

ASN1C Mapping of ASN.1 Syntax to XML Schema

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Table of Contents

Abstract	
General Conventions	4
Mapping of Top-Level Constructs	
Mapping of ASN.1 Types	
BOOLEAN	
INTEGER	
BIT STRING	
OCTET STRING	
Character String Types	
Time String Types	
ENUMERATED	
NULL	
OBJECT IDENTIFIER	
RELATIVE-OID	
REAL	
SEQUENCE	
SET	
SEQUENCE OF / SET OF	
CHOICE	
Open Type	
Tagged Types	
EXTERNAL and EmbeddedPDV Types	
References	
	······································

Abstract

An effort is currently underway within the ITU-T to map World-Wide Web Consortium (W3C) XML Schema Definitions Language (XSD) to Abstract Syntax Notation 1 (ASN.1). But a parallel effort to provide a mapping in the other direction – from ASN.1 to XSD – is on hold. Given the currently popularity of XSD for defining new standards, it would seem reasonable that ASN.1 to XSD conversion would be of interest to the ASN.1 community.

This paper presents such a mapping. It uses as a basis the T1 Standard's Committee Draft Standard – tML *Guidelines for mapping ASN.1 syntax and modules to XML Schemas.*¹ The Objective Systems' ASN1C ASN.1 Compiler Tool v5.5 will have the capability of producing XML Schema that is consistent with these mappings.

General Conventions

In this document, sections of ASN.1 syntax and XML Schema definitions are shown in plain text (courier font). Within these sections, symbols shown in *italics* indicate placeholders for items to be substituted. For example, in the statement *TypeName* ::= A, *TypeName* would be replaced with a valid ASN.1 name for a type.

This paper covers the conversion of ASN.1 module headers and types. ASN.1 value specification cannot be represented within the ASN.1 schema. Mappings are not provided ASN.1 information object classes, objects, and object sets.

Mapping of Top-Level Constructs

An ASN.1 module name is mapped to an XML schema namespace. ASN.1 IMPORT statements are mapped to XSD *import* statements. The ASN.1 EXPORT statement does not have a corresponding construct in XSD.

The general form of the XSD namespace and import statements would be as follows:

```
<?xml version="1.0"?>
<xsd:schema
    xmlns:xsd="http://www.w3.org/2001/XMLSchema"
    targetNamespace="URL/ModuleName"
    <!-- following line would be added for imported module namespace -->
    xmlns:ImportedModuleName="importURL/ImportedModuleName"
        elementFormDefault="qualified">
        <xsd:import namespace="importURL/ImportedModuleName"
        schemaLocation="ImportedModuleName.xsd"/>
```

In this definition, the items in *italics* would be replaced with text from the ASN.1 specification being converted or a configuration file. The *ModuleName* and *ImportedModuleName* items would come from the ASN.1 specification. The *URL* and *importURL* items would be configuration parameters.

Mapping of ASN.1 Types

Each ASN.1 type is mapped to a corresponding XSD type. The following sections describe the mappings for each of the ASN.1 built-in types.

BOOLEAN

The ASN.1 BOOLEAN type is mapped to the XSD boolean built-in type.

ASN.1 production:

TypeName ::= BOOLEAN

Generated XSD code:

INTEGER

The ASN.1 INTEGER type is converted into one of several XSD built-in types depending on value range constraints on the integer type definition.

The default conversion if the INTEGER value contains no constraints is to the XSD integer type:

ASN.1 production :

```
TypeName ::= INTEGER
```

Generated XSD code:

If the integer has a value range constraint that allows a more restrictive XSD type to be used, then that type will be used. For example, if a range of 0 to 255 (inclusive) is specified, an XSD *unsignedByte* would be used because it maps exactly to this range. The following table shows the range values for each of the INTEGER type mappings:

Lower Bound	Upper Bound	XSD Type
-128	127	byte
0	255	unsignedByte
-32768	32767	short
0	65535	unsignedShort
-2147483648	2147483647	integer
0	4294967295	unsignedInt
-9223372036854775808	9223372036854775807	long
0	18446744073709551615	unsignedLong

Ranges beyond "long" or "unsignedLong" will cause the integer value to be treated as a "big integer". This will map to an *xsd:string* type. An integer can also be specified to be a big integer using the ASN1C <isBigInteger/> configuration file setting.

