
- title (CDATA, IMPLIED)
- xml:lang (NMTOKEN, IMPLIED)
- defaultState ((true|false) 'false') Specifies whether player will render (value = true) or skip (value = false) the structure during sequential playback. If no value is present, the default is false and the content is skipped.
- override ((visible|hidden) 'hidden') Specifies whether the playback device should present to (value = "visible") or hide from (value = "hidden") the reader the ability to override the setting of defaultState. See section 7.4.3, "'Skippable' Structures" for normative content.

Valid inside: <customAttributes>

• <body>

Description: Contains the time containers that define the temporal presentation. **Declaration**: <!ELEMENT body (par|seq|text|audio|img|a)+ > Syntax: <body>...content...</body>

Attributes:

• %Core.attrib; See section 7.3.1, "Core Attributes.."

• xml:lang (NMTOKEN, IMPLIED)

Valid inside: <smil>

Comments: The body contains zero or more seqs or pars and may also directly contain zero or more media objects (text, audio,)img links (a).

<seq>

Description: Container for a sequence of SMIL events, e.g., a series of pars and seqs.

Declaration: <!ELEMENT seq (par|seq|text|audio|img|a)+ >
Syntax: <seq>...Content...</seq>

- Attributes:
- id (ID, REQUIRED):
- class (CDATA, IMPLIED): Used for several purposes: First, to identify structures such as tables, lists, and notes, from which a reader can "escape" with a single action. Second, to identify structures such as tables and lists for which special navigation functions should be automatically invoked when entered. To be effective for these applications, the class attribute will be valued with element names drawn from the DTBook DTD, e.g., "table," "list," and "note."
- customTest (IDREF, IMPLIED): ID reference linking seq with matching customTest element in head.
- dur (CDATA, IMPLIED) The duration of the seq. It uses the same attribute value syntax as begin.

Valid inside: body, seq, par

Comments: It is permissible to nest seqs.

r>

Description: Parallel time grouping in which multiple elements (e.g., text, audio, and image) play back simultaneously.

Declaration: <!ELEMENT par (seq|text|audio|img|a)+ >
Syntax: <par>...content...</par>
Attributes:

Attributes:

- id (ID, REQUIRED):
- class (CDATA, IMPLIED): Used for several purposes: First, to identify structures such as tables, lists, and notes, from which a reader can "escape" with a single action. Second, to identify structures such as tables and lists for which special navigation functions should be automatically invoked when entered. To be effective for these applications, the class attribute will be valued with element names drawn from the DTBook DTD, e.g., "table," "list," and "note."
- customTest (IDREF, IMPLIED): ID referencing matching customTest element in head.

Valid inside: body, seq

Comments: See section 7.4.7, "Content of pars" for normative content.

• <text>

Description: Points to segment of textual content to be rendered. Declaration: <!ELEMENT text EMPTY > Syntax: <text ...attributes... /> Attributes:

• id (ID, IMPLIED): Optional identifier.

- src (CDATA, REQUIRED): URI of fragment of textual content file to be rendered.
- type (CDATA, IMPLIED): Type of media file.
- region (CDATA, IMPLIED): Specifies the region (defined in layout in document head) in which the text will be presented. References the id of the appropriate region. All types of text objects which are to appear in the same rendering space should be assigned the same value for region. For example, page numbers and producer's notes might both be displayed in the main text area of a screen (region="text"), while notes (e.g., footnotes) might be displayed in a separate area at the bottom of the screen (region="notes").

Valid inside: body, par, seq

<audio>

Description: Points to segment of audio content to be rendered. Declaration: <!ELEMENT audio EMPTY > Syntax: <audio...attributes... /> Attributes:

- id (ID, IMPLIED): Optional identifier.
- src (CDATA, REQUIRED): URI of audio file containing clip to be rendered.
- type (CDATA, IMPLIED): Type of media file.
- clipBegin (CDATA, IMPLIED): Specifies the beginning of a segment of a continuous audio file as a time offset from the start of the audio file. The value syntax is defined by the SMIL 2.0 Timing and Synchronization Module [SMILCLOCK] See Section 7.7, "Clock Values."
- clipEnd (CDATA, IMPLIED): Specifies the end of a segment of a continuous audio file as a time offset from the start of the audio file. It uses the same attribute value syntax as clipBegin.
- region (CDATA, IMPLIED): Specifies the region (defined in layout in document head) in which the audio object will be presented. References the id of the appropriate region.

Valid inside: body, par, seq

Comments: See section 7.4.8, "Playback of Audio Clips" for normative content.

Description: Points to image to be rendered. Declaration: <!ELEMENT img EMPTY > Syntax: <image...attributes... /> Attributes:

- id (ID, IMPLIED): Optional identifier.
- src (CDATA, REQUIRED): URI of image file to be rendered.
- type (CDATA, IMPLIED): Type of media file.
- region (CDATA, IMPLIED): Specifies the region (defined in layout in document head) in which the image will be presented. References the id of the appropriate region.

Valid inside: body, par, seq

• <a>

Description: Defines a link. The default behavior is to be active for the duration of the media object it contains.

Declaration: <!ELEMENT a (text|audio|img)* >
Syntax: <a>...Content...
Attributes

Attributes:

- %Core.attrib; See section 7.3.1, "Core Attributes."
- xml:lang (NMTOKEN, IMPLIED)

 href (%URI;, REQUIRED) Specifies the URI of the target of the link. The URI may include a fragment identifier.

Valid inside: body, par, seq Comments: See section 7.4.5, "Links" for normative content.

7.3.1 Core Attributes

(This section is informative.)

The following attributes are allowed when the entity %Core.attrib; is listed above:

- id (ID, IMPLIED)
- class (CDATA, IMPLIED)
- title (CDATA, IMPLIED)

7.4 SMIL Requirements for DTBs

7.4.1 "Escapable" Structures

(This section is normative.)

DTB players should provide the functionality to allow readers to escape from the DTB rendition of specific structures (at a minimum tables, lists, producer's notes, annotations, and notes) with a single action. To support this functionality, any such structure consisting of multiple time containers (i.e., seqs and pars) must be wrapped in a seq. In addition, a class attribute must be applied to the seq or par containing a table, list, annotation, or note, using element names drawn from the DTBook DTD (i.e., "table," "list," "prodnote," "annotation," and "note").

7.4.2 Automatic Invocation of Special Navigation Modes

(This section is normative.)

DTB player developers may choose to automatically invoke special player navigation modes when the reader enters a table or list. (See " Document Navigation Features List [Navigation Features]." To support this functionality, a class attribute must be included on the seq or par containing a table or list, using element names drawn from the DTBook DTD (that is, "table" and "list.") DTBs and players may also support this functionality for other structures using the same mechanism.

7.4.3 "Skippable" Structures

(This section is normative.)

Players should offer the user the option to "turn off" certain structures in a DTB, that is, select structures such as notes or line numbers that the player will then automatically skip over during sequential playback. To support this capability, compliant DTBs must include customTest attributes on seqs or pars containing those structures. In addition, customAttributes, as well as a customTest element for each "skippable" structure, must be present in the head of each SMIL file and contain content. At a minimum, customTest attributes must be applied to time containers for linenum, note, noteref, annotation, pagenum, optional prodnote, and sidebar. Attribute values (for customTest attributes on seqs or pars and for the id attribute on customTest elements) shall be the names of the "skippable" elements, drawn from the DTBook DTD (e.g., "linenum", "note", etc.) except as noted in the following paragraph.

Different customTest attributes may be applied to a single DTBook element, depending on the element's attributes. For example, <prodnote render="optional"> might be assigned the customTest "prodnote_opt", while <prodnote render="required"> would not need to be assigned a customTest as the user should not have the option of turning them off.

In DTB applications, the element customTest will only be used when the producer wishes to allow the reader to turn a class of structures on or off, so the override attribute on the customTest element must always be set to "visible." See description of <customTest> above. The SMIL specification chose to make "hidden" the default value, so it is critical that the override="visible" attribute always be present when the customTest element is used.

When a user navigates to a skippable element that has been turned off, the player must render the content of that element.

Section 8.5, "How the NCX Works," describes how information on skippable structures can be gathered in the NCX for efficient presentation to the user.

7.4.4 Packaging Files across Several Media Units

(This section is normative.)

When a DTB spans several media units (e.g., CD-ROM discs), all files required to render any given SMIL file must be present on the same media unit as that SMIL file. This requirement ensures that players need only track the location of SMIL files in order to provide a complete DTB presentation.

7.4.5 Links

(This section is normative.)

If links (i.e., <a> tags with href attributes) are present in the textual content file of a DTB, they must also be included in the corresponding SMIL file(s). Related links in textual content and SMIL files must point to the same information in the textual content and audio files, when audio is present. The default behavior of a link is to be active for at least the duration of the media object it contains. Players may establish other behaviors (e.g., maintaining links in the active state for a preset period of time (possibly modifiable by the user) or until the next link is encountered).

7.4.6 Layout Syntax

(This section is normative.)

This standard allows only SMIL 2.0 Basic Layout syntax (i.e., CSS2 syntax and others are not permitted).

7.4.7 Content of <par>s

(This section is normative.)

Each par can contain no more than one each of text, audio, image and seq. See section 7.4.11, "Text-Only DTBs" for further discussion of this issue.

When both textual content and audio files are present, text and audio objects within the same par must both represent the same body of material (e.g., the same paragraph).

Because of resource limitations on portable DTB players, SMIL presentations should not be created such that multiple audio media objects are rendered simultaneously. Reading systems are not required to support simultaneous rendering of multiple audio files.

7.4.8 Playback of Audio Clips

(This section is normative.)

If the clipBegin attribute is not present in an instance of the <audio> element, the audio file referenced must be played from its beginning. If the clipEnd attribute is not present, the audio file must be played to its end. If the value of the clipEnd attribute exceeds the duration of the audio file, the value must be ignored, and the audio file played to its end.

7.4.9 Notes and Annotations in SMIL

(This section is normative.)

It is strongly recommended that links (<a> tags) be applied to media objects (normally audio) for all noterefs and annorefs, with the corresponding notes and annotations as the targets. The presence of the links will enable key player functionality, such as easy access to notes when noterefs are turned on and notes turned off.

It is recommended that noterefs and notes be implemented in SMIL such that the default, linear presentation (on a simple player) of the noterefs and notes is in the order and location appropriate to the producing agency's policy for rendering note references and notes.

7.4.10 Images in SMIL

(This section is informative.)

Duration of image display will be equal to that of the longest media object or time container contained within the same par. Example 7.2 below shows a sample implementation of SMIL for an image and its associated caption and producer's note.

7.4.11 Text-Only DTBs

(This section is normative.)

Text-only DTBs must include SMIL files. This will ensure user access to the many features enabled by SMIL. As mentioned above, it is strongly recommended that the SMIL file(s) have a level of granularity matching that of the textual content file.

In a DTB which contains no audio material, the duration of text media objects is controlled either by the user (i.e., the player renders the next text object on command) or the player (e.g., a text-to-speech engine or a pacing algorithm for a large-print or Braille display triggers the next media object).

7.5 SMIL Metadata

(This section is normative.)

Metadata is included in the <head> element using the <meta> tag. Content producers may introduce other metadata besides those listed below, if needed. However,

additional metadata names must include the prefix "prod:". Players must not fail when encountering unknown metadata but must, at a minimum, ignore it.

- dtb:generator
 - · Content: Name and version of software that generated the SMIL file.
 - Occurrence: Optional recommended
- dtb:totalElapsedTime
 - Content: The total time elapsed up to the beginning of this SMIL file. Clock Values from SMIL 2.0 Timing and Synchronization Module [SMILCLOCK] See Section 7.7, "Clock Values."
 - Occurrence: Required
 - Comments: Set to zero for DTBs of type textNCX.
- dtb:uid
 - Content: The globally unique identifier for the DTB. The value is the same as that of the dc:identifier element referenced by the package file's uniqueidentifier element. See section 3.1, "Package Identity."
 - Occurrence: Required

7.6 Examples

(This section is informative.)

The following example illustrates the use of head and its contents. The meta element contains the unique id of the DTB as well as the tool that generated this SMIL file and the elapsed time to the start of the file. The visual display location of any text elements with region = "text" or region="notes" is specified by the region elements within layoutThe text region occupies most of the screen (the bottom edge of the "text" region is 15% from the bottom of the overall rendering window), while the notes regions occupies only the bottom 15%. The customAttributes indicate that any time containers with customTest="pagenum" will be skipped by default, while time containers with customTest="notes" will automatically be played. If the user interface of the playback device supports it, the user may change these settings.

```
Example 7.1:
<?xml version="1.0" encoding="UTF-8"?> <!DOCTYPE smil
SYSTEM "dtbsmill00.dtd">
<smil version="1.0.0">
    <head>
        <meta name="dtb:uid" content="dk-dbb-4z0065" />
            <meta name="dtb:generator" content="smilgen2.4" />
            <meta name="dtb:totalElapsedTime"
              content="01:33:56.233" />
         <layout>
            <region id="text" top="0%" left="0%"
              right="0%" bottom="15%"/>
            <region id="notes" top="85%" left="0%"
              right="0%" bottom="0%"/>
        </layout>
        <customAttributes>
            <customTest id="pagenum" defaultState="false"
              override="visible"/>
            <customTest id="note" defaultState="true"
              override="visible"/>
```

```
</customAttributes>
</head>
<body>
...
</body>
</smil>
```

Example 7.2 shows the use of SMIL elements within body. The initial seq includes the attribute "dur" which specifies that the entire SMIL file is one hour, three minutes, 24.9 seconds long. Each par (a page number, a heading, two paragraphs, and a figure are shown) includes the segment of text, the image (if applicable), and the corresponding audio clip that are to be rendered simultaneously. The figure falls between the two paragraphs.

The image file is presented in parallel with text and audio versions of the figure caption and a producer's note describing the figure. The entire group is wrapped in a par, with the image file rendered contemporaneously with a sequence of two pars.

The par for the second paragraph includes a link that "wraps" the audio element.

```
Example 7.2:
<?xml version="1.0" encoding="UTF-8"?>
<!DOCTYPE smil SYSTEM "dtbsmil100.dtd">
<smil version="1.0.0">
<head>
. . .
</head>
<body>
    <seq id="baseseq" dur="1:03:24.9">
        <par id="p1" customTest="pagenum">
            <text region="text" src="rs.xml#pg_1" />
            <audio src="rs_fwdx.mp3" clipBegin="00:00:00"
              clipEnd="00:00:00.91" />
        </par>
        <par id="h1">
            <text region="text" src="rs.xml#h1_1" />
            <audio src="rs_fwdx.mp3"
              clipBegin="00:00:01.62" clipEnd="00:00:02.53" />
        </par>
        <par id="paral">
            <text region="text" src="rs.xml#para_1" />
            <audio src="rs_fwdx.mp3"
              clipBegin="00:00:03.51" clipEnd="00:01:45.36" />
        </par>
        <par id="img1">
            <img region="image" src="fig1.png" />
            <seq id="icap1">
                <par id="cap1">
                    <text region="caption" src="rs.xml#caption_1" />
                    <audio src="rs_fwdx.mp3"
                      clipBegin="00:01:45.98"
                      clipEnd="00:01:52.66" />
         </par>
```

```
<par id="pnote1" customTest="prodnote">
            <text region="text" src="rs.xml#prodnote_1" />
            <audio src="rs_fwdx.mp3"
              clipBegin="00:01:53.08" clipEnd="00:02:55.34" />
         </par>
       </seq>
    </par>
        <par id="para2">
            <text region="text" src="rs.xml#para_2" />
            <a href="rs12.smil#h2_9"><audio
              src="rs_fwdx.mp3" clipBegin="00:02:56.21"
              clipEnd="00:04:03.75" /></a>
        </par>
    . . .
    </seq>
</body>
</smil>
```

Notes or sidebars containing multiple paragraphs will need to be represented as a series of pars wrapped in a seq, so that a customTest can be applied to the seq, permitting the user to skip the entire sequence. The first part of Example 7.3 illustrates this situation. In addition, note references occurring in the middle of a paragraph will require this special syntax so that the playback device can properly render the content with or without either the note reference or the note.

In the second half of Example 7.3, the first par contains the portion of paragraph 120 preceding the note reference (identified with a span tag in the textual content file as described in section 4.2.1). The second par holds the note reference itself (e.g., "footnote 1"). The third par contains the contents of footnote 1 and the last holds the remainder of paragraph 120. Note that the seg and each par contain a unique id. The region attribute on text will control whether each segment is displayed in the text or notes region.

```
Example 7.3:
```

```
. . .
<body>
    <seq id="baseseq" dur="02:14:34.156">
        ... (a series of pars)
        <seq id="sidebar_1" customTest="sidebar">
            <par id="para_9">
                <text region="text" src="rs.xml#para_9" />
                <audio src="rs_fwdx.mp3"
                  clipBegin="02:02.711" clipEnd="02:14.678" />
            </par>
            <par id="para_10">
                <text region="text" src="rs.xml#para_10" />
                <audio src="rs_fwdx.mp3"
                  clipBegin="02:15.545" clipEnd="02:44.612" />
            </par>
        </seq>
        ... (a series of pars)
        <seq id="para_120">
            <par id="span_3">
```

```
<text region="text" src="rs.xml#span_3" />
                <audio src="rs_fwdx.mp3" clipBegin="46:58.744"
                  clipEnd="47:21.659" />
            </par>
            <par id="nref 1" customTest="noteref">
                <text region="text" src="rs.xml#nref 1" />
                <audio src="rs_fwdx.mp3" clipBegin="47:22.610"
                  clipEnd="47:23.555" />
            </par>
            <par id="ftn_1" customTest="note" class="note">
                <text region="notes" src="rs.xml#ftn_1" />
                <audio src="rs_notes.mp3" clipBegin="00:00.091"
                  clipEnd="00:34.754" />
            </par>
            <par id="span_4">
                <text region="text" src="rs.xml#span 4" />
                <audio src="rs fwdx.mp3" clipBegin="47:24.057"
                  clipEnd="47:582" />
            </par>
        </seq>
        ... (a series of pars)
    </seq>
</body>
. . .
```

7.7 Clock Values

(This section is normative.)

The SMIL 2.0 Timing and Synchronization Module describes several different formats in which "clock values" (timing) may be represented. See *Clock Values* [SMILclock] in that module. Playback devices must support all of these formats. The three formats are:

Full-clock-val (hours, minutes, seconds, and fractions of seconds): 3:22:55.91

Partial-clock-val (minutes, seconds, and fractions of seconds): 43:15.044

Timecount-val (one or more digits, plus an optional fraction and unit of measurement — h=hours, min=minutes, s=seconds, ms=milliseconds): 34.6s or 356ms or 58.2. (For Timecount values, if no unit is shown, the default is "s" (for seconds).)

If either of the first two formats is used, leading zeroes must be added to single-digit values for minutes and seconds to ensure mm:ss format.

8. Navigation Control File (NCX)

8.1 Introduction

(This section is informative.)

The Navigation Control file for XML applications (NCX) exposes the hierarchical structure of a DTB to allow the user to navigate through it. The NCX is similar to a table of contents in that it enables the reader to jump directly to any of the major structural

elements of the document, i.e. part, chapter, or section, but it will often contain more elements of the document than the publisher chooses to include in the original print table of contents. It can be visualized as a collapsible tree familiar to PC users. Its development was motivated by the need to provide quick access to the main structural elements of the document without the need to parse the entire marked-up text file, which in many cases may not be present at all. Other elements such as pages, footnotes, figures, tables, etc. can be included in separate, nonhierarchical lists and may be accessed by the user as well.

It is important to emphasize that these navigation features are intended as a convenience for users who want them, and not a burden to those who do not. The alternative of a simple linear playback of the book will be available for those users not requiring the navigation features of the NCX.

8.2 Key NCX Requirements

(This section is normative.)

Every DTB must contain exactly one NCX file. The NCX file must be a valid XML document conforming to ncx100.dtd (see Appendix 3, "NCX DTD") and comply with the additional normative requirements of section 8.4. The version attribute on the ncx element of the NCX file must be explicitly given with the value drawn from the above-named DTD. The NCX file itself must be named with the extension ".ncx".

8.3 NCX Elements

(This section is informative.)

Brief descriptions of the NCX elements follow. Each includes the element declaration extracted from the NCX DTD, along with descriptions of any applicable attributes.

