



Web Services Profile of XACML (WS-XACML)

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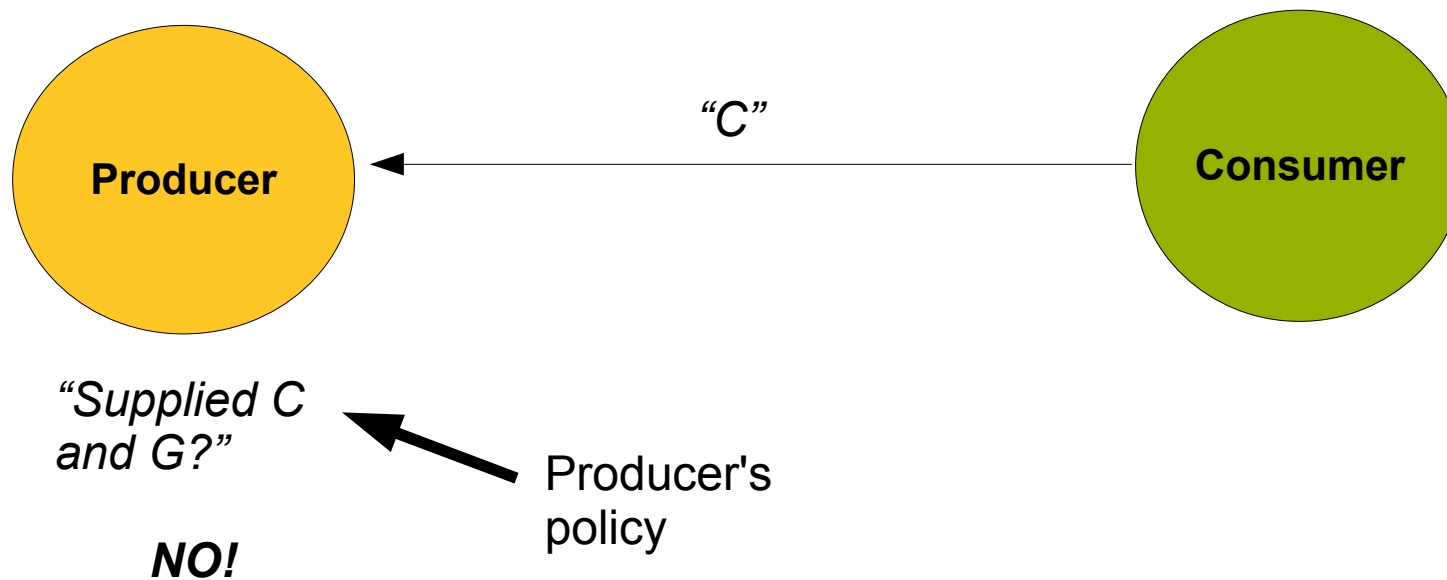


Outline

- **Web Services Policy Background**
- XACML Web Services Policy Assertions
- XACML Assertion Format
- XACML Assertion Matching
- Defined XACML Assertions
 - > XACMLAuthzAssertion
 - > XACMLPrivacyAssertion
- New XACML Functions and Attribute Identifiers
- Open Issues

Typical XACML policy usage today

Verify interactions
satisfy policy



Policy usage in Web Services

1. Producers publish policies

Producer1 "I offer D or L;
I require B"

Producer2 "I offer D, E, or F;
I require C"

2. Identify producers compatible with consumer

"I offer C, G, or H,
I require D and F"

Consumer

3. Reach agreement between consumer and producer

Producer2
"Supply D and F,,
require C"

Consumer
"Supply C,
require D and F"