If constraints are present on the INTEGER type that are not exactly equal to the lower and upper bounds specified above, then *xsd:minInclusive* and *xsd:maxInclusive* facets will be added to the XSD type mapping. For example, the mapping of "I ::= INTEGER (0..10)" would be done as follows:

- 1. The most restrictive type would first be chosen based on the constraints. In this case, *xsd:byte* would be used because it appears first on the list above.
- 2. Then the *xsd:minInclusive* and *xsd:maxInclusive* facets would be added to further restrict the type.

This would result in the following mapping:

</xsd:simpleType>

BIT STRING

There is no built-in XSD type that corresponds to the ASN.1 BIT STRING type. For this reason, a custom type was created in the Objective Systems XSD run-time library (*osrtlib.xsd*) to model this type. This type is *objsys:BIT-STRING* and has the following definition:

The ASN.1 BIT STRING type is converted into a reference to this custom type as follows:

ASN.1 production:

```
TypeName ::= BIT STRING
```

Generated XSD code:

Sized BIT STRING

The ASN.1 BIT STRING type may contain a size constraint. This is converted into *minLength* and *maxLength* facets in the generated XSD definition:

ASN.1 production:

TypeName ::= BIT STRING (SIZE (lower..upper))

Generated XSD code:

BIT STRING with Named Bits

A bit string with named bits is handled differently than a normal bit string. This is because the primary use of named bits it to define a bit map of selected bit items. For this reason, a list of enumerated items is used for the type. This allows the names of the bits to be specified in an XML instance of the type. The type also contains application information in the form of an annotation that allows an application to map the items specified in a list to binary bits in a bitmap.

The formal mapping of an ASN.1 BIT STRING with named bits to XSD is as follows:

ASN.1 production:

TypeName ::= BIT STRING { b1(n1), b2(n2) }

Generated XSD code:

```
<xsd:simpleType name="TypeName">
  <xsd:union memberTypes="objsys:BIT-STRING">
    <xsd:simpleType>
      <xsd:list>
        <xsd:simpleType>
          <xsd:restriction base="xsd:token">
            <xsd:enumeration value="b1"/>
            <xsd:enumeration value="b2"/>
            . . .
          </xsd:restriction>
        </xsd:simpleType>
        <xsd:annotation>
          <xsd:appinfo>
            <objsys:NamedBitInfo>
              <objsys:NamedBit name="b1" value="n1">
              <objsys:NamedBit name="b2" value="n2">
              . . .
            </objsys:NamedBitInfo>
          </xsd:appinfo>
        </xsd:annotation>
      </xsd:list>
    </xsd:simpleType>
  </xsd:union>
</xsd:simpleType>
```

The appinfo will not be needed if the bits are sequentially numbered starting at zero. The compiler will be able to figure out the bit numbers based on their position in the document.

Example

The following ASN.1 BIT STRING type:

ColorSet ::= BIT STRING (blue(1), green(3), red(5))

maps to the following XSD type

OCTET STRING

The ASN.1 OCTET STRING type is converted into the XSD hexBinary type.

ASN.1 production:

TypeName ::= OCTET STRING

Generated XSD code:

Sized OCTET STRING

The ASN.1 OCTET STRING type may contain a size constraint. This is converted into *minLength* and *maxLength* facets in the generated XSD definition:

ASN.1 production:

TypeName ::= OCTET STRING (SIZE (lower..upper))

Generated XSD code:

Character String Types

All ASN.1 character string useful types (IA5String, VisibleString, etc.) are mapped to the XSD string type.

ASN.1 production:

TypeName ::= ASN1CharStringType

in this definition, *ASN1CharStringType* would be replaced with one of the ASN.1 Character String types such as VisibleString.

Generated XSD code:

ASN.1 character string types may contain a size constraint. This is converted into *minLength* and *maxLength* facets in the generated XSD definition:

ASN.1 production:

```
TypeName ::= ASN1CharStringType (SIZE (lower..upper))
```

Generated XSD code:

ASN.1 character string types may also contain permitted alphabet or pattern constraints. These are converted into *pattern* facets in the generated XSD definition:

ASN.1 production:

```
TypeName ::= ASN1CharStringType (FROM (charSet))
or
TypeName ::= ASN1CharStringType (PATTERN (pattern))
Generated XSD code:
<xsd:simpleType name="TypeName">
```

In this case, the permitted alphabet character set (*charSet*) is converted into a corresponding *pattern* for use in the generated XML schema definition.

Time String Types

The ASN.1 GeneralizedTime and UTCTime types are mapped to the XSD dateTime type.