• <ncx>

Description: The root element

Declaration: <!ELEMENT ncx (head, docTitle, docAuthor*, navMap, navList*)>

Syntax: <ncx ...attributes...>...content...</ncx>

Attributes:

- version (CDATA, FIXED) "1.0.0": Specifies the version of the DTD used in this
 instance. Three digits, with decimal point separators; digits one, two and three
 will be updated to reflect major, moderate and minor changes to the DTD,
 respectively. This attribute must be present, but parsers will not enforce its
 presence, just its value.
- lang (NMTOKEN, IMPLIED): Specifies the [RFC 1766] language code of the language of the document.
- dir ((ltr|rtl), IMPLIED): The dir attribute specifies the direction of the text, where ltr is left to right and rtl is right to left.
 Valid inside: None

<head>
 Description: Contains smilCustomTest data and metadata.
 Declaration: <!ELEMENT head (smilCustomTest | meta)+>
 Syntax: <head>...content...</head>
 Attributes: None
 Valid inside: <ncx>

<smilCustomTest>

Description: Duplicates customTest data found in SMIL files. Each unique customTest element that appears in one or more SMIL files must have its attributes duplicated in a smilCustomTestelement in the NCX. The NCX thus gathers in one place all customTest elements used in the SMIL files, for presentation to the user.

Declaration: <! ELEMENT smilCustomTest EMPTY>

Syntax: <smilCustomTest...attributes.../>
Attributes:

- id (ID, REQUIRED)
- defaultState (true | false) 'false'

override (visible | hidden) 'hidden'

Valid inside: <head>

e <meta>

Description: Contains metadata applicable to the NCX file. Declaration: <!ELEMENT meta EMPTY> Syntax: <meta ...attributes... /> Attributes:

- name (CDATA, REQUIRED)
- content (CDATA, REQUIRED)
- scheme (CDATA, IMPLIED)
- Valid inside: <head>

Comments: Required and optional metadata are listed in section 8.4.1.

<docTitle>

Description: The title of the document. Contains text and an optional pointer to an audio rendering of the title, for presentation to the reader.

Declaration: <!ELEMENT docTitle (text, audio?)>
Syntax: <docTitle ...attributes... > ...content... </docTitle>
Attributes:

• id (ID, IMPLIED): Optional unique identifier. Valid inside: <ncx>

<docAuthor>

Description: The author of the document. Contains text and an optional pointer to an audio rendering of the author, for presentation to the reader. Declaration: <!ELEMENT docAuthor (text, audio?)> Syntax: <docAuthor ...attributes... > ...content...</docAuthor> Attributes:

id (ID, IMPLIED): Optional unique identifier.
 Valid inside: <ncx>

• <text>

Description: Contains the text of the heading or title referenced by the containing element.

Declaration: <!ELEMENT text (#PCDATA)>
Syntax: <text ...attributes... > ...content...</text>
Attributes:

• id (ID, IMPLIED): Optional unique identifier.

• class (CDATA, IMPLIED): Optional descriptor of this instance of the element.

Valid inside: <navLabel>, <docTitle>, <docAuthor>

<audio>

Description: Contains a pointer to an audio version of the heading or title referenced by the containing element.

Declaration: <!ELEMENT audio EMPTY> Syntax: <audio..attributes... /> Attributes:

- id (ID, IMPLIED): Optional unique identifier.
- class (CDATA, IMPLIED): Optional descriptor of this instance of the element.
- src (CDATA, REQUIRED): The URI of the audio media object. Ordinarily, this will point to an audio file containing the content of the DTB. However, when a DTB spans several media units, the URI may point to a file containing a clip of the heading or title referenced. See section 8.4.2, "DTBs Spanning Multiple Media Units."
- clipBegin (%SMILtimeVal, IMPLIED): The clipBegin attribute specifies the beginning of a segment of a continuous media object as a time offset from the start of the media object. The value syntax is defined by the SMIL 2.0 Timing and Synchronization Module [SMILCLOCK] See Section 7.7, "Clock Values".
- clipEnd (%SMILtimeVal, IMPLIED): The clipEnd attribute specifies the end of a segment of a continuous media object as a time offset from the start of the media object. It uses the same attribute value syntax as clipBegin.
 Valid inside: <navLabel>, <docTitle>, <docAuthor>
 Comments: See section 7.4.8, "Playback of Audio Clips," for normative content.
- <navMap>

Description: Container for primary navigation information. Declaration: <!ELEMENT navMap (navLabel*, navPoint+)> Syntax: <navMap ...attributes...>...content...</navMap> Attributes:

• id (ID, IMPLIED): Optional unique identifier.

Valid inside: <ncx>

Comments: The navMap element contains the primary navigation information, pointing to each of the major structural elements of the document. Secondary navigation elements such as page numbers, etc. are not included in navMap, but are contained in navListS.

<navPoint>

Description: Contains description(s) of target and pointer to content. Declaration: <!ELEMENT navPoint (navLabel+, content, navPoint*)> Syntax: <navPoint ...attributes...>...content...</navPoint> Attributes:

- id (ID, REQUIRED): Optional unique identifier.
- onFocus (%script, IMPLIED): May be used by player to provide location feedback to the user, e.g., highlight the current navPoint on a visual display of the NCX.
- **onBlur (%script, IMPLIED)**: May be used by player to provide location feedback to the user, e.g., remove highlighting when focus has shifted to another navPointon a visual display.
- class (CDATA, IMPLIED): Describes the kind of structural object represented by this navPoint, e.g. chapter, section; used to select a presentation from the resource file. Player will locate the resource whose type attribute equals "ncx," whose elementRef attribute value is navPoint, and whose classRef references the class of the current navPoint.
- value (CDATA, IMPLIED): An integer representing the text content of a numeric label, e.g. a page number. Useful for providing integer values for non-Arabic numbers to simplify sorting.
- pageRef (IDREF, IMPLIED): The id of the navTarget representing the page on which this navPoint begins.

Valid inside: <navMap>, <navPoint>

- **Comments:** The navPoint element contains one or more navLabels, representing the referenced part of the document, e.g. chapter title or section number, along with a pointer to content. navPoints may be nested to represent the hierarchical structure of a document.
- <navLabel>
 - Description: Contains a description of a given <navMap>, <navPoint>, <navList>, or <navTarget> in various media for presentation to the user. Can be repeated so descriptions can be provided in multiple languages.

```
Declaration: <!ELEMENT navLabel ((text, (audio?,
img?))|((text?), audio, (img?)))>
```

```
Syntax: <navLabel ...attributes...>...content...</navLabel>
Attributes:
```

- lang (NMTOKEN, IMPLIED): Specifies the [RFC 1766] language code of the language of the heading. Can be used to control presentation of headings in a specified language.
- dir ((rtl|ltr), IMPLIED): Specifies the direction of the text, where Itr is left to right and rtl is right to left.

```
Valid inside: <navMap>, <navPoint>, <navList>, <navTarget>
```


Description: Information about the location of an image file. Declaration: <!ELEMENT img EMPTY> Syntax: <img...attributes... /> Attributes: • id (ID, IMPLIED): Optional unique identifier.

- class (CDATA, IMPLIED): Optional descriptor of this instance of the element.
- src (CDATA, REQUIRED): The URI of the media object.

Valid inside: <navLabel>

- <content>
 - **Description**: Pointer into SMIL file to beginning of the item referenced by the navPoint or navTarget.

Declaration: <! ELEMENT content EMPTY>

Syntax: <content...attributes... />

Attributes:

- id (ID, IMPLIED): Optional unique identifier.
- src (CDATA, REQUIRED): The URI of the SMIL time container corresponding to the start of the referenced part of the document.

Valid inside: <navPoint>, <navTarget>

<navList>

Description: Container for secondary navigational information. Declaration: <!ELEMENT navList (navLabel+, navTarget+)> Syntax: <navList ...attributes...>...content...</navList> Attributes:

- id (ID, IMPLIED): Optional unique identifier.
- class (CDATA, IMPLIED): The kind of objects represented by this navList described by their dtbook element name, e.g. pagenum, note
 Valid inside: <ncx>
- **Comments:** The navList element contains secondary navigation information within navTargets. It is similar to navMap except navTargets may not nest, whereas navPoints can. Used for lists of elements such as page numbers, footnotes, figures, tables, etc. that the user may want to access directly but would clutter up the primary navigation information.

<navTarget>

Description: Container for text, audio, image and content elements containing secondary navigational information.

Declaration: <!ELEMENT navTarget (navLabel+, content)>
Syntax: <navTarget ...attributes...>...content...</navTarget>
Attributes:

- id (ID, REQUIRED): Unique identifier matching the id attribute of the corresponding element in the text and/or SMIL files.
- **onFocus (CDATA, IMPLIED)**: May be used by player to provide location feedback to the user, e.g., highlight the current navTarget on a visual display of the NCX.
- **onBlur (CDATA, IMPLIED)**: May be used by player to provide location feedback to the user, e.g., remove highlighting when focus has shifted to another navTarget on a visual display.
- value (CDATA, IMPLIED): An integer representing the text content of a numeric label, e.g. a page number. Useful for providing integer values for non-Arabic numbers to simplify sorting.
- class (CDATA, IMPLIED): Describes the kind of object represented by this navTarget described by its dtbook element name, e.g., pagenum, note. It may be used to select a presentation from the resource file. Player will locate the resource whose type attribute equals "ncx," whose elementRef attribute value is navTarget, and whose classRef references the class of the current navTarget.
- mapRef (IDREF, REQUIRED): Pointer to the innermost navPoint that contains this navTarget.

Valid inside: <navList>

Comments: The navTarget element contains one or more navLabels representing the referenced part of the document, e.g., a page or footnote, along with a pointer to content. The mapRef attribute is used to synchronize the navList and navMap.

8.4 Other File Requirements

(This section collects other normative requirements for the NCX file that cannot be enforced by the DTD.)

8.4.1 Navigation Metadata

(This section is normative.)

Metadata shall be included in the head element of the NCX using the meta tag. Content producers may introduce other metadata besides those listed below, if needed; such additional metadata must be prefixed by "prod:". Players must not fail when encountering unknown metadata but must, at a minimum, ignore it.

- dtb:uid
 - Content: The globally unique identifier for the DTB. The value is the same as that of the dc:identifier element referenced by the uniqueidentifier attribute on the package file's package element. See section 3.1, "Package Identity."
 - Occurrence: Required
- dtb:depth
 - Content: Positive integer indicating depth of structure of the DTB as exposed by the NCX.
 - Occurrence: Required

- dtb:generator
 - Content: Name and version of software that generated the NCX.
 - Occurrence: Optional recommended
- dtb:maxPageNormal
 - Content: Non-negative integer indicating the content of the highest 'normal' pagenum in the DTB.
 - Occurrence: Required
- dtb:pageFront
 - Content: Non-negative integer indicating the number of 'front' pages in the DTB.
 - Occurrence: Required
- dtb:pageNormal
 - Content: Positive integer indicating the number of 'normal' pages in the DTB.
 - Occurrence: Required
- dtb:pageSpecial
 - Content: Non-negative integer indicating the number of 'special' pages in the DTB.
 - Occurrence: Required

8.4.2 DTBs Spanning Multiple Media Units

(This section is normative.)

When a DTB spans several distribution media (e.g., multiple CD-ROMs), the full NCX, along with all audio clips referenced by it, must be included on every media unit. This will ensure that the entire NCX will function properly on each piece of media.

8.4.3 mapRef Attribute

(This section is normative.)

The mapRef attribute on each navTarget must reference the innermost navPoint that contains the element referenced by the navTarget.

8.4.4 smilCustomTest Element

(This section is normative.)

Each unique customTest element that appears in one or more SMIL files must have its attributes duplicated in a smilCustomTest element in the head of the NCX.

8.5 How the NCX Works

(This section is informative.)

Upon opening a DTB, a player will ordinarily use the NCX navMap to define the user's choices for navigation. The navMap contains nested navPoints that represent the major divisions of the document. For example, the structure of the book whose NCX is shown in Section 8.6, Example 8.1 would look like this:

- Foreword.....(Level 1)
 - History.....(Level 2)
 - Development of Standards......(Level 2)
- Standards.....(Level 1)
 - 1 Core Services.....(Level 2)
 - 1.1.....(Level 3)
 - a.....(Level 4)
 - 1.2.....(Level 3)

Foreword and Standards are at the same level, in this case the highest level, level 1. The nesting of navPoints allows the user to move directly between these objects without passing through the lower level divisions in between. From Foreword, the user can move to level 2 and step to any of the sections of Foreword. Since there is no level 3 under Foreword, no smaller divisions can be accessed from the NCX. Such smaller divisions may be present, but they can only be reached through local navigation. The division of Standards marked 'a.' is at level 4, and can be reached by stepping through 1 Core Services and 1.1.

The user will also have the option of navigating to items that do not fit easily into the hierarchical structure of a document, e.g. pages, footnotes or sidebars. This function is provided by navLists. Unlike navMap, navLists do not represent the structure of the book by nesting navTargets. In example 8.1, there are two navLists: the first contains three navTargets representing page numbers, and the second contains three navTargets representing notes.

Each navPoint or navTarget provides navigation information about one piece of the document, e.g. a chapter heading, section number, page number, figure, etc. The textelement contains the actual heading, page number, etc. for visual or text-tospeech presentation; the audio element uses SMIL 2.0 syntax to point to a clip containing the audio presentation of the same information. One or both should be used to give location feedback to the user. The contentelement provides a pointer to an ID within a SMIL file that marks the beginning of the referenced portion of the DTB.

The required mapRef attribute of navTarget allows synchronization of navLists with the navMap. mapRef points to the innermost navPoint that contains the page number, note, or other element referenced by the navTarget. Similarly, the pageRef attribute of navPoint points to the navTarget representing the page on which the navPoint begins.

This standard offers producers the ability to gather in the head of the NCX information on all skippable elements from the SMIL file(s) (see section 7.4.3, "'Skippable' Structures"). The smilCustomTest element may be repeated to list all skippable elements and their defaultStates. Playback systems may utilize this information to inform users of their options and current settings for skippable structures.

8.6 Examples

(This example is informative.)

```
<meta name="dtb:pageSpecial" content="0"/>
        <meta name="dtb:pageFront" content="5"/>
        <meta name="dtb:skippable" content="pagenum"/>
</head>
<docTitle>
   <text>Revised Standards and Guidelines of Service for
      the Library of Congress Network of Libraries for the
     Blind and Physically Handicapped 1995</text>
   <audio src="rs_title.mp3" />
</docTitle>
<docAuthor>
    <text>Association of Specialized and Cooperative Library
      Agencies</text>
   <audio src="rs_title.mp3" />
</docAuthor>
<navMap>
    <navPoint class="chapter" id="lvl1_3" pageRef="p1">
        <navLabel>
            <text>Foreword</text>
            <audio src="rs_fwdx.mp3" clipBegin="00:01.5"
              clipEnd="00:02.0" />
        </navLabel>
        <content src="sample.smil#h1_3" />
        <navPoint class="section" id="lvl2_1" pageRef="p1">
            <navLabel>
                <text>History</text>
                <audio src="rs_fwdx.mp3" clipBegin="00:03.4"
               clipEnd="00:03.9" />
            </navLabel>
            <content src="sample.smil#h2_1" />
        </navPoint>
        <navPoint class="section" id="lvl2_2" pageRef="p2">
            <navLabel>
                <text>Development of Standards</text>
                <audio src="rs_fwdx.mp3" clipBegin="00:56.3"
                  clipEnd="00:57.7" />
            </navLabel>
            <content src="sample.smil#h2_2" />
        </navPoint>
    </navPoint>
    <navPoint class="chapter" id="lvl1_7" pageRef="p16">
        <navLabel>
            <text>Standards</text>
            <audio src="rs_stdx.mp3" clipBegin="00:01.3"
              clipEnd="00:02.1" />
        </navLabel>
        <content src="sample.smil#h1_7" />
        <navPoint class="section" id="lvl2_11" pageRef="p16">
            <navLabel>
                <text>1 Core Services</text>
                <audio src="rs_stdx.mp3" clipBegin="00:02.9"
                  clipEnd="00:04.9" />
            </navLabel>
            <content src="sample.smil#h2_10" />
```

```
<navPoint class="subsection" id="lvl3_1"
              pageRef="pl6">
                <navLabel>
                    <text>1.1</text>
                     <audio src="rs_stdx.mp3"
                      clipBegin="00:05.7" clipEnd="00:06.7" />
                </navLabel>
                <content src="sample.smil#h3_1" />
                <navPoint class="sub-subsection" id="lvl4_1"
                  pageRef="pl6">
                     <navLabel>
                         <text>a.</text>
                         <audio src="rs_stdx.mp3"
                           clipBegin="00:18.7"
                           clipEnd="00:19.1" />
                    </navLabel>
                     <content src="sample.smil#h4_1" />
                </navPoint>
            </navPoint>
            <navPoint class="subsection" id="lvl3_2"
              pageRef="pl6">
                <navLabel>
                    <text>1.2</text>
                     <audio src="rs_stdx.mp3"
                      clipBegin="00:50.5"
                      clipEnd="00:51.4" />
                </navLabel>
                <content src="sample.smil#h3_2" />
            </navPoint>
        </navPoint>
    </navPoint>
</navMap>
<navList id="pages" class="pagenum">
    <navLabel>
        <text>Pages</text>
    </navLabel>
    <navTarget class="pagenum" id="pl" value="1"</pre>
      mapRef="lvl1_3">
        <navLabel>
            <text>1</text>
            <audio src="rs_fwdx.mp3" clipBegin="00:00"
              clipEnd="00:00.9" />
        </navLabel>
        <content src="sample.smil#p1" />
    </navTarget>
    <navTarget class="pagenum" id="p2" value="2"</pre>
      mapRef="lvl2_2">
        <navLabel>
            <text>2</text>
            <audio src="rs_fwdx.mp3" clipBegin="00:53.9"
              clipEnd="00:54.6" />
        </navLabel>
        <content src="sample.smil#p2" />
```

```
</navTarget>
        <navTarget class="pagenum" id="p16" value="16"
          mapRef="lvl1_7">
            <navLabel>
                <text>16</text>
                <audio src="rs_stdx.mp3" clipBegin="00:00.0"
                  clipEnd="00:00.7" />
            </navLabel>
            <content src="sample.smil#p16" />
        </navTarget>
    </navList>
    <navList id="notes" class="note">
        <navLabel>
            <text>Notes</text>
        </navLabel>
        <navTarget class="note" id="nref_1" mapRef="lvl2_2">
            <navLabel>
                <text>1</text>
                <audio src="rs_fwdx.mp3" clipBegin="01:22.6"
                  clipEnd="01:23.5" />
            </navLabel>
            <content src="sample.smil#nref_1" />
        </navTarget>
        <navTarget class="note" id="nref_2" mapRef="lvl2_2">
            <navLabel>
                <text>2</text>
                <audio src="rs_fwdx.mp3" clipBegin="02:00.6"
                  clipEnd="02:01.4" />
            </navLabel>
            <content src="sample.smil#nref_2" />
        </navTarget>
        <navTarget class="note" id="nref_3" mapRef="lvl2_2">
            <navLabel>
                <text>3</text>
                <audio src="rs_fwdx.mp3" clipBegin="03:13.3"
                  clipEnd="03:14.1" />
            </navLabel>
            <content src="sample.smil#nref_3" />
        </navTarget>
    </navList>
</ncx>
```

9. Portable Bookmarks and Highlights

9.1 Introduction

(This section is normative.)

This standard establishes a specific XML file format to support bookmark and highlight export and import. A playback system may allow readers to set bookmarks and to highlight passages in a document, label the marked sections with text or audio notes, and export the resulting collection of marks and notes to other compliant playback devices.

This standard does not require that compliant players support all of the functionality described above. In addition, this standard places no constraints on a playback system's internal system for storing or manipulating the information in the bookmark file. However, if a player supports the export of bookmarks and highlights and their associated notes, the player must format the information as a valid XML file conforming to bookmark100.dtd, the DTD for Portable Bookmarks/Highlights found in Appendix 4. Similarly, a player with bookmark/highlight import capabilities must correctly process bookmarks and highlights and associated notes that are formatted in accordance with boomark100.dtd.

Export-capable players must be able to set bookmarks and highlight starts and ends at any point in a DTB, whether based on the audio file or the textual content file. That is, players shall not be limited to capturing location information only at element boundaries. Offsets from element boundaries in audio files shall be identified by <timeOffset> in fractional seconds (Seconds = DIGIT+, Fraction = 3DIGIT). Offsets from element boundaries in textual content files shall be identified by <charOffset>, measured in characters, counting from the nearest previous tag with an id; white space is normalized (collapsed to one character) and tags are not counted.

If a playback device supports user-recording of audio notes on bookmarks or highlights that may be exported, the recording may be in any format supported by the standard. When generating the filename for a note, the playback device must generate a filename extension appropriate to the recording format (See section 5, "Audio File Formats.")