4. Verify interactions satisfy policy

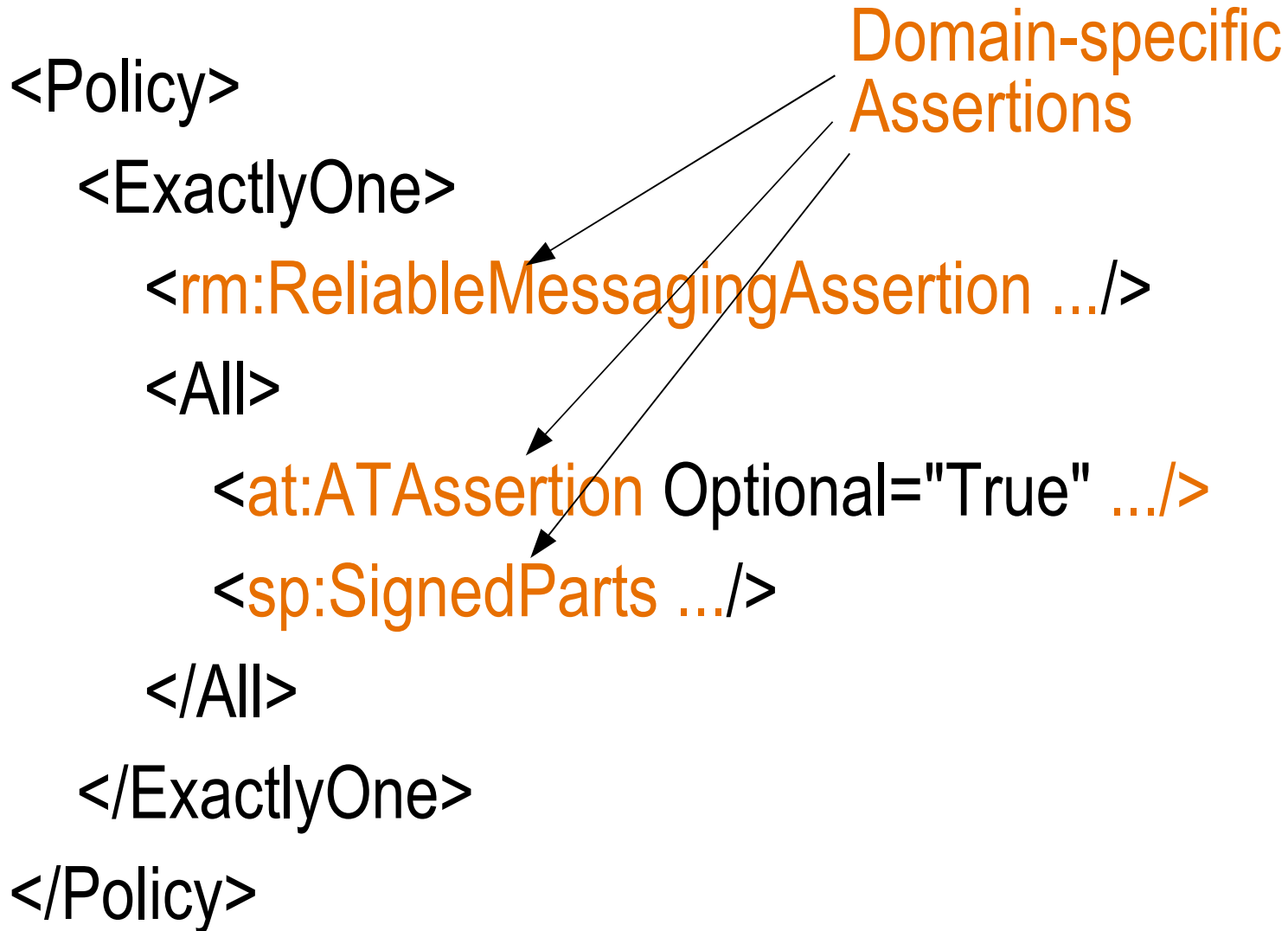
Producer2
"Supplied C?"
Yes!

Consumer
"Supplied D
and F?"
No!

"C"

"F"

WS-Policy Policies



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XACML Policy Assertions?

Some use cases:

- Shibboleth service requires a particular Attribute for access
 - > Role="Full-time Student"
- Service requires Attributes to be signed by a trusted 3rd party
 - > AttributeSigner in {Sun, Liberty, JCP}
- Client requires that Service not reveal PII to any 3rd party
 - > P3P/Recipient="Ours"