ASN.1 production:

TypeName ::= ASN1TimeStringType

in this definition, ASNITimeStringType would be replaced with either GeneralizedTime or UTCTime.

```
Generated XSD code:
```

ENUMERATED

The ASN.1 ENUMERATED type is converted into an XSD token type with enumeration items. The enumeration items correspond to the enumerated identifiers in the type. If the enumerated items contain numbers (i.e do not follow the standards sequence), then an <appinfo> annotation is added to the type to allow an application to map the enumerated identifiers to numbers. If <appinfo> is not present, then an application can safely assume that the enumerated identifiers are in sequential order starting at zero.

ASN.1 production:

TypeName ::= ENUMERATED (id1(val1), id2(val2), ...)

Generated XSD code:

```
<xsd:simpleType name="TypeName">
    <xsd:restriction base="token">
        <xsd:restriction name="id1">
        <xsd:enumeration name="id2">
        </xsd:restriction>
        <xsd:annotation>
        <xsd:annotation>
        <objsys:EnumInfo>
            <objsys:EnumItem name="id1" value="val1"/>
                <objsys:EnumItem name="id2" value="val2"/>
                </objsys:EnumInfo>
        <//objsys:EnumInfo>
        <//sd:appinfo>
        <//sdisupleType>
```

Example

The following ASN.1 enumerated type:

```
Colors ::= ENUMERATED (blue(1), green(3), red(5))
```

maps to the following XSD type

```
<xsd:simpleType name="Colors">
   <xsd:restriction base="token">
        <xsd:restriction name="blue">
        <xsd:enumeration name="blue">
        <xsd:enumeration name="green">
        <xsd:enumeration name="red">
        </xsd:restriction>
        <xsd:annotation>
        <xsd:appinfo>
        <objsys:EnumInfo>
```

```
<objsys:EnumItem name="blue" value="1"/>
        <objsys:EnumItem name="green" value="3"/>
        <objsys:EnumItem name="red" value="5"/>
        </objsys:EnumInfo>
        </xsd:appinfo>
        </xsd:annotation>
</xsd:simpleType>
```

Note that if the identifiers in the enumerated type did not contain numbers (i.e. if the type was 'ENUMERATED (blue, green, red)'), then the annotation would not be necessary on the type above.

NULL

There is no built-in XSD type that corresponds to the ASN.1 NULL type. For this reason, a custom type was created in the Objective Systems XSD run-time library (*osrtlib.xsd*) to model this type. This type is *objsys:NULL* and has the following definition:

```
<complexType name="NULL" final="#all"/>
```

This is a non-extendable empty complex type.

OBJECT IDENTIFIER

There is no built-in XSD type that corresponds to the ASN.1 OBJECT IDENTIFIER type. For this reason, a custom type was created in the Objective Systems XSD run-time library (*osrtlib.xsd*) to model this type. This type is *objsys:OBJECT-IDENTIFIER* and has the following definition:

The pattern enforces the rule in the X.680 standard that the first arc value of an OID must be between 0 and 2, the second arc must be between 0 and 39, and the remaining arcs can be any number. The ASN.1 OBJECT IDENTIFIER type is converted into a reference to this custom type as follows:

ASN.1 production:

TypeName ::= OBJECT IDENTIFIER

Generated XSD code:

RELATIVE-OID

There is no built-in XSD type that corresponds to the ASN.1 RELATIVE-OID type. For this reason, a custom type was created in the Objective Systems XSD run-time library (*osrtlib.xsd*) to model this type. This type is *objsys:RELATIVE-OID* and has the following definition:

This is similar to the OBJECT IDENTIFIER type discussed in the previous section except in this case, the patter in simpler. The arc numbers in a RELATIVE-OID are not restricted in any way, hence the simpler pattern. The ASN.1 RELATIVE-OID type is converted into a reference to this custom type as follows:

ASN.1 production:

```
TypeName ::= RELATIVE-OID
```

Generated XSD code:

REAL

The ASN.1 REAL type is mapped to the XSD double built-in type.

ASN.1 production:

TypeName ::= REAL

Generated XSD code:

SEQUENCE

An ASN.1 SEQUENCE is a constructed type consisting of a series of element definitions that must appear in the specified order. This is very similar to the XSD *sequence* complex type and is therefore mapped to this type.

The basic mapping is as follows:

ASN.1 production:

```
TypeName ::= SEQUENCE {
    element1-name element1-type,
    element2-name element2-type,
    ...
}
```

Generated XSD code:

OPTIONAL keyword

Elements within a sequence can be declared to be optional using the OPTIONAL keyword. This indicates that the element is not required in the encoded message. XSD contains the *minOccurs* facet that can be used to model this behavior. Setting *minOccurs* equal to zero is the equivalent to declaring an element to be optional because this indicates the element can appear zero to one times in the definition.