Bookmark files (which may include highlights) shall be named, by default, with the value from the bookmark element uid and the extension ".bmk". For example: "se-tpb-14339.bmk". Players may allow users to apply their own filenames to accommodate character limitations in other filesystems and to avoid filename collisions. To accommodate user-supplied names, players with bookmark import capabilities must be able to open bookmark files and read the uid value to match the correct bookmark file with a DTB. In addition, players offering import functionality must, at a minimum, automatically match an imported file with the currently loaded DTB. It is recommended that if more than one bookmark file is present for a given DTB, players allow the user to choose among them.

Players may implement a variety of systems for numbering or otherwise identifying bookmarks or highlighted sections so the user can step through and choose from a group of them. However, when preparing a bookmark file for export, players must sort the bookmarks and highlights into document order and write them in that order.

9.2 Bookmark/Highlight Elements

(This section is informative.)

Brief descriptions of the Bookmark/Highlight elements follow. Each includes the element declaration extracted from the Bookmark DTD found in Appendix 4, along with descriptions of any applicable attributes.

<bookmarkSet>

Description: The root element in the Bookmark/Highlight DTD. Contains all data pertaining to bookmarks, highlights, and lastmarks for a given DTB. Declaration: <!ELEMENT bookmarkSet (title, uid, lastmark?,</pre>

(bookmark | hilite)*) >

Syntax: <bookmarkSet>...content...</bookmarkSet> Attributes: None Valid inside: None

<title>

Description: Contains the title, in text and in an optional audio clip, of the DTB for which the bookmark set was created.

Declaration: <!ELEMENT title (text, audio?) >
Syntax: <title>...content...</title>
Attributes: None
Valid inside: <bookmarkSet>
Comments: When bookmark sets are exported to other compliant playback

devices, the title will allow users to identify and manage them.

<text>

Description: Text of title or note. Declaration: <!ELEMENT text (#PCDATA)> Syntax: <text>.content...</text> Attributes: None Valid inside: <title>, <note>

<audio>

Description: Audio clip of title of DTB or of user-recorded note, in any format supported by standard. Title clip enables user to identify desired bookmark file if several are present.

Declaration: <!ELEMENT audio EMPTY >
Syntax: <audio...attributes... />
Attributes:

- src (%uri, #REQUIRED): The src attribute holds the URI of the audio file that contains the referenced clip.
- clipBegin (CDATA, IMPLIED): The clipBegin attribute specifies the beginning of a segment of a continuous media object as a time offset from the start of the media object. The value syntax is defined by the SMIL 2.0 Timing and Synchronization Module [SMILCLOCK].
- clipEnd (CDATA, IMPLIED): The clipEnd attribute specifies the end of a segment of a continuous media object as a time offset from the start of the media object. It uses the same attribute value syntax as clipBegin.
 Valid inside: <title>, <note>

Comments: See section 7.4.8, "Playback of Audio Clips" for normative content.

<uid>

Description: Globally unique identifier for the book, drawn from the package file. Matches the dc:identifier referenced by the "unique-identifier" attribute on the package file's package element. See section 3.1, "Package Identity." Declaration: <!ELEMENT uid (#PCDATA) > Syntax:<uid>...content...</uid> Attributes: None Valid inside: <bookmarkSet>

<lastmark>

Description: Location where reader left off and where player will resume play when restarted. Location consists of a URI pointing to the id attribute of the <par> or <seq> element in the SMIL file that contains the lastmark, plus a time offset or character offset to the exact point.

• <ncxRef>

Description: Captures current location in NCX (the id of the current navPoint)
 at time lastmark, bookmark, or highlight is set. Ensures that current location
 in NCX and SMIL are synchronized after moving to a lastmark, bookmark, or
 highlight so that any global navigation commands issued by the user will start
 from the current location.
Declaration: <!ELEMENT ncxRef (#PCDATA)>

Syntax:<ncxRef>...Content...</ncxRef> Attributes: None Valid inside: <lastmark>, <bookmark>, <hiliteStart>, <hiliteEnd>

<uri>

Description: Pointer to id of <par> or <seq> in SMIL, to id in text-only
 file, or to audio file that contains the <lastmark>, <bookmark>,
 <hiliteStart>, or <hiliteEnd>.
Declaration: <!ELEMENT uri (#PCDATA)>
Syntax:<uri>...content...</uri>
Attributes: None
Valid inside: <lastmark>, <bookmark>, <hiliteStart>, <hiliteEnd>
Comments: The <uri> points to the id of the <par> or <seq> in the
 SMIL file that contains the <lastmark>, <bookmark>,
 <hiliteStart>, or <hiliteEnd>.

<timeOffset>

<charOffset>

Description: Exact position of bookmark, lastmark, hiliteStart, or hiliteEnd in textual content file referenced by the uri. Declaration: <!ELEMENT charOffset (#PCDATA) > Syntax:<charOffset>...content...</charOffset> Attributes: None Valid inside: <lastmark>, <bookmark>, <hiliteStart>, <hiliteEnd>

<bookmark>

Description: Point in document marked by user for direct access in future. Bookmark consists of location and optional note. Location consists of a uri pointing to the id attribute of the <par> or <seq> element in the SMIL file that contains the bookmark, plus a time offset or character offset to the exact point.

Declaration: <!ELEMENT bookmark (ncxRef, uri, (timeOffset |
 charOffset), note?) >
Syntax:<bookmark>...content...</bookmark>
Attributes:

• **label (CDATA**, **#IMPLIED)**: optional attribute for use in storing identifying label to assist user in choosing among a set of bookmarks.

Valid inside: <bookmarkSet>

<note>

Description: Holds the user's label for or thoughts about a bookmark or highlighted section. It can be text or audio or both.

Declaration: <!ELEMENT note (text?, audio?) >
Syntax:<note>...content...</note>

Attributes: None

Valid inside: <hilite>, <bookmark>

Comments: Playback devices supporting recording of audio <notes> need not support recording in all of the codecs allowed by this standard.

• <hilite>

Description: A block of text marked by the user with an optional note attached.
Declaration: <!ELEMENT hilite (hiliteStart, hiliteEnd, note?) >
Syntax:<hilite>...content...</hilite>
Attributes:

• **Iabel (CDATA**, **#IMPLIED)**: optional attribute for use in storing identifying label to assist user in choosing among a set of highlights.

Valid inside: <bookmarkSet>

<hiliteStart>

Description: Starting point of highlighted block. Location consists of a uri pointing to the id attribute of the <par> or <seq> element in the SMIL file that contains the beginning of the highlighted section, plus a time offset or character offset to the exact point.

Declaration: <!ELEMENT hiliteStart (ncxRef, uri, (timeOffset charOffset)) > Syntax:<hiliteStart>...content...</hiliteStart> Attributes: None

Valid inside: <hilite>

<hiliteEnd>

Description: End of highlighted block. Location consists of a uri pointing to the id attribute of the <par> or <seq> element in the SMIL file that contains the end of the highlighted section, plus a time offset or character offset to the exact point.

Declaration: <!ELEMENT hiliteEnd (ncxRef, uri, (timeOffset |
 charOffset)) >
Syntax:<hiliteEnd>...content...</hiliteEnd>
Attributes: None

```
Valid inside: <hilite>
```

9.3 Examples

(This section is informative.)

In Example 9.1, the reader has set two bookmarks, one in chapter 1, 22 seconds from the start of paragraph 8 and the other in chapter 3, 88 seconds from the start of paragraph 12. The reader has added the text note "Atlanta burns" to the second bookmark. The user has also highlighted a passage in chapter 4 beginning at the start of paragraph 1 and ending 246 seconds after the start of paragraph 6, labeling it with a ten-second audio comment. The reader last stopped reading (as indicated by the <lastmark>) in chapter 5, paragraph 23. The default filename for this bookmark file would be "us-rfbd-JT065.bmk."

```
Example 9.1:
<?xml version="1.0" encoding="UTF-8" ?>
<!DOCTYPE bookmarkSet
    SYSTEM "bookmark100.dtd"> <bookmarkSet>
    <title>
      <text>Gone with the Wind</text>
      <audio src="gwtw title.mp3" />
    </title>
    <uid>us-rfbd-JT065</uid>
    <lastmark>
      <ncxRef>gwtw.ncx#lvl1 5</ncxRef>
      <uri>gwtw_ch5.smil#para023</uri>
      <timeOffset>173</timeOffset>
    </lastmark>
    <bookmark>
      <ncxRef>gwtw.ncx#lvl1_1</ncxRef>
      <uri>gwtw_chl.smil#para008</uri>
      <timeOffset>22</timeOffset>
    </bookmark>
    <bookmark>
      <ncxRef>gwtw.ncx#lvl1_3</ncxRef>
      <uri>gwtw ch3.smil#para012</uri>
      <timeOffset>88</timeOffset>
      <note>
        <text>Atlanta burns.</text>
      </note>
    </bookmark>
    <hilite>
      <hiliteStart>
        <ncxRef>gwtw.ncx#lvl1 4</ncxRef>
        <uri>gwtw_ch4.smil#para001</uri>
        <timeOffset>0</timeOffset>
      </hiliteStart>
      <hiliteEnd>
        <ncxRef>gwtw.ncx#lvl1_4</ncxRef>
<uri>gwtw_ch4.smil#para006</uri>
```

```
<timeOffset>246</timeOffset>
</hiliteEnd>
<note>
<audio src="us-rfbd-JT065.wav" clipBegin="00:00.00"
clipEnd="00:10.00" />
</note>
</hilite>
</bookmarkSet>
```

Example 9.2 shows a text-only file in which the reader last stopped reading 130 characters after the start of paragraph 297.

```
Example 9.2:

<?xml version="1.0" encoding="UTF-8" ?>

<!DOCTYPE bookmarkSet SYSTEM "bookmark100.dtd">

<bookmarkSet>

<title>

<text>Chemistry Today</text>

</title>

<uid>uk-rnib-MM499</uid>

<lastmark>

<ncxRef>chemtd.ncx#lvl1_3</ncxRef>

<uri>chemtd.xml#para297</uri>

<charOffset>130</charOffset>

</lastmark>
```

</bookmarkSet>

10. Resource File

10.1 Introduction

(This section is informative.)

The optional Resource File supplies text segments and pointers to audio clips or images that may assist the reader in using a DTB. These media objects or "resources" provide information missing from a document or present only in a form inaccessible to the reader. Some examples of applications are:

- 1. Documents with definite structures, but missing headings, such as books with multilevel structures but no headings on items below the level of sections. The resource file could contain the word "subsection" for presentation to the reader when stepping through the document via the NCX.
- 2. Player implementations that present generic labels such as "level 2" when the user changes levels in the NCX. The resource file could present the actual name of items found at that level in that specific context, e.g., "chapter."
- 3. "Where Am I?" applications, especially in situations where headings are absent.
- 4. Detailed information about the marked-up textual content file; useful when exact knowledge of a document's finest structure is essential. The resource file could provide text or audio clips of all of the element names in the DTBook DTD, alerting the user when crossing the boundaries of paragraphs, list items, table cells, etc.
- 5. Information about the types of text structures that the user may choose to "turn off" via the SMIL file (see section 7.4.3, "'Skippable' Structures"). The Resource File could contain text segments or pointers to audio clips of the names of the structures affected; for example, page numbers, notes, or sidebars.

The Resource File, then, can contain three types of information:

1. Resources tailored to a given document, for use primarily during global navigation. As the user navigates via the NCX, the player, when necessary, will look in the Resource File to locate the resource whose type attribute equals "ncx," whose elementRef attribute value is navPoint or navTarget as appropriate, and whose classRef references the class of the current NCX navPoint or navTarget.

2. Generic representations of the names of elements from the DTBook DTD, for use during local navigation. As the reader issues local navigation commands referencing the textual content file, the player will use the name of the current element in the textual content file to locate the resource with that element name in its elementRef attribute. For example, encountering a paragraph (tagged with ...) would call the resource with elementRef equal to "p".

In addition, the classRef attribute on resource allows the DTB producer to create resources tailored to elements with specific class names. For example, different resources could be created for <w class="reservedword">...</w> and <w class="variablename">...</w>.

3. Representations of skippable structures listed in the head of the NCX. The player will locate the resource whose type attribute equals "ncx," whose elementRef attribute value is smilCustomTest and whose idRef attribute references the id of the current smilCustomTest element. For example, the smilCustomTest element tagged <smilCustomTest id="prodnote" />) would call the resource with idRef equal to "prodnote".

The text, audio, and image alternatives allow a resource to be presented in a medium appropriate to the playback system's capabilities and the user's preferences. Images are conceived as holding iconic representations of heading types. The lang attribute on the resource element allows alternative representations to be supplied in multiple languages.

Resources would be called only when appropriate; that is, in response to clear user requirements and when needed. For example, a resource with type="ncx" and classRef="chapter" would not be called if a chapter heading with textual and audio content was already present.

(This section is normative.)

If a Resource File is implemented, it must meet the following requirements. The Resource File is a valid XML 1.0 file conforming to the Document Type Definition resource100.dtd. See Appendix 5, "DTD for Resource File." The version attribute on the resources element of any compliant Resource File must be present and contain the value drawn from the above-named DTD. Parsers cannot enforce the presence of this attribute, so other mechanisms must. The Resource File shall be named with the extension ".res". Identical copies of the Resource File shall be distributed on each media unit of the DTB.

10.2 Resource Elements

(This section is informative.)

Brief descriptions of the elements follow. Each includes the element declaration extracted from the Resource DTD, along with descriptions of any applicable attributes.

<resources>

Description: The root element in the Resource DTD
Declaration: <!ELEMENT resources (head?, resource+) >
Syntax: <resources...attribute>...content...</resources>
Attributes:

- version (CDATA, FIXED): Specifies the version of the DTD used in this instance. Three digits, with decimal point separators. Digits one, two, and three will change with major, moderate, and minor changes to the DTD, respectively. This attribute must be present but parsers will not enforce its presence, just its value.
 Valid inside: None
- <head>

Description: Optional container for metadata. Declaration: <!ELEMENT head (meta*) > Syntax: <head>...content...</head> Attributes: None. Valid inside: resources

e <meta>

Description: Producer defined metadata Declaration: <!ELEMENT meta EMPTY > Syntax: <meta...attributes.../> Attributes:

- name (CDATA, REQUIRED)
- content (CDATA, REQUIRED)
- scheme (CDATA, IMPLIED)

Valid inside: head

<resource>

Description: Contains text and pointers to audio clip or image serving as an alternative representation of elements or element content in textual content file and NCX.

Declaration: <!ELEMENT resource ((text, audio?, img?) | (text?, audio, img?)) >

Syntax: <resource...attributes...>...content...</resource >
Attributes:

- type (dtbook | ncx) REQUIRED: Specifies whether the resource applies to the textual content file (dtbook) or the NCX (ncx).
- elementRef (CDATA, REQUIRED): Specifies the name of the element for which the resource is to be supplied.
- classRef (CDATA, IMPLIED): Specifies the class attribute value of the element for which the resource is to be supplied. See section 10.3, "Resource File Requirements" for normative content.
- idRef (CDATA, IMPLIED): Specifies the name of the id attribute on the smilCustomTest element in NCX for which the resource is to be supplied.
- lang (NMTOKEN, IMPLIED): Specifies the language of the resource item, using an [RFC 1766] language code.

Valid inside: <resources>

<text>

Description: Contains the text used for alternative representation. Declaration: <!ELEMENT text (#PCDATA) > Syntax: <text>...Content...</text> Attributes: None Valid inside: <resource>

<audio>

Description: Points to file containing audio representation and provides time offsets of beginning and end of clip.

Declaration: <!ELEMENT audio EMPTY > Syntax: <audio...attributes... />

Attributes:

- src (%URI, REQUIRED): URI of the audio file.
- clipBegin (%SMILtimeVal, IMPLIED): Specifies the beginning of a segment of a continuous audio file as a time offset from the start of the audio file. The value syntax is defined by the SMIL 2.0 Timing and Synchronization Module [SMILCLOCK] See Section 7.7, "Clock Values."
- clipEnd (%SMILtimeVal, IMPLIED): Specifies the end of a segment of a continuous audio file as a time offset from the start of the audio file. It uses the same attribute value syntax as clipBegin

```
Valid inside: <resource>
Comments: See section 7.4.8, "Playback of Audio Clips" for normative content.
```

•

Description: Points to file containing the image. Declaration: <!ELEMENT img EMPTY > Syntax: <img...attributes.../> Attributes: • src (%URI, REQUIRED): URI of the image file. Valid inside: <resource>

10.3 Resource File Requirements

(This section is normative.)

If a player implementing resource functionality for DTBook elements encounters an element in the textual content file that includes a class attribute, the player must present the associated resource with the corresponding classRef, if one exists. Otherwise, if the appropriate resource without a classRef exists, the player must present it.

10.4 Examples

(This section is informative.)

In Example 10.1, the Resource File contains a resource for the word "chapter" to be presented when encountering navPoints of this class in the NCX. Resources are supplied for four selected DTBook elements; the last of these resources uses the classRef attribute to specify a given class of the element code. Finally, a resource is provided for a smilCustomTest with an id of "prodnote."

Example 10.1:

```
<?xml version="1.0" encoding="UTF-8" ?>
<!DOCTYPE resources PUBLIC "-//NISO//DTD resource v1.0.0//EN"
"http://www.loc.gov/nls/z3986/v100/resource100.dtd">
<resources version="1.0.0">
    <resource type="ncx" elementRef="navPoint" classRef="chapter"
      lang="en">
        <text>Chapter</text>
        <audio src="chapter.mp3" />
        <img src="chapter.png" />
    </resource >
    <resource type="dtbook" elementRef="li" lang="en">
        <text>list item</text>
        <audio src="elemres.mp3" clipBegin="00:36"
          clipEnd="00:38.14" />
    </resource>
    <resource type="dtbook" elementRef="p" lang="en">
        <text>paragraph</text>
        <audio src="elemres.mp3" clipBegin="00:47.51"
          clipEnd="00:49.34" />
    </resource>
    <resource type="dtbook" elementRef="td" lang="en">
        <text>table cell</text>
        <audio src="elemres.mp3" clipBegin="01:22.12"
         clipEnd="01:24.01" />
    </resource>
    <resource type="dtbook" elementRef="code"
      classRef="javascript" lang="en">
        <text>javascript</text>
```

In Example 10.2, resources are supplied in both English and Danish for a book whose NCX carries English class names on navPoints (e.g., "chapter"). The "lang" attribute on resource controls which will be presented to the reader.

```
Example 10.2:
```

. . .

11. Packaging Files for Distribution

11.1 Introduction

(This section is informative.)

If DTBs are distributed on a physical medium such as CD-ROM, producers will sometimes put more than one book on a disk and sometimes use more than one disk to hold a single book. When multiple DTBs are included on a single distribution medium (" media unit"), a simple method of storing this information for easy access by the player is needed, to present to the reader a " bookshelf" of books. When a single DTB spans several media, the player needs access to specific information so that it can provide correct instructions to the reader, e.g., " Insert disk 2," when required. The " Distribution Information File" (or " distInfo File") stores the data needed for these purposes.
In the following scenarios, the player would need accurate "distribution information" to respond appropriately:

- 1. Trying to reach an NCX target that lies on another disk.
- 2. Trying to reach a bookmark or highlight that is on another disk.
- 3. Resuming reading on a different disk than last ended on. "Lastmark" will point to another disk.
- 4. Following a cross-reference or other link pointing to a target on another disk.
- 5. Retracing path back to point of origin after following a link that required inserting a new disk.
- 6. Reading notes during normal playback. If the notes are printed at the end of the chapter or book and are recorded separately from the text where they are referenced, they may fall on a different disk from the noterefs.
- 7. Reaching the end of a disk of a multidisk book. This might be handled in another way, but could be implemented using the distInfo File.

A distInfo File would normally be created for each type of distribution medium, whereas other DTB files would be unchanged regardless of how a DTB is distributed.

11.2 Distribution Requirements

(This section is normative.)

When distributing one DTB per media unit, the Package File must be placed in the root of the media unit's file system. When distributing multiple DTBs per media unit, the distInfo File alone must be placed in the root of the media unit's file system. These restrictions do not apply when a DTB is contained on a non-removable storage medium such as a hard drive.

The distInfo File is required on all media units for a given DTB when that DTB spans more than one distribution media or when multiple DTBs are contained on one media unit. Otherwise, a distInfo File is optional. There shall be no more than one distInfo File per media unit (e.g., CD-ROM disk).

The distInfo File, if present, must be a valid XML 1.0 file conforming to distinfo100.dtd (see Appendix 6, "Distribution Information DTD"), and shall be named "distInfo.dinf." The version attribute on the distInfo element must be present and contain the value drawn from the above-named DTD. Parsers will not enforce the presence of this attribute, so other mechanisms must.

Distribution on multiple media units has implications for the production of the NCX and SMIL. For the NCX, see section 8.4.2, "DTBs Spanning Multiple Media Units." For SMIL, see section 7.4.4, "Packaging Files across Several Media Units."