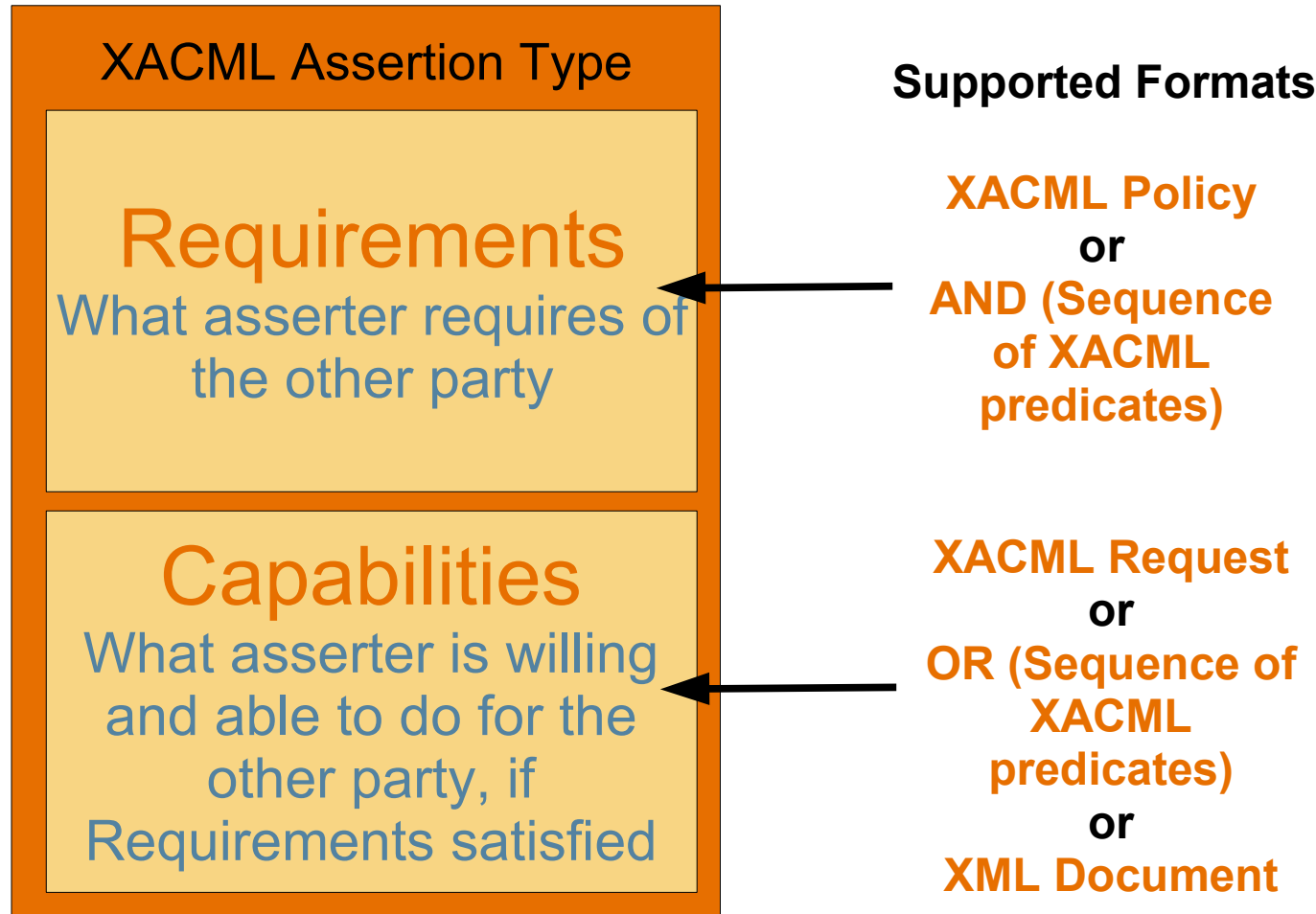
XACML Policy Assertion Options

- Separate Assertion for each constraint or capability
 - > Which domain-specific policy engine to use for each?
- `<xacml:Policy>` as Assertion
 - > How to express capabilities (offerings)?
 - > How to match client and service Assertions?
- `<xacml:Policy>` and `<xacml-context:Request>` as Assertions
 - > How does generic policy engine (e.g. WS-Policy) know to match them?

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XACMLAssertionAbstractType



XACML Assertion Requirements and Capabilities

- Each includes a “Vocabulary” element
 - > One or more URIs associated with Attribute vocabulary
- Requirements formats
 - > XACML Policy, or
 - > AND (Predicate1, Predicate2, ...)
- Capabilities formats
 - > XACML Request, or
 - > OR (Predicate1, Predicate2, ...), or
 - > XML Document (e.g. a P3P Policy)

XACML Predicates Usage

- Support matching two policies
 - > client \Leftrightarrow service or service \Leftrightarrow service
- Fit WS-XACML use cases well
 - > Fairly simple policies
- Also good for describing required Attributes