For example, the following ASN.1 SEQUENCE type:

```
OptInt ::= SEQUENCE {
    anInt INTEGER OPTIONAL
}
```

will cause the following XSD complex type to be generated:

DEFAULT keyword

The DEFAULT keyword allows a default value to be specified for elements within the SEQUENCE. XSD contains a *default* facet that can be used to map elements with this keyword.

For example, the following ASN.1 SEQUENCE type:

```
DfltInt ::= SEQUENCE {
    anInt INTEGER DEFAULT 1
}
```

will cause the following XSD complex type to be generated:

Note that in XSD, default values can only be specified for simple (primitive) types. ASN.1 allows for the specification of default values on complex (constructed) types as well. If an ASN.1 type is encountered that contains a complex default value, the value is dropped in the conversion to XSD.

An ASN.1 SET is a constructed type consisting of a series of element definitions that can appear in any order. This is very similar to the XSD *all* complex type and is therefore mapped to this type.

The basic mapping is as follows:

ASN.1 production:

```
TypeName ::= SET {
    element1-name element1-type,
    element2-name element2-type,
    ...
}
```

Generated XSD code:

The rules for mapping elements with <u>optional</u> and <u>default</u> values to XSD that were described in the SEQUENCE section above are also applicable to the SET type.

SEQUENCE OF / SET OF

The ASN.1 SEQUENCE OF or SET OF type is used to specify a repeating collection of a given element type. This is similar to an array type in a high-level programming language. For all practical purposes, SEQUENCE OF and SET OF are identical. The remainder of this section will refer to the SEQUENCE OF type only. It can be assumed that all of the defined mappings apply to the SET OF type as well.

The way the SEQUENCE OF type is mapped to XSD depends on the type of the referenced element. If the type is one of the following ASN.1 primitive types (or a type reference that references one of these types):

- BOOLEAN
- INTEGER
- ENUMERATED
- REAL

The mapping is to the XSD *list* type. This is a list of space-separated identifiers. The syntax is as follows:

ASN.1 production:

```
TypeName ::= SEQUENCE OF ElementType
```

Generated XSD code:

SET

This will be referred to as the simple case from this point forward.

If the element type is any other type than those listed above, the ASN.1 type is mapped to an XSD sequence complex type that contains a single element of the element type. The generated XSD type also contains the *maxOccurs* (and possibly the *minOccurs*) facet to specify the array bounds.

The general mapping of an unbounded SEQUENCE OF type (i.e. one with no size constraint) to XSD is as follows:

ASN.1 production:

</xsd:sequence> </xsd:complexType>

In this definition, the element type name is the name of the ASN.1 element type. The element type in the XSD definition is the equivalent XSD type for the ASN.1 element type.

As of the 2002 version of the ASN.1 standards, it is now possible to include an element identifier name before the element type name in a SEQUENCE OF definition. This makes it possible to control the name of the element used in the generated XSD definition. The mapping for this case is as follows:

ASN.1 production:

TypeName ::= SEQUENCE OF elementName ElementType

Generated XSD code:

Example

The following shows the mapping for a SEQUENCE OF INTEGER. Since INTEGER is one of the simple types listed above, an XSD *list* type is used:

ASN.1 production:

SeqOfInt ::= SEQUENCE OF INTEGER

Generated XSD code:

<xsd:complexType name="SeqOfInt">

```
<xsd:list itemType="xsd:integer">
</xsd:complexType>
```

The following shows the mapping for a SEQUENCE OF UTF8String. Since UTF8String is not one of the simple types listed above, an XSD *sequence* type is used:

ASN.1 production:

SeqOfUTF8 ::= SEQUENCE OF UTF8String

Generated XSD code:

Note that the element name is the name of the element type. To change the element name, the ASN.1 form that allows an element name could be used:

ASN.1 production:

SeqOfUTF8 ::= SEQUENCE OF myString UTF8String

Generated XSD code:

Sized SEQUENCE OF / SET OF

The SEQUENCE OF type may contain a size constraint. If this is the case, the XSD *minOccurs* and *maxOccurs* facets are used to constrain the value to the given size.

ASN.1 production:

TypeName ::= SEQUENCE (SIZE (lower..upper)) OF ElementType

Generated XSD code:

This mapping is for the complex case. For the simple case (i.e XSD *list* case), the XSD *minLength* and/or *maxLength* facets are used to constraint the length:

CHOICE

The ASN.1 CHOICE type is used to specify a list of alternative elements from which a single element can be selected. This type is mapped to the XSD *choice* complex type. The mapping is as follows:

ASN.1 production:

```
TypeName ::= CHOICE {
    element1-name element1-type,
    element2-name element2-type,
    ...
}
```

Generated XSD code:

This is similar to the SEQUENCE and SET cases described above. The only difference is that *xsd:choice* is used instead of *xsd:sequence* or *xsd:all*.