Optional changeMsgs may be used to supply customized messages instructing users on how to proceed when another media unit is needed to continue reading. Such changeMsgs enable presentation of messages in either text or audio. If no changeMsg is present when required, the player must render a default audio or text message (e.g., "please insert disk 2"). Values for the attribute media on the element <book> and for the attribute mediaRef on the elements smilRef and changeMsg shall be in the format "x:y", where x is the sequence number of this media unit, and y is the total number of media units in the distribution of this book.

11.3 DistInfo Elements

(This section is informative.)

<distInfo>

Description: The root element of a distInfo File.
Declaration: <!ELEMENT distInfo (book+) >
Syntax: <distInfo...attribute...>...content...</distInfo>
Attributes:

• version (CDATA, FIXED) "1.0.0": Specifies the version of the DTD used in this instance. Three digits, with decimal point separators; digits one, two and three will be updated to reflect major, moderate and minor changes to the DTD, respectively. This attribute must be present, but parsers will not enforce its presence, just its value.

Valid inside: None

• <book>

Description: Identifies a DTB that is present, in part or whole, on this piece of distribution media.

```
Declaration: <!ELEMENT book (distMap?, changeMsg*)>
Syntax: <book ...attributes...>...content...</book>
Attributes:
```

- uid (CDATA, REQUIRED): The globally unique identifier for the DTB. The value is the same as that of the dc:identifier element referenced by the unique-identifier attribute on the package file's package element. See section 3.1, "Package Identity."
- pkgRef (CDATA, REQUIRED): The URI of the book's package file. However, players must be able to locate a DTB's package file even if a distInfo File is not present.
- media (CDATA, IMPLIED): If the book spans two or more media units, the media attribute identifies the media unit in hand, in the format "x:y", where x is the sequence number of this media unit, and y is the total number of media pieces in the distribution of this book.

Valid inside: <distInfo>

Comments: Contains zero or one distMaps and zero or more changeMsgs.

<distMap>

Description: A map identifying which media unit the various SMIL files reside upon.

Declaration: <!ELEMENT distMap (smilRef+) >
Syntax: <distMap>...content...</distMap>
Attributes: None
Valid inside: <book>
Comments: Contains one or more smilRefs.

<smilRef>

Description: A reference to a DTB SMIL file. Declaration: <!ELEMENT smilRef EMPTY > Syntax: <smilRef...attributes.../>

Attributes:

- file (CDATA, REQUIRED): The filename of the given SMIL file.
- mediaRef (CDATA, REQUIRED): Identifies the media unit on which the given SMIL file resides, in the format "x:y", where x is the sequence number of that media unit, and y is the total number of media pieces in the distribution of this book.

Valid inside: <distMap>

Comments: Contains the filenames of the SMIL files as they appear in the package file manifest.

<changeMsg>

Description: Contains text and/or audio versions of a custom message to be read when a new disk is requested by the reading system.

Declaration: <! ELEMENT changeMsg ((text, audio?) | (text?, audio)) >
Syntax: <changeMsg...attributes...>...content...</changeMsg>
Attributes:

- mediaRef (CDATA, REQUIRED): Identifies the media unit which this message (e.g., "Insert disc 2") specifies. Player invokes the correct <changeMsg> by matching its mediaRef attribute to the mediaRef attribute of the selected <smilRef>. In the format "x:y", where x is the sequence number of the specified media unit, and y is the total number of media pieces in the distribution of this book.
- lang (NMTOKEN, IMPLIED): Specifies the [RFC 1766] language code of the language in which the message is presented.

Valid inside: <book>

<text>

Description: Contains text of media change message. Declaration: <!ELEMENT text (#PCDATA) > Syntax: <text>...content...</text> Attributes: None Valid inside: <changeMsg>

<audio>

Description: Pointer to audio clip of media change message. Declaration: <!ELEMENT audio EMPTY> Syntax: <audio...attributes.../> Attributes:

src (%URI, IMPLIED): URI of audio content of media change message.
 Valid inside: <changeMsg>

11.4 Examples

(This section is informative.)

Example 11.1 shows the distInfo File for the first disk of a book that spans three CD-ROMs. The book element identifies the book through the uid attribute, points to the package file via pkgRef and indicates in the media attribute that this disk is the first of three. Players would parse the package file to obtain book metadata, etc. The distMap element contains a smilRef for each SMIL file in the book (there are 10 in this particular case). The file attribute gives the name of each individual SMIL file. The mediaRef attribute indicates which disk that particular SMIL file (and all audio/text/image files referenced by it) resides upon.

Players would refer to this map when a particular SMIL file is targeted for playback; if the file is not present on the current disk, the changeMsg whose mediaRef attribute matches that of the selected smilRef element would be played.

```
Example 11.1:
<?xml version="1.0" encoding="UTF-8"?>
<!DOCTYPE distInfo SYSTEM "distInfo100.dtd">
<distInfo version="1.0.0">
    <book uid="us-rfbd-tbfz284" pkgRef="./FZ284.opf" media="1:3">
        <distMap>
            <smilRef file="FZ284_0001d.smil" mediaRef="1:3"/>
            <smilRef file="FZ284_0002d.smil" mediaRef="1:3"/>
            <smilRef file="FZ284_0003d.smil" mediaRef="1:3"/>
            <smilRef file="FZ284_0004d.smil" mediaRef="1:3"/>
            <smilRef file="FZ284_0005d.smil" mediaRef="2:3"/>
            <smilRef file="FZ284_0006d.smil" mediaRef="2:3"/>
            <smilRef file="FZ284_0007d.smil" mediaRef="2:3"/>
            <smilRef file="FZ284_0008d.smil" mediaRef="2:3"/>
            <smilRef file="FZ284_0009d.smil" mediaRef="2:3"/>
            <smilRef file="FZ284_0010d.smil" mediaRef="3:3"/>
        </distMap>
        <changeMsg mediaRef="1:3">
            <text>Insert disc one.</text>
            <audio src="insert1.wav"/>
        </changeMsg>
        <changeMsg mediaRef="2:3">
            <text>Insert disc two.</text>
            <audio src="insert2.wav"/>
        </changeMsg>
        <changeMsg mediaRef="3:3">
            <text>Insert disc three.</text>
            <audio src="insert3.wav"/>
        </changeMsg>
```

</book>

</distInfo>

In Example 11.2, a sample distInfo File is presented for a case where two books are included on one CD-ROM. The file contains pointers to two book package files. Both books are complete on this one media unit so the media attribute is omitted. Players would parse the package files to obtain book metadata, etc.

```
</distInfo>
```

12. Presentation Styles

12.1 Introduction

(This section is informative)

The W3C has defined mechanisms for separating content from presentation called the Cascading Style Sheet [CSS] and Extensible Style Language [XSL]. CSS (for which two levels of functionality are currently defined, (Level 1 [CSS1] and Level 2 [CSS2])) and XSL allow specific formatting rules for mark-up to be defined and stored independent of the actual content. Default rules are normally applied by the specific playback or rendering system. The CSS *Cascade* provides a defined mechanism in which style rules may also be applied by the content producer as well as by the user. Producer-supplied style sheets are particularly important for complex documents with formatting or presentational requirements that would not be met by a player's or user's default styles.

CSS or XSL files may be provided by the content producer to control visual formatting of textual content when a DTB is played on a system that incorporates a visual display and supports CSS or XSL.

If a refreshable Braille display is connected to a DTB player, a Braille style sheet can control formatting so that the document is more easily navigable.

Audio CSS (ACSS, part of CSS2) and XSL also support the aural equivalent of visual formatting, and allow for audio cues to be associated with textual content mark-up. For example, chapter starts or page breaks may be indicated with a specific audio cue.

Style sheets are optional components of DTBs and DTB distribution systems. DTB producers may choose to supply default style sheets for any of the above three categories.

12.2 Implementing Style Sheets for DTBs

(This section is normative)

Style sheets must not be written in such a way as to prevent users from overriding them. DTBs referencing style sheets must do so using standard W3C mechanisms to link an XML source to its style sheet (see [XML-Style]). All style sheet processing instructions must include the *media* attribute specifying which medium the style sheet applies to. Acceptable values are: all (for all media), aural (for audio presentations), braille (for refreshable Braille displays), embossed (for embossed Braille), handheld (for devices with small monochrome screens), print (for visual formatting of printed output), and screen (for color computer screens). For example:

<?xml-stylesheet href="brstyle.css" type="text/css" media="braille"?>

Playback systems that utilize common PC-based browsers should support presentation styles at least to the extent the browser itself does. However, it is strongly recommended that any DTB player incorporating a visual display implement at least CSS1. Portable players will not generally provide full support for style sheets but may implement a subset of CSS or XSL sufficient for DTB use and the media presented on the player. For example, an audio-only player that is aware of the textual content might support only the audio styles described above.

Developers of playback systems may implement user interface features that support local control of style sheets, thereby allowing the user to define styles that supersede default player- or producer-defined styles. It is strongly recommended that players implementing style sheets support user control of presentation styles.

When multiple style sheets are present for the content being rendered, user-defined styles, if present, shall take precedence, followed by producer-defined and player-defined styles, in that order.

13. Types of DTB

13.1 Types

(This section is informative.)

Digital talking books produced in compliance with this standard fall into six types representing the proportions in which six key files are present. In all six types, the Package File spine defines the linear reading order of the DTB. A DTB which incorporates audio and textual content files for the full contents of the document, as well as a structured navigation control file (NCX) for efficient navigation (type 4 - audioFullText), offers the most features to a reader.

Type 1 — Full audio only (dtb:multimediaType = audioOnly) The full contents of the document are present in recorded speech. The DTB contains no structure. The navigation control file (NCX) contains only the title of the book and a pointer to the first SMIL file. The SMIL files contain only <audio> elements in sequence. This Type of DTB will be represented primarily by "legacy" titles transferred from analog to digital form. Direct navigation to points within the DTB is not possible for the reader.

Type 2 — Full audio with structure (dtb:multimediaType = audioNCX) The full contents of the document are present in recorded speech. The NCX contains (via SMIL) links to the structural elements of the book and may contain links to features such as page numbers, narrated footnotes, etc. The SMIL files contain only <audio> ele-ments in sequence. The reader can navigate directly only to items included in the NCX.

Type 3 — Full or partial audio with structure and partial text (dtb:multimediaType = audioPartText) The full or partial contents of the document are present in recorded speech. The NCX contains (via SMIL) links to the structural elements of the book and may contain links to features such as page numbers, narrated footnotes, etc. In addition, part of the document is present as a textual content file. The segments included in the textual content file might be chosen either because keyword searching, spelling, and direct access to the text would be beneficial in those segments (e.g., glossaries), or because a synthetic speech rendering would be as useful as a human speech version (e.g., indexes). Images may also be included. The reader can navigate directly to items in the NCX and to tagged items in the textual content file. Where text renditions of a segment are present, they are synchronized with the corresponding audio (and any associated images) in the SMIL files; elsewhere, SMIL contains only <audio>audio>elements in sequence.

Type 4 — Full audio with structure and full text (dtb:multimediaType =

audioFullText) The full contents of the document are present in recorded speech. The NCX contains (via SMIL) links to the structural elements of the book and may contain links to features such as page numbers, narrated footnotes, etc. The full text of the document is present as a textual content file. Images may also be included. Text, audio, and any images are synchronized in the SMIL files. The reader can navigate directly to

items in the NCX and to tagged items in the textual content file.

Type 5 — Full text with structure and partial audio (dtb:multimediaType = textPartAudio) The full text of the document is present as a textual content file but only part of the document is present as recorded speech. Images may also be included. The NCX contains (via SMIL) links to the structural elements of the book and may contain links to features such as page numbers, narrated footnotes, etc. The reader can navigate directly to items in the NCX and to tagged items in the textual content file. Where audio renditions of a segment are present, they are synchronized with the corresponding text (and any associated images) in the SMIL files; elsewhere, SMIL contains <text> (and any synchronized <image>) elements in sequence. This type includes books such as dictionaries, where the full text is present but the only audio contains human speech recordings of word pronunciations

Type 6 — Full text with structure but no audio (dtb:multimediaType = textNCX) The full text of the document is present as a textual content file. The NCX contains (via SMIL) links to the structural elements of the book and may contain links to features such as page numbers. The reader can navigate directly to items in the NCX and to tagged items in the textual content file. Images may be included. The SMIL files contain <text> elements in sequence, synchronized with any images present. There are no audio files.

13.2 Required Files

(This section is normative.)

The following table shows the six types of DTB and whether each of six files is required (R), optional (O), or not applicable (N/A) for each. Note that the Open eBook Package File (OPF), the navigation control file (NCX), and the SMIL file(s) are required for all types, although the latter two may serve merely as pointers to other files in some cases.

DTB Туре	OPF	NCX	Audio	Text	SMIL	Image
audioOnly (Full audio only)	R	R	R	N/A	R	N/A
audioNCX (Full audio + structure)	R	R	R	0	R	N/A
audioPartText (Audio + structure + partial text)	R	R	R	R	R	0
audioFullText (Audio + structure + full text)	R	R	R	R	R	0
textPartAudio (Full text + structure + partial audio)	R	R	R	R	R	0
textNCX (Full text + structure + no audio)	R	R	N/A	R	R	0

13.3 Content Rendering

(This section is normative.)

Players must determine how to render content from the types of files present. If only a textual content file is found, a synthetic speech rendering and output to a braille display and/or screen may be presented, according to the user's preferences and the features

provided on the playback system. If only an audio file is present, straight audio playback shall be initiated. A player that supports only a subset of the media included in DTBs must, when encountering an unsupported medium, ignore the unsupported files and correctly render those it does support. In addition, if the playback system cannot render the DTB in any way, based on the value of dtb:multimediaType in the package file metadata, it must report this fact to the user. Further, a playback system should inform the user when unable to render in any way specific content it encounters in the DTB.

14. Digital Rights Management

(This section is informative.)

Protection of intellectual property will continue to be an important issue for national libraries and other agencies serving people with print disabilities. How this responsibility is met in Digital Talking Book distribution programs, however, will vary from country to country due to differences in the legal environment surrounding the distribution of alternative format materials. It will also vary by item, depending on whether the material is under copyright or in the public domain. When applicable, however, it is critical that agencies utilize reasonable administrative and technical measures to protect copyright holders' rights. It is equally important, though, that agencies ensure access to alternative format materials by their target populations. Thus, DTB producers and distributors that implement DRM systems must do so in a manner that does not limit or prevent access to compliant DTBs by eligible users.

15. Time-Scale Modification

(This section is informative.)

It is strongly recommended that playback systems implement Time-Scale Modification (TSM) to enable user control of playback speed with or without pitch correction. Playback rates continuously variable from one-third to three times normal speed are recommended.

All time offsets in a DTB (e.g., SMIL and NCX clipBegin/clipEnd, bookmark timeOffsets, etc.), are based on normal play speed. In order to maintain synchronization, a player must process time offsets independently of actual playback speed.

16. Conformance

(This section is informative.)

This standard defines two kinds of conformance: file conformance and player conformance. Conformant Digital Talking Books and DTB playback systems must meet all of the applicable requirements specified in the normative sections of this standard. Requirements will vary depending on the media included in a DTB and the functions supported by a DTB player. It should be noted that while many aspects of the standard can be enforced through the DTDs included in this standard, others cannot, and must be enforced through other means.

17. References to Other Specifications/Documents

(This section is informative.)

The following standards, recommendations, and guidelines are referenced by this standard:

ATAG — Authoring Tool Accessibility Guidelines: http://www.w3.org/TR/WAI-AUTOOLS

CSS — Cascading Style Sheets: http://www.w3.org/Style/css

- CSS1 Cascading Style Sheets, Level 1: http://www.w3.org/TR/REC-CSS1
- CSS2 Cascading Style Sheets, Level 2: http://www.w3.org/TR/REC-CSS2
- DAISY The DAISY Consortium: http://www.daisy.org
- Dublin Core Dublin Core Metadata Initiative: http://www.purl.org/dc/
- DC-Type Dublin Core Type Vocabulary: http://dublincore.org/documents/dcmi-type-vocabulary/
- DTBook HTML HTML version of expanded DTBook: http://www.loc.gov/nls/z3986/ v100/dtbookdoc.htm
- DTBook Theory Theory behind the DTBook DTD: http://www.daisy.org/publications/ specifications/theory/dtbook/
- ISO International Organization for Standardization home page: http://www.iso.ch/
- ISO 3166 ISO 3166 Codes for the Representation of Names of Countries and their Subdivisions: http://www.din.de/gremien/nas/nabd/iso3166ma/codlstp1/
- ISO 8601 W3C Profile of ISO8601 Representation of Dates and Times: http:// www.w3.org/tr/note-datetime.html
- ISO 8859-1 ISO 8859-1 8-bit single-byte coded graphic character sets Part 1: Latin alphabet No. 1 (HTML character set): http://www.iso.ch
- ISO/IEC 10646 ISO/IEC 10646 Universal Multiple-Octet Coded Character Set: http:// www.unicode.org
- JPEG JPEG JFIF V1.02: http://www.jpeg.org/public/jfif.pdf

MPEG — Copies of these MPEG standards:

- MPEG-1 Audio, ISO/IEC 11172-3
- MPEG-4 Audio, ISO/IEC 14496-3
- can be obtained from the International Organization for Standardization homepage: http://www.iso.ch or from your national standards body. In the United States, this is the American National Standards Institute: http://www.ansi.org
- Navigation Features Document Navigation Features List: http://www.loc.gov/nls/z3986/ background/navigation.htm
- NS XML NameSpaces: http://www.w3.org/TR/REC-xml-names
- OEBF the Open eBook Forum Publication Structure, version 1.0.1: http:// www.openebook.org
- Player Features Playback Device Features List: http://www.loc.gov/nls/z3986/background/features.htm
- RFC 1766 RFC 1766 Tags for the Identification of Languages: http://www.ietf.org/rfc/ rfc1766.txt

- RFC 2083 Portable Network Graphics, Version 1: http://www.ietf.org/rfc/rfc2083.txt
- RFC 2396 Uniform Resource Identifiers (URI): Generic Syntax http://www.ietf.org/rfc/ rfc2396.txt
- RIFFWAV RIFF WAV format information: ftp://ftp.cwi.nl/pub/audio/RIFF-format
- SMIL SMIL 2.0 W3C Recommendation 07 August 2001: http://www.w3.org/TR/2001/ REC-smil20-20010807/
- SMILclock Clock Values section of SMIL Timing and Synchronization Module: http:// www.w3.org/TR/2001/REC-smil20-20010807/smil-timing.html#Timing-ClockValueSyntax
- SMILmedia SMIL Media Object Module: http://www.w3.org/TR/2001/REC-smil20-20010807/extended-media-object.html
- SMILtiming SMIL Timing and Synchronization Module: http://www.w3.org/TR/2001/ REC-smil20-20010807/smil-timing.html
- StructGuide Structure Guidelines: http://www.daisy.org/products/menupps.htm
- SVG Scalable Vector Graphics http://www.w3.org/TR/SVG/
- UAAG User Agent Accessibility Guidelines: http://www.w3.org/TR/UAAG10/
- WCAG Web Content Accessibility Guidelines: http://www.w3.org/TR/WAI-WEBCONTENT
- XML XML Version 1.0: http://www.w3.org/TR/2000/REC-xml-20001006.html
- XML-Style Associating Style Sheets with XML Documents 1.0: http://www.w3.org/TR/ xml-stylesheet/
- XSL Extensible Stylesheet Language (XSL) Version 1.0: http://www.w3.org/TR/xsl/
- XSLT XSL Transformations (XSLT) Version 1.0: http://www.w3.org/TR/xslt

Appendix 1 DTBook DTD

(This section is normative.)

<!-- DTBook DTD V1.0.0 2001-09-28 Harvey Bingham -->

<!-- file: dtbook100.dtd -->

<!--dtbook XML Document Type Definition Implementing the NISO Digital Talking Book V1.0.0 Harvey Bingham <hbingham@acm.org> George Kerscher <kerscher@montana.com> Michael Moodie <mmoo@loc.gov> David Pawson <dpawson@rnib.org.uk> Assisted by DAISY Consortium and NISO DTB Committee work teams.

1. Purpose

The Digital Talking Book Document Type Definition (DTD) provides the means to mark up the text of a published book to permit support for the combination of professional narration and navigation into that narration. The markup tags in the book convey its content in structure, and contain some metadata about the book content and its structure.

The Document Type Definition (DTD) names and defines the allowable element types, their allowable content, and their attributes. Correct markup of the text of the book permits the textual material to be synchronized using SMIL files with the professionally narrated version of that book. The synchronization can permit concurrent display of the text being narrated. The textual content can be searched in context to locate material desired for narration.