XACML Predicates Format

- Restricted form of `<Apply>` element
 - > Exactly one AttributeDesignator/Selector, compared to literal(s)
 - `role string-is-in` {"Vice President", "Director"}
 - `tokenType anyURI-equals` "urn:tokens:x509Token"
 - `maxRetentionDays integer-less-than` "100"
 - `current-time time-in-range` "8am", "5pm"
 - > Restricted, but powerful set of comparison functions
 - > No nested functions except for `one-and-only`, `limit-scope`
- One predicate per Attribute
 - Exception: ranges `retentionDays >= 5`, `retentionDays <= 10`

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XACML Assertion Matching Overview

1. Match QNames of Assertions

- XACMLAuthzAssertion matches XACMLAuthzAssertion
- XACMLPrivacyAssertion matches XACMLPrivacyAssertion

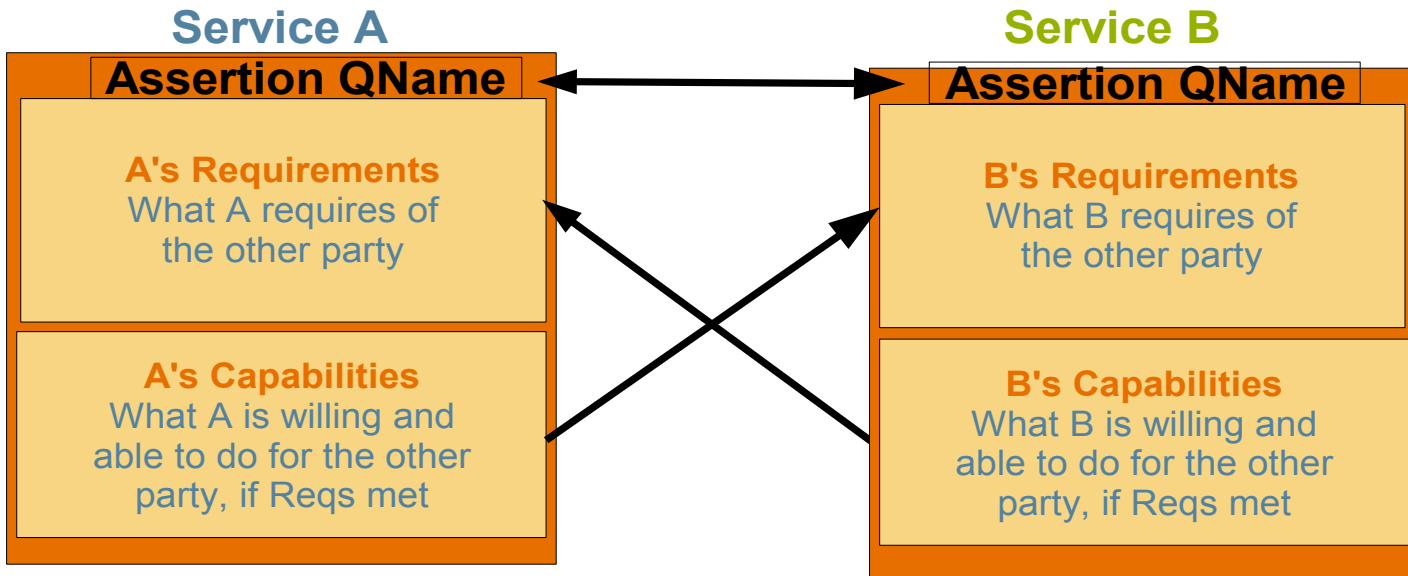
> Matching done by generic policy engine (e.g. WS-Policy)

2. Match vocabularies of Requirements against vocabularies of Capabilities

3. Match Requirements against Capabilities

- Standard XACML Request/Policy evaluation, or
- Predicate matching

XACML Assertion Matching



- Domain-independent (WS-Policy Engine):
QNames match?
- Domain-dependent (XACML Assertion Engine):
Vocabularies match?
B's Capabilities satisfy A's Requirements?
A's Capabilities satisfy B's Requirements?