The CHOICE type cannot have elements marked as optional (OPTIONAL) or elements that contain default values (DEFAULT) as was the case for SEQUENCE and SET.

Open Type

An *Open Type* as defined in the X.680 standard is specified as a reference to a *Type Field* in an *Information Object Class*. The most common form of this is when the *Type* field in the built-in TYPE-IDENTIFIER class is referenced as follows:

```
TYPE-IDENTIFIER.&Type
```

See the section in this document on Information Objects for a more detailed explanation.

There is no built-in XSD type that corresponds to the ASN.1 Open or Any type. For this reason, a custom type was created in the Objective Systems XSD run-time library (*osrtlib.xsd*) to model this type. This type is *objsys:OpenType* and has the following definition:

The ASN.1 Open or Any type is converted into a reference to this custom type as follows:

ASN.1 production:

```
TypeName ::= TYPE-IDENTIFIER.&Type
Or
TypeName ::= ANY
```

Generated XSD code:

An example showing how an open type might be referenced in a SEQUENCE type and the corresponding conversion to XSD is as follows:

```
SeqWithOpenType ::= SEQUENCE {
    anOpenType TYPE-IDENTIFIER.&Type
}
```

Generated XSD type:

```
<xsd:complexType name="SeqWithOpenType">
    <xsd:sequence>
        <xsd:element name="anOpenType" type="objsys:OpenType/>
        </xsd:sequence>
        </xsd:complexType>
```

In this case, any valid XML instance can be used for the element.

Tagged Types

In ASN.1, it is possible to create new custom type using ASN.1 tag values as identifiers. These identifiers are built into BER or DER encoded messages. In general, these tags have no meaning in an XSD representation of an ASN.1 type that is used to create or validate XML markup. However, if the schema definition is to be used to generate a BER or DER instance of a type, the tag information will be required. For this reason, it is possible to add an application information annotation (*appinfo*) to the generated XSD type.

The annotation carries all of the information an application would need to know to encode a BER or DER message of the given type. This includes the tag's class, identifier number, and how it is applied

(IMPLICIT or EXPLICIT). The type that specifies this information is the *TagInfo* type in the Objective Systems' XSD class library.

The mapping of an ASN.1 tagged type to XSD is as follows:

ASN.1 production:

TypeName ::= Tagging [TagClass TagID] ASN1Type

Generated XSD code:

```
<xsd:complexType name="TypeName">
    equivalent XSD type mapping for ASN1Type
    <xsd:annotation/>
        <xsd:appinfo>
            <objsys:TagInfo>
            <objsys:TagID> TagClass </objsys:TagClass>
            <objsys:TagID> TagID </objsys:TagID>
            <objsys:TagInfo>
            </objsys:TagInfo>
            </objsys:TagInfo>
            </objsys:TagInfo>
            </vsd:appinfo>
            </xsd:annotation>
</xsd:complexType>
```

Tagging in the definition above is optional. If present, it is equal to either the keyword EXPLICIT or IMPLICIT. The default value is EXPLICIT. A default value for all types in a module can also be specified in the ASN.1 module header.

The tag's form (constructed or primitive) is not specified in the mapping above. This is because this can be determined by an application that is encoding or decoding a message of the given type.

EXTERNAL and EmbeddedPDV Types

The EXTERNAL and EmbeddedPDV types are built-in ASN.1 types that make it possible to transfer a value of a different encoding type within an ASN.1 message. These are constructed types built into the ASN.1 standard. An XSD representation of each of these types is available in the *rtlib.xsd* library. The ASN1C compiler generates a reference to the types in the library when it encounters a reference to one of these types.

ASN.1 production:

TypeName ::= EXTERNAL

Generated XSD code:

ASN.1 production:

TypeName ::= EMBEDDED PDV

Generated XSD code:

```
<rpre><xsd:complexType name="TypeName">
    <xsd:complexContent>
        <rsd:extension base="objsys:EMBEDDED-PDV"/>
        </xsd:complexContent>
    </xsd:complexType>
```

References

¹ *tML Guidelines for Mapping ASN.1 Syntax and Modules to XML Schemas* Proposed Draft Standard – T1M1 Working Group – August 13-17, 2001