More detailed documentation of this dtbook dtd [DTBOOKDTD] is available as an html document. See [DTBOOKDOC].

1.1. Prior Related Work

The DAISY (Digital Audio-based Information SYstem) Consortium contributed substantially to the development of this DTD. This application of XML is the next generation after several DAISY versions of 2.X specifications, see [DAISY202]. Its Navigation Control Center (NCC) provided for synchronizing document structure with narration.

The NCC evolved into an XML application called the "Navigation Control File for XML applications" (NCX). Its content is derived from the markup of documents tagged using the dtbook DTD. Richer structuring capability is one of the objectives of that DTD. The Synchronized Multimedia Integration Language [SMIL 2.0] is used to provide synchronized narrations and text. The NCX provides navigation using the identified elements of documents tagged to this DTD.

The dtbook DTD includes many, but not all, of the element types found in both the [HTML401STRICT] and [XHTML11STRICT] strict DTDs. HTML authoring tools permit those additional element tags, and may ignore the additional tags that are dtbook-specific.

1.2. Evolution from HTML

Dtbook100 has 79 element types. It shares 47 element types with the HTML4.0

Strict DTD [HTML401STRICT] (and the XHTML Strict DTD [XHTML11STRICT]), omit 30 element types from them, and has 32 unique element types.

Endtag markup is sometimes optional in HTML. It is required for use with xhtml and dtbook. Any XML application [XML12] requires endtags, or their abbreviated form for empty elements, such as "
". The benefit of including endtags is that the tagged document has dependable structure that can be validated against the dtbook dtd.

Some tools available for browsing HTML may be used with dtbook material, at the expense of their discarding or ignoring some specific tagging and attributes that are not part of HTML 4.0. A CSS-based stylesheet [CSS1] or [CSS2] that identifies the presentation expectations for the HTML and non-HTML tags, or a filter to map those tags onto suitable HTML tags can provide appropriate visual presentation.

2. Document Tagging Content

A Digital Talking Book document is an XML application. Therefore it must begin with the XML processing instruction, followed by the DOCTYPE.

2.1. XML Processing Instruction
The XML Processing Instruction identifies the version of XML, and the optional
character set encoding:
 <?xml version="1.0" encoding="UTF-8" ?>

Some alternative encodings to "UTF-8" are "UTF-16", "ISO-10646-UCS-2", or "ISO-10646-UCS-4" that could be used for the various encodings and transformations of Unicode/ISO/IEC 10646. All XML applications are expected to support Unicode. Other alternatives are also acceptable, including "ISO-8859-1", "ISO-8859-2", ... "ISO-8859-9" for parts of ISO 8859. See [ISO8859]. Also the values "ISO-2022-JP", "Shift_JIS", or "EUC-JP" can be used for the various Japanese encoded forms of JIS X-0208-1997.

2.2. DOCTYPE Declaration

The document type declaration, the DOCTYPE, follows. It has several forms. The simpler form assumes that the proper version of the dtbook DTD is in the same directory as the dtbook file itself.

<!DOCTYPE dtbook SYSTEM "dtbook100.dtd">

That assumes the URI can be reached, which may not be true for portable dtbook players.

where the Formal Public Identifier (FPI) on the second line is converted to the URI where it may be publicly found: http://www.loc.gov/nls/z3986/v100/dtbook100.dtd

using the [OASIS-TR9401] Entity Management Catalog to resolve that indirect mapping from FPI to the dtd.

That catalog is more generally useful to provide the mapping from any external entity names (such as modules) to URIs where they may be found.

Note that the reference above is to a particular version of the DTD, distinguished by the "V100" from subsequent versions.

2.3 Digital Talking Book File MIME Type

A Digital Talking Book document is tagged to the dtbook XML application. It's MIME media-type is "text/xml". The tagged book filename should have suffix ".xml". See [RFC2045].

3. Modular Extension to the DTD

The dtbook DTD has two parameter entities defined that provide means to allow an individual book to modularly extend the content models for its block and inline parameter entities

<!ENTITY % externalblock ""> <!ENTITY % externalinline "">

These parameter entities appear in corresponding block and inline content models. With this "" content they have no effect on books tagged to the dtbook DTD. In a book that needs a modular extension, values are given by redefinition in the internal subset of that book. This extends the dtbook DTD without having to change it.

A book can augment the dtbook DTD by including other declarations or parameter entity references in the internal subset of declarations (in square brackets following the ExternalID and before the concluding ">") of the initial DOCTYPE declaration that identifies the dtbook DTD. Those additional markup declarations in the internal subset take preference over any in the dtbook DTD. The effective DTD is thereby augmented by the parameter entity values and any other declarations of the book's internal subset. For example:

```
<!DOCTYPE dtbook SYSTEM
"dtbook.dtd"
[
    <!ENTITY dramaModule SYSTEM "drama.dtd">
    %dramaModule;
    <!ENTITY % externalblock "| drama">
    <!ENTITY % externalblock "| stagedir">
]>
```

The "%dramaModule;" invocation causes all declarations made within dramaModule to become the initial part of the dtbook DTD. Within the book, the empty entity declarations for both % externalblock and for % externalinline are replaced by these new definitions. Thus the block element drama can appear wherever block elements may occur in dtbook. Similarly any actual content needed for %externalinline; (" | stagedir" is shown above) can appear in that extension to wherever %inline; appears in the DTD.

More than one module may be needed and included in a book, for example both poem and drama can appear in the internal subset of the book. For example, the internal subset of the book could contain:

```
<!DOCTYPE dtbook SYSTEM
"dtbook.dtd"
[
        <!ENTITY poemModule SYSTEM "poem.dtd">
```

```
%poemModule;
  <!ENTITY dramaModule SYSTEM "drama.dtd">
  %dramaModule;
  <!ENTITY % externalblock "| poem | stanza | verse | drama">
  <!ENTITY % externalblock "| stagedir">
```

Such external modules need to replicate any parameter entity definitions that are used therein since their definitions are needed before they can be expanded in their references. They cannot depend on parameter entities in the SystemLiteral or PubidLiteral that provides this dtbook100.dtd.

Note that arbitrary external modules from other sources may not have all the needed attributes. XML allows augmentation of ATTLISTs in the internal subset. For each module, some accommodation to its use in dtbook may be required. Any parameter entities needed in the content from the internal subset must be declared in those modules. Parameter entities in the dtbook dtd are not available when the internal subset is recognized.

Also note that element name collisions may be possible, with names in those modules and associated content models overriding those in dtbook. For modules under control of dtbook design, such collisions can be avoided. A more general solution uses namespace prefixes to element and attribute names to clearly indicate the module source.

The fully marked-up document follows, including tags from the external modules in the internal subset. Declarations in the internal subset or in external entity references (such as %dramaModule;) referenced therein take precedence over like-named ones from the external entity containing the base DTD (that is, dtbook100.dtd). Thus the declarations from the module containing the drama and poem tags are included along with the tags in the base DTD (that is dtbook100.dtd) that are not duplicated or redefined in the drama module. So if a tag is defined in the drama module, its definition overrides that of the tag in dtbook. There is an exception: an ATTLIST for elementname that adds attributes from the internal subset augments the ATTLIST attributes with different attribute names in the ATTLIST of the same elementname in the dtbook100.dtd.

Note that tools and players processing any extended markup that affects navigation structure will need to know of those modular extensions.

The form above for augmenting the dtbook dtd through the document's internal subset does not require the XML namespace mechanism, with its namespace-specific prefix on element and attribute names to disambiguate any potential name collisions. Use of namespaces is not precluded.

4. References

[CSS1] Cascading Style Sheets, Level 1 http://www.w3.org/TR/REC-CSS1

[CSS2] Cascading Style Sheets, Level 2 http://www.w3.org/TR/REC-CSS2

[DAISY202] The DAISY 2.02 Specification for the DAISY Digital Talking Book (DTB) format is the predecessor of this dtbook. http://www.daisy.org/dtbook/spec/2/final/d202/daisy_202.html

[DTBOOKDTD] The dtbook DTD v1.0.0 is available at http://www.loc.gov/nls/z3986/v100/dtbook100.dtd

ANSI/NISO Z39.86-200X

Note that some browsers may prevent downloading a file with suffix dtd.

[DTBOOKDOC] Expanded DTD documentation of this DTD is available as an HTML 4.0 document:

http://www.loc.gov/nls/z3986/v100/dtbook100doc.htm

Should revisions occur, a new directory named "vxxx" indicating the revision level will contain the revisions. Any prior specific version of the dtbook dtd and its documentation will persist.

[DTBOOK3] The last public beta version was dtbook3-07.dtd. http://www.loc.gov/nls/niso/dtbk3-07.dtd http://www.loc.gov/nls/niso/dtbk3-07doc.html

Those and prior versions are available at: http://www.loc.gov/nls/z3986/background/

The history of changes prior to this version, including those in internal drafts through dtbk3-10.dtd and before is in: http://www.loc.gov/nls/z3986/background/dtbk3-dtd-changes.txt

In that directory also are the old dtdbk3 dtds and their documentation. See its index.html for the list.

http://www.loc.gov/nls/z3986/background/index.html

[SMIL 2.0] The Synchronized Multimedia Integration Language SMIL 2.0 W3C Recommendation 07 August 2001 is available at: http://www.w3.org/TR/2001/REC-smil20-20010807/smil20.html

[HTML401STRICT] "HTML 4.0 Strict DTD", 1999-12-24, Dave Raggett, Arnaud Le hors, and Ian Jacobs. Dtbook100 was originally based on this HTML 4.0 Strict DTD, with design adaptation for dtbook100. The description of HTML 4. See [HTML401].

http://www.w3.org/TR/1999/REC-html401-19991224/strict.dtd

[HTML401] "HTML 4.01 Specification" W3C Recommendation 24 December 1999 Documentation of the element types that come from that DTD is available at: http://www.w3.org/TR/1999/REC-html401-199991224/

Dtbook100 is now partially harmonized with [XHTML11STRICT] DTD, using its camelCase parameter entity names and comments and references included following those parameter entities in explanatory comments, and extended table content model.

[ISO10646] "Information Technology - Universal Multiple-Octet Coded Character Set (UCS) - Part 1: Architecture and Basic Multilingual Plane", ISO/IEC 10646-1:1993. The current specification also takes into consideration the first five amendments to ISO/IEC 10646-1:1993.

[ISO8859] "Information Processing - 8-bit single-byte coded graphic character sets - Part 1: Latin alphabet No. 1", ISO 8859-1:1987. Other suffixes "-2 through -9" correspond to other character sets in the family.

[OASIS-TR9401] Entity Management, OASIS Technical Resolution 9401:1997 (Amendment 2 to TR 9401). Paul Grosso, 1997 September 10. http://www.oasis-open.org/specs/tr9401.html

[RFC1556] "Handling of Bi-directional Texts in MIME", H. Nussbacher, December 1993.

http://www.cis.ohio-state.edu/cgi-bin/rfc/rfc1556.html

[RFC1766] The %ContentType; and %ContentTypes; media types and the %Charset; and %Charsets; character encodings are from RFC2045 "Tags for the Identification of Languages", H. Alvestrand, March 1995.

http://www.cis.ohio-state.edu/cgi-bin/rfc/rfc1766.html

[RFC2045] "Multipurpose Internet Mail Extensions (MIME) Part One: Format of Internet Message Bodies", N. Freed and N. Borenstein, November 1996. Note that this RFC obsoletes RFC1521, RFC1522, and RFC1590.

http://www.cis.ohio-state.edu/cgi-bin/rfc/rfc2045.html

[RFC2046] "Multipurpose Internet Mail Extensions (MIME) Part Two: Media Types", N. Freed, November 1996

http://www.cis.ohio-state.edu/cgi-bin/rfc/rfc2046.html

[RFC2396] "Uniform Resource Identifiers (URI): Generic Syntax", T. Berners-Lee, R. Fielding, L. Masinter, August 1998. Note that this RFC revises and replaces the generic definitions in RFC 1738 and RFC 1808. http://www.cis.ohio-state.edu/cgi-bin/rfc/rfc2396.html

[XHTML11] "XHTML (tm) 1.0: The Extensible HyperText Markup Language", W3C Recommendation 26 January 2000, A reformulation of HTML4 in XML 1.0 includes casesensitive names, lower-case for elements and their attributes (but not parameter entity names) and in some cases equivalent content models that do not require SGML inclusions and exclusion exceptions (as occurred in the HTML4.0 strict dtd [HTML401STRICT]) is available at:

http://www.w3.org/TR/xhtml1/

[XHTML11STRICT] Expanded documentation of the element types that come from that XHTML11 strict.dtd and its other DTDs is available within the zip file: http://www.w3.org/TR/xhtml1/DTD/xhtml1.zip

[XML12] This dtbook100.dtd is an application of the Extensible Markup Language XML 1.0 (Second Edition) W3C Recommendation 6 October 2000. It is available at: http://www.w3.org/TR/2000/REC-xml-20000106.

-->

archives. See [DTBOOK3].

<!-- Comment Classification Conventions

Some comments start with a pattern followed by a colon: Use: element type and its use.

Ause: attribute use for associated element type. hb: Explanation by Harvey Bingham. Other comments without such a pattern are dividing lines, details about the DTD structure, or about dtbook objects. --> <!--== Character Entities <!-- Character entities for interoperability. A few characters may have special markup meaning, so are expressed as character entities in text, preceded by $\ensuremath{\ensuremath{^{\circ}}}\xspace$ and followed by $\ensuremath{\ensuremath{^{\circ}}}\xspace$, The notation below, #xHHHH (or #xHH) where H is a hexadecimal-number (formed from 1-9 and A-F), indicates the character code position, for Unicode/ISO-10646. Note that the "<" and "&" characters in the declarations of "lt" and "amp" are doubly escaped to meet the requirement that entity replacement be well-formed. --> ">"> <!ENTITY gt <!-- ">" > Greater than --> <!ENTITY amp "&#x0026;"> <!-- "&#38;" & Ampersand --> <!ENTITY apos ``'"> <!-- "'" ' Neutral Quote, Apostrophe--> <!ENTITY quot """> <!-- ``"" " Quotation mark --> <!-- Three larger character sets included in HTML 4.0 are omitted here: HTMLlat1.ent, HTMLsymbol.ent, and HTMLspecial.ent. Unicode is available to XML applications, so these characters are available. The initial processing instruction that identifies dtbook as an XML application should use a more inclusive encoding, as described at the start of section 2. --> <!--========== Imported Parameter Entity Names =============================> <!--Many parameter entities come from the [XHTML11STRICT] strict DTD.--> <!ENTITY % Character "CDATA"> <!-- a single character from [ISO10646] --> <!ENTITY % Charset "CDATA"> <!-- a character encoding, as per [RFC2045] --> <!ENTITY % Charsets "CDATA"> <!-- a space separated list of character encodings, as per [RFC2045] --> <!ENTITY % ContentType "CDATA"> <!-- media type, as per [RFC2046] -->

```
<!ENTITY % ContentTypes "CDATA">
   <!-- comma-separated list of media types, as per [RFC2046] -->
<!ENTITY % Datetime "CDATA">
   <!-- date and time information. ISO date format -->
<!ENTITY % LanguageCode "NMTOKEN">
   <!-- a language code, as per [RFC1766] -->
<!ENTITY % Number "CDATA">
   <!-- one or more digits -->
<!ENTITY % LinkTypes "CDATA">
   <!-- space-separated list of link types -->
<!ENTITY % MediaDesc "CDATA">
    <!-- single or comma-separated list of media descriptors;
       possible values include BRAILLE, PRINT, PROJECTION, SPEECH, ALL,
       or the default SCREEN -->
<!ENTITY % StyleSheet "CDATA">
   <!-- style sheet data -->
<!ENTITY % Text "CDATA">
   <!-- used for titles etc. -->
<!ENTITY % URI "CDATA">
   <!-- a Uniform Resource Identifier, see [RFC2396] -->
<!--=== dtbook External Module Inclusion
=======================>>
<!ENTITY % externalblock "">
    <!-- placeholder for block element expansion from external modules,
        if changed, string in external subset begins " |
blockelementname" -->
<!ENTITY % externalinline "">
   <!-- placeholder for inline element expansion from external modules,
       if changed, string in external subset begins "
inlineelementname" -->
<!--=== dtbook100 Content Models
<!ENTITY % list "list">
   <!-- list container for ordered or unordered lists -->
<!ENTITY % dtbookblock
    "author | notice | prodnote | sidebar | note | annotation
%externalblock;">
   <!-- block elements unique to dtbook -->
<!ENTITY % inlineinblock
    "a | cite | img | caption | samp | kbd | pagenum">
   <!-- inlines that may alternatively be in block elements -->
<!ENTITY % block
    "p | %list; | dl | div | blockquote | hr | imggroup
    table | address | line | %dtbookblock;">
```

```
<!-- block elements from html 4.0 augmented by dtbook-unique
elements -->
<!--============= Character mnemonic entities
_____
<!-- Omitted as XML uses Unicode, so doesn't need them. May need
       character entities if the encoding is more restrictive. -->
<!--================ Generic Attributes
<!ENTITY % coreattrs
          ID
   "id
                             #IMPLIED
               CDATA
                             #IMPLIED
    class
           *StyleSheet; #IMPLIED
    style
    title
               %Text;
                             #IMPLIED">
   <!-- coreattrs are attributes permissible for most elements
       id document-wide unique id
       class space separated list of classes used for rendering
      style associated style info
      title advisory title/amplification
   -->
<!ENTITY % il8n
   "lang
               %LanguageCode; #IMPLIED
    xml:lang %LanguageCode; #IMPLIED
    dir
              (ltr|rtl)
                         #IMPLIED">
   <!-- i18n internationalization attributes
                 language code (backwards compatible)
       lang
       xml:lang language code (as per XML 1.0 spec)
       dir
                  direction for weak/neutral text
                  ltr=left to right
                  rtl=right to left
   xhtml recommendation: use both lang and xml:lang, with same value,
   such as "en-US", on the major containing block, to provide source for
   the #IMPLIED values of its descendent elements. See [RFC1556].
   should the values differ, the xml:lang takes precedence.
   See [RFC1556] for handling bi-directional text in MIME.
   -->
<!ENTITY % showin
   "showin (xxx xxp xlx xlp bxx bxp blx blp) #IMPLIED">
   <!--showin attribute applies for text elements to permit identification
       of the kinds of display appropriate for the element, so presentation
       choice by the reader among alternative readings can be provided, when
       appropriate. Values of showin are coded with three letters in order:
       "b"=Braille, "l"=Largeprint, and "p"=Print; or "x"=inappropriate:
          Value Braille Largeprint Print Interpretation
          "xxx"
                                           hide
          "xxp"
                                           print only
                                   р
          "xls"
                         1
                                           largeprint only
          "xlp"
                         1
                                           largeprint and print
                                   р
                b
          "bxx"
                                           braille only
                b
          "bxp"
                                           braille and print
                                   р
          "blx"
                 b
                         1
                                           braille and largeprint
                         1
          "blp"
                 b
                                   р
                                          braille, largeprint, and print
```

There is no default value, this attribute value is implied from the most immediate ancestor that specifies a value.

If only one showin value is needed it should be included with <book>.

Different showin content meeting different needs are possible. Both largeprint and print are appropriate for screen rendering as well as printing. Different corresponding styles may be appropriate. It is possible to include equivalent content from any major structure below <book> to provide the different content suitable for different media. These would be independent, sharing no direct content, possibly having common references to images, with different accompanying text descriptions.