XACML Assertion Matching

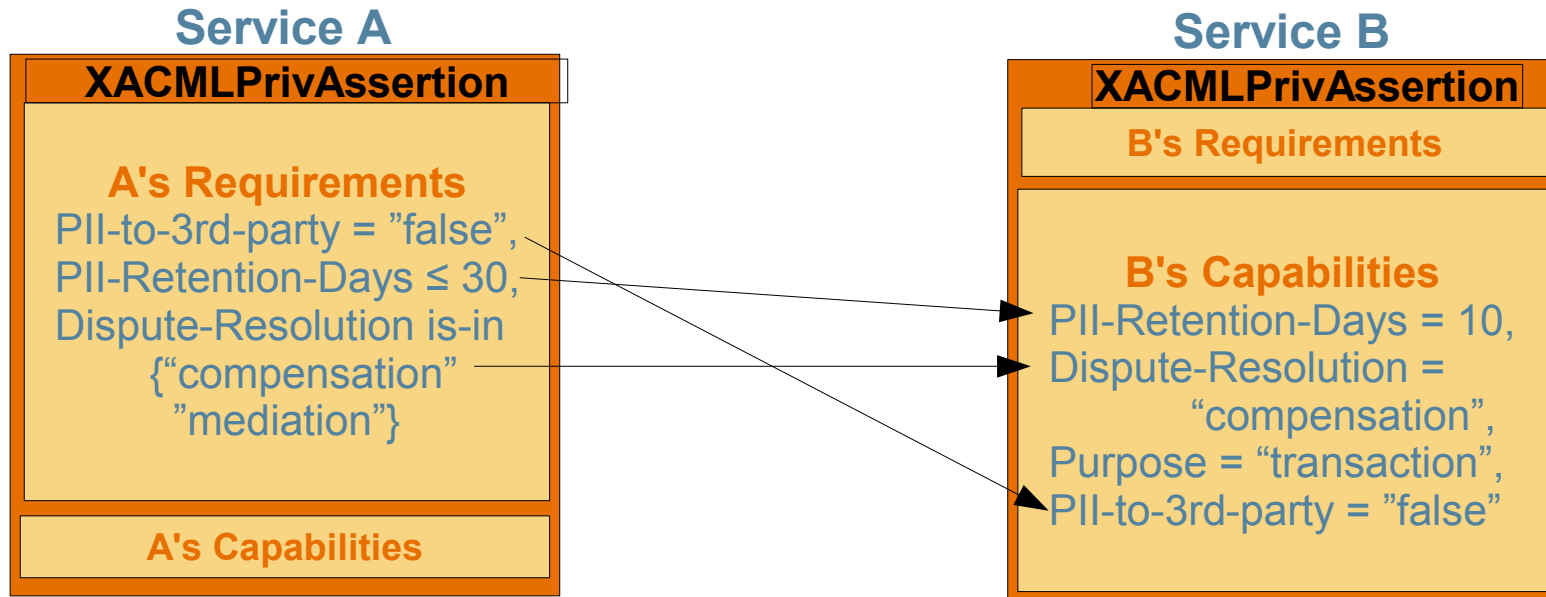
Service 1 Requirements Format	Service 2 Capabilities Format	Can Match?
XACML Policy	XACML Request or XML document	Yes
XACML Policy	Predicate list	No
Predicate list	XACML Request or XML document	Yes
Predicate list	Predicate list	Yes
Missing	Any	Always true
Any	Missing	Always true
Empty	Any	Yes, always true

XACML Assertion Vocabulary Matching

- Requirements Vocabulary MUST be a subset of the other Service's Capabilities Vocabulary
- Standard vocabulary URNs defined for
 - > XACML standard AttributeIds
 - > P3P 1.0 full schema
- Applications, products, consortia, sites define their own specific vocabularies

XACML Predicate Matching (1)

For each Service A Requirements predicate, find a Service B Capabilities predicate that references the same Attribute



PII: Personal Identifying Information

XACML Predicate Matching (2)

- There **MUST** be a **Service B Capabilities** predicate matching each **Service A Requirements** predicate
 - > At this step, “match” means references same **Attribute**
- If not, policies are incompatible. **STOP**
- There **MAY** be additional **Service B Capabilities** not needed to meet **Service A Requirements**

XACML Predicate Matching (3)

- Compute intersection of ranges of corresponding predicates
- If intersection empty, policies incompatible. **STOP**
- Express intersection as new <Apply> function
 - > $\text{PII-to-3rd-party} = \text{"false"} \cap \text{PII-to-3rd-party} = \text{"false"}$
 $= \text{PII-to-3rd-party} = \text{"false"}$
 - > $\text{PII-Retention-Days} \leq \text{"30"} \cap \text{PII-Retention-Days} = \text{"10"}$
 $= \text{PII-Retention-Days} = \text{"10"}$
 - > $\text{Dispute-Resolution is-in } \{\text{"compensation"}, \text{"mediation"}\}$
 $\cap \text{Dispute-Resolution} = \text{"compensation"}$
 $= \text{Dispute-Resolution} = \text{"compensation"}$

XACML Predicate Matching (4)

- Result is new set of predicates
- Asymmetric results
 - > OWN Requirements intersected with OTHER Capabilities => new OWN Requirements
 - > OWN Capabilities intersected with OTHER Requirements => new OWN “required” Capabilities
- Computation of intersections not complex
 - > Usually floor/ceiling operations
 - > Table of intersections of all permitted function types explicitly specified in Appendix A

XACML Assertion Requirements and Capabilities Revisited

- Capabilities need not be published until “agreed-upon”
- Minimal disclosure protocol:
 1. Publish own Requirements
 2. Retrieve other Service's Requirements
 3. Match other Service's Requirements against own Capabilities (internally)
 4. Respond with intersection as own “agreed-upon” “required” Capabilities for interaction with this other Svc
 5. Use “agreed-upon” Capabilities from other Service as own Requirements for interaction with this other Svc

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Defined WS-XACML Assertions

- XACMLAuthzAssertion
 - For public authorization requirements and capabilities
 - Additional internal policy may be imposed at time interaction occurs
- XACMLPrivacyAssertion
 - For privacy/confidentiality Requirements and Capabilities
 - Client typically has privacy Requirements
 - Don't disclose my personal identifying information
 - Service typically has confidentiality Requirements
 - Don't disclose the price-list I send you
 - Service Capabilities MAY be a W3C P3P Policy
 - Client Capabilities typically IDs of PII willing to disclose

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New WS-XACML Functions

- **Must-be-present**
 - AttributeDescriptors or XPath expressions that must be present, but value may be anything
- **Must-not-be-present**
 - AttributeDescriptors or XPath expressions that must not be present with any value
- **Limit-scope:all**
 - True if multiple constraints on descendants of all nodes selected by a specified XPath expression are true
- **Limit-scope:atLeastOne**
 - True if multiple constraints on descendants of at least one node selected by a specified Xpath expression are true

New WS-XACML Attributes

- Maximum data retention days
- Data disclosures allowed
 - > Values from those defined for P3P RECIPIENT element
 - > Two additional values
 - None
 - Named (may disclose to names in “Named recipient” attr)
- Named recipient
 - > Value is identity of a permitted data recipient
 - > Used with 'Data disclosures allowed = “named”'

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Open Issues #55-57

#55: Policy References in an XACMLAssertion Policy

- Resolution: referenced policies must be present in new “ReferencedPolicies” element in the Requirements

#56: “Preference” XML attribute in <Apply> element

- To indicate which end of a range or set is preferred, optional
- Open: and should it also go into Core?

#57: Restricted subset of XPath for WS-XACML predicate AttributeSelectors

- Necessary to know which predicates to intersect and to ensure nodes referenced in two predicates will be the same
- Open: Proposal: absolute only, no query operators

Open Issues #58-60

#58: P3P 1.0 POLICY/STATEMENT/NON-IDENTIFIABLE

- Interacts with other “capabilities” in a P3P policy
- Open: no proposal yet

#59: Regular expressions as predicate functions

- Can be somewhat expensive to intersect, requires “basic” regular expressions
- Resolution: allow “basic” regular expressions

#60: Move non-Assertion parts of WS-XACML to SAML Profile

- AuthzToken and conveying XACML Attributes in SOAP header
- Pending Review: SAML Profile WD3 has these. Not positive they should have be moved, though.

Open Issues #61

#61: XACMLAuthzAssertions in regular policies

- Can predicates for XACMLAuthzAssertions be marked for extraction from regular policies?
- Open: Proposal: No. Could be in Policies combined with others, however.

References

- [1] *Web Services Profile of XACML (WS-XACML) Version 1.0, Working Draft 8, 12 December 2006, OASIS XACML Technical Committee*, <http://www.oasis-open.org/committees/download.php/21490/xacml-3.0-profile-webservices-spec-v1.0-wd-8-en.pdf>
- [2] *SAML 2.0 Profile of XACML, Version 2, Working Draft 3, 6 March 2007*, <http://www.oasis-open.org/committees/download.php/22765/xacml-profile-saml2.0-v2-wd-2.zip>



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