-->

<!ENTITY % attrs "%coreattrs; %i18n; smilref CDATA #IMPLIED %showin;"> <!-- %attrs; is part of most attribute lists. It includes %coreattrs; from which come the four #IMPLIED attributes: id, class, style, and title. %il8n; from which come the implied attributes: lang, xml:lang, and dir smilref is a pointer to a [SMIL 2.0] file, normally to the time container (SMIL <par> or <seq>) containing the media object that references this element. However, in a text-only DTB consisting of a sequence of text media objects, <smilref> points to the media object that references this element. <smilref> allows resumption of SMIL presentation at the proper location after navigation via dtbook file. All <smilref> values are expected to be added to an augmented version of the <dtbook> during production. %showin; which value (three letters, from `x'=ignore, `b'=braille, 'l'=largeprint, or 'p'=print) indicates positionally the explicit applicability of this element tag to the various media. --> <!ENTITY % attrsrqd "id TD #REOUIRED class CDATA #IMPLIED style %StyleSheet; #IMPLIED title %Text; #IMPLIED smilref CDATA #IMPLIED %i18n; %showin; "> <!-- %attrsrqd; includes required id and implied class, style, and title. %il8n; from which come the implied attributes: lang, xml:lang, and dir smilref is a pointer to a [SMIL 2.0] file, normally to the time container (SMIL <par> or <seq>) containing the media object that references this element. However, in a text-only DTB consisting of a sequence of text media objects, <smilref> points to the media object that references this element. <smilref> allows resumption of SMIL presentation at the proper location after navigation via dtbook file. All <smilref> values are expected to be added to an augmented version of the <dtbook> during production. %showin; which value (three letters, from `x'=ignore, `b'=braille, 'l'=largeprint, or 'p'=print) indicates positionally the explicit applicability of this element tag to the various media. -->

ANSI/NISO Z39.86-200X

```
<!--=== Document Structure
<!ENTITY % dtbook.content
   "head, book">
   <!-- dtbook.content designates that each dtbook has a <head> of
   metainformation preceding the <book> content.
   -->
<!--Use: dtbook is the root element in the Digital Talking Book DTD.
       <dtbook> contains metadata in <head> and the contents itself in <book>.
   -->
<!ELEMENT dtbook (%dtbook.content;)>
<!--Ause: dtbook The required version attribute contains the specific
       version of the dtd, so that the dtd version for any dtbook can
       be recognized.
       The internationalization (%i18n;) attributes characterize the <book>.
   -->
<!ATTLIST dtbook
 version CDATA #FIXED `1.0.0'
 %i18n;
<!--=== Book Content
_____
<!--Use: book surrounds the actual content of the document, which
       is divided into <frontmatter>, <bodymatter>, and <rearmatter>.
       <head>, which contains metadata, precedes <book>.
   -->
<!ELEMENT book (frontmatter?, bodymatter?, rearmatter?)>
<!ATTLIST book
 %attrs;
 >
<!--===================== Book Major Structures
==================================>
<!--Use: frontmatter contains preliminary material enclosed in appropriate
       <level> or <level1>. Content may include <doctitle>, <docauthor>
       copyright notice, foreword, acknowledgments, table of contents, etc.
       <frontmatter> serves as a guide to the content and nature of a <book>.
   -->
<!ELEMENT frontmatter (doctitle | docauthor | level | level1 | %block;)+>
<!ATTLIST frontmatter
 %attrs;
 >
<!--Use: bodymatter consists of the text proper of a book, as
       opposed to preliminary material <frontmatter> or supplementary
       information <rearmatter>.
   -->
```

```
<!ELEMENT bodymatter (level | level1 | %block;)+>
<!ATTLIST bodymatter
 %attrs;
<!--Use: rearmatter contains supplementary material such as
       appendices, glossaries, bibliographies, and indices. It follows
       the <bodymatter> of the book.
    -->
<!ELEMENT rearmatter (level | level1 | %block;)+>
<!ATTLIST rearmatter
 %attrs;
 >
<!--===================== dtbook Recursive Structure level
============================>>
<!--Use: level is an alternative tag for marking the major
       structures in a book. It may be used recursively, i.e., repeated
       indefinitely with each successive occurrence nesting within the
       previous. It may also be included in a subsequent higher level.
       Subordinate levels have greater depth. Contrast with the explicit
       <level1>...<level6> elements, which may not be intermixed with
       <level>.
    -->
<!ELEMENT level (levelhd | %block; | %inlineinblock; | level)*>
<!--Ause: level The class attribute identifies the actual name (e.g., part,
       chapter, section, subsection) of the structure it marks.
       The depth attribute indicates the nesting depth, starting at 1.
    -->
<!ATTLIST level
 %attrs;
 depth CDATA #IMPLIED
================>
<!--Use: level1 is the highest level container of major divisions of
       a book. Used in <frontmatter>, <bodymatter>, and <rearmatter> to
       mark the largest divisions of the book (usually parts or chapters),
       inside which level2 subdivisions (often sections) may nest.
       The class attribute identifies the actual name (e.g., part, chapter)
       of the structure it marks. Contrast with <level>.
   -->
<!ELEMENT level1 (h1 | level2 | %block; | %inlineinblock;)*>
<!ATTLIST level1
 %attrs;
 >
```

```
<!--Use: level2 contains subdivisions that nest within <level1>
       divisions. The class attribute identifies the actual name (e.g.,
        subpart, chapter, subsection) of the structure it marks.
    -->
<!ELEMENT level2 (h2 | level3 | %block; | %inlineinblock;)*>
<!ATTLIST level2
 %attrs;
 >
<!--Use: level3 contains sub-subdivisions that nest within <level2>
        subdivisions (e.g., sub-subsections within subsections). The class
        attribute identifies the actual name (e.g., section, subpart,
       subsubsection) of the subordinate structure it marks.
    -->
<!ELEMENT level3 (h3 | level4 | %block; | %inlineinblock;)*>
<!ATTLIST level3
 %attrs;
 >
<!--Use: level4 contains further subdivisions that nest within <level3>
       subdivisions. The class attribute identifies the actual name
       of the subordinate structure it marks.
    -->
<!ELEMENT level4 (h4 | level5 | %block; | %inlineinblock;)*>
<!ATTLIST level4
 %attrs;
<!--Use: level5 contains further subdivisions that nest within <level4>
       subdivisions. The class attribute identifies the actual name
       of the subordinate structure it marks.
    -->
<!ELEMENT level5 (h5 | level6 | %block; | %inlineinblock;)*>
<!ATTLIST level5
 %attrs;
 >
<!--Use: level6 contains further subdivisions that nest within <level5>
       subdivisions. The class attribute identifies the actual name
       of the subordinate structure it marks.
    -->
<!ELEMENT level6 (h6 | %block; | %inlineinblock;)*>
<!ATTLIST level6
 %attrs;
  >
```

```
<!--== Text Markup
<!ENTITY % phrase
   "em | strong | dfn | code | samp | kbd | cite | abbr | acronym">
   <!-- inline text elements -->
<!ENTITY % special
   "a | img | br | q | sub | sup | span | bdo | linenum">
   <!-- special inline text elements. -->
<!ENTITY % specialnoa
   "img | br | q | sub | sup | span | bdo | linenum">
   <!-- specialnoa inline text elements for anchor <a>. -->
<!--=== Inline Entities
<!ENTITY % dtbookinline
    "sent | w | pagenum | prodnote | noteref %externalinline;">
   <!-- dtbook added inline text elements -->
<!ENTITY % inline
   "#PCDATA | %phrase; | %special; | %dtbookinline;">
   <!-- inline text elements -->
<!ENTITY % inlinenoa
   "#PCDATA | %phrase; | %specialnoa; %externalinline;">
   <!-- inlinenoa excludes nested <a>. -->
<!ENTITY % inlines
    "#PCDATA | %phrase; | %special; | pagenum | w | prodnote | noteref
    %externalinline;">
   <!-- inlines excludes direct nesting of sentences <sent>. -->
<!ENTITY % inlinew
    "#PCDATA | %phrase; | %special; %externalinline;">
   <!-- inlinew for word <w> excludes any of the
%dtbookinline;. -->
<!ENTITY % inlinenopagenum
    "#PCDATA | %phrase; | %special; |
         sent | w | noteref %externalinline;">
   <!-- inlinenopagenum excludes direct <pagenum> in   and . --
>
<!ENTITY % inlinenoprodnote
    "#PCDATA | %phrase; | %special; |
         sent | w | pagenum | noteref %externalinline;">
```

ANSI/NISO Z39.86-200X

```
<!-- inlinenoprodnote excludes direct <prodnote>, as they shouldn't
      nest.-->
<!ENTITY % inlinenoanoprodnote
     "#PCDATA | %phrase; | %specialnoa; |
          sent | w | pagenum | noteref %externalinline;">
    <!-- inlinenoanoprodnote excludes <a> and <prodnote> directly. -->
<!--=================== Flow (Block or Inline) Entities
============================>
<!ENTITY % flow
    "%inlinenoprodnote; | %block;">
   <!-- flow elements add inlinenoprodnote to block -->
<!ENTITY % flownopagenum
   ``%inlinenopagenum; | %block;">
    <!-- flownopagenum ideally excludes pagenum though can get in
        through %block; -->
<!--========= Br, Linenum, Address, and Div Content Models
====================>>
<!--Use: br marks a forced line break.
    -->
<!ELEMENT br EMPTY>
<!ATTLIST br
 %coreattrs;
<!--Use: linenum contains a line number in, for example, in legal text.
   -->
<!ELEMENT linenum (#PCDATA)>
<!ATTLIST linenum
 %attrs;
 >
<!--Use: address contains a location at which a person or agency
       may be contacted. By use of <line> to contain content of the
        individual lines, the class attribute can be used to identify
        the content of that <line>. For example, class values might include:
            name, address, region: (state. province, etc.), country, location
            code: (zipcode, provincial code, etc.), phone, fax, email, etc. -->
<!ELEMENT address (%inline; | line)*>
<!ATTLIST address
 %attrs;
  >
```

```
<!--Use: div is a generic container for subdivisions of a book. The
        <level1> ... <level6> hierarchy, or the <level> tag used recursively,
        should mark the major hierarchical structures of a book, while <div>
        is used in less formal circumstances or when for production purposes
        it is desired that a structure should be treated differently. The
        class attribute value identifies the actual name (e.g., part, chapter,
        letter) of the structure it marks. Compare with <span> which is
       used in inline settings.
    -->
<!ELEMENT div (%block; | %inlineinblock;)*>
<!--Ause: div The level attribute may extend or augment explicit levels,
       to indicate nesting level, values the positive
       integers, with "1" corresponding to <h1>.
    -->
<!ATTLIST div
 %attrs;
 level CDATA #IMPLIED
<!--===== dtbook Block Elements Author, Notice, Prodnote, Sidebar
=====-->
<!--Use: author identifies the writer of a work other than this one.
        Contrast with <docauthor> which identifies the author of this work.
        <author> typically occurs within <blockquote> or <cite>.
    -->
<!ELEMENT author (%inline;)*>
<!ATTLIST author
 %attrs;
<!--Use: notice contains a warning, caution, or other type of admonition
       normally found in the margin of a book. In contrast with <sidebar>
        a <notice> must be presented at a specific location within the
        text. Its presentation is not optional.
    -->
<!ELEMENT notice (%inline;)*>
<!ATTLIST notice
 %attrs;
 >
<!--Use: prodnote contains language added to the alternative-format
        version by the producer; commonly used to:
        1) provide descriptions of one or more visual elements such
            as charts, graphs, etc.
        2) supply operating instructions
        3) describe differences between the print book and the audio
       version.
    -->
```

```
<!ELEMENT prodnote (%flow;)*>
<!--Ause: prodnote The imgref identifies the space-separated id value(s) on
       pertinent images <img>. Rendering of <prodnote> uses the render
       attribute to indicate the content is required or optional for
       the user. If optional, some user preference may allow skipping
       over the content. But prodnote render="required"> is essential
       content for the user. An audible cue could announce the presence of
       the <prodnote>.
    -->
<!ATTLIST prodnote
 %attrs;
 imgref IDREFS #IMPLIED
 render (required | optional) #IMPLIED
<!--Use: sidebar contains information supplementary to the main
       text and/or narrative flow and is often boxed and printed apart
       from the main text block on a page. It may have a heading <hd>.
    -->
<!ELEMENT sidebar (%flow; | hd)*>
<!ATTLIST sidebar
 %attrs;
<!--Use: note marks a footnote, endnote, etc. Any local reference to
   <note id="yyy"> is by <noteref idref="#yyy">.
    -->
<!ELEMENT note (%block; | %inlineinblock;)+>
<!ATTLIST note
 %attrsrqd;
<!--Use: annotation is a comment on or explanation of a portion of
       a printed book. It differs from <note> in that an <annotation>
       is usually set in the margin or on a facing page, often with
       no explicit reference to it inserted in the text. Any local
       reference to <annotation id="xxx"> is by <annoref idref="#xxx">.
    -->
<!ELEMENT annotation (%block; | %inlineinblock;)+>
<!ATTLIST annotation
 %attrsrqd;
<!--Use: line marks a single logical line of text. Often used in
       conjunction with <linenum> in documents with numbered lines.
    -->
```

```
<!ELEMENT line (%inline;)*>
<!ATTLIST line
 %attrs;
 >
<!--=== The Anchor Element
------
<!--Use: a contains an anchor, which is used to reference another location,
       within the same or another <dtbook>.
   -->
<!ELEMENT a (%inlinenoa;)*>
<!--Ause: a The href attribute value may have three forms:
       1) "#idref", in this application, to the element type having the
           referenced id value in this document;
       2) "uri", a uniform resource identifier to a resource, typically a
           document, see [RFC2396], restricted to work with only a
<dtbook>
           document;
       3) "uri#xxx". in the resource uri the element with id="xxx".
       Uses of the remaining attributes other than %attrs; are:
           "type" is advisory content MIME type of the target, see [RFC1556];
           "hreflang" is language code of the href target, see [RFC1766];
           "rel" is a list of forward link type(s), the relationship(s)
               expressed by the href value to the target, space-separated
               if multiple;
           "rev" is a list of reverse link types, the relationship(s)
               to this location from the href target, space-separated
               if multiple;
           "accesskey"=accessibility key character shortcut;
           "tabindex"=tabbing order.
   -->
<!ATTLIST a
 %attrs;
 type %ContentType; #IMPLIED
 href %URI; #IMPLIED
 hreflang %LanguageCode; #IMPLIED
 rel %LinkTypes; #IMPLIED
 rev %LinkTypes; #IMPLIED
 accesskey %Character; #IMPLIED
 tabindex %Number; #IMPLIED
<!--=== Inline Elements
<!--Use: em indicates emphasis. Usually <em> is rendered in italics.
   Compare with <strong>.
   -->
<!ELEMENT em (%inline;)*>
<!ATTLIST em
 %attrs;
 >
```

```
<!--Use: strong marks stronger emphasis than <em>. Visually <strong> is
    usually rendered bold. -->
<!ELEMENT strong (%inline;)*>
<!ATTLIST strong
 %attrs;
 >
<!--Use: dfn marks the first occurrence of a word or term that is
        defined or explained there or elsewhere in <book>. Often
       <dfn> is rendered in italics, sometimes in parentheses.
    -->
<!ELEMENT dfn (%inline;)*>
<!ATTLIST dfn
 %attrs;
<!--Use: kbd designates information that the reader is to input
       directly into a computer using the keyboard.
<!ELEMENT kbd (%inline;)*>
<!ATTLIST kbd
 %attrs;
<!--Use: code designates a fragment of computer code.
   -->
<!ELEMENT code (%inline;)*>
<!--Ause: code The attribute xml:space='preserve' preserves whitespace
       therein (except that an XML parser strips leading and trailing
       whitespace before passing the internal content including its
       original whitespace to the application.) The value
       xml:space='default' leaves the whitespace handling to the application.
   -->
<!ATTLIST code
 %attrs;
 xml:space (default | preserve) 'preserve'
<!--Use: samp contains a sample of work created by the author for
       use as an example or template. For example, a sample business letter,
       resume, or computer program output, or form.
    -->
<!ELEMENT samp (%inline;)*>
<!--Ause: samp The xml:space='preserve' preserves whitespace therein (except
       that an XML parser strips leading and trailing whitespace before
       passing the internal content including its original whitespace
       to the application.) The value xml:space='default' leaves the
       whitespace handling to the application.
    -->
```

```
DRAFT STANDARD SUBJECT TO CHANGE
```

```
<!ATTLIST samp
 %attrs;
 xml:space (default | preserve) `preserve'
<!--Use: cite marks a reference (or citation) to another document.
    <cite> may occur within an <a href="URL">...</a> should that other
    document be available in the same dtbook distribution.
    -->
<!ELEMENT cite (%inline;)*>
<!ATTLIST cite
 %attrs;
 >
<!--Use: abbr designates an abbreviation, a shortened form of a
        word. For examples: Mr., approx., lbs., rec'd.
                                                         -->
<!ELEMENT abbr (%inline;)*>
<!--Ause: abbr The title attribute value may expand that abbreviation.
   -->
<!ATTLIST abbr
 %attrs;
<!--Use: acronym marks a word formed from key letters (usually
       initials) of a group of words. For examples: UNESCO, NATO, XML.
    -->
<!ELEMENT acronym (%inline;)*>
<!--Ause: acronym The title attribute value may expand that acronym.
       The pronounce attribute value "yes" indicates that the
       acronym is pronounceable as a word (for example, NATO);
        "no" that the acronym is best presented as a sequence
       of letters (for example "US").
    -->
<!ATTLIST acronym
 %attrs;
 pronounce (yes | no) #IMPLIED
<!--Use: sub indicates a subscript character (printed below a
       character's normal baseline). Can be used recursively and/or
       intermixed with <sup>.
    -->
<!ELEMENT sub (%inline;)*>
<!ATTLIST sub
 %attrs;
 >
```

```
<!--Use: sup marks a superscript character (printed above a
       character's normal baseline). Can be used recursively and/or
        intermixed with <sub>.
    -->
<!ELEMENT sup (%inline;)*>
<!ATTLIST sup
 %attrs;
  >
<!--Use: span is a generic container for use in inline settings
        when no specific tag exists for a given situation. The class
        attribute may describe the nature of the text it marks (e.g., a
        typographical error). May be used to mark a class of items to which
       styles are to be applied. Compare with <div> which is used in block
       settings. #PCDATA following an inline can be given an id for resumed
       playing by putting it in a <span>.
    -->
<!ELEMENT span (%inline;)*>
<!ATTLIST span
 %attrs;
<!--Use: bdo is used in special cases where the automatic actions
       of the bi-directional algorithm would result in incorrect display.
    -->
<!ELEMENT bdo (%inline;)*>
<!--Ause: bdo The lang attribute indicates the language of the content.
       The dir attribute indicates the writing direction: "ltr" is
        left-to-right, "rtl" is right-to-left.
    -->
<!ATTLIST bdo
 %coreattrs;
 lang %LanguageCode; #IMPLIED
 dir (ltr | rtl) #REQUIRED
<!--==================== dtbook Inline Sentence and Word
======================>
<!--Use: sent marks a sentence.
   -->
<!ELEMENT sent (%inlines;)*>
<!ATTLIST sent
 %attrs;
<!--Use: w marks a word.
   -->
```

```
<!ELEMENT w (%inlinew;)*>
<!ATTLIST w
 %attrs;
<!--=== Inline Page Number, Footnote and Annotation Reference
==============>>
<!--Use: pagenum contains one page number as it appears from the print
        document, usually inserted at the point within the file immediately
       preceding the first item of content on a new page.
    -->
<!ELEMENT pagenum (#PCDATA)>
<!--Ause: pagenum The "page" attribute allows three kinds of page numbering
        schemes to be identified:
             "normal" Arabic numbering in the body of the book is
                  the default,
             "front" pages (from the <frontmatter>, often roman numbering),
             "special" pagination schemes such as letter prefix hyphen Arabic
                  number in appendices. Each pagenum needs a unique
        id value, by convention is derived from the actual pagenumber. For
       multi-page continuous content, such as large <img> or , put
        the sequence of <pagenum> on the page where that content starts.
    -->
<!ATTLIST pagenum
 %attrsrqd;
 page (front | normal | special) "normal"
<!--Use: noteref marks one or more characters that reference a footnote
        or endnote <note>. Contrast with <annoref>. Either may be
        independently skippable.
    -->
<!ELEMENT noteref (#PCDATA)>
<!--Ause: noteref idref relates to the note, for example:
       <noteref idref="yyy"> refers to <note id="yyy">.
The type attribute
       provides advisory content MIME type of the target, see [RFC1556].
    -->
<!ATTLIST noteref
 %attrs;
 idref CDATA #REQUIRED
 type %ContentType; #IMPLIED
<!--Use: annoref marks a text segment that references an <annotation>.
        Each <annoref> is usually a word, phrase, or whole line that
        is part of the surrounding text (identified in the original
        print book by bolding, italics, etc.). It should not normally
       be allowed to be turned off in a DTB application.
    -->
```

```
<!ELEMENT annoref (#PCDATA)>
<!--Ause: annoref The idref attribute refers to the target id of an
       <annotation>. The type attribute provides advisory content MIME
       type of the targeted id, see [RFC1556].
   -->
<!ATTLIST annoref
 %attrs;
 idref CDATA #REQUIRED
 type %ContentType; #IMPLIED
<!--=== Inline Quotes
<!--Use: q contains a short, inline quotation. Compare with
       <blockquote> which marks a longer quotation set off from the
       surrounding text.
   -->
<!ELEMENT q (%inline;)*>
<!--Ause: q The cite attribute may provide a URI reference.
   -->
<!ATTLIST q
 %attrs;
 cite %URI; #IMPLIED
<!--== Images
----->
<!-- Image <img> comes from HTML. An <img> may be grouped
      using <imggroup>, with <caption>, and special
      usage instructions with <prodnote>. The <imggroup>
      element may contain one or more <img> and any associated
      <caption> and <prodnote>. Multiple <img> may share a single
      caption, or multiple <caption> may apply if several captions
      refer to a single <img>. Multiple <prodnote> may apply if different
      versions are needed for different media.
  -->
<!ENTITY % Length "CDATA">
   <!-- measured in pixels. -->
<!ENTITY % MultiLength "CDATA">
   <!-- measured in integer pixels "n", percent "n%" of diplay width,
       or "0*" indicating minimum appropriate width. Multiple Lengths
       are separated by white-space.
   -->
```

```
<!ENTITY % Pixels "CDATA">
   <!-- 0 for no <table> border, positive integer for  border width
       in pixels.
    -->
<!--Use: img marks a visual image. An <img> will generally contain a
       longdesc, a pointer to the related <prodnote>. The referencing
       is typically of the form <caption imgref="#yyy">The Caption</caption>
       for the printed caption of the <img id="yyy">.
    -->
<!ELEMENT img EMPTY>
<!--Ause: img The "src" attribute specifies the location of the image file.
       The "alt" attribute may be used to supply a short description of
       the <img>. The attributes height and width provide visual sizing
       information, measured in pixels.
    -->
<!ATTLIST img
 %attrs;
 src %URI; #REQUIRED
 alt %Text; #REQUIRED
 longdesc %URI; #IMPLIED
 height %Length; #IMPLIED
 width %Length; #IMPLIED
<!--Use: imggroup provides a container for <img> or images and associated
        <caption> and <prodnote>. <prodnote> may contain a description
       of the image. The content model allows: 1) multiple <img>
       if they share a caption, with the ids of each <img> in the
       <caption idref="id1 id2 ...">, 2) multiple <caption> if several
       captions refer to a single <img id="xxx"> where each caption has
       the same <caption idref="xxx">, 3) multiple <prodnote> if
       different versions are needed for different media (e.g.,
       large print, braille, or print.)
    -->
<!ELEMENT imggroup (prodnote | img | caption)+>
<!ATTLIST imggroup
 %attrs;
 >
<!--=== Horizontal Rule
<!--Use: hr is an empty element indicating a horizontal rule. May be
       used to indicate a break in the text where only blank lines, a row
       of asterisks, a horizontal line, etc. are used in the print book.
    -->
<!ELEMENT hr EMPTY>
<!ATTLIST hr
 %coreattrs;
 >
```

ANSI/NISO Z39.86-200X

```
<!--== Paragraphs
<!--Use: p contains a paragraph, which may contain subsidiary <list> or <dl>.
   -->
<!ELEMENT p (%inline; | %list; | dl)*>
<!ATTLIST p
 %attrs;
<!--========= Doctitle, Docauthor and Headings
<!--Use: doctitle marks the title of the book within <frontmatter>.
       By convention it should appear only once, usually first.
       Within <head> is <title> whose contents are generally the same.
   -->
<!ELEMENT doctitle (%inline;)*>
<!ATTLIST doctitle
 %attrs;
<!--Use: docauthor marks each author or editor of this work. Compare with
       <author>, used to mark the author of another work, within <blockquote>
       or <cite>.
   -->
<!ELEMENT docauthor (%inline;)*>
<!ATTLIST docauthor
 %attrs;
<!--Use: levelhd contains the text of a heading within <level>.
      Corresponds to <h1> through <h6> used in <level1> through <level6>.
   -->
<!--Ause: levelhd The depth value is a positive integer, corresponding
      to the <h1>...<h6> levelN, though not limited to just six levels.
       Any depth value, "n", should match that on the enclosing
       <level depth="n">.
   -->
<!ELEMENT levelhd (%inline;)*>
<!ATTLIST levelhd
 %attrs;
 depth CDATA #IMPLIED
<!--Use: h1 contains the text of the heading for a <level1>
      structure.
   -->
```

```
<!ELEMENT h1 (%inline;)*>
<!ATTLIST h1
 %attrs;
 >
<!--Use: h2 contains the text of the heading for a <level2>
      structure.
    -->
<!ELEMENT h2 (%inline;)*>
<!ATTLIST h2
 %attrs;
 >
<!--Use: h3 contains the text of the heading for a <level3>
    structure.
    -->
<!ELEMENT h3 (%inline;)*>
<!ATTLIST h3
 %attrs;
 >
<!--Use: h4 contains the text of the heading for a <level4>
    structure.
   -->
<!ELEMENT h4 (%inline;)*>
<!ATTLIST h4
 %attrs;
 >
<!--Use: h5 contains the text of the heading for a <level5>
    structure.
   -->
<!ELEMENT h5 (%inline;)*>
<!ATTLIST h5
 %attrs;
 >
<!--Use: h6 contains the text of the heading for a <level6>
      structure.
    -->
<!ELEMENT h6 (%inline;)*>
<!ATTLIST h6
 %attrs;
 >
<!--Use: hd marks the text of a heading in a <list> or <sidebar>.
   -->
```
```
ANSI/NISO Z39.86-200X
```

```
<!ELEMENT hd (%inline;)*>
<!ATTLIST hd
 %attrs;
 >
<!--=== Preformatted Text
------
<!-- HTML or XHTML preformatted text is omitted, as inappropriate for
      narrated material. -->
<!--Use: blockquote indicates a block of quoted content that is set
      off from the surrounding text by paragraph breaks. Compare with
      <q> which marks short, inline quotations.
   -->
<!ELEMENT blockquote (%block;)*>
<!--Ause: blockquote The cite attribute permits inclusion of the
     URI from which the <blockquote> came.
   -->
<!ATTLIST blockquote
 %attrs;
 cite %URI; #IMPLIED
<!--Use: dl contains a definition list, usually consisting of
      pairs of terms <dt> and definitions <dd>. Any definition can contain
      another definition list.
   -->
<!ELEMENT dl (dt | dd | pagenum)+>
<!ATTLIST dl
 %attrs;
 >
<!--Use: dt marks a term in a definition list.
  -->
<!ELEMENT dt (%inline;)*>
<!ATTLIST dt
 %attrs;
 >
<!--Use: dd marks a definition of a term within a definition list.
   -->
<!ELEMENT dd (%flow;)*>
<!ATTLIST dd
 %attrs;
 >
```

<!--Use: list contains some form of list, ordered or unordered. The list may have intermixed heading <hd> (generally only one, possibly with <prodnote>) and an intermixture of list items > and <pagenum>. If bullets and outline enumerations are part of the print content, they are expected to prefix those list items in content, rather than be implicitly generated. Note: XHTML has explicit list element types: ol for ordered, and ul for unordered. --> <!ELEMENT list (hd | prodnote | li | pagenum)+> <!--Ause: list The "type" attribute indicates whether the list items > are ordered "ol" or unordered "ul". The depth indicates nesting depth, starting at 1. The enum value indicates: 1=integer, a=lowercase, U=uppercase, i=lowercase Roman, or X=uppercase Roman. The bullet value can come from Unicode, using the entity reference form &xdddd; --> <!ATTLIST list %attrs; type (ol | ul) #IMPLIED depth CDATA #IMPLIED enum (1 | a | U | i | X) #IMPLIED bullet CDATA #IMPLIED <!--Use: li marks each list item in a <list>. content may be either inline or block and may include other nested lists. Alternatively it may contain a sequence of list item components, <lic>, that identify regularly occurring content, such as the heading and page number of each entry in a table of contents. --> <!ELEMENT li (%flow; | lic)*> <!ATTLIST li %attrs; <!--Use: lic ("list item component") allows ordered substructure within a list item . Used when a list item is made up of two or more components, as in a table of contents entry. The same number of <lic> should occur in each . If not, correspondence of <lic> in different is in order of occurrence for the current writing direction of the . --> <!ELEMENT lic (%inline;)*> <!--lic class attribute may be used to identify the particular component of a list item . For example, in a table of contents class values might include "section", and "pagenumber". -->

```
ANSI/NISO Z39.86-200X
<!ATTLIST lic
 %attrs;
<!--== Tables
<!--hb: the XHTML <table> model is used, including the presentational
       attributes that have little meaning in Digital Talking Books,
       but may be useful for concurrent display in different media.
      Note: The XHTML  model has been enhanced from HTML to allow
       a  of just rows .
    -->
<!ENTITY % Scope
   "(row | col | rowgroup | colgroup)">
   <!-- Scope specifies a set of data cells for which the <th>> provides
       header information. -->
<!ENTITY % TFrame
   "(void | above | below | hsides | lhs | rhs | vsides | box | border)">
   <!-- TFrame identifies the sides that are visually framed. -->
<!ENTITY % TRules
   "(none | groups | rows | cols | all)">
   <!-- %TRules identifes where visual rulings appear. -->
<!ENTITY % cellhalign
   "align (left|center|right|justify|char) #IMPLIED
    char
              %Character; #IMPLIED
    charoff
              %Length;
                           #IMPLIED
                                      " >
   <!-- % cellhalign align sets horizontal alignment of content in
        a table cell. char indicates a character expected in each table
        cell of a column that text should align on, charoff sets the
        alignment offset of the first character to align on, as
        specified with char. cellalign value inheritance if unspecified
        is (high to low)  <col>  <thead><tfoot>
        -->
<!ENTITY % cellvalign
   "valign
              (top|middle|bottom|baseline) #IMPLIED">
   <!-- % cellvalign valign sets vertical alignment of content in a
        table cell. valign value inheritance if unspecified is
        (high to low)  <col> <colgroup> <thead>  <tfoot>
        -->
<!--Use: table contains cells of tabular data arranged in rows and columns.
       A  may have a <caption>. It may have descriptions of
       the columns in <col>s or groupings of several <col> in <colgroup>.
       A simple  may be made up of just rows .
       A long table crossing several pages of the print book should
       have separate <pagenum> values for each of the pages containing
```

```
DRAFT STANDARD SUBJECT TO CHANGE
```

that indicated on the page where it starts.

```
Note the logical order of optional <thead>, optional <tfoot>,
       then one or more of either  or just rows . This order
       accommodates simple or large, complex tables. The <thead> and <tfoot>
       information usually helps identify content of the  rows,
       For a multiple-page print  the <thead> and <tfoot> are
       repeated on each page, but not redundantly tagged.
    -->
<!ELEMENT table (caption?, (col* | colgroup*), thead?, tfoot?,
(tbody+|tr+))>
<!--Ause: table The summary attribute value provides a textual summary.
       The attributes: width, border, frame, rules, cellspacing, and
       cellpadding provide visual presentation guidance. See their
       explanation in the comment following those parameter entity
       declarations.
    -->
<!ATTLIST table
 %attrs;
 summary %Text; #IMPLIED
 width %Length; #IMPLIED
 border %Pixels; #IMPLIED
 frame %TFrame; #IMPLIED
 rules %TRules; #IMPLIED
 cellspacing %Length; #IMPLIED
 cellpadding %Length; #IMPLIED
<!--Use: caption describes a <table> or <img>. If used with  it
       must follow immediately after the  start tag. If used
       with <img> or <imggroup> it is not so constrained.
    -->
<!ELEMENT caption (%inline;)*>
<!--Ause: caption The imgref attribute value (or
       space-separated id values) identifies the <img>s to which
       it applies.
    -->
<!ATTLIST caption
 %attrs;
 imgref IDREFS #IMPLIED
 >
<!--Use: thead marks header information in a <table>, consisting of
       one or more rows  of  cells. On multiple-page printed
       tables, <thead> rows are repeated at the top of the 
       and on top of its continuation on other pages.
                                                        -->
<!ELEMENT thead (tr)+>
<!ATTLIST thead
 %attrs;
 %cellhalign;
 %cellvalign;
 >
```

ANSI/NISO Z39.86-200X

```
<!--Use: tfoot marks footer information in a <table>, consisting of
       one or more rows , usually of  cells. On multiple-page
       printed tables, <tfoot> rows are repeated at the bottom of
       the first page of the  and its continuation on other pages.
   -->
<!ELEMENT tfoot (tr)+>
<!ATTLIST tfoot
 %attrs;
 %cellhalign;
 %cellvalign;
<!--Use: tbody marks a group of rows in the main body of a <table>. If the
        is divided into several sections, each consisting of a
       number of rows, each section would be separately tagged with .
       The same <thead> and <tfoot> apply to every  section.
   -->
<!ELEMENT tbody (tr)+>
<!ATTLIST tbody
 %attrs;
 %cellhalign;
 %cellvalign;
<!--Use: colgroup groups adjacent columns <col> that are semantically related.
       The <col> in a <colgroup> may inherit attribute values
       from it, or an enclosing parent, such as <thead>, <tfoot>, or
       , or within a .
   -->
<!ELEMENT colgroup (col)*>
<!--Ause: colgroup The span attribute indicates how many columns are
       being spanned, unless overridden by a span attribute value on
       one of those <col>.
       The width may contain a space-separated list of pixel widths for
       each <col>, or percentages if values end in "%",
       or "0*" to indicate minimal acceptable width based on column
       content.
   -->
<!ATTLIST colgroup
 %attrs;
 span NMTOKEN "1"
 width %MultiLength; #IMPLIED
 %cellhalign;
 %cellvalign;
<!--Use: col is a means to apply attribute values to a column of a
       .
   -->
```

```
<!ELEMENT col EMPTY>
<!--Ause: col The span value indicates how many columns the <col>
       extends, in the writing direction of the . The
       attribute values apply to  and  that start in the
       column, even if they extend into the next column(s), by
       span value more than 1, and that next <col> may have differenti
       attribute values. Attribute values from the enclosing row 
       may override those from the <col> as source for implied values
       for  and  therein.
    -->
<!ATTLIST col
 %attrs;
 span NMTOKEN "1"
 width %MultiLength; #IMPLIED
 %cellhalign;
 %cellvalign;
 >
<!--Use: tr marks one row of a <table> containing  or  cells.
   The values for %cellhalign; and %cellvalign; provide default
   values for  and  in the row, overriding any from <col>.
    -->
<!ELEMENT tr (th | td)+>
<!ATTLIST tr
 %attrs;
 %cellhalign;
 %cellvalign;
<!--Use: th indicates a table cell containing header information.
    -->
<!ELEMENT th (%flownopagenum;)*>
<!--Ause: th The uses of attributes other than %attrs;, %cellvalign;
       and %cellhalign; are:
           abbr provides an abbreviated name for a  cell
           that can be used when referring to that  cell.
           Its default value is the cell content.
           axis usually applied only to  cells.
           It gives a short name for that header content,
           headers provides the id value(s), used with 
           cells, to reference one or more cells with 
           that contain headings that collectively describe or qualify the
           content of the cell, for example .
           scope value identifies one of
               (row | rowgroup | column | colgroup)
           to which the header information applies.
           rowspan indicates the total number of rows below
           that the cell extends, by default 1.
           colspan indicates the total number of columns the
           cell extends, by default 1, in the writing direction
           of the table.
    -->
```

```
<!ATTLIST th
 %attrs;
 abbr %Text; #IMPLIED
 axis CDATA #IMPLIED
 headers IDREFS #IMPLIED
 scope %Scope; #IMPLIED
 rowspan NMTOKEN "1"
 colspan NMTOKEN "1"
 %cellhalign;
 %cellvalign;
<!--Use: td indicates a table cell containing data.
   -->
<!ELEMENT td (%flownopagenum;)*>
<!--Ause: td The uses of attributes other than %attrs;, %cellhalign; and
       %cellvalign; are:
           abbr provides an abbreviated name for a  cell
           that can be used when referring to that  cell.
           Its default value is the cell content.
           axis usually applied only to  cells.
           It gives a short name for that header content,
           headers provides the id value(s), used with 
           cells, to reference one or more cells with 
           that contain headings that collectively describe or qualify the
           content of the cell, for example .
           scope value identifies one of
               (row | rowgroup | column | colgroup)
           to which the header information applies.
           rowspan indicates the total number of rows below
           that the cell extends, by default 1.
           colspan indicates the total number of columns the
           cell extends, by default 1, in the writing direction
           of the table.
   -->
<!ATTLIST td
 %attrs;
 abbr %Text; #IMPLIED
 axis CDATA #IMPLIED
 headers IDREFS #IMPLIED
 scope %Scope; #IMPLIED
 rowspan NMTOKEN "1"
 colspan NMTOKEN "1"
 %cellhalign;
 %cellvalign;
 >
<!--======== Document Head
_____
<!ENTITY % head.misc
   "style | meta | link">
<!--Use: head contains metainformation about the book but no
       actual content of the book itself, which is placed in <book>.
       This information is consonant with the <head> information
```

```
in xhtml, see [XHTML11STRICT]. Other miscellaneous elements can
        occur before and after the required <title>. By convention
        <title> should occur first.
    -->
<!ELEMENT head ((%head.misc;)*,title,(%head.misc;)*)>
<!--Ause: head The profile attribute gives one or more whitespace-separated
       profile URI targets that may provide additional information
       about the current document.
    -->
<!ATTLIST head
 %i18n;
 profile %URI; #IMPLIED
 >
<!--Use: title contains the title of the book but is used only as
       metainformation in <head>. Use <doctitle> within <book> for
        the actual book title, which will usually be the same.
    -->
<!ELEMENT title (#PCDATA)>
<!ATTLIST title
 %i18n;
<!--Use: link is an empty element appearing in the <head> section
        of a document that establishes a connection between the current
        document and another document. The <link> element conveys
        relationship information (for example, "next" and "previous") that
       may be rendered by user agents in a variety of ways.
    -->
<!ELEMENT link EMPTY>
<!--Ause: link Each attribute use indicated by a parameter entity is
       defined in the comment following its definition.
    -->
<!ATTLIST link
 %attrs;
 charset %Charset; #IMPLIED
 href %URI; #IMPLIED
 hreflang %LanguageCode; #IMPLIED
 type %ContentType; #IMPLIED
 rel %LinkTypes; #IMPLIED
 rev %LinkTypes; #IMPLIED
 media %MediaDesc; #IMPLIED
 >
<!--Use: meta indicates metadata about the book. It is an empty
       element that may appear repeatedly only in <head>.
    -->
<!ELEMENT meta EMPTY>
<!--Ause: meta The http-equiv attribute connects the content attribute
```

value to an http header field. The name attribute value identifies

```
the specific kind of content value. The scheme value indicates
       a predetermined format for interpreting the content value.
    -->
<!ATTLIST meta
 %i18n;
 http-equiv NMTOKEN #IMPLIED
 name NMTOKEN #IMPLIED
 content CDATA #REQUIRED
 scheme CDATA #IMPLIED
<!--Use: style provides the means to include styling information
       that applies to the book. It may appear only in <head>.
       It may include CDATA sections.
    -->
<!ELEMENT style (#PCDATA)>
<!--Ause: style The type attribute indicates the MIME-Type [RFC2045].
       Type value should be "text/css", rather than "text/javascript".
       The media attribute value indicates the media for stylesheet
       definition(s); if multiple, separated by commas.
       The title value can provide menu choice among alternative
       stylesheets. The xml:space value indicates that whitespace
       in the <style> content is preserved without need to
       include its value in each <style>.
   -->
<!ATTLIST style
 %i18n;
 type %ContentType; #REQUIRED
 media %MediaDesc; #IMPLIED
 title %Text; #IMPLIED
 xml:space (default | preserve) `preserve'
```

Appendix 2 DTB-Specific SMIL DTD

(This section is normative.)

```
<!--SMIL 2.0 DTB-specific DTD Version 1.0.0 2001-09-27
file: dtbsmil100.dtd
Authors: Michael Moodie, Tom McLaughlin, Lloyd Rasmussen
Description:
This DTD is intended for use only with DTB applications. Documents
valid to this DTD will also be valid to the DTB SMIL Profile, but not
necessarily vice versa, as this DTD contains only a subset of the
elements and attributes present in the DTB SMIL Profile. This DTD is
in some areas more restrictive than the Profile (e.g., requiring IDs
on some elements), to enforce structure critical to the DTB
application.
The following identifiers apply to this DTD:
"-//NISO//DTD dtbsmil v1.0.0//EN"
"http://www.loc.gov/nls/z3986/v100/dtbsmil100.dtd"
-->
<!ENTITY % Core.attrib
      "id ID #IMPLIED
                              #IMPLIED
      class
                 CDATA
      title
                  CDATA
                               #IMPLIED"
>
<!ENTITY % URI "CDATA">
    <!-- a Uniform Resource Identifier, see [RFC2396] -->
<!ELEMENT smil(head, body) >
<!ATTLIST smil
      %Core.attrib;
      version CDATA
                               #FIXED "1.0.0"
      xml:lang NMTOKEN
                           #IMPLIED
>
<!ELEMENT head ((meta)*, (layout)?, (customAttributes)? ) >
<!ATTLIST head
      %Core.attrib;
      xml:lang NMTOKEN
                           #IMPLIED
>
<!ELEMENT meta EMPTY >
<!ATTLIST meta
      name CDATA
                            #REQUIRED
      content CDATA
                               #IMPLIED
>
<!-- only smil basic layout allowed; not CSS2.
     root-layout not included, is implementation dependent.
-->
```

```
<!ELEMENT layout (region)+ >
<!ATTLIST layout
      %Core.attrib;
      xml:lang NMTOKEN #IMPLIED
>
<!ELEMENT region EMPTY >
<!ATTLIST region
                      ID #REQUIRED
      id
                ID
CDATA
CDATA
CDATA
CDATA
                             `auto'
      height
                                   `auto'
      width
      bottom
                                    `auto'
                                  `auto'
      top
           CDATA 'auto'
CDATA 'auto'
CDATA 'auto'
      left
      right
      fit
      (hidden|fill|meet|scroll|slice) `hidden'
      z-index CDATA #IMPLIED
      backgroundColor CDATA
                                   #IMPLIED
      showBackground (always whenActive) 'always'
>
<!ELEMENT customAttributes (customTest)+ >
<!ATTLIST customAttributes
      %Core.attrib;
      xml:lang NMTOKEN
                          #IMPLIED
>
<!ELEMENT customTest EMPTY >
<!ATTLIST customTest
                   ID
CDATA
      id
                                   #REQUIRED
      class
                                    #IMPLIED
      defaultState (true false) `false'
      title CDATA
                                 #IMPLIED
      xml:lang NMTOKEN #IMPLIED
override (visible|hidden) `hidden'
>
<!-- Even though body functions as a seq, and you don't need a
base set of seqs wrapping the whole presentation, for DTB
applications a base set of seqs should be used. The dur attribute on
the first seq is used by the player to determine the length of the
SMIL presentation. -->
<!ELEMENT body (par | seq | text | audio | img | a) + >
<!ATTLIST body
      %Core.attrib;
      xml:lang NMTOKEN #IMPLIED
>
<!ELEMENT seq (par|seq|text|audio|img|a)+ >
<!ATTLIST seq
      id
                   ID
                                #REQUIRED
      class
                  CDATA
                               #IMPLIED
      customTest IDREF
                               #IMPLIED
      dur
                  CDATA
                              #IMPLIED
>
<!-- pars are not allowed to nest.
-->
```

```
<!ELEMENT par (seq|text|audio|img|a)+ >
<!ATTLIST par
       id ID #REQUIRED
class CDATA #IMPLIED
customTest IDREF #IMPLIED
>
<!ELEMENT text EMPTY >
<!ATTLIST text
       id ID #IMPLIED
region CDATA #IMPLIED
src CDATA #REQUIRED
type CDATA #IMPLIED
>
<!ELEMENT audio EMPTY >
<!ATTLIST audio
       id ID #IMPLIED
src CDATA #REQUIRED
       type CDATA #REQ
type CDATA #IMPLIED
       clipBegin CDATA #IMPLIED
clipEnd CDATA #IMPLIED
region CDATA #IMPLIED
>
<!ELEMENT img EMPTY >
<!ATTLIST img
       id ID #1MPLIED
region CDATA #IMPLIED
src CDATA #REQUIRED
type CDATA #IMPLIED
>
<!ELEMENT a (text|audio|img)* >
<!ATTLIST a
       nret %URI; #REQUIRED
xml:lang NMTOKEN #IMPLIED
       %Core.attrib;
```

>

ANSI/NISO Z39.86-200X

Appendix 3 NCX DTD (This section is normative.)

<!-- NCX 1.0.0 DTD 2001-09-27 file: ncx100.dtd

Authors: Mark Hakkinen, George Kerscher, Tom McLaughlin, James Pritchett, and Michael Moodie

Description: NCX (Navigation Control for XML applications) is a generalised navigation definition DTD for application to Digital Talking Books, eBooks, and general web content models.

This DTD is an XML application that layers navigation functionality on top of SMIL 2.0 $\,$ content.

The NCX defines a navigation path/model which may be applied upon existing publications, without modification of the existing publication source, so long as the navigation targets within the source publication can be directly referenced via a URI.

```
-->
```

```
<!-- The following identifiers apply to this DTD:
      "-//NISO//DTD ncx v1.0.0//EN"
      "http://www.loc.gov/nls/z3986/v100/ncx100.dtd"
-->
<!-- Basic Entities -->
<!ENTITY % i18n
  "lang
             NMTOKEN
                           #IMPLIED
  dir
          (ltr|rtl) #IMPLIED" >
<!ENTITY % SMILtimeVal "CDATA" >
<!ENTITY % uri
                  "CDATA" >
<!ENTITY % script "CDATA" >
<!-- ELEMENTS -->
<!-- Top Level NCX Container. -->
<!ELEMENT ncx (head, docTitle, docAuthor*, navMap, navList*)>
<!ATTLIST ncx
             CDATA #FIXED "1.0.0"
  version
  %i18n;
>
<!-- Document Head - Contains all NCX metadata.
-->
<!ELEMENT head (smilCustomTest | meta)+>
```

```
<!-- smilCustomTest - Duplicates customTest data found in SMIL
files. Each unique customTest element that appears in one or more
SMIL files must have its attributes duplicated in a smilCustomTest
element in the NCX. The NCX thus gathers in one place all customTest
elements used in the SMIL files, for presentation to the user.
-->
<!ELEMENT smilCustomTest EMPTY>
<!ATTLIST smilCustomTest
id
              ΤD
                                #REQUIRED
defaultState
              (true false)
                                `false'
override (visible hidden) 'hidden'>
<!-- Meta Element - metadata about this NCX -->
<!ELEMENT meta EMPTY>
<!ATTLIST meta
          CDATA
  name
                           #REOUIRED
  content CDATA #REQUIRED
  scheme CDATA
                      #IMPLIED
>
<!-- DocTitle - the title of the document, required and must
immediately follow head.
-->
<!ELEMENT docTitle (text, audio?)>
<!ATTLIST docTitle
  id
                  #IMPLIED
          TD
   %i18n;
>
<!-- DocAuthor - the author of the document, immediately follows docTitle.
-->
<!ELEMENT docAuthor (text, audio?)>
<!ATTLIST docAuthor
  id
          TD
                  #IMPLIED
   %il8n;
>
<!-- Navigation Structure - container for all of the NCX objects
that are part of the hierarchical structure of the document.
-->
<!ELEMENT navMap (navLabel*, navPoint+)>
<!ATTLIST navMap
  id ID
                  #IMPLIED
<!-- Navigation Point - contains description(s) of target, as well
as a pointer to entire content of target.
Hierarchy is represented by nesting navPoints. "class" attribute
describes the kind of structural unit this object represents (e.g.,
"chapter", "section"). "value" attribute is a numerical
representation of the text content of the
label if this is a purely numerical (integer only) label (e.g., a page
number). "pageRef" is the id of the page navTarget on which this
structure target begins.
-->
<!ELEMENT navPoint (navLabel+, content, navPoint*)>
<!ATTLIST navPoint
      id
                   ID
                                #REQUIRED
```

```
%script;
      onFocus
                                  #IMPLIED
                  %script; #IMPLIED
      onBlur
                    CDATA
      class
                                   #IMPLIED
                     CDATA
                                   #IMPLIED
      value
      pageRef IDREF
                                #IMPLIED
>
<!-- Navigation List - container for distinct, flat sets of
navigable elements, e.g. page numbers,
notes, figures, tables, etc. Essentially a flat version of navMap.
The "class" attribute describes the type of object contained in this
navList, using dtbook element names, e.g., pagenum, note.
-->
<!ELEMENT navList (navLabel+, navTarget+) >
<!ATTLIST navList
  id ID
                 #IMPLIED
  class CDATA #IMPLIED
>
<!-- Navigation Target - contains description(s) of target, as
well as a pointer to entire content of target.
navTargets are the equivalent of navPoints for use in navLists.
"mapRef" is the id of another navPoint within this NCX that contains
this navTarget. "class" attribute describes the kind of structure
this target represents, using its dtbook element name, e.g., pagenum,
note.
-->
<!ELEMENT navTarget (navLabel+, content) >
<!ATTLIST navTarget
      ID #REQUIRED
  id
   onFocus %script; #IMPLIED
   onBlur %script; #IMPLIED
  class CDATA #IMPLIED
             CDATA
   value
                          #IMPLIED
  mapRef IDREF
                     #REQUIRED
>
<!-- Navigation Label - Contains a description of a given
<navMap>, <navPoint>, <navList>, or
<navTarget> in various media for presentation to the user. Can
be repeated so descriptions can be provided in multiple languages.
-->
<!ELEMENT navLabel ((text,(audio?, img?))) ((text?), audio, (img?))) >
<!ATTLIST navLabel
      %il8n;
>
<!-- Content Element - pointer into SMIL to beginning of navPoint. -->
<!ELEMENT content EMPTY>
<!ATTLIST content
  id
       ID
                   #IMPLIED
          %uri; #REQUIRED
   src
>
<!-- Text Element - Contains text of docTitle, navPoint heading,
navTarget (e.g., page number), or label for navMap or navList. -->
<!ELEMENT text (#PCDATA)>
```

```
<!ATTLIST text
  id ID #IMPLIED
class CDATA #IMPLIED
>
<!-- Audio Element - audio clip of navPoint heading. -->
<!ELEMENT audio EMPTY>
<!ATTLIST audio
  id
        ID
                             #IMPLIED
  class CDATA
  class CDATA #IMPLIED
src %uri; #REQUIRED
  clipBegin %SMILtimeVal; #IMPLIED
  clipEnd %SMILtimeVal; #IMPLIED
>
<!-- Image Element - image that may accompany heading. -->
<!ELEMENT img EMPTY>
<!ATTLIST img
  id ID #IMPLIED
class CDATA #IMPLIED
src %uri; #REQUIRED
>
```

Appendix 4 DTD for Portable Bookmarks/Highlights

(This section is normative.)

```
<!-- bookmark 1.0.0 DTD 2001-09-27
file: bookmark100.dtd
Authors: Tom McLaughlin and Michael Moodie
The following identifiers apply to this DTD:
"-//NISO//DTD bookmark v1.0.0//EN"
"http://www.loc.gov/nls/z3986/v100/bookmark100.dtd"
-->
<!ENTITY % uri "CDATA">
<!-- BookmarkSet: The set of bookmarks for a book consists of the
title, a unique identifier of the book, the last place the reader
left off and zero or more bookmarks, highlights, and associated audio
or textual notes. This set is intended for export of bookmarks,
highlights and notes to another player; the markup is not required
for a player's internal representation of bookmarks.
 -->
<!ELEMENT bookmarkSet (title, uid, lastmark?, (bookmark |
hilite)*) >
<!-- Title: The book's title in text and an optional audio clip.
 -->
<!ELEMENT title (text, audio?) >
<!-- uid: A globally unique identifier for the book.
 -->
<!ELEMENT uid (#PCDATA) >
<!-- Bookmark: Location and optional note. Location consists of a
uri pointing to the id attribute of the <par> element in the
SMIL file that contains the bookmark plus a time offset in seconds
(or character offset) to the exact place. Player should by default
automatically number bookmarks in the order in which they fall in the
book.
  -->
<!ELEMENT bookmark (ncxRef, uri, (timeOffset | charOffset), note?) >
<!ATTLIST bookmark
      label
                  CDATA
                               #IMPLIED
>
<!-- NcxRef: Captures current location in NCX (the id of the
current navPoint)at time lastmark, bookmark, or highlight is set.
Ensures that current location in NCX and SMIL are synchronized after
moving to a lastmark, etc., so that any global navigation commands
issued by the user will start from the current location. -->
<!ELEMENT ncxRef
                     (#PCDATA)>
```

<!-- Lastmark: Location where reader left off and where player will resume play when restarted.

--> <!ELEMENT lastmark (ncxRef, uri, (timeOffset | charOffset)) > <!-- Hilite: A block of text with an optional note attached. --> <!ELEMENT hilite (hiliteStart, hiliteEnd, note?) > <!ATTLIST hilite label CDATA #IMPLIED <!-- HilStart: Starting point of highlighted block. --> (ncxRef, uri, (timeOffset | charOffset)) > <!ELEMENT hiliteStart <!-- HilEnd: End point of highlighted block. --> (ncxRef, uri, (timeOffset | charOffset)) > <!ELEMENT hiliteEnd <!-- Uri: pointer to id of <par> or <seq> in SMIL, to id in text-only file, or to audio file that contains the bookmark. --> <!ELEMENT uri (#PCDATA) > <!-- Timeoffset: Exact position of bookmark in SMIL file or audio-only file referenced by the uri; in seconds.fraction (seconds=DIGIT+, fraction=3DIGIT). --> <!ELEMENT timeOffset (#PCDATA) > <!-- Charoffset: Exact position of bookmark in text-only file referenced by the uri: in characters, counting from nearest previous tag with an id. White space is normalized (collapsed to one character) and tags are not counted. --> <!ELEMENT charOffset (#PCDATA) > <!-- Note: The note is for the user's input, random thoughts, musings, etc. It can be text or audio or both. --> <!ELEMENT note (text?, audio?) > <!-- Text: Text of title or note. --> <!ELEMENT text (#PCDATA) > <!-- Audio: Audio clip of user-recorded note, in any format supported by standard. --> <!ELEMENT audio EMPTY > <!ATTLIST audio %uri; #REQUIRED src clipBegin CDATA #IMPLIED clipEnd CDATA #IMPLIED

>

Appendix 5 DTD for Resource File

(This section is normative.)

```
<!-- Resource File 1.0.0 DTD 2001-09-27
  file: resource100.dtd
Authors: Tom McLaughlin, Michael Moodie, Thomas Kjellberg Christensen
The following identifiers apply to this DTD:
"-//NISO//DTD resource v1.0.0//EN"
"http://www.loc.gov/nls/z3986/v100/resource100.dtd"
-->
<!-- ******** Attribute Types ********** -->
<!-- languagecode: An RFC1766 language code. -->
<!ENTITY % languagecode "NMTOKEN">
<!-- SMILtimeVal: SMIL 2.0 clock value. -->
<!ENTITY % SMILtimeVal "CDATA">
<!ENTITY % URI "CDATA">
<!-- *************** Resource Elements ********* -->
<!-- Resources: Root element of DTD.
-->
<!ELEMENT resources (head?, (resource)+) >
<!ATTLIST resources
  version CDATA #FIXED "1.0.0"
>
<!-- Document Head - Contains metadata.
-->
<!ELEMENT head (meta*)>
<!-- Resource element contains information about the alternative
representations
of an element present in the NCX or the textual content file. An alternative
representation can be used to convey navigational information, e.g., provide
a descriptive name for the kind of segment (part, chapter, section, etc.)
the user is encountering. In addition, it can supply accessible
versions of dtbook element names and names of skippable structures
listed in the head of the NCX. Text can be used for screen or
braille display,
audio for digital talking book players, and image for screen display.
Attribute use:
type - Specifies whether the resource applies to the textual content
file (dtbook) or the NCX
(ncx).
```

```
elementRef - Specifies the name of the element for which the resource
is to be supplied. classRef - Specifies the class attribute
value of the element for which the resource is to be supplied.
idRef - Specifies the name of the id attribute on the smilCustomTest
element in NCX for which the resource is to be supplied.
lang - Specifies the language of the resource item, using an RFC 1766
language code.
-->
<!ELEMENT resource ((text, audio?, img?) | (text?, audio, img?)) >
<!ATTLIST resource
  type (ncx | dtbook)
                                #REQUIRED
                      CDATA
  elementRef
                                   #REOUIRED
  classRef CDATA
                                #IMPLIED
                       CDATA
  idRef
                                #IMPLIED
  lang
             %languagecode; #IMPLIED
>
<!ELEMENT text (#PCDATA) >
<!ELEMENT audio EMPTY >
<!ATTLIST audio
         %URI;
  src
                       #REQUIRED
  clipBegin %SMILtimeVal; #IMPLIED
  clipEnd %SMILtimeVal; #IMPLIED
>
<!-- If the clipBegin attribute is not present in an instance of the
audio element, the audio file referenced must be played from its beginning.
If the clipEnd attribute is not present, the audio file must be played to
its end. If the value of the clipEnd attribute exceeds the duration of
the audio file, the value must be ignored, and the audio file played to
its end.
-->
<!ELEMENT img EMPTY >
<!ATTLIST img
  src %URI;
                      #REQUIRED
>
<!-- Meta Element - producer-defined metadata about this resource file.
-->
<!ELEMENT meta EMPTY>
<!ATTLIST meta
  name CDATA
                          #REQUIRED
  content CDATA
scheme CDATA
                           #REQUIRED
                          #IMPLIED
>
```

Appendix 6 Distribution Information DTD

(This section is normative.)

<!-- distInfo 1.0.0 DTD 2001-09-27 file: distInfo100.dtd

Author: James Pritchett

Description: An XML application to describe the contents of a single piece of DTB distribution media. It consists of a list of books to be found on the media. For each book, distInfo identifies the location of each book within the media filesystem. If the book is being distributed on multiple distribution media (media units), the distInfo book element also includes: 1) the sequence id of this media unit 2) a distribution map for the book, telling where to find all the SMIL files for a book The following identifiers apply to this DTD: "-//NISO//DTD distInfo v1.0.0//EN" "http://www.loc.gov/nls/z3986/v100/distInfo100.dtd" --> * * --> <!ENTITY % URI "CDATA"> <!ENTITY % SMILtimeVal "CDATA"> <!-- distInfo: Root element, consists of one or more books. "version" specifies the version of this DTD used in this instance. Three digits, with decimal point separators; digits one, two and three will reflect major, moderate and minor changes, respectively. This attribute must be present but parsers will not enforce its presence, just its value. --> <!ELEMENT distInfo (book+)> <!ATTLIST distInfo #FIXED "1.0.0" version CDATA <!-- book: a DTB that is present, in part or whole, on this piece of

distribution media. The uid and pkgRef attributes are required. "uid" matches the package unique-identifier. "pkgRef" is a URI that locates the book's package file on this media unit.

If this is a book fragment, then the "media" attribute identifies which fragment is stored on this media unit, and a single distMap element is present to describe which SMIL files are present on which media units. The media attribute is in the format "x:y", where x is the sequence number of this media unit, and y is the total number of media units in the distribution of this book.

In the case of a book fragment, <book> should contain exactly one <distMap> and optionally one or more <changeMsg> elements. -->

```
<!ELEMENT book (distMap?, changeMsg*)>
<!ATTLIST book
      uid
                  CDATA
                               #REOUIRED
      pkgRef
                  CDATA
                               #REQUIRED
      media
                  CDATA
                               #IMPLIED
>
<!-- distMap: a map identifying which media the various SMIL files
reside upon. This consists of one or more smilRef elements. The
distMap smilRef's should match one-to-one those of the book package spine.
-->
<!ELEMENT distMap (smilRef+)>
<!-- smilRef: a reference to a DTB SMIL file. These are referenced
by file name. The mediaRef attribute of each smilRef identifies the piece of
media that the file resides upon, and is in the format "x:y'' (see above).
-->
<!ELEMENT smilRef EMPTY>
<!ATTLIST smilRef
      file
                               #REQUIRED
                 CDATA
      mediaRef
                 CDATA
                                #REQUIRED
>
<!-- changeMsg: A pointer to a custom message to be read when a new disk is
requested by the reading system. "mediaRef" identifies the media unit which
this message (e.g., "Insert disc 2") specifies. Player invokes the correct
<changeMsg> by matching its "mediaRef" attribute to the "mediaRef" attribute
of the selected <smilRef>. "mediaRef" is in the format "x:y", where x is
the sequence number of the specified media unit, and y is the total
number of media pieces in the distribution of this book.
-->
<!ELEMENT changeMsg ((text, audio?) | (text?, audio))>
<!ATTLIST changeMsg
      mediaRef
                  CDATA
                                #REQUIRED
      lang
                   NMTOKEN
                                #IMPLIED
>
<!-- text: Contains text of media change message.
-->
<!ELEMENT text (#PCDATA)>
<!-- audio: Pointer to audio content of media change message.
-->
<!ELEMENT audio EMPTY>
<!ATTLIST audio
                                     #REQUIRED
      src
                   %URI;
      clipBegin %SMILtimeVal;
                                     #IMPLIED
                                     #IMPLIED
      clipEnd
                  %SMILtimeVal;
>
```

Appendix 7 Designation of Maintenance Agency

(This Appendix is not part of American National Standard Z39.86-200X, File Specifications for the Digital Talking Book. It is included for information only.)

The functions assigned to the maintenance agency as specified in section 1.7 will be administered by the National Library Service for the Blind and Physically Handicapped, the Library of Congress. Questions concerning the implementation of this standard and requests for information should be sent to the Research and Development Officer, National Library Service for the Blind and Physically Handicapped, Library of Congress, Washington, DC 20542, or nls@loc.gov, including "Z3986" in the subject